## **Contents**

1

1	Preservation of Quality Through Packaging 1
	Albert Baner and Otto Piringer
1.1	Quality and Shelf-Life 1
1.2	Physical and Chemical Interactions Between Plastics and Food or Pharmaceuticals 4
1.3	The Organization of this Book 5 Further Reading 12
2	Characteristics of Plastic Materials 15
	Johannes Brandsch and Otto Piringer
2.1	Classification, Manufacture, and Processing Aids 15
2.1.1	Classification and Manufacture of Plastics 16
2.1.1.1	Raw Materials and Polymerization Processes 17
2.1.1.2	Addition Polymerization 18
2.1.1.3	Condensation Polymerization 19
2.1.1.4	Synthesis of Copolymers, Block, and Graft Copolymers 19
2.1.1.5	Polymer Reactions 20
2.1.1.6	Plastic Processing 22
2.1.2	Processing Aids 23
2.1.2.1	Initiators and Crosslinkers 24
2.1.2.2	Catalysts 25
2.2	Structure and States of Aggregation in Polymers 26
2.2.1	Structure 26
2.2.2	States of Aggregation 29
2.3	The Most Important Plastics 32
2.3.1	Thermoplastics 32
2.3.1.1	Polyethylene 32

٧ı	Contents	
	2.3.1.2	Polypropylene 34
	2.3.1.3	Polybutene-1 36
	2.3.1.4	Polyisobutylene 36
	2.3.1.5	Poly-4-methylpentene-1 (P4MP1) 36
	2.3.1.6	Ionomers 36
	2.3.1.7	Cyclic Olefin Copolymers (COC) 37
	2.3.1.8	Polystyrene 38
	2.3.1.9	Polyvinyl Chloride 39
	2.3.1.10	Polyvinylidene Chloride 41
	2.3.1.11	Thermoplastic Polyesters 41
	2.3.1.12	Polycarbonate 42
	2.3.1.13	Polyamide 43
	2.3.1.14	Polymethylmethacrylate 44
	2.3.1.15	Polyoxymethylene or Acetal Resin 45
	2.3.1.16	Polyphenylene Ether (PPE) 45
	2.3.1.17	Polysulfone 45
	2.3.1.18	Fluoride Containing Polymers 46
	2.3.1.19	Polyvinylether 46
	2.3.2	Thermosets 46
	2.3.2.1	Amino Resins (UF, MF) 47
	2.3.2.2	Unsaturated Polyester (UP) 47
	2.3.3	Polyurethanes 48
	2.3.4	Natural and Synthetic Rubber 49
	2.3.5	Silicones 51
	2.3.6	Plastics Based on Natural Polymers Regenerated Cellulose 54
	2.3.6.1	Biodegradable Polymers 54
	2.3.7	Coatings and Adhesives 55
	2.3.7.1	Lacquers 56
	2.3.7.2	Plastic Dispersions 57
	2.3.7.3	Microcrystalline Waxes 57
	2.3.7.4	Temperature-Resistant Coatings 58
	2.3.7.5	Printing Inks and Varnishes 59
		References 60
	3	Polymer Additives 63
		Jan Pospíšil and Stanislav Nešpůrek
	3.1	Introduction 63
	3.2	Antifogging Agents 64
	3.3	Antistatic Agents 65
	3.4	Blowing Agents 65
	3.5	Colorants 66
	3.6	Fillers and Reinforcing Agents 66
	37	Lubricants 67

Nucleating Agents 67 Optical Brighteners 68

3.8 3.9

3.10	Plasticizers 68
3.11	Stabilizers 70
3.11.1	Antiacids 71
3.11.2	Antimicrobials 72
3.11.3	Antioxidants 72
3.11.3.1	Chain-Breaking Antioxidants 73
3.11.3.2	Hydroperoxide Deactivating Antioxidants 74
3.11.4	Dehydrating Agent 75
3.11.5	Heat Stabilizers 75
3.11.6	Light Stabilizers 76
3.11.6.1	Light Screening Pigments and UV Absorbers 76
3.11.6.2	Photoantioxidants 77
3.12	Transformation Products of Plastic Stabilizers 78
3.12.1	Transformation Products from Phenolic Antioxidants and UV
	Absorbers 79
3.12.2	Transformation Products from Hydroperoxide Deactivating
	Antioxidants 83
3.12.3	Transformation Products from Hindered Amine
	Stabilizers 84
3.12.4	Transformation Products from Heat Stabilizers for PVC 85
3.13	Conclusions 86
	References 86
4	Partition Coefficients 89
4	Albert Baner and Otto Piringer
<b>4 4</b> .1	Albert Baner and Otto Piringer Experimental Determination of Polymer/Liquid Partition
	Albert Baner and Otto Piringer Experimental Determination of Polymer/Liquid Partition Coefficients 89
<b>4.1 4.2</b>	Albert Baner and Otto Piringer Experimental Determination of Polymer/Liquid Partition Coefficients 89 Thermodynamics of Partition Coefficients 90
4.1 4.2 4.2.1	Albert Baner and Otto Piringer  Experimental Determination of Polymer/Liquid Partition Coefficients 89  Thermodynamics of Partition Coefficients 90  Equilibrium Between Different Phases in Ideal Solutions 91
<b>4.1 4.2</b>	Albert Baner and Otto Piringer  Experimental Determination of Polymer/Liquid Partition Coefficients 89  Thermodynamics of Partition Coefficients 90  Equilibrium Between Different Phases in Ideal Solutions 91  Partitioning in Ideal Solutions: Nernst's Law 92
4.1 4.2 4.2.1 4.2.1.1 4.2.2	Albert Baner and Otto Piringer  Experimental Determination of Polymer/Liquid Partition Coefficients 89  Thermodynamics of Partition Coefficients 90  Equilibrium Between Different Phases in Ideal Solutions 91  Partitioning in Ideal Solutions: Nernst's Law 92  Equilibrium Between Different Phases in Nonideal Solutions 93
4.1 4.2 4.2.1 4.2.1.1 4.2.2 4.2.2.1	Albert Baner and Otto Piringer  Experimental Determination of Polymer/Liquid Partition Coefficients 89  Thermodynamics of Partition Coefficients 90  Equilibrium Between Different Phases in Ideal Solutions 91  Partitioning in Ideal Solutions: Nernst's Law 92  Equilibrium Between Different Phases in Nonideal Solutions 93  Partition Coefficients for Nonideal Solutions 94
4.1 4.2 4.2.1 4.2.1.1 4.2.2 4.2.2.1 4.2.3	Albert Baner and Otto Piringer  Experimental Determination of Polymer/Liquid Partition Coefficients 89  Thermodynamics of Partition Coefficients 90  Equilibrium Between Different Phases in Ideal Solutions 91  Partitioning in Ideal Solutions: Nernst's Law 92  Equilibrium Between Different Phases in Nonideal Solutions 93  Partition Coefficients for Nonideal Solutions 94  Partition Coefficients for Systems with Polymers 96
4.1 4.2 4.2.1 4.2.1.1 4.2.2 4.2.2.1	Albert Baner and Otto Piringer  Experimental Determination of Polymer/Liquid Partition Coefficients 89  Thermodynamics of Partition Coefficients 90  Equilibrium Between Different Phases in Ideal Solutions 91  Partitioning in Ideal Solutions: Nernst's Law 92  Equilibrium Between Different Phases in Nonideal Solutions 93  Partition Coefficients for Nonideal Solutions 94  Partition Coefficients for Systems with Polymers 96  Relationship Between Partition Coefficients and Solubility
4.1 4.2 4.2.1 4.2.1.1 4.2.2 4.2.2.1 4.2.3	Albert Baner and Otto Piringer  Experimental Determination of Polymer/Liquid Partition Coefficients 89  Thermodynamics of Partition Coefficients 90  Equilibrium Between Different Phases in Ideal Solutions 91  Partitioning in Ideal Solutions: Nernst's Law 92  Equilibrium Between Different Phases in Nonideal Solutions 93  Partition Coefficients for Nonideal Solutions 94  Partition Coefficients for Systems with Polymers 96  Relationship Between Partition Coefficients and Solubility Coefficients 98
4.1 4.2 4.2.1 4.2.1.1 4.2.2 4.2.2.1 4.2.3	Albert Baner and Otto Piringer  Experimental Determination of Polymer/Liquid Partition Coefficients 89  Thermodynamics of Partition Coefficients 90  Equilibrium Between Different Phases in Ideal Solutions 91  Partitioning in Ideal Solutions: Nernst's Law 92  Equilibrium Between Different Phases in Nonideal Solutions 93  Partition Coefficients for Nonideal Solutions 94  Partition Coefficients for Systems with Polymers 96  Relationship Between Partition Coefficients and Solubility Coefficients 98  Estimation of Partition Coefficients Between Polymers and
4.1 4.2 4.2.1 4.2.1.1 4.2.2 4.2.2.1 4.2.3 4.2.4 4.3	Albert Baner and Otto Piringer  Experimental Determination of Polymer/Liquid Partition Coefficients 89  Thermodynamics of Partition Coefficients 90  Equilibrium Between Different Phases in Ideal Solutions 91  Partitioning in Ideal Solutions: Nernst's Law 92  Equilibrium Between Different Phases in Nonideal Solutions 93  Partition Coefficients for Nonideal Solutions 94  Partition Coefficients for Systems with Polymers 96  Relationship Between Partition Coefficients and Solubility Coefficients 98  Estimation of Partition Coefficients Between Polymers and Liquids 99
4.1 4.2 4.2.1 4.2.1.1 4.2.2 4.2.2.1 4.2.3 4.2.4	Albert Baner and Otto Piringer  Experimental Determination of Polymer/Liquid Partition Coefficients 89  Thermodynamics of Partition Coefficients 90 Equilibrium Between Different Phases in Ideal Solutions 91 Partitioning in Ideal Solutions: Nernst's Law 92 Equilibrium Between Different Phases in Nonideal Solutions 93 Partition Coefficients for Nonideal Solutions 94 Partition Coefficients for Systems with Polymers 96 Relationship Between Partition Coefficients and Solubility Coefficients 98 Estimation of Partition Coefficients Between Polymers and Liquids 99 Additive Molecular Properties 99
4.1 4.2 4.2.1 4.2.1.1 4.2.2 4.2.2.1 4.2.3 4.2.4 4.3 4.3.1 4.3.2	Albert Baner and Otto Piringer  Experimental Determination of Polymer/Liquid Partition Coefficients 89  Thermodynamics of Partition Coefficients 90 Equilibrium Between Different Phases in Ideal Solutions 91 Partitioning in Ideal Solutions: Nernst's Law 92 Equilibrium Between Different Phases in Nonideal Solutions 93 Partition Coefficients for Nonideal Solutions 94 Partition Coefficients for Systems with Polymers 96 Relationship Between Partition Coefficients and Solubility Coefficients 98 Estimation of Partition Coefficients Between Polymers and Liquids 99 Additive Molecular Properties 99 Estimation of Partition Coefficients Using QSAR and QSPR 102
4.1 4.2 4.2.1 4.2.1.1 4.2.2 4.2.2.1 4.2.3 4.2.4 4.3	Albert Baner and Otto Piringer  Experimental Determination of Polymer/Liquid Partition Coefficients 89  Thermodynamics of Partition Coefficients 90 Equilibrium Between Different Phases in Ideal Solutions 91 Partitioning in Ideal Solutions: Nernst's Law 92 Equilibrium Between Different Phases in Nonideal Solutions 93 Partition Coefficients for Nonideal Solutions 94 Partition Coefficients for Systems with Polymers 96 Relationship Between Partition Coefficients and Solubility Coefficients 98 Estimation of Partition Coefficients Between Polymers and Liquids 99 Additive Molecular Properties 99 Estimation of Partition Coefficients Using QSAR and QSPR 102 Group-Contribution Thermodynamic Polymer Partition Coefficient
4.1 4.2 4.2.1 4.2.1.1 4.2.2 4.2.2.1 4.2.3 4.2.4 4.3 4.3.1 4.3.2 4.3.3	Albert Baner and Otto Piringer  Experimental Determination of Polymer/Liquid Partition Coefficients 89  Thermodynamics of Partition Coefficients 90  Equilibrium Between Different Phases in Ideal Solutions 91  Partitioning in Ideal Solutions: Nernst's Law 92  Equilibrium Between Different Phases in Nonideal Solutions 93  Partition Coefficients for Nonideal Solutions 94  Partition Coefficients for Systems with Polymers 96  Relationship Between Partition Coefficients and Solubility Coefficients 98  Estimation of Partition Coefficients Between Polymers and Liquids 99  Additive Molecular Properties 99  Estimation of Partition Coefficients Using QSAR and QSPR 102  Group-Contribution Thermodynamic Polymer Partition Coefficient Estimation Methods 102
4.1 4.2 4.2.1 4.2.1.1 4.2.2 4.2.2.1 4.2.3 4.2.4 4.3 4.3.1 4.3.2 4.3.3 4.3.3.1	Albert Baner and Otto Piringer  Experimental Determination of Polymer/Liquid Partition Coefficients 89  Thermodynamics of Partition Coefficients 90  Equilibrium Between Different Phases in Ideal Solutions 91  Partitioning in Ideal Solutions: Nernst's Law 92  Equilibrium Between Different Phases in Nonideal Solutions 93  Partition Coefficients for Nonideal Solutions 94  Partition Coefficients for Systems with Polymers 96  Relationship Between Partition Coefficients and Solubility Coefficients 98  Estimation of Partition Coefficients Between Polymers and Liquids 99  Additive Molecular Properties 99  Estimation of Partition Coefficients Using QSAR and QSPR 102  Group-Contribution Thermodynamic Polymer Partition Coefficient Estimation Methods 102  Estimation of Partition Coefficients Using RST 104
4.1 4.2 4.2.1 4.2.1.1 4.2.2 4.2.2.1 4.2.3 4.2.4 4.3 4.3.1 4.3.2 4.3.3 4.3.3.1 4.3.3.2	Albert Baner and Otto Piringer  Experimental Determination of Polymer/Liquid Partition Coefficients 89  Thermodynamics of Partition Coefficients 90 Equilibrium Between Different Phases in Ideal Solutions 91 Partitioning in Ideal Solutions: Nernst's Law 92 Equilibrium Between Different Phases in Nonideal Solutions 93 Partition Coefficients for Nonideal Solutions 94 Partition Coefficients for Systems with Polymers 96 Relationship Between Partition Coefficients and Solubility Coefficients 98 Estimation of Partition Coefficients Between Polymers and Liquids 99 Additive Molecular Properties 99 Estimation of Partition Coefficients Using QSAR and QSPR 102 Group-Contribution Thermodynamic Polymer Partition Coefficient Estimation Methods 102 Estimation of Partition Coefficients Using RST 104 Estimation of Partition Coefficients Using UNIFAC 104
4.1 4.2 4.2.1 4.2.1.1 4.2.2 4.2.2.1 4.2.3 4.2.4 4.3 4.3.1 4.3.2 4.3.3 4.3.3.1	Albert Baner and Otto Piringer  Experimental Determination of Polymer/Liquid Partition Coefficients 89  Thermodynamics of Partition Coefficients 90  Equilibrium Between Different Phases in Ideal Solutions 91  Partitioning in Ideal Solutions: Nernst's Law 92  Equilibrium Between Different Phases in Nonideal Solutions 93  Partition Coefficients for Nonideal Solutions 94  Partition Coefficients for Systems with Polymers 96  Relationship Between Partition Coefficients and Solubility Coefficients 98  Estimation of Partition Coefficients Between Polymers and Liquids 99  Additive Molecular Properties 99  Estimation of Partition Coefficients Using QSAR and QSPR 102  Group-Contribution Thermodynamic Polymer Partition Coefficient Estimation Methods 102  Estimation of Partition Coefficients Using RST 104

	ients Using Elbro Free Volume Model 108
4.3.3.5 Comparison of Thermodynar Coefficient Estimation Metho	nic Group-Contribution Partition
4.3.4 Vapor Pressure Index Partition	n Coefficient Estimation Method 109
4.3.4.1 Examples of Vapor Pressure 1 References 118	ndex Values 112
5 Models for Diffusion in Polym Peter Mercea	ers 123
5.1 Diffusion in Polymers – The	Classical Approach 125
5.1.1 Diffusion in Rubbery Polyme	
5.1.1.1 Molecular Models 126	,
5.1.1.2 The Molecular Model of Pace	and Datyner 129
5.1.1.3 Free-Volume Models 131	
5.1.1.4 The Free-Volume Model of V	rentas and Duda 133
5.1.2 Diffusion in Glassy Polymers	135
5.2 Diffusion in Polymers – The	Computational Approach 140
5.2.1 Molecular Dynamics 142	
5.2.2 The Transition-State Approach	h 150
5.3 Conclusions 154	
References 158	
6 A Uniform Model for Predictic Emphasis on Plastic Materials	
Emphasis on Plastic Materials Otto Piringer	
Emphasis on Plastic Materials Otto Piringer 6.1 Introduction 163	
Emphasis on Plastic Materials Otto Piringer 6.1 Introduction 163 6.2 Interaction Model 166	
Emphasis on Plastic Materials Otto Piringer 6.1 Introduction 163 6.2 Interaction Model 166 6.2.1 Model Assumptions 166	163
Emphasis on Plastic Materials Otto Piringer 6.1 Introduction 163 6.2 Interaction Model 166 6.2.1 Model Assumptions 166 6.3 Prerequisites for Diffusion C	pefficients 168
Emphasis on Plastic Materials Otto Piringer 6.1 Introduction 163 6.2 Interaction Model 166 6.2.1 Model Assumptions 166 6.3 Prerequisites for Diffusion C 6.3.1 Critical Temperatures of n-Ali	pefficients 168 kanes 168
Emphasis on Plastic Materials Otto Piringer 6.1 Introduction 163 6.2 Interaction Model 166 6.2.1 Model Assumptions 166 6.3 Prerequisites for Diffusion C 6.3.1 Critical Temperatures of n-Al 6.3.2 Melting Temperatures of n-Al	pefficients 168 kanes 168 kanes 170
Emphasis on Plastic Materials Otto Piringer 6.1 Introduction 163 6.2 Interaction Model 166 6.2.1 Model Assumptions 166 6.3 Prerequisites for Diffusion C 6.3.1 Critical Temperatures of n-Al 6.3.2 Melting Temperatures of Atom 6.3.3 Melting Temperatures of Atom 6.3.4 Melting Temperatures of Atom 6.3.5 Melting Temperatures of Atom 6.3.6 Melting Temperatures of Atom 6.3.7 Melting Temperatures of Atom 6.3.8 Melting Temperatures of Atom 6.3.9 Melting Temperatures of Atom 6.3.9 Melting Temperatures of Atom 6.3.1 Melting Temperatures of Atom 6.3.2 Melting Temperatures of Atom 6.3.3 Melting Temperatures of Atom 6.3.4 Melting Temperatures of Atom 6.3.5 Melting Temperatures of Atom 6.3.7 Melting Temperatures of Atom 6.3.8 Melting Temperatures of Atom 6.3.9 Melting Temperatures of Atom 6.3.1 Melting Temperatures of Atom 6.3.1 Melting Temperatures of Atom 6.3.2 Melting Temperatures of Atom 6.3.3 Melting Temperatures of Atom 6.3.3 Melting Temperatures of Atom 6.3.4 Melting Temperatures of Atom 6.	pefficients 168 kanes 168 kanes 170 m Clusters 173
Emphasis on Plastic Materials Otto Piringer 6.1 Introduction 163 6.2 Interaction Model 166 6.2.1 Model Assumptions 166 6.3 Prerequisites for Diffusion C 6.3.1 Critical Temperatures of n-Al 6.3.2 Melting Temperatures of n-Al 6.3.3 Melting Temperatures of Atom 6.3.4 Critical Compression Factor	pefficients 168 kanes 168 kanes 170 n Clusters 173
Emphasis on Plastic Materials Otto Piringer 6.1 Introduction 163 6.2 Interaction Model 166 6.2.1 Model Assumptions 166 6.3 Prerequisites for Diffusion C 6.3.1 Critical Temperatures of n-Al 6.3.2 Melting Temperatures of n-Al 6.3.3 Melting Temperatures of Ato 6.3.4 Critical Compression Factor 6.3.5 The Entropy of Evaporation	pefficients 168 canes 168 kanes 170 n Clusters 173 175
Emphasis on Plastic Materials Otto Piringer  6.1 Introduction 163 6.2 Interaction Model 166 6.2.1 Model Assumptions 166 6.3 Prerequisites for Diffusion C 6.3.1 Critical Temperatures of n-Al 6.3.2 Melting Temperatures of n-Al 6.3.3 Melting Temperatures of Ato 6.3.4 Critical Compression Factor 6.3.5 The Entropy of Evaporation 6.3.6 The Reference Temperatures a	peefficients 168 kanes 168 kanes 170 m Clusters 173 175 175 nd the Reference Molar Volume 176
Emphasis on Plastic Materials Otto Piringer 6.1 Introduction 163 6.2 Interaction Model 166 6.2.1 Model Assumptions 166 6.3 Prerequisites for Diffusion C 6.3.1 Critical Temperatures of n-Al 6.3.2 Melting Temperatures of n-Al 6.3.3 Melting Temperatures of Ato 6.3.4 Critical Compression Factor 6.3.5 The Entropy of Evaporation 6.3.6 The Reference Temperature a 6.4 The Diffusion Coefficient 1	pefficients 168 canes 168 kanes 170 n Clusters 173 175
Emphasis on Plastic Materials Otto Piringer 6.1 Introduction 163 6.2 Interaction Model 166 6.2.1 Model Assumptions 166 6.3 Prerequisites for Diffusion C 6.3.1 Critical Temperatures of n-Al 6.3.2 Melting Temperatures of n-Al 6.3.3 Melting Temperatures of Ato 6.3.4 Critical Compression Factor 6.3.5 The Entropy of Evaporation 6.3.6 The Reference Temperature a 6.4 The Diffusion Coefficient 1	pefficients 168 kanes 168 kanes 170 n Clusters 173 175 175 nd the Reference Molar Volume 176
Emphasis on Plastic Materials Otto Piringer 6.1 Introduction 163 6.2 Interaction Model 166 6.2.1 Model Assumptions 166 6.3 Prerequisites for Diffusion C 6.3.1 Critical Temperatures of n-Al 6.3.2 Melting Temperatures of n-Al 6.3.3 Melting Temperatures of Ato 6.3.4 Critical Compression Factor 6.3.5 The Entropy of Evaporation 6.3.6 The Reference Temperature a 6.4 The Diffusion Coefficient 1 6.4.1 Diffusion in Gases 178	pefficients 168 kanes 168 kanes 170 n Clusters 173 175 175 nd the Reference Molar Volume 176
Emphasis on Plastic Materials Otto Piringer  6.1 Introduction 163 6.2 Interaction Model 166 6.2.1 Model Assumptions 166 6.3 Prerequisites for Diffusion C 6.3.1 Critical Temperatures of n-Al 6.3.2 Melting Temperatures of n-Al 6.3.3 Melting Temperatures of Ator 6.3.4 Critical Compression Factor 6.3.5 The Entropy of Evaporation 6.3.6 The Reference Temperature a 6.4 The Diffusion Coefficient 1 6.4.1 Diffusion in Gases 178 6.4.2 Diffusion in the Critical States	poefficients 168 kanes 168 kanes 170 m Clusters 173 175 175 nd the Reference Molar Volume 176 78
Emphasis on Plastic Materials Otto Piringer  6.1 Introduction 163 6.2 Interaction Model 166 6.2.1 Model Assumptions 166 6.3 Prerequisites for Diffusion C 6.3.1 Critical Temperatures of n-Al 6.3.2 Melting Temperatures of n-Al 6.3.3 Melting Temperatures of Ato 6.3.4 Critical Compression Factor 6.3.5 The Entropy of Evaporation 6.3.6 The Reference Temperature a 6.4 The Diffusion Coefficient 1 6.4.1 Diffusion in Gases 178 6.4.2 Diffusion in the Critical State 6.4.3 Diffusion in Solids 181	poefficients 168 canes 168 kanes 170 m Clusters 173 175 175 nd the Reference Molar Volume 176 78 181 Metals 181
Emphasis on Plastic Materials Otto Piringer  6.1 Introduction 163 6.2 Interaction Model 166 6.2.1 Model Assumptions 166 6.3 Prerequisites for Diffusion C 6.3.1 Critical Temperatures of n-Al 6.3.2 Melting Temperatures of n-Al 6.3.3 Melting Temperatures of Ato 6.3.4 Critical Compression Factor 6.3.5 The Entropy of Evaporation 6.3.6 The Reference Temperature a 6.4 The Diffusion Coefficient 1 6.4.1 Diffusion in Gases 178 6.4.2 Diffusion in the Critical State 6.4.3 Diffusion in Solids 181 6.4.3.1 Self-diffusion Coefficients in	perficients 168 canes 168 kanes 170 n Clusters 173 175 175 nd the Reference Molar Volume 176 78 181 Metals 181 Semiconductors and Salts 183
Emphasis on Plastic Materials Otto Piringer  6.1 Introduction 163 6.2 Interaction Model 166 6.2.1 Model Assumptions 166 6.3 Prerequisites for Diffusion C 6.3.1 Critical Temperatures of n-Al 6.3.2 Melting Temperatures of n-Al 6.3.3 Melting Temperatures of Ator 6.3.4 Critical Compression Factor 6.3.5 The Entropy of Evaporation 6.3.6 The Reference Temperature a 6.4 The Diffusion Coefficient 1 6.4.1 Diffusion in Gases 178 6.4.2 Diffusion in the Critical State 6.4.3 Diffusion in Solids 181 6.4.3.1 Self-diffusion Coefficients in 6.4.3.2 Self-Diffusion Coefficients in 6.4.3.3 Self-Diffusion Coefficients in	perficients 168 canes 168 kanes 170 n Clusters 173 175 175 nd the Reference Molar Volume 176 78 181 Metals 181 Semiconductors and Salts 183
Emphasis on Plastic Materials Otto Piringer  6.1 Introduction 163 6.2 Interaction Model 166 6.2.1 Model Assumptions 166 6.3 Prerequisites for Diffusion C 6.3.1 Critical Temperatures of n-Al 6.3.2 Melting Temperatures of n-Al 6.3.3 Melting Temperatures of Ator 6.3.4 Critical Compression Factor 6.3.5 The Entropy of Evaporation 6.3.6 The Reference Temperature a 6.4 The Diffusion Coefficient 1 6.4.1 Diffusion in Gases 178 6.4.2 Diffusion in the Critical State 6.4.3 Diffusion in Solids 181 6.4.3.1 Self-diffusion Coefficients in 6.4.3.2 Self-Diffusion Coefficients in 6.4.3.3 Self-Diffusion Coefficients in	perficients 168 kanes 168 kanes 170 n Clusters 173 175 175 nd the Reference Molar Volume 176 78 181 Metals 181 Semiconductors and Salts 183 n-Alkanes 184

6.4.5	Diffusion in Plastic Materials 188		
6.4.5.1	Diffusion Coefficients of <i>n</i> -Alkanes in Polyethylene 188		
6.4.5.2	Diffusion Coefficients of Additives in Polymers 191		
	References 193		
7	Transport Equations and Their Solutions 195		
	Otto Piringer and Titus Beu		
7.1	The Transport Equations 195		
7.1.1	The Terminology of Flow 196		
7.1.2	The Differential Equations of Diffusion 197		
7.1.3	The General Transport Equations 200		
7.2	Solutions of the Diffusion Equation 201		
7.2.1	Steady State 202		
7.2.2	Nonsteady State 202		
7.2.3	Diffusion in a Single-Phase Homogeneous System 203		
7.2.3.1	Dimensionless Parameters and the Proportionality of Mass Transfer		
	to the Square Root of Time 209		
7.2.3.2	Comparison of Different Solutions for the Same Special Cases 212		
7.2.4	Diffusion in Multiphase Systems 213		
7.2.4.1	Diffusion in Polymer/Liquid Systems 213		
7.2.4.2	Influence of Diffusion in Food 224		
7.2.5	Surface Evaporation 225		
7.2.6	Permeation Through Homogeneous Materials 227		
7.2.7	Permeation Through a Laminate 228		
7.2.8	Concentration Dependence of the Diffusion Coefficient 228		
7.2.9	Diffusion and Chemical Reaction 229		
7.3	Numerical Solutions of the Diffusion Equation 230		
7.3.1	Why Numerical Solutions? 230		
7.3.2	Finite-Difference Solution by the Explicit Method 231		
7.3.2.1	von Neumann Stability Analysis 236		
7.3.2.2	The Crank–Nicholson Implicit Method 237		
7.3.3	Spatially Variable Diffusion Coefficient 240		
7.3.4	Boundary Conditions 241		
7.3.5	One-Dimensional Diffusion in Cylindrical and Spherical		
	Geometry 243		
7.3.6	Multidimensional Diffusion 245		
	References 246		
8	Solution of the Diffusion Equation for Multilayer Packaging 247		
	Valer Tosa and Peter Mercea		
8.1	Introduction 247		
8.2	Methods for Solving the Diffusion Problem in a Multilayer (ML)		
	Packaging 248		
8.3	Solving the Diffusion Equation for a Multilayer Packaging in		
	Contact with a Foodstuff 251		

x	Contents	
•	8.4	Development of a User-Friendly Software for the Estimation of Migration from Multilayer Packaging 256 References 261
	9 -	User-Friendly Software for Migration Estimations 263
		Peter Mercea, Liviu Petrescu, Otto Piringer and Valer Tosa
	9.1	Introduction 263
	9.2	MIGRATEST <sup>©</sup> Lite – A User-Friendly Software for Migration
		Estimations 266
	9.2.1	Basic Features of MIGRATEST <sup>©</sup> Lite and Input Data Menus 266
	9.2.2	Estimation of Migration with MIGRATEST Lite 276
	9.2.3	Output Information Delivered by MIGRATEST <sup>©</sup> Lite 278
	9.2.4	Case Examples Computed with MIGRATEST <sup>©</sup> Lite 278
	9.2.5	Migration Estimations with the MIGRATEST® EXP Software 281
	9.2.6	Case Examples Computed with MIGRATEST®EXP 287
		References 296
	10	Permeation of Gases and Condensable Substances Through
		Monolayer and Multilayer Structures 297
		Horst-Christian Langowski
	10.1	Introduction: Barrier Function of Polymer-Based
		Packaging 297
	10.2	Permeation Through Polymeric Materials 302
	10.2.1	Substance Transport Through Monolayer Polymer Films 303

10	Permeation of Gases and Condensable Substances Through	
	Monolayer and Multilayer Structures 297	
	Horst-Christian Langowski	
10.1	Introduction: Barrier Function of Polymer-Based	
	Packaging 297	
10.2	Permeation Through Polymeric Materials 302	
10.2.1	Substance Transport Through Monolayer Polymer Films 303	
10.2.2	Substance Transport Through Multilayer Polymer Films	
	(Laminates) 305	
10.2.3	Units for Different Parameters 307	
10.3	Substance Transport Through Single and Multilayer Polymer	
	Substrates Combined with One Inorganic Barrier Layer 307	
10.3.1	Numerical Modeling 307	
10.3.2	Simplification: Barrier Improvement Factor 311	
10.3.3	Multilayer Polymer Substrates Combined with One Inorganic	
	Layer 313	
10.3.4	Polymer Substrates Combined with an Inorganic	
	Barrier Layer and Other Polymer Layers on Top of	
	the Inorganic Layer 314	
10.3.5	Temperature Behavior of the Structures Shown Above 316	
10.3.6	Substance Transport Through Thin Polymer Layers Having	
	Inorganic Layers on Both Sides 317	
10.5	Substance Transport Through Polymers Filled with	

Experimental Findings: Polymer Films and One Inorganic

Structures and Defects in Inorganic Barrier Layers on Polymer

Particles 320

Barrier Layer 321

Substrates 323

10.6

10.6.1

10.6.2	Comparison of Model Calculations and Experimental Results for
10 ( 2	Combinations of Polymer Films and One Inorganic Barrier Layer 324
10.6.3	Apparent Additional Transport Mechanisms for Water Vapor 327
10.6.4	Properties of Systems with at least One Inorganic Layer
	Embedded Between to Polymer Layers or Films 332
10.7	Experimental Findings: Combinations of Polymer Films and More
	Than One Inorganic Barrier Layer 332
10.8	Experimental Findings: Polymers Filled with Platelet-Shaped
100	Particles 333
10.9	Experimental Findings: Permeation of Flavors Through Mono- and
	Multilayer Films and Combinations with Inorganic Barrier
1010	Layers 338
10.10	Conclusions 342
	References 342
11	Migration of Plastic Constituents 349
	Roland Franz and Angela Störmer
11.1	Definitions and Theory 349
11.1.1	Migration, Extraction, and Adsorption 349
11.1.2	Functional Barrier 350
11.1.3	Legal Migration Limits and Exposure 350
11.1.4	Parameters Determining Migration 352
11.2	Indirect Migration Assessment 354
11.2.1	Worst-Case (Total Mass Transfer) Assumption 355
11.2.2	General Considerations: Taking Solubility and/or Low Diffusivity of
	Certain Plastics into Account 357
11.2.3	Migration Assessment of Mono- and Multilayers by Application of
	Complex Mathematical Models 359
11.2.4	Multilayers 359
11.3	Migration Experiment 361
11.3.1	Direct Migration Measurement in Conventional and Alternative
	Simulants 361
11.3.2	Accelerated Migration Tests: Alternative Migration Tests 362
11.3.3	Choice of Appropriate Test Conditions 365
11.3.3.1	Food Simulants 365
11.3.3.2	Time–Temperature Conditions 367
11.3.3.3	Surface-to-Volume Ratio 369
11.3.3.4	Migration Contact 370
11.4	Analysis of Migration Solutions 372
11.4.1	Overall Migration 372
11.4.1.1	Aqueous and Alternative Volatile Simulants 372
11.4.1.2	Olive Oil 372
11.4.1.3	Modified Polyphenylene Oxide (Tenax®) 373
11.4.2	Specific Migration 374
11.4.2.1	Vinyl Chloride EU Directives 374

(II	Contents
<b>\II</b>	Contents

11.4.2.2	EN 13130 Series 374
11.4.2.3	Further Standard Methods 375
11.4.2.4	Methods of Analysis in Petitions to the European Commission 376
11.4.2.5	Methods in Foods (Foodmigrosure Project) 377
11.5	Development of Methods, Validation, and Verification 378
11.5.1	Establishing (Juristically) Valid Performance of Methods 378
11.5.2	A Practical Guide for Developing and Prevalidation of Analytical
	Methods 380
11.5.3	Validation Requirements for EU Food Contact Petitions and US
	FDA Food Contact Notifications 387
11.5.4	Determination of the Detection Limit 387
11.5.5	Analytical Uncertainty 389
11.5.6	Use of the Precision Data from Fully Validated Methods 390
11.6	Sources of Errors 394
11.6.1	Highly Volatile Migrants 394
11.6.2	Reaction with Food/Simulant Constituents 395
11.6.3	Migrants in Reactive Processes (e.g., Primary Aromatic Amines
	from Adhesives) 397
11.7	Migration into Food Simulants in Comparison to Foods 400
11.8	Consideration of Non Intentionally Added Substances (NIAS) and Other
	not Regulated Migrants 407
	References 409
12	US EDA Food Contact Materials Bogulations 417
12	US FDA Food Contact Materials Regulations 417
	Allan Bailey, Layla Batarseh, Timothy Begley and Michelle Twaroski
12.1	Allan Bailey, Layla Batarseh, Timothy Begley and Michelle Twaroski Introduction 417
12.1 12.2	Allan Bailey, Layla Batarseh, Timothy Begley and Michelle Twaroski Introduction 417 Regulatory Authority 417
12.1 12.2 12.2.1	Allan Bailey, Layla Batarseh, Timothy Begley and Michelle Twaroski Introduction 417 Regulatory Authority 417 Federal Food, Drug and Cosmetic Act (FFDCA) 417
12.1 12.2 12.2.1 12.2.2	Allan Bailey, Layla Batarseh, Timothy Begley and Michelle Twaroski Introduction 417 Regulatory Authority 417 Federal Food, Drug and Cosmetic Act (FFDCA) 417 National Environmental Policy Act (NEPA) 421
12.1 12.2 12.2.1 12.2.2 12.3	Allan Bailey, Layla Batarseh, Timothy Begley and Michelle Twaroski Introduction 417 Regulatory Authority 417 Federal Food, Drug and Cosmetic Act (FFDCA) 417 National Environmental Policy Act (NEPA) 421 Premarket Safety Assessment 422
12.1 12.2 12.2.1 12.2.2 12.3 12.3.1	Allan Bailey, Layla Batarseh, Timothy Begley and Michelle Twaroski Introduction 417 Regulatory Authority 417 Federal Food, Drug and Cosmetic Act (FFDCA) 417 National Environmental Policy Act (NEPA) 421 Premarket Safety Assessment 422 Introduction 422
12.1 12.2 12.2.1 12.2.2 12.3 12.3.1 12.3.2	Allan Bailey, Layla Batarseh, Timothy Begley and Michelle Twaroski Introduction 417 Regulatory Authority 417 Federal Food, Drug and Cosmetic Act (FFDCA) 417 National Environmental Policy Act (NEPA) 421 Premarket Safety Assessment 422 Introduction 422 Chemistry Information 422
12.1 12.2 12.2.1 12.2.2 12.3 12.3.1 12.3.2 12.3.2.1	Allan Bailey, Layla Batarseh, Timothy Begley and Michelle Twaroski Introduction 417 Regulatory Authority 417 Federal Food, Drug and Cosmetic Act (FFDCA) 417 National Environmental Policy Act (NEPA) 421 Premarket Safety Assessment 422 Introduction 422 Chemistry Information 422 Migrant Levels in Food 423
12.1 12.2 12.2.1 12.2.2 12.3 12.3.1 12.3.2 12.3.2.1 12.3.2.2	Allan Bailey, Layla Batarseh, Timothy Begley and Michelle Twaroski Introduction 417 Regulatory Authority 417 Federal Food, Drug and Cosmetic Act (FFDCA) 417 National Environmental Policy Act (NEPA) 421 Premarket Safety Assessment 422 Introduction 422 Chemistry Information 422 Migrant Levels in Food 423 Packaging Information 423
12.1 12.2 12.2.1 12.2.2 12.3 12.3.1 12.3.2 12.3.2.1 12.3.2.2 12.3.3.3	Allan Bailey, Layla Batarseh, Timothy Begley and Michelle Twaroski Introduction 417 Regulatory Authority 417 Federal Food, Drug and Cosmetic Act (FFDCA) 417 National Environmental Policy Act (NEPA) 421 Premarket Safety Assessment 422 Introduction 422 Chemistry Information 422 Migrant Levels in Food 423 Packaging Information 423 Toxicology Information 426
12.1 12.2 12.2.1 12.2.2 12.3 12.3.1 12.3.2 12.3.2.1 12.3.2.2 12.3.3,3 12.3.3.1	Allan Bailey, Layla Batarseh, Timothy Begley and Michelle Twaroski Introduction 417 Regulatory Authority 417 Federal Food, Drug and Cosmetic Act (FFDCA) 417 National Environmental Policy Act (NEPA) 421 Premarket Safety Assessment 422 Introduction 422 Chemistry Information 422 Migrant Levels in Food 423 Packaging Information 423 Toxicology Information 426 Safety Assessment 427
12.1 12.2 12.2.1 12.2.2 12.3 12.3.1 12.3.2 12.3.2.1 12.3.2.2 12.3.3 12.3.3.1 12.3.3.2	Allan Bailey, Layla Batarseh, Timothy Begley and Michelle Twaroski Introduction 417 Regulatory Authority 417 Federal Food, Drug and Cosmetic Act (FFDCA) 417 National Environmental Policy Act (NEPA) 421 Premarket Safety Assessment 422 Introduction 422 Chemistry Information 422 Migrant Levels in Food 423 Packaging Information 423 Toxicology Information 426 Safety Assessment 427 General Considerations 431
12.1 12.2 12.2.1 12.2.2 12.3 12.3.1 12.3.2 12.3.2.1 12.3.2.2 12.3.3 12.3.3.1 12.3.3.2	Allan Bailey, Layla Batarseh, Timothy Begley and Michelle Twaroski Introduction 417 Regulatory Authority 417 Federal Food, Drug and Cosmetic Act (FFDCA) 417 National Environmental Policy Act (NEPA) 421 Premarket Safety Assessment 422 Introduction 422 Chemistry Information 422 Migrant Levels in Food 423 Packaging Information 423 Toxicology Information 426 Safety Assessment 427 General Considerations 431 Environmental Information 432
12.1 12.2 12.2.1 12.2.2 12.3 12.3.1 12.3.2 12.3.2.1 12.3.2.2 12.3.3 12.3.3.1 12.3.3.2 12.3.4 12.3.4.1	Allan Bailey, Layla Batarseh, Timothy Begley and Michelle Twaroski Introduction 417 Regulatory Authority 417 Federal Food, Drug and Cosmetic Act (FFDCA) 417 National Environmental Policy Act (NEPA) 421 Premarket Safety Assessment 422 Introduction 422 Chemistry Information 422 Migrant Levels in Food 423 Packaging Information 423 Toxicology Information 426 Safety Assessment 427 General Considerations 431 Environmental Information 432 Claim of categorical exclusion 432
12.1 12.2 12.2.1 12.2.2 12.3 12.3.1 12.3.2 12.3.2.1 12.3.2.2 12.3.3 12.3.3.1 12.3.3.2 12.3.4 12.3.4.1	Allan Bailey, Layla Batarseh, Timothy Begley and Michelle Twaroski Introduction 417 Regulatory Authority 417 Federal Food, Drug and Cosmetic Act (FFDCA) 417 National Environmental Policy Act (NEPA) 421 Premarket Safety Assessment 422 Introduction 422 Chemistry Information 422 Migrant Levels in Food 423 Packaging Information 423 Toxicology Information 426 Safety Assessment 427 General Considerations 431 Environmental Information 432 Claim of categorical exclusion 432 Environmental Assessment (EA) 434
12.1 12.2 12.2.1 12.2.2 12.3 12.3.1 12.3.2 12.3.2.1 12.3.2.2 12.3.3 12.3.3.1 12.3.3.2 12.3.4.1 12.3.4.2 12.3.4.3	Allan Bailey, Layla Batarseh, Timothy Begley and Michelle Twaroski Introduction 417 Regulatory Authority 417 Federal Food, Drug and Cosmetic Act (FFDCA) 417 National Environmental Policy Act (NEPA) 421 Premarket Safety Assessment 422 Introduction 422 Chemistry Information 422 Migrant Levels in Food 423 Packaging Information 423 Toxicology Information 426 Safety Assessment 427 General Considerations 431 Environmental Information 432 Claim of categorical exclusion 432 Environmental Assessment (EA) 434 Polymeric Food Packaging Materials 435
12.1 12.2 12.2.1 12.2.2 12.3 12.3.1 12.3.2 12.3.2.1 12.3.2.2 12.3.3 12.3.3.1 12.3.3.2 12.3.4 12.3.4.1 12.3.4.2 12.3.4.3	Allan Bailey, Layla Batarseh, Timothy Begley and Michelle Twaroski Introduction 417 Regulatory Authority 417 Federal Food, Drug and Cosmetic Act (FFDCA) 417 National Environmental Policy Act (NEPA) 421 Premarket Safety Assessment 422 Introduction 422 Chemistry Information 422 Migrant Levels in Food 423 Packaging Information 423 Toxicology Information 426 Safety Assessment 427 General Considerations 431 Environmental Information 432 Claim of categorical exclusion 432 Environmental Assessment (EA) 434 Polymeric Food Packaging Materials 435 Inadequacies in EAs 436
12.1 12.2 12.2.1 12.2.2 12.3 12.3.1 12.3.2 12.3.2.1 12.3.2.2 12.3.3 12.3.3.1 12.3.3.2 12.3.4 12.3.4.1 12.3.4.2 12.3.4.3 12.3.4.4	Allan Bailey, Layla Batarseh, Timothy Begley and Michelle Twaroski Introduction 417 Regulatory Authority 417 Federal Food, Drug and Cosmetic Act (FFDCA) 417 National Environmental Policy Act (NEPA) 421 Premarket Safety Assessment 422 Introduction 422 Chemistry Information 422 Migrant Levels in Food 423 Packaging Information 423 Toxicology Information 426 Safety Assessment 427 General Considerations 431 Environmental Information 432 Claim of categorical exclusion 432 Environmental Assessment (EA) 434 Polymeric Food Packaging Materials 435 Inadequacies in EAs 436 Final Thoughts 437
12.1 12.2 12.2.1 12.2.2 12.3 12.3.1 12.3.2 12.3.2.1 12.3.2.2 12.3.3 12.3.3.1 12.3.3.2 12.3.4 12.3.4.1 12.3.4.2 12.3.4.3	Allan Bailey, Layla Batarseh, Timothy Begley and Michelle Twaroski Introduction 417 Regulatory Authority 417 Federal Food, Drug and Cosmetic Act (FFDCA) 417 National Environmental Policy Act (NEPA) 421 Premarket Safety Assessment 422 Introduction 422 Chemistry Information 422 Migrant Levels in Food 423 Packaging Information 423 Toxicology Information 426 Safety Assessment 427 General Considerations 431 Environmental Information 432 Claim of categorical exclusion 432 Environmental Assessment (EA) 434 Polymeric Food Packaging Materials 435 Inadequacies in EAs 436 Final Thoughts 437

13	Community Legislation on Materials and Articles Intended to Come into Contact with Foodstuffs 441
12.1	Luigi Rossi
13.1	Introduction 441
13.2	Community Legislation 442
13.2.1	Directives/Regulations Applicable to all Materials and Articles 442
13.2.1.1	Framework Directives/Regulation 442
13.2.1.2	Regulation on Good Manufacturing Practice 445
13.2.2	Directives Applicable to One Category of Materials and Articles 446
13.2.2.1	Directive on Regenerated Cellulose Film 446
13.2.2.2	Directive on Ceramics 447
13.2.2.3	Directive on Plastics Materials 448
13.2.2.4	Field of Application 448
13.2.2.5	EU List of Authorized Substances 449
13.2.2.6	Restricted Use of Authorized Substances (OML, SML, QM, and QMA) 450
13.2.2.7	Authorization of New Substances 451
13.2.2.8	Directives on the System of Checking Migration 452
13.2.2.9	Functional Barrier 454
13.2.2.10	Fat (Consumption) Reduction Factors 455
13.2.2.11	Declaration of Compliance 456
13.2.2.12	Specific Rules for Infants and Young Children 457
13.2.2.13	Special Restrictions for Certain Phthalates now Authorized at EU Level 457
13.2.2.14	Simulant for Milk and Milk Products 458
13.2.2.15	Other Complementary Community Initiatives 458
13.2.3	Directives Concerning Individual or Groups of Substances 459
13.2.3.1	Directives on Vinyl Chloride 459
13.2.3.2	Directive on MEG and DEG in Regenerated Cellulose Film 459
13.2.3.3	Directive on Nitrosamines in Rubber Teats and Soothers 459
13.2.3.4	Regulation on the Restriction of Use of Certain Epoxy Derivatives 459
13.2.3.5	Directive on the Suspension of the Use of Azodicarbonamide as Blowing Agent in Plastics 460
13.2.3.6	Regulation on Some Plasticizers in Gaskets in Lids 460
13.3	National Law and European Mutual Recognition 460
13.3.1	Future Commission Plans 462
13.4	National Legislations and Council of Europe Resolutions 462
13.5	Conclusions 462
14	Packaging Related Off-Flavors in Foods 465  Albert Baner, François Chastellain and André Mandanis
14.1	Introduction 465
14.2	Sensory Evaluation 466
14.3	Identification of Off-Flavor Compounds 468

XIV	Contents	
	14.4 14.5	Physical Chemical Parameters Determining Off-Flavors 469 Derivation of Threshold Concentrations of Sensory-Active Compounds 474 References 494
	15	Possibilities and Limitations of Migration Modeling 499 Peter Mercea and Otto Piringer
	15.1	Correlation of Diffusion Coefficients with Plastic Properties 501
	15.2	The Partition Coefficient 511 References 521
		Appendices 523
		Appendix I 525 Peter Mercea
		References 552
		Appendix II 557
		References 589
		Appendix III 591
		A Selection of Additives Used in Many Plastic Materials 591

Index 607