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VOLUME 78, NUMBER 3 JANUARY 31, 1974

## Photolysis of Hydrogen Sulfide in the Presence of Dimethylsilane

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The photolysis of  $\text{H}_2\text{S}$  in the presence of  $(\text{CH}_3)_2\text{SiD}_2$  leads to the formation of large yields of  $\text{D}_2$ . The apparent exchange reaction is due to a photochain sequence involving  $(\text{CH}_3)_2\text{SiDSH}$  and  $(\text{CH}_3)_2\text{SiDS}\cdot$  as reactive intermediates. The transient presence of the silylthiol was indeed confirmed by flash photolysis experiments using kinetic mass spectrometry and kinetic absorption spectroscopy. Abstraction of hydrogen from the methyl groups by H atoms and the direct exchange reaction,  $\text{H} + (\text{CH}_3)_2\text{SiD}_2 \rightarrow (\text{CH}_3)_2\text{SiDH} + \text{D}$ , are unimportant at room temperature when the H atom possesses translational energy in the 0 to 35 kcal/mol interval.

Several studies have been reported in recent years on the reactions of alkyl radicals and hydrogen atoms with silicon hydrides.<sup>1-5</sup> For the gas-phase reactions of H and D atoms, absolute rate constants have been measured with  $\text{SiH}_4$ ,  $\text{Si}_2\text{H}_6$ ,  $\text{CH}_3\text{SiH}_3$ ,  $(\text{CH}_3)_2\text{SiH}_2$ , and  $(\text{CH}_3)_3\text{SiH}$  as substrates. In the case of the latter molecule the Arrhenius parameters have also been determined. Owing to the lower activation energy requirements of the reactions, these rate constants are generally higher than those of the reactions of the corresponding hydrocarbon molecules, even in cases where the H atoms contain substantial excess translational energy. Apart from the disilane reaction the only important step occurring in these systems is selective hydrogen atom abstraction from the silicon moiety. With disilane, parallel to abstraction, a displacement type reaction,  $\text{H} + \text{Si}_2\text{H}_6 \rightarrow \text{SiH}_4 + \text{SiH}_3$ , also takes place at a rate comparable to abstraction.

The present study was undertaken in order to elucidate two outstanding questions related to the H atoms + silicon hydride systems. Specifically these were (a) the nature and extent of the exchange reaction,  $\text{H} + \text{D-SiR}_3 \rightarrow \text{D} + \text{H-SiR}_3$ , as a function of excess translational energy in the H atoms, and (b) the role and nature of long-lived, non-stoichiometric complexes in the overall reactions.

### Experimental Section

All studies were carried out under static conditions using standard high-vacuum techniques. The apparatus was free of stopcock grease for all runs except those done with  $\text{CH}_2\text{O}$ . A cylindrical quartz reaction cell, 36 mm in

diameter and 150 mm in length with a cold finger, was used. Its volume was 166 cc.

The cadmium lamp used was Gates M 12-S and the medium-pressure mercury lamp was Hanovia Type 30620. Pyrex, Vycor 791, Corex D, as well as 3130-Å interference filters were used at various stages.

$(\text{CH}_3)_2\text{SiH}_2$  (Peninsular) and  $(\text{CH}_3)_2\text{SiD}_2$  (Merck) were purified prior to each run by low-temperature distillation at  $-130^\circ$  (*n*-pentane slush). The extent of deuteration of  $(\text{CH}_3)_2\text{SiD}_2$  on the silicon atom was determined to be at least 98% by 100-MHz nmr using the appearance of  $^{13}\text{C}$  satellite peaks as reference.  $(\text{CH}_3)_3\text{SiD}$  (Merck) was found to be at least 98% deuterated on the silicon atom by the same method.  $\text{H}_2\text{S}$  (Matheson) and  $\text{D}_2\text{S}$  (Merck) were distilled prior to each run. Paraformaldehyde (Shawinigan) was heated to form  $\text{CH}_2\text{O}$  vapor by a hand torch.

The reaction product, noncondensable at  $-196^\circ$ , was removed by a Toepler pump and analyzed by a thermal conductivity gas chromatograph on a molecular sieve 13X column or by mass spectrometry. The condensable fraction was analyzed on a silicon oil DC 200 column by a flame ionization gas chromatograph.

Isotopic analysis of the hydrogen product was achieved in an MS 10 mass spectrometer and that of the condensable fraction in an MS 12 instrument coupled to a gas chromatograph.

The flash photolysis apparatus using kinetic optical spectroscopy<sup>6</sup> and kinetic mass spectrometry<sup>7</sup> has been described before.

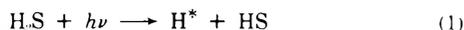
The uv spectra were recorded on a Cary 14 spectrometer.

## Results

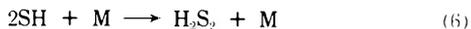
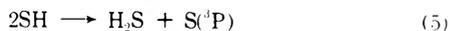
The source of H (D) atoms used in the present study was the photolysis of H<sub>2</sub>S (D<sub>2</sub>S) and CH<sub>2</sub>O.

Hydrogen sulfide exhibits a continuum in the ultraviolet region<sup>8</sup> extending from 2700 to 1850 Å with maximum absorption at about 2000 Å. The HS-H bond strength is approximately 90 ± 2 kcal/mol<sup>9</sup> and at the 2288-Å cadmium resonance line the excess energy, carried mainly by the H atom, would be about 35 kcal/mol.<sup>10</sup> The extinction coefficient is small, 170 M<sup>-1</sup> cm<sup>-1</sup> at 2288 Å, but since simple silicon hydrides do not absorb at this wavelength,<sup>11</sup> this was not considered a handicap.

The primary step in the photolysis of H<sub>2</sub>S is the free-radical mode of decomposition<sup>12</sup>



where the asterisk signifies translational excitation. This is followed by the sequence



with the predominant step removing SH being reaction 5.<sup>13</sup> The S and S<sub>2</sub> species disappear by subsequent polymerization.

Previous studies have shown that hydrogen abstraction from methylsilanes occurs selectively from the silicon moiety.<sup>14</sup> In order to examine the effect of excess translational energy in the H atoms on the competition of Si-H vs. C-H abstraction, D<sub>2</sub>S was photolyzed in the presence of (CH<sub>3</sub>)<sub>2</sub>SiD<sub>2</sub>.

1. *The D<sub>2</sub>S-(CH<sub>3</sub>)<sub>2</sub>SiD<sub>2</sub> System.* In the photolysis of pure hydrogen sulfide the sulfur formed in reactions 4 and 5 was deposited in the form of a white film of elemental sulfur on the walls of the reaction cell. Addition of the silane to the system prevented the deposition of sulfur. Therefore, it would appear that the DS radical is removed by combination with the dimethylsilyl radical

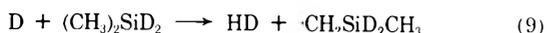


It should be noted that the alternative abstraction reaction



is endothermic and cannot compete with steps 4-7.

The retrievable products of the reaction were hydrogen, tetramethyldisilane, and tetramethyldisiloxane. Hydrogen was measured quantitatively and analyzed for isotopic distribution. The results obtained using the 2288-Å Cd resonance line are presented in Table I. Evidently the D<sub>2</sub>S used contained a substantial amount of isotopic impurity as indicated by the high yield of HD. Upon addition of (CH<sub>3</sub>)<sub>2</sub>SiD<sub>2</sub> the HD showed a small increase. One may be tempted to assign this to the contribution of C-H bond abstraction



and the large yields of D<sub>2</sub> to the simultaneous, competing reaction



This, however, is not the case as it will be shown below.

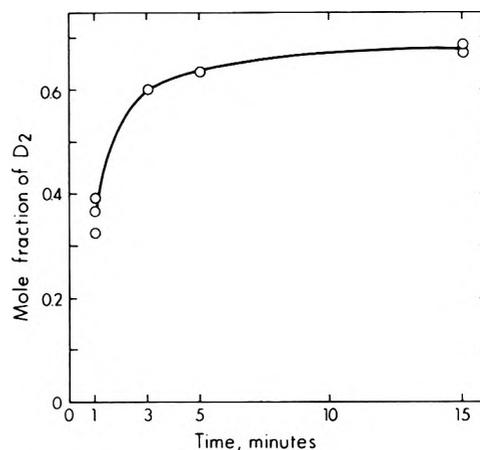
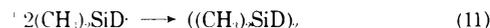
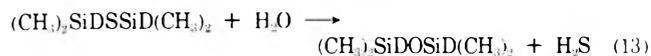


Figure 1. Mole fraction of D<sub>2</sub> as a function of exposure time from the H<sub>2</sub>S-(CH<sub>3</sub>)<sub>2</sub>SiD<sub>2</sub> system.

Tetramethyldisilane forms from the combination of dimethylsilyl radicals



and tetramethyldisiloxane presumably *via* the condensation and exchange reactions of dimethylsilylmercaptan



The latter reaction may occur on the glass surface. Thus, the appearance of dimethyldisiloxane is attributed to the reaction sequence 7, 12, 13.

2. *The H<sub>2</sub>S-(CH<sub>3</sub>)<sub>2</sub>SiD<sub>2</sub> System.* Because of its high isotopic impurity content the D<sub>2</sub>S in further studies was replaced by H<sub>2</sub>S. At high ratios of silane to H<sub>2</sub>S the product ratio, HD/H<sub>2</sub>, is expected to be a representative measure of the relative rates of reactions 9 and 10. The results listed in Table II, however, show abnormally high yields of the apparent exchange product D<sub>2</sub>. The reaction yielding D<sub>2</sub> proved to be a typical photoprocess, the rate of which was independent of inert gas pressure (CO<sub>2</sub>) up to a 100-fold excess. For a true exchange reaction this was an unusual kinetic feature, since the efficiency of exchange reactions in general is dependent on the translational energy of the exchanging atom.<sup>15</sup> Woolley and Cvetanovic<sup>16</sup> reported that in the presence of a 100-fold excess of carbon dioxide the initially "hot" hydrogen atoms are effectively thermalized, yet the rate of D<sub>2</sub> production was unaffected. Therefore, a time study was made of the reaction to determine whether D<sub>2</sub> is indeed a primary reaction product or whether it arises from some unforeseen complexity of the reaction system.

3. *The Effect of Exposure Time on the H<sub>2</sub>S-(CH<sub>3</sub>)<sub>2</sub>SiD<sub>2</sub> System.* Hydrogen sulfide and dimethylsilane-d<sub>2</sub> mixtures at a constant ratio of 6:100 were photolyzed for various lengths of time and the isotopic composition of the hydrogen product determined. The results are given in Table III and plotted in Figure 1. It is seen that the fractional yield of D<sub>2</sub> is markedly time dependent and tends to fall off with decreasing time of exposure, suggesting that D<sub>2</sub> is a secondary product of the reaction.

In order to assess the possible role of sulfur compounds in the mode of production of D<sub>2</sub>, it appeared desirable to examine the H + (CH<sub>3</sub>)<sub>2</sub>SiD<sub>2</sub> reaction in a sulfur free system. To this end, formaldehyde was photolyzed in the presence of (CH<sub>3</sub>)<sub>2</sub>SiD<sub>2</sub>.

**TABLE I: Isotopic Composition of Hydrogen from the D<sub>2</sub>S-(CH<sub>3</sub>)<sub>2</sub>SiD<sub>2</sub> System<sup>a</sup>**

P(D <sub>2</sub> S), Torr	P(DMS-d <sub>2</sub> ), <sup>b</sup> Torr	Exposure time, min	Mole fraction of			Total, μmol
			H <sub>2</sub>	HD	D <sub>2</sub>	
0.0	97.5	15				0.00
6.23	0	15	0.0203	0.118	0.862	5.49
5.83	100.8	15	0.0212	0.138	0.841	5.86
5.70	99.9	15	0.0221	0.138	0.844	4.69
5.40	99.9	15	0.0199	0.135	0.845	4.65

<sup>a</sup> λ = 2288 Å. <sup>b</sup> Dimethylsilane-d<sub>2</sub>.

**TABLE II: Isotopic Composition of Hydrogen from the H<sub>2</sub>S-(CH<sub>3</sub>)<sub>2</sub>SiD<sub>2</sub> System<sup>a</sup>**

P(H <sub>2</sub> S), Torr	P(DMS-d <sub>2</sub> ), <sup>b</sup> Torr	Exposure time, min.	Mole fraction of			Total, μmol
			H <sub>2</sub>	HD	D <sub>2</sub>	
2.74	101.5	5	0.0401	0.223	0.736	1.33
4.40	102.5	5	0.0516	0.275	0.674	1.68
8.40	101.9	5	0.0734	0.326	0.601	2.52
12.60	101.9	5	0.106	0.373	0.521	3.39
17.40	101.8	5	0.162	0.433	0.405	3.18
23.40	104.3	5	0.241	0.455	0.305	3.39
20.30	99.4	5	0.217	0.454	0.328	3.70
14.20	104.2	5	0.125	0.393	0.483	3.06
20.0	20.9	5	0.626	0.340	0.0344	2.34
20.0	61.5	5	0.327	0.495	0.178	2.26
20.0	12.1	5	0.771	0.216	0.0128	1.76
20.0	15.3	5	0.749	0.234	0.0172	2.76
7.13	99.9	15	0.0444	0.262	0.694	4.86 <sup>c</sup>
0.41	11.2	15	0.0509	0.260	0.689	1.17 <sup>c</sup>

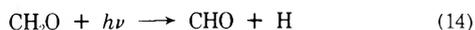
<sup>a</sup> λ = 2288 Å. <sup>b</sup> Dimethylsilane-d<sub>2</sub>. <sup>c</sup> 1000 Torr of CO<sub>2</sub> added.

**TABLE III: Isotopic Composition of Hydrogen as a Function of Exposure Time from the H<sub>2</sub>S-(CH<sub>3</sub>)<sub>2</sub>SiD<sub>2</sub> System<sup>a</sup>**

P(H <sub>2</sub> S), Torr	P(DMS-d <sub>2</sub> ), <sup>b</sup> Torr	Exposure time, min	Mole fraction of			Total, μmol
			H <sub>2</sub>	HD	D <sub>2</sub>	
6.03	99.4	15	0.0462	0.268	0.686	5.78
6.74	100.3	15	0.0481	0.280	0.672	6.04
6.40	102.0	5	0.0625	0.301	0.638	2.10
6.40	103.1	3	0.0725	0.326	0.602	1.43
6.30	105.0	1	0.200	0.477	0.324	0.278
6.60	101.5	1	0.164	0.443	0.393	0.439
6.70	103.2	1	0.167	0.468	0.366	0.354

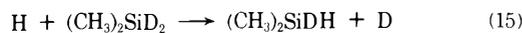
<sup>a</sup> λ = 2288 Å. <sup>b</sup> Dimethylsilane-d<sub>2</sub>.

4. The CH<sub>2</sub>O-(CH<sub>3</sub>)<sub>2</sub>SiD<sub>2</sub> System. The major primary step in the photolysis of formaldehyde at 3261 and 2288 Å is carbon-hydrogen bond cleavage<sup>17</sup>



At the longer wavelength the reaction is thermoneutral and at the shorter wavelength the excess energy, expected to be carried largely by the H atoms, is about the same as that from the photolysis of H<sub>2</sub>S, ~35 kcal/mol.

The photolysis results are summarized in Table IV. It is seen that D<sub>2</sub> is not formed in the 3261-Å photolysis and only small amounts were found in the unfiltered light photolysis. Hence the direct exchange

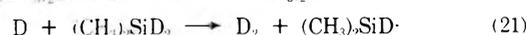
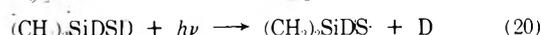
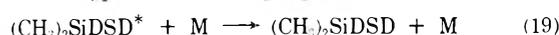
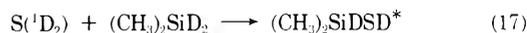


can be definitely ruled out and silylthiol or silyl thioether must be responsible for the large yields of D<sub>2</sub> in the H<sub>2</sub>S photolysis.

The role of sulfur compounds in the system was further investigated by producing (CH<sub>3</sub>)<sub>2</sub>SiDSD *via* the insertion reaction of S(<sup>1</sup>D<sub>2</sub>) atoms, from the *in situ* photolysis of COS, into the Si-D bonds of (CH<sub>3</sub>)<sub>2</sub>SiD<sub>2</sub>.

5. The Photolysis of COS in the Presence of (CH<sub>3</sub>)<sub>2</sub>SiD<sub>2</sub>. The photolysis of COS and the reaction of

S(<sup>1</sup>D<sub>2</sub>) atoms with silicon hydrides have been described in the literature. The relevant reactions involved in this system are<sup>18,19</sup>



The major retrievable condensable product was tetramethyldisiloxane and the noncondensable fraction contained a substantial yield of hydrogen. The isotopic composition of the hydrogen was determined in two separate experiments and the results are reported in Table V. Apparently, photolysis of the mercaptan is facile and the extinction coefficient must be much higher than that of carbonyl sulfide or hydrogen sulfide.

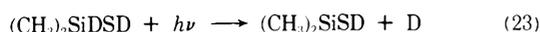
Next, it remained to be shown that the primary step in the photolysis of silylthiol is indeed reaction 20 rather than

TABLE IV: Isotopic Composition of Hydrogen from the CH<sub>2</sub>O-(CH<sub>3</sub>)<sub>2</sub>SiD<sub>2</sub> System

P(CH <sub>2</sub> O), Torr	P(DMS-d <sub>2</sub> ), <sup>a</sup> Torr	Exposure time, min	Mole fraction of			Total, μmol	λ, Å
			H <sub>2</sub>	HD	D <sub>2</sub>		
9.4	100.0	5	0.646	0.329	0.0252	1.17	2288, 3261
15.6	104.0	10	0.315	0.685	0.0000	0.216	3261
26.5	107.8	30	0.495	0.505	0.0000	0.400	3261

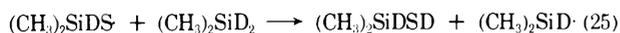
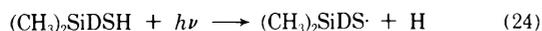
<sup>a</sup> Dimethylsilane-d<sub>2</sub>.TABLE V: Isotopic Composition of Hydrogen from the COS-(CH<sub>3</sub>)<sub>2</sub>SiD<sub>2</sub> System<sup>a</sup>

P(COS), Torr	P(DMS-d <sub>2</sub> ), <sup>b</sup> Torr	Mole fraction of			Exposure time, min	Total, μmol
		H <sub>2</sub>	HD	D <sub>2</sub>		
10.3	102.0	0.0534	0.144	0.803	5	1.68
1.34	100.5	0.0802	0.160	0.760	30	1.59

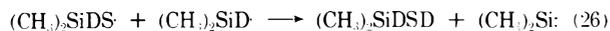
<sup>a</sup> λ = 2288 Å. <sup>b</sup> Dimethylsilane-d<sub>2</sub>.

To this end the photolysis of H<sub>2</sub>S was studied in the presence of (CH<sub>3</sub>)<sub>3</sub>SiD.

6. *The H<sub>2</sub>S-(CH<sub>3</sub>)<sub>3</sub>SiD System.* If step 23 is the primary process in the photolysis of dimethylsilylthiol then D<sub>2</sub> formation should not be observed in the photolysis of trimethylsilylthiol since the analogous primary step is not possible. As seen from the data in Table VI the yield of D<sub>2</sub> from the reaction is quite substantial, in fact the D<sub>2</sub>/HD ratio in this system is nearly identical with that obtained from the H<sub>2</sub>S-(CH<sub>3</sub>)<sub>2</sub>SiD<sub>2</sub> system at equal D<sub>2</sub>/H<sub>2</sub> levels. Hence the possibility of reaction 23 being the major mode of D atom formation is ruled out. Instead, a photochain process comprised of the following steps is considered



and/or



followed by 20, 21, and 22. For this to be competitive with H<sub>2</sub>S photolysis, a large extinction coefficient is required for the silylthiol, and this offers the possibility of detection by flash spectroscopic techniques.

7. *Flash Photolysis with Kinetic Absorption Spectroscopy.* The absorption was monitored between 2100 and 2500 Å. Upon flashing mixtures of 0.05 Torr of H<sub>2</sub>S and 1 Torr of (CH<sub>3</sub>)<sub>2</sub>SiD<sub>2</sub>, the plate showed a small increase in absorption up to 300 μsec. After 300 μsec, the absorption became more intense and reached its maximum at about 1.5 msec after which it began to fall off. The transient absorber is most likely dimethylsilylthiol which, after 1.5 msec, starts to condense to the thioether.

Finally, the transient existence of the silylthiol was also examined by kinetic mass spectrometry.

8. *Flash Photolysis with Kinetic Mass Spectrometry.* A 10% mixture of H<sub>2</sub>S in (CH<sub>3</sub>)<sub>2</sub>SiH<sub>2</sub> was flashed in a Vycor cell and the reaction mixture bled into the mass spectrometer. The only significant *m/e* values recorded after ~500-μsec delay time of the instrument were 92, 91, 77, and 76. These correspond to the (CH<sub>3</sub>)<sub>2</sub>SiHSH<sup>+</sup>, (CH<sub>3</sub>)<sub>2</sub>SiHS<sup>+</sup>, CH<sub>3</sub>SiHSH<sup>+</sup>, and CH<sub>3</sub>SiHS<sup>+</sup> ions, respectively. Further experiments showed that silylthiol had a half-life of the order of a few milliseconds.

Weak signals were also observed at *m/e* values of 150, 149, 135, and 134, probably arising from the condensation product of silylthiol.

TABLE VI: Isotopic Composition of Hydrogen from the H<sub>2</sub>S-(CH<sub>3</sub>)<sub>3</sub>SiD System<sup>a</sup>

P(H <sub>2</sub> S), Torr	P(TMS-d <sub>1</sub> ), <sup>b</sup> Torr	Mole fraction of			Total, μmol
		H <sub>2</sub>	HD	D <sub>2</sub>	
0.0	104.7				0.00
8.1	104.7	0.211	0.451	0.338	2.18

<sup>a</sup> λ = 2288 Å, exposure time 5 min. <sup>b</sup> (CH<sub>3</sub>)<sub>3</sub>SiD.

Similar studies on the H<sub>2</sub>S-(CH<sub>3</sub>)<sub>3</sub>SiH, H<sub>2</sub>S-CH<sub>3</sub>SiH<sub>3</sub>, COS-(CH<sub>3</sub>)<sub>3</sub>SiH, COS-(CH<sub>3</sub>)<sub>2</sub>SiH<sub>2</sub>, and COS-CH<sub>3</sub>SiH<sub>3</sub> systems all gave the parent and some fragment ions of the corresponding silylthiol with half-lives in the order of a few milliseconds. The most intense signals were recorded with (CH<sub>3</sub>)<sub>3</sub>SiH and the signal intensities decreased as methylation decreased.

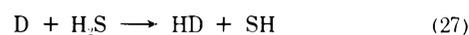
## Discussion

The deuterium product of the photolysis of H<sub>2</sub>S in the presence of (CH<sub>3</sub>)<sub>2</sub>SiD<sub>2</sub> has been conclusively shown not to arise from the simple exchange reaction 15. In particular the following observations militate against reaction 15, and point to the intervention of silylthiol: (a) the marked exposure time dependence of the fractional yield of D<sub>2</sub>, (b) the lack of effect of added CO<sub>2</sub>, (c) the absence of D<sub>2</sub> in the hydrogen produced from the photolysis of CH<sub>2</sub>O with admixed (CH<sub>3</sub>)<sub>2</sub>SiD<sub>2</sub>, (d) the formation of deuterium from the silylthiol produced by the insertion of S(<sup>1</sup>D<sub>2</sub>) atoms into the Si-D bonds of (CH<sub>3</sub>)<sub>2</sub>SiD<sub>2</sub>, (e) the mass spectrometric detection of the transient presence of silylthiols in the H<sub>2</sub>S-silane and COS-silane systems.

In addition, the kinetic behavior of the system is also inconsistent with step 15 being the mode of production of D<sub>2</sub>. Thus, the kinetic equation

$$\frac{[\text{HD}]}{[\text{D}_2]} = \frac{k_{22}}{k_{15}} + \left[ \frac{k_{22}}{k_{15}} \left( \frac{k_{27} + k_{28}}{k_{21}} \right) + \frac{k_{27}}{k_{21}} \right] \frac{[\text{H}_2\text{S}]}{[(\text{CH}_3)_2\text{SiD}_2]}$$

where *k*<sub>26</sub> and *k*<sub>27</sub> are the rate constants of the reactions



predicts a straight line relationship between product and reactant ratios which, however, does not appear to hold.

Hence it is concluded that thermalized H atoms do not engage in deuterium exchange with dimethylsilane-d<sub>2</sub> and

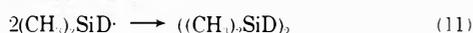
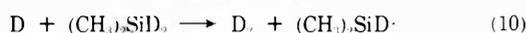
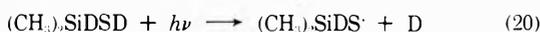
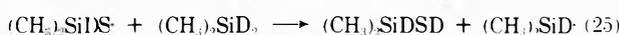
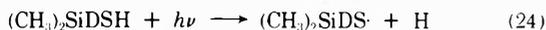
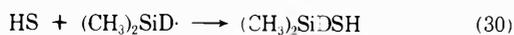
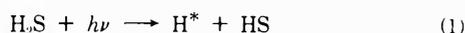
**TABLE VII: Estimated Upper Limits for the D/H Exchange Reaction<sup>a</sup>**

Reaction	% upper limits for exchange		Statistical weight H <sub>2</sub> /HD
	Short-lived complex	Long-lived complex	
D + SiH <sub>4</sub>	20	8	1.5
D + Si <sub>2</sub> H <sub>6</sub>	0	0	1.0
D + CH <sub>3</sub> SiH <sub>3</sub>	20	13	1.0
D + (CH <sub>3</sub> ) <sub>2</sub> SiH <sub>2</sub>	10	10	0.5
D + (CH <sub>3</sub> ) <sub>3</sub> SiH	6	6	

<sup>a</sup> Data taken from ref 4 and 5.

the upper limit of the  $k_{15}/k_{22}$  exchange to abstraction ratio,  $k_{15}/k_{22}$ , is  $\sim 0.08$  when the H atoms possess excess translational energy up to 35 kcal/mol.

The products of the reaction, along with all the kinetic observations made on the H<sub>2</sub>S-(CH<sub>3</sub>)<sub>2</sub>SiD<sub>2</sub> system, can be satisfactorily interpreted in terms of the following sequence of elementary reactions



This mechanism is an example of an unusual type of photochemical chain in which secondary photolysis of a self-regenerating primary product is so efficient that it becomes the major photoreaction even at very low conversions. The length of the chain can be established by considering the yields of HD and D<sub>2</sub> at  $[(\text{CH}_3)_2\text{SiD}_2] \gg [\text{H}_2\text{S}]$ . Under this condition the ratio  $[\text{D}_2]/([\text{HD}]^2/2)$  gives the length of the photochemical chain at any given conversion. In the 15-min photolysis, listed in Table III, the chain length is  $\sim 5$  and decreases to  $\sim 1.5$  for a photolysis time of 1 min.

The half-life of the silylthiol is a few milliseconds and condensation must compete with photolysis of the thiol. No evidence could, however, be found in the present study

for the intermediacy of other nonstoichiometric complexes such as the SiH<sub>5</sub> species postulated by Glasgow, *et al.*<sup>20</sup>

On the contrary, the data of earlier reported studies<sup>4,5</sup> of the H + silane reactions from this laboratory provide unambiguous evidence against the importance of long-lived SiR<sub>4</sub>H complexes. Thus, in the reactions of D atoms with SiH<sub>4</sub>, Si<sub>2</sub>H<sub>6</sub>, CH<sub>3</sub>SiH<sub>3</sub>, (CH<sub>3</sub>)<sub>2</sub>SiH<sub>2</sub>, and (CH<sub>3</sub>)<sub>3</sub>SiH the experimental H<sub>2</sub>/HD yields can be taken as upper limits for the values of  $k(\text{exchange})/k(\text{abstraction})$ . If the transition complex SiH<sub>4</sub>·D is indeed long lived then the statistical weight of H<sub>2</sub> loss over HD loss is 1.5. Assuming a direct isotope effect for H<sub>2</sub> loss over HD loss of two, this leads to a value of three for the H<sub>2</sub>/HD ratio. Table VII summarizes the upper limits estimated in this manner for the five silicon hydride molecules discussed above.

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## On Extending the Use of the $O + NO_2 \rightarrow NO + O_2$ Reaction for Measuring Low Oxygen Atom Concentrations

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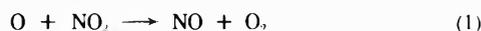
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The measurement of low oxygen atom concentrations in flow reactors (down to  $10^{11}$  atoms  $cm^{-3}$ ) may be accomplished with a modified titration procedure using the  $O + NO_2 \rightarrow NO + O_2$  reaction. Overtitration of the flowing gas with  $NO_2$  is necessary as is removal of water vapor from the gases entering the discharge which produces the O atoms. Also, NO produced in the discharge must be distinguished from NO produced by the titration reaction. The modified procedure is discussed, and the magnitudes of the inaccuracies which would be caused by using an unmodified version of the titration are presented.

### Introduction

Reactions of oxygen atoms have been extensively studied in flow reactors because of the ease with which these atoms may be generated and quantitatively transported, because of the variety of analytical tools which may be coupled to such a device, and because of the highly quantitative procedures which have been developed for measuring the O atom concentration in the flowing gas.<sup>1</sup> The most widely used procedure for measurement of O atom concentrations involved the titration of the atoms with  $NO_2$ .<sup>2</sup> The reaction



is very fast and proceeds stoichiometrically.<sup>2,3</sup> It is customary to add  $NO_2$  to the flowing gas containing O atoms in increasing amounts until the stoichiometric amount is added. This "end point" is indicated either visually (by the disappearance of the O + NO afterglow),<sup>3,4</sup> spectrophotometrically (by the disappearance of O atom resonance absorption<sup>4</sup> or O atom esr absorption<sup>3</sup>), or mass spectrometrically (by the first appearance of excess  $NO_2$ ).<sup>5</sup> Once the end point is reached, the  $NO_2$  flow is measured and equated to the O atom flow. The accuracy of this procedure has been tested down to an O atom concentration of  $8 \times 10^{14}$  atoms  $cm^{-3}$ .<sup>3</sup>

At lower O atom levels the rate of reaction 1 becomes so slow that a stoichiometric titration is not generally possible during typical flow times through a flow reactor ( $\sim 10^{-2}$  sec). Special titration procedures have been developed which do not require the attainment of such an end point.<sup>6-8</sup> They all require, however, the continuous monitoring of some property of the system related to the extent of the titration (usually the intensity of the air afterglow emission produced by the reaction between O and NO) during the partial titration. Concentrations of O atoms down to about  $4 \times 10^{13}$  atoms  $cm^{-3}$  may be accurately measured by such procedures.

In order to study very fast reactions of O atoms, it is necessary to generate and measure O atom concentrations which are much lower, down to about  $10^{11}$  atoms  $cm^{-3}$ . We have found that reaction 1 may be the basis for quantitative measurements of such low O atom concentrations, provided certain precautions are taken and a modified procedure followed. The results of this finding are presented here.

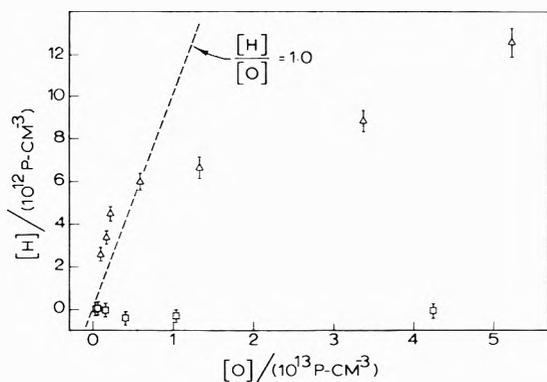
### Titration Procedure

There are three basic modifications needed to apply the  $NO_2$  titration procedure to the measurement of very low O atom concentrations. The first is to drive reaction 1 to completion (*i.e.*, consume all the O atoms), the second is to eliminate the interference in the titration caused by H atoms, and the third is to compensate for NO produced from sources other than reaction 1. The need for all three changes and the modifications themselves are detailed in this section.

*Overtitration with  $NO_2$ .* It is desirable to essentially completely consume the O atoms within a few cm downstream from the titration inlet. In order to achieve this at low O atom concentrations, a substantial excess of  $NO_2$  must be added to the flow, enough to produce an  $NO_2$  concentration near  $10^{14}$  molecules  $cm^{-3}$ . For low O atom concentrations ( $10^{11}$ – $10^{13}$  atoms  $cm^{-3}$ ) the excess  $NO_2$  is only slightly depleted, and therefore this depletion cannot be used to accurately measure the O atom concentration. However, the NO produced can be accurately determined with a mass spectrometer detector, and this measurement is the basis of the modified titration procedure. Our flow system, which is described in detail elsewhere,<sup>9</sup> is coupled to a photoionization mass spectrometer. The NO produced is determined by its peak height. The relationship between the NO peak height and its concentration is determined by monitoring the NO peak when known amounts of NO are metered into the flow.

*Removal of Water Vapor.* Oxygen atoms are most often generated by passing a carrier gas (usually He or Ar) containing  $O_2$  through a microwave discharge. Water (as well as other impurities) has a catalytic effect of enhancing the conversion of  $O_2$  to O in the discharge,<sup>1,10</sup> and its presence is actually necessary to obtain the relatively high O atom concentrations ( $10^{14}$ – $10^{15}$  atoms  $cm^{-3}$ ) needed for most flow-reactor studies of O atom reactions. It is not necessary to add  $H_2O$ , as the residual water in tank gases and from the outgassing of gas-handling equipment is sufficient to obtain the desired effect. Thus, there is usually no attempt made to remove water from the flow.

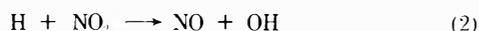
The water vapor passing through the discharge is partially decomposed, producing both H and O atoms which persist in the flow.<sup>11</sup> When large O atom concentrations are produced, the concentration of H atoms produced by the decomposition of water is small by comparison (see



**Figure 1.** Plot of measured H and O atom concentrations in a flow reactor. O atom concentrations were changed by varying the amount of O<sub>2</sub> passed through the microwave discharge: triangles, water vapor not removed from gases; squares, water vapor removed before gases entered discharge. Error bars on the H atom value reflect one standard deviation in the net H atom ion signal. The standard deviation in the O atom concentration is less than the width of the symbol used to plot the point.

below) and its presence often does not interfere with the study of the O atom reaction. One famous exception is the O + O<sub>3</sub> reaction.<sup>12</sup>

For the generation of low O atom concentrations, the presence of water vapor has two undesirable effects. The first is that extremely low O atom concentrations cannot be reached due to the constant production of O from H<sub>2</sub>O in the discharge. More serious, however, is the problem of H atoms also being titrated by NO<sub>2</sub>, yielding NO by the fast reaction



The extra NO produced by reaction 2 in the O atom titration, if interpreted as originating from reaction 1, would result in an erroneous O atom determination.

To obtain an estimate of the magnitude of this error and to test a means for removing it, two sets of measurements were made of the H and O atom concentrations in our flow reactor.<sup>13</sup> In the first, helium (ultrahigh pure grade) and various amounts of 1.0% O<sub>2</sub> in high-purity helium were flowed into our clean and dry (but unbaked) gas-handling equipment and through the microwave discharge on the flow system. The flow velocity was 15.7 m sec<sup>-1</sup> and the total pressure 1.4 Torr. In the second, the same gases passed through a liquid nitrogen cooled trap placed just before the discharge. The results of these measurements are shown in Figure 1.

For all the low O atom concentrations of interest in our kinetic studies ( $\sim 10^{11}$ – $10^{13}$  atoms cm<sup>-3</sup>), the H atom concentration is either *higher or nearly the same* as the O atom concentration when the cold trap is absent. When the water vapor is trapped from the gases entering the discharge, there is no statistically significant signal from H atoms (one standard deviation in the net H atom signal corresponds to  $\pm 3 \times 10^{11}$  atoms cm<sup>-3</sup>).

The results of these experiments indicate that a liquid N<sub>2</sub> cooled trap just before the microwave discharge essentially eliminates the H atoms produced by the decomposition by H<sub>2</sub>O (and other H atom containing impurities). Failure to remove the water may result in very large errors in O atom concentration determinations based on NO formation by reaction 1. At the lowest O atom concentration generated without the cold trap ( $[\text{O}] = 9.8 \times 10^{11}$  atoms cm<sup>-3</sup>; this was with no added O<sub>2</sub> through the discharge),

**TABLE I: Oxygen Atom Concentrations Calculated from Measurements of Nitric Oxide Produced in a Flow Reactor**

[O] uncorrected for NO produced in discharge, atoms cc <sup>-1</sup> × 10 <sup>-12</sup>	[O] corrected for NO produced in discharge, atom cc <sup>-1</sup> × 10 <sup>-12</sup>	Error in uncorrected value, %
0.14	0.076	88.8
0.28	0.16	69.3
0.46	0.29	58.9
1.07	0.83	29.2
2.38	1.99	19.7
4.56	3.84	18.8
787 <sup>a</sup>	784	0.4

<sup>a</sup> This experiment was performed with pure O<sub>2</sub> flowing through the microwave discharge without prior removal of water vapor. Other entries are for varying amounts of 1% O<sub>2</sub> in helium flowing through the discharge after removal of H<sub>2</sub>O by a liquid nitrogen cold trap.

the error in the O atom determination caused by reaction 2 would be over a factor of 3. Up to  $[\text{O}] = 6 \times 10^{12}$  atoms cm<sup>-3</sup>, the error is over a factor of 2.

We have passed pure O<sub>2</sub> through the discharge and flow systems at 15.7 m sec<sup>-1</sup> and 1.4 Torr without prior removal of water in order to generate O atom concentrations of 10<sup>14</sup>–10<sup>15</sup> atoms cm<sup>-3</sup>. Under these conditions, the H atom concentration is about 1% that of the O atoms. Thus, in those studies which require high O atom concentrations and in which H<sub>2</sub>O cannot be removed, the titration error due to the presence of H atoms is negligible.

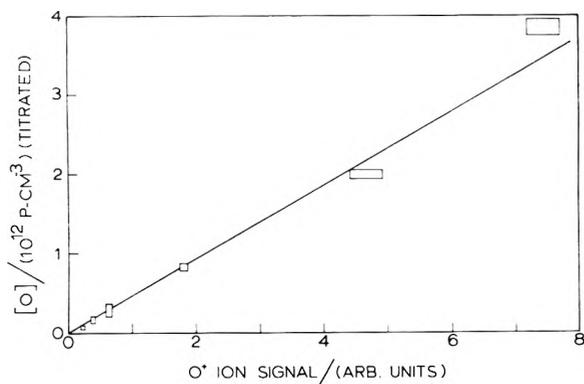
*Correction for NO from the Discharge.* We have found that small amounts of NO are generated when the gas mixtures mentioned above are passed through the microwave discharge.<sup>14</sup> The nitrogen in the NO probably comes from the very small amounts of N<sub>2</sub> in the flowing gases. The gas-handling system has no apparent leaks, so N<sub>2</sub> must come from small impurities in the cylinder gases and outgassing of the regulators and gas-handling system. The problem is not removed by the addition of the cold trap. If, during an NO<sub>2</sub> titration for O atoms, the NO produced by the discharge is interpreted as originating from reaction 1, another error will arise in the determination of the O atom concentration.

Since we are unable to eliminate this problem, we compensate for it by measuring the NO signal when the discharge is on both *before* the NO<sub>2</sub> is added and *after*. The signal before addition of NO<sub>2</sub> is subtracted from that after to obtain the ion signal for NO produced by reaction 1. (We also measure the NO signal with the NO<sub>2</sub> added and the discharge off to obtain a correction for the NO impurity in the NO<sub>2</sub>.)

The correction for NO generated by the discharge can be large when titrating very low O atom concentrations. The magnitude of the correction was measured at several low O atom concentrations, and the results are listed in Table I. The percentage error to the calculated O atom concentrations caused by the NO produced in the discharge being attributed to reaction 1 varies from 89% at  $[\text{O}] = 7.6 \times 10^{10}$  atoms cm<sup>-3</sup> to 19% at  $[\text{O}] = 3.8 \times 10^{12}$  atoms cm<sup>-3</sup>. When pure O<sub>2</sub> is used to generate large O atom concentrations, the correction for NO from the discharge is only 0.4%.

#### Accuracy of the Procedure

Two sets of experiments were performed at 298°K to test the accuracy of the modified NO<sub>2</sub> titration procedure. In the first, two O atom concentrations were measured by both the titration procedure and by a kinetic method and found to agree. In the second experiment the proportiona-



**Figure 2.** Plot of O atom concentration in the flow reactor determined by the modified titration procedure vs. the O atom peak height measured in the gas beam emerging from the reactor. Height and width of rectangles used to plot points are the standard deviations in the titrated O atom concentrations and net O<sup>+</sup> ion signals, respectively.

lity of the O atom concentration measured by the titration procedure and monitored by the mass spectrometer was established over a wide range of O atom concentration assuring that the titration procedure is accurate not only where a direct test of its accuracy was made.

The alternate determination of the O atom concentration was done with a kinetic experiment. For each of two low O atom concentrations, tetramethylethylene (TME) was added down the center tube of flow reactor in such small amounts (the TME initial concentration was  $6.01 \times 10^{10}$  molecule  $\text{cm}^{-3}$ ) that the O atoms were negligibly depleted. The first-order decay of TME was monitored down the flow tube by monitoring the TME<sup>+</sup> peak height as a function of position of the center inlet tube. The half-life,  $\tau$ , for such a decay is<sup>15</sup>

$$\tau = 0.693/[O]k$$

where  $k$  is the rate constant for the O + TME reaction. While such a relationship is usually used to obtain an unknown  $k$  when [O] is known, we have used  $\tau$  and the known value for  $k$  to obtain [O].<sup>16</sup> For both experiments  $P$  was 1.4 Torr and the flow velocity was 11.5 m/sec. The two O atom concentrations used as determined by the kinetic experiments were  $1.10 \times 10^{12}$  and  $2.03 \times 10^{12}$  atoms  $\text{cm}^{-3}$ . The corresponding titrated values [O] were  $1.28 \times 10^{12}$  and  $2.21 \times 10^{12}$  atoms  $\text{cm}^{-3}$ . The two independent methods for measuring [O] agree within 16 and 9%, respectively, for the two determinations. We consider the results from the two types of determinations to be in complete agreement. Considering the cumulative small uncertainties inherent in the two studies of the O + TME reaction used to make the comparison and in the titration procedure itself, closer agreement would be fortuitous.

The results of the proportionality test are presented in Figure 2. Over the range of O atom concentrations studied ( $7.6 \times 10^{10}$  to  $3.8 \times 10^{12}$  atoms  $\text{cm}^{-3}$ ), there is an accurate proportional relationship between the two measurements. Since this proportionality does not exist if H atoms are in the flow or if NO from the discharge is not taken into account, such an experiment actually becomes a useful test of the modified titration procedure for low O atom concentrations.

### Summary and Conclusions

This study reports on the magnitude and origin of problems interfering with the use of the O + NO<sub>2</sub> → NO + O<sub>2</sub>

reaction to measure low O atom concentrations. Although the numbers reported here apply only to our experimental apparatus and flow conditions, the magnitudes of the interferences with the titration technique should be similar elsewhere, and the corrective steps taken should produce comparable results.

The results of this study indicate that O atoms in the concentration range  $10^{11}$ – $10^{14}$  atoms  $\text{cm}^{-3}$  may be generated essentially free from hydrogen atoms by passing helium and small amounts of O<sub>2</sub> through a liquid N<sub>2</sub> cooled trap prior to passage through a microwave discharge. The O atom concentrations may be titrated by measuring the NO formed by reaction 1 after an excess of NO<sub>2</sub> is added to the gases subsequent to their passage through the discharge. Due accounting must be made for the NO produced by the discharge and the NO impurity in the NO<sub>2</sub>.

There is indirect evidence that there may still be H atoms in the flow after passage through the discharge at concentrations possibly as high as  $2 \times 10^{10}$  atoms  $\text{cm}^{-3}$ . Thus, the procedure outlined here should not be extended to lower O atom concentrations without further testing. For O atom concentrations between  $10^{14}$  and  $10^{15}$  atoms  $\text{cm}^{-3}$ , it is easier to base a titration on NO<sub>2</sub> depletion following the addition of a twofold excess of NO<sub>2</sub>.

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- (13) Mass spectrometer sensitivity to H atoms was determined by flowing 0.4% H<sub>2</sub> in helium through a cold trap and into the discharge. The extent of the depletion of H<sub>2</sub> by the discharge was taken as a measure of the H atoms produced, and the ion signal from H was used to determine the absolute detection sensitivity. The O atom peak heights were calibrated using the modified titration procedure described herein.
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# Curium-244 $\alpha$ Radiolysis of Nitric Acid. Oxygen Production from Direct Radiolysis of Nitrate Ions<sup>1</sup>

Ned E. Bibler

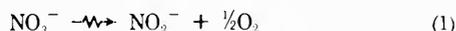
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Dissolved  $^{244}\text{Cm}$  was used as an  $\alpha$ -particle source to study radiolytic evolution of oxygen and hydrogen at atmospheric pressure from aerated nitric acid or  $\text{NaNO}_3$ -0.4  $M$   $\text{H}_2\text{SO}_4$  solutions. Dose rates were  $\sim 10^{18}$  eV/(ml min), and evolution rates were linear up to a maximum dose of  $\sim 10^{21}$  eV/ml.  $G$  values were dependent on nitrate ion concentration, and results were identical in either nitric acid or  $\text{NaNO}_3$ -0.4  $M$   $\text{H}_2\text{SO}_4$  solutions. As nitrate ion concentration increased,  $G(\text{H}_2)$  decreased and  $G(\text{O}_2)$  increased. These results agree with published results using  $^{210}\text{Po}$  as an  $\alpha$  source, but contradict those with  $^{239}\text{Pu}$  as an  $\alpha$  source. Above 2  $M$   $\text{NO}_3^-$ ,  $G(\text{O}_2)$  increased linearly with the electron fraction of nitrate ion, and it is concluded that this increase is due to oxygen produced by direct radiolysis of nitrate ions. The 100-eV yield from this effect is estimated to be 2.2 in agreement with that obtained from  $\gamma$  radiolysis. Studies of gas evolution from solutions containing both  $^{244}\text{Cm}$  and  $\text{Pu(IV)}$  showed that  $\text{Pu(IV)}$  does not affect  $G(\text{O}_2)$ .

## Introduction

Many studies of the  $\gamma$  radiolysis of neutral<sup>2-5</sup> and acidic<sup>6-10</sup> aqueous nitrate solutions have been published. In all cases, the only products are nitrite ion, hydrogen peroxide, hydrogen, and oxygen. Among these various investigations there is complete agreement on two points: first, nitrate ions scavenge the precursors of hydrogen and suppress  $G(\text{H}_2)$ ; and second, above 1  $M$   $\text{NO}_3^-$  concentrations, oxygen and nitrite ions are produced as a result of direct energy absorption by nitrate ions. This latter point has been proven by using  $^{18}\text{O}$ -enriched water and measuring the isotopic content in the evolved oxygen.<sup>2b,4</sup> Also, the increase in  $G(\text{NO}_2^-)$  with nitrate ion is equal to twice that for  $G(\text{O}_2)$ ,<sup>2a</sup> substantiating the stoichiometry indicated by reaction 1.



Further analysis of the increase of  $G(\text{O}_2)$  as a function of electron fraction of nitrate ions suggests that  $G(\text{O}_2)$  and  $G(\text{NO}_2^-)$  from this direct effect are 2 and 4, respectively.<sup>2a,6</sup>

The  $\alpha$  radiolysis of nitrate solutions has been studied,<sup>11-15</sup> but not extensively. Again, the products are nitrite ions, hydrogen peroxide, hydrogen, and oxygen. As with  $\gamma$  radiolysis studies, all the investigations substantiate that the presence of nitrate ions decreases  $G(\text{H}_2)$ . However, results concerning oxygen evolution from nitric acid solutions are contradictory. When dissolved  $^{210}\text{Po}$  was the  $\alpha$  source,  $G(\text{O}_2)$  increased with increasing nitric acid concentrations in the range 0.1-6  $M$ .<sup>13</sup> With dissolved  $^{239}\text{Pu}$  as the  $\alpha$  source,  $G(\text{O}_2)$  decreased continuously with increasing nitric acid concentration up to 10  $M$ .<sup>14,15</sup> Since this problem is fundamental to the radiation chemistry of systems containing nitrate ions, and because there is no apparent reason for the contradiction, we have reinvestigated the radiolytic evolution of gases from acidic nitrate solutions with dissolved  $^{244}\text{Cm}$  as the  $\alpha$  source.

Dissolved  $^{244}\text{Cm}$  is well suited as an  $\alpha$  particle source for radiolysis experiments. The  $\alpha$  emission accounts for greater than 99.99% of the radioactive decay; the specific activity of  $^{244}\text{Cm}$  is high enough so that low concentra-

tions give appreciable dose rates ( $\sim 10^6$  rads/hr in a 0.004  $M$   $^{244}\text{Cm}$  solution); and finally, the only oxidation state observed in aqueous solution is +3.<sup>16</sup> Considering the low concentrations of  $^{244}\text{Cm}$  used, and the high stability of the +3 oxidation state in aqueous solution,<sup>16</sup> it is highly unlikely that in the presence of solutes such as  $\text{H}_3\text{O}^+$ ,  $\text{NO}_3^-$ , or  $\text{NO}_2^-$ , curium can effectively compete for  $e_{\text{aq}}^-$ , H, or OH radicals and affect the radiation induced chemistry.

## Experimental Section

Curium-244 was produced by successive neutron capture by  $^{239}\text{Pu}$ <sup>17</sup> and was purified by pressurized cation exchange chromatography.<sup>18</sup> The results of a mass spectrometric analysis of the final product are listed in Table I.

Solutions were prepared with triply distilled water and recrystallized reagent grade sodium nitrate. All other chemicals were reagent grade and were used without further purification.

Immediately before an experiment, the  $^{244}\text{Cm}$  was precipitated with sodium hydroxide to remove radiolytically formed hydrogen peroxide. The precipitate was thoroughly washed and then dissolved in 5 ml of previously prepared nitrate solution of the desired acidity. This solution was quickly transferred to a carefully cleaned 5-ml volumetric flask containing a magnetic stirrer, and then the flask was attached to the gas collection apparatus.

The gas collection apparatus was a 1-ml buret (minimum division 0.01 ml) attached to a leveling bulb filled with mercury. When the 5-ml flask was attached, the time and initial reading on the buret were recorded with the mercury levels equal. Constant agitation of the solution to facilitate gas removal was provided by the magnetic stirrer. After several minutes, the solution was agitated vigorously and the bulb was lowered until the height of mercury in the buret was again equal to that in the bulb. The increase in volume indicated by the buret was then a measure of the volume of gas produced at the pressure and temperature of the glove box. This procedure was repeated several times, and volume vs. time plots were constructed. At the end of the experiment, an aliquot of gas

TABLE I

Isotope	Atom %	$T_{1/2}$ , years
$^{244}\text{Cm}$	83	18
$^{246}\text{Cm}$	14	4711
$^{248}\text{Cm}$	1	$38 \times 10^5$
$^{247}\text{Cm}$	~1	$1.6 \times 10^7$
$^{245}\text{Cm}$		$8.2 \times 10^3$
Dy	~1	Stable

was removed for gas chromatographic analysis. The solution was sampled for absolute  $\alpha$  counting to determine the exact amount of  $^{244}\text{Cm}$  present.

The amount of gas generated per 100 eV ( $G$  value) was calculated by the ideal gas law, the dose rate in the solution, and the slope of the volume *vs.* time plot. The gas pressure was determined by subtracting the vapor pressure of solution<sup>19</sup> and the slight pressure decrease caused by operating in a glove box from the atmospheric pressure. Dose rate was calculated from the  $\alpha$  count with 18.1 years as the half-life for  $^{244}\text{Cm}$ <sup>20</sup> and  $5.8 \times 10^6$  MeV as the average  $\alpha$  energy.<sup>21</sup> Volume *vs.* time plots contained at least eight points and deviated from linearity by 4% or less.

Because all solutions in this study were saturated with air, it was impossible to determine whether additional nitrogen was produced by radiolysis. Previous experiments<sup>12,15</sup> have established that nitrogen is a minor product. From solutions containing 1 *M*  $\text{HNO}_3$  or less, the evolved mixture is greater than 99% hydrogen and oxygen. Above 1 *M*  $\text{HNO}_3$ , traces of nitrogen and nitric oxide (NO) are formed but amount to a maximum of only 4% of the total gas from 6 *M*  $\text{HNO}_3$ .

The amount of oxygen produced by radiolysis was determined by subtracting the amount contributed by air in the sample from the total amount of oxygen. This was determined by measuring the amount of nitrogen in the sample and multiplying this value by the ratio of oxygen to nitrogen in the air of the glove box. The accuracy of this technique was confirmed with synthetic mixtures of oxygen and air. Since the relative amount of nitrogen produced by radiolysis is small, the error introduced in determining the radiolytic yield of oxygen by this method was negligible. Oxides of nitrogen were not detected; thus,  $G(\text{O}_2)$  and  $G(\text{H}_2)$  were determined by the ratio of evolved oxygen and hydrogen in the sample and by the total gas yield. The amounts of radiolytically produced hydrogen and oxygen that remained dissolved in solution were estimated with their respective Ostwald coefficients.<sup>22,23</sup> For hydrogen, the fraction remaining dissolved was less than 1%; for oxygen, 2%.  $G$  values for hydrogen from identical independent experiments varied less than 5%. The yields of oxygen were sensitive to the presence of impurities. For example,  $G(\text{O}_2)$  from radiolysis of 5 *M*  $\text{HNO}_3$  decreased from 0.69 to 0.00 in the presence of 0.05 *M* 2-propanol. However, consistent values for  $G(\text{O}_2)$  were obtained when carefully cleaned experimental equipment was used. With this precaution,  $G$  values for oxygen for independent experiments varied by less than 8%. Dose rates were normally  $4 \times 10^{17}$  to  $4 \times 10^{18}$  eV/(ml min), and total doses were less than  $10^{21}$  eV/m<sup>2</sup>.

## Results and Discussion

**Gas Yields from 0.4 *M*  $\text{H}_2\text{SO}_4$ .** To verify the experimental technique,  $G(\text{H}_2)$  from 0.4 *M*  $\text{H}_2\text{SO}_4$  was determined. The average result of six experiments was  $1.27 \pm 0.07$  molecules/100 eV. In these experiments, dose rates were

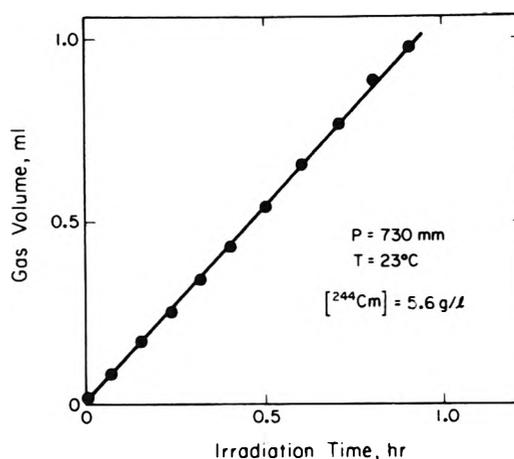


Figure 1. Gas Production from  $^{244}\text{Cm}$  radiolysis of 0.4 *M*  $\text{H}_2\text{SO}_4$  at constant pressure.

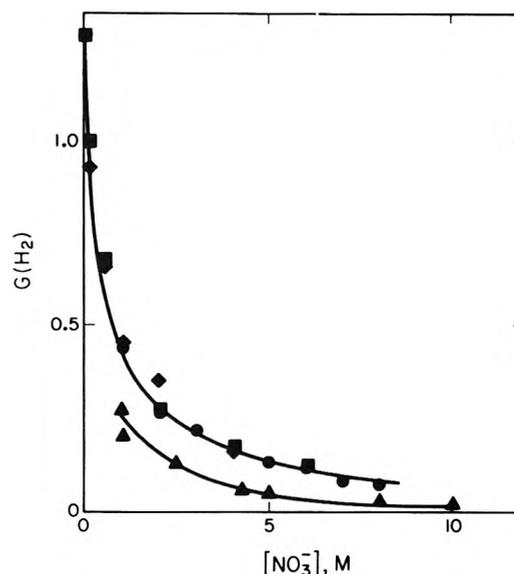
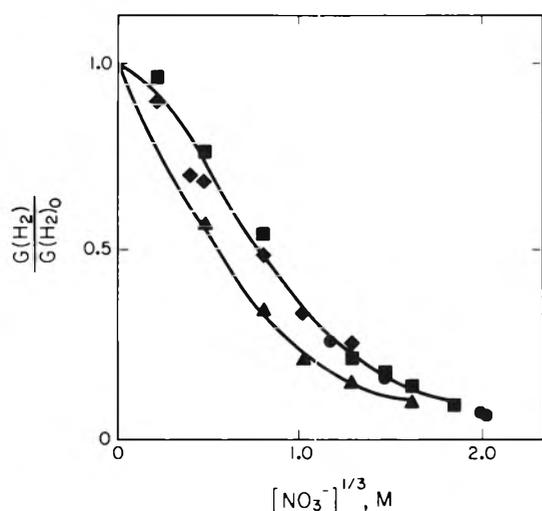


Figure 2. Dependence of  $G(\text{H}_2)$  on nitrate concentration in  $\alpha$  radiolysis: ●,  $\text{HNO}_3$ - $^{244}\text{Cm}$ , this work; ■,  $\text{NaNC}_3$ -0.4 *M*  $\text{H}_2\text{SO}_4$ - $^{244}\text{Cm}$ , this work; ◆,  $\text{HNO}_3$ - $^{210}\text{Po}$ , ref 13; ▲,  $\text{HNO}_3$ - $^{239}\text{Pu}$ , ref 14 and 15.

about  $7.3 \times 10^{17}$  eV/(ml min) at 23°, and the maximum dose was  $3.2 \times 10^{20}$  eV/ml. A typical plot of gas volume versus irradiation time is in Figure 1. The above  $G$  value is within 5% of two independently determined values by other workers.<sup>24,25</sup> The average value for  $G(\text{O}_2)$  was  $0.17 \pm 0.06$ . This is less precise than  $G(\text{H}_2)$ , but is in close agreement with the published value of 0.15.<sup>25</sup> The close agreement for  $G(\text{H}_2)$  and  $G(\text{O}_2)$  with the published values confirms the accuracy of the experimental technique for measuring initial 100 eV yields of gases.

**$G(\text{H}_2)$  for  $\text{HNO}_3$  and 0.4 *M*  $\text{H}_2\text{SO}_4$ - $\text{NaNO}_3$  Solutions.** Values for  $G(\text{H}_2)$  as a function of nitrate ion concentration in nitric acid or sulfuric acid are shown in Figure 2. Published values with  $^{210}\text{Po}$ <sup>12</sup> or  $^{239}\text{Pu}$ <sup>14,15</sup> as  $\alpha$  sources are also presented. Values determined with  $^{244}\text{Cm}$  and  $^{210}\text{Po}$  are in good agreement; however, both are consistently higher than the results with  $^{239}\text{Pu}$ .

Figure 3 compares the scavenging efficiency of nitrate ions in the presence of  $\alpha$  or  $\gamma$  radiation.<sup>4</sup> As expected, nitrate ions are less efficient hydrogen scavengers with  $\alpha$  radiation than with  $\gamma$  radiation. This lowered efficiency

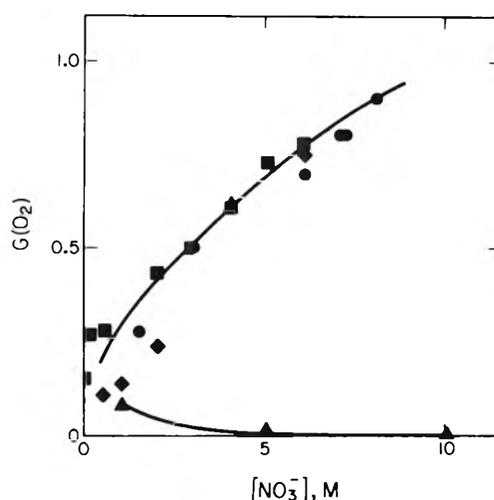


**Figure 3.** Lowering of  $G(\text{H}_2)$  by nitrate in  $\alpha$  and  $\gamma$  radiolysis: ●,  $\text{HNO}_3$ - $^{244}\text{Cm}$   $\alpha$ , this work; ■,  $\text{NaNO}_3$ - $0.4\text{ M H}_2\text{SO}_4$ - $^{244}\text{Cm}$   $\alpha$ , this work; ◆,  $\text{HNO}_3$ - $^{210}\text{Po}$   $\alpha$ , ref 13; ▲,  $\text{NaNO}_3$ - $\text{H}_2\text{O}$  and  $\text{HNO}_3$ - $^{60}\text{Co}$   $\gamma$ , ref 9.

results primarily from the higher ionic and free radical densities caused by  $\alpha$  radiation. These densities lead to more efficient radical recombination reactions that form hydrogen. In acid solutions, the predominant reaction is  $\text{H} + \text{H}_2\text{O}$ .<sup>26</sup>

$G(\text{O}_2)$  for Nitric Acid and 0.4 M  $\text{H}_2\text{SO}_4$ - $\text{NaNO}_3$  Solutions. Values for  $G(\text{O}_2)$  as a function of nitrate ion concentration in nitric or sulfuric acid are shown in Figure 4. Results with  $^{210}\text{Po}$ <sup>13</sup> and  $^{239}\text{Pu}$ <sup>14,15</sup> are also presented. At 2 M  $\text{NO}_3^-$  and lower, results obtained with  $^{210}\text{Po}$  are lower than those obtained with  $^{244}\text{Cm}$ . Presently, there is no explanation for this. At 4 and 6 M  $\text{NO}_3^-$ , the results obtained with  $^{210}\text{Po}$  and  $^{244}\text{Cm}$  are in agreement. However, none of these results agree with those obtained from  $^{239}\text{Pu}$  radiolysis. Perhaps  $^{239}\text{Pu}$  may be affecting the radiation chemistry as the  $^{239}\text{Pu}$  concentration was 0.2–0.4 M<sup>15</sup> and because plutonium exhibits many oxidation states in aqueous solution.

In an attempt to resolve this question, gas evolution rates from solutions containing both  $^{244}\text{Cm}$  and  $^{239}\text{Pu}$  were measured. The  $^{239}\text{Pu}$  concentration was 50 g/l., and  $^{244}\text{Cm}$ , either 0.70 or 0.57 g/l. In these solutions, >94% of the  $\alpha$  energy was from  $^{244}\text{Cm}$ ;  $^{239}\text{Pu}$  was added only to determine its chemical effect. Several hours before an experiment, the valence state of the  $^{239}\text{Pu}$  was adjusted to +4 by treatment of a >1 M  $\text{HNO}_3$  solution with nitrous acid.<sup>27</sup> Spectrophotometric studies<sup>14,15</sup> have indicated that this oxidation state does not change during radiolysis of >1 M  $\text{HNO}_3$  solutions. Results with  $^{244}\text{Cm}$ - $^{239}\text{Pu}$  mixtures are summarized in Table II. To completely duplicate published experiments,<sup>14,15</sup> some solutions were not agitated during radiolysis. Values for  $G(\text{O}_2)$  and  $G(\text{H}_2)$  from solutions that were agitated agree with values determined in the absence of Pu(IV). Consequently, under our conditions,  $G(\text{O}_2)$  is not affected by Pu(IV). This leads to the conclusion that the earlier suggestion<sup>15</sup> that oxygen results from plutonium-catalyzed decomposition of hydrogen peroxide is incorrect. Results with experiments where the solutions were not agitated clearly indicate that a sizeable fraction of the radiolytic gases can remain in solution; also, the higher the nitric acid concentration, the larger is this fraction. Residual gases may account for part of the observed trend<sup>14,15</sup> in  $G(\text{O}_2)$  and  $G(\text{H}_2)$  with nitric



**Figure 4.** Dependence of  $G(\text{O}_2)$  on nitrate concentration in  $\alpha$  radiolysis: ●,  $\text{HNO}_3$ - $^{244}\text{Cm}$ , this work; ■,  $\text{NaNO}_3$ - $0.4\text{ M H}_2\text{SO}_4$ , this work; ◆,  $\text{HNO}_3$ - $^{210}\text{Po}$ , ref 13; ▲,  $\text{HNO}_3$ - $^{239}\text{Pu}$ , ref 14 and 15.

**TABLE II: Effect of Pu(IV) on  $G(\text{O}_2)$  from  $\text{HNO}_3$  Solutions with  $^{244}\text{Cm}$  as the  $\alpha$  Source**

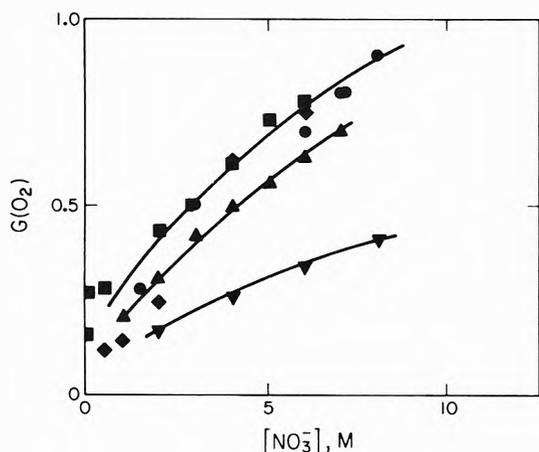
$[\text{NO}_3^-]$ , <sup>a</sup> M	Pu(IV), g/l.	$^{244}\text{Cm}$ , g/l.	$G(\text{O}_2)$	$G(\text{H}_2)$	Remarks
5.6	50.0	0.7	0.75 <sup>b</sup>	0.13	Solution agitated
5.6	50.0	0.7	0.31	0.08	Solution not agitated
10.1	50.0	0.57	0.94	0.10	Solution agitated
10.1	50.0	0.57	0.21	0.07	Solution not agitated

<sup>a</sup> Calculated total  $\text{NO}_3^-$  containing species in solution. <sup>b</sup> Average of three independent experiments.

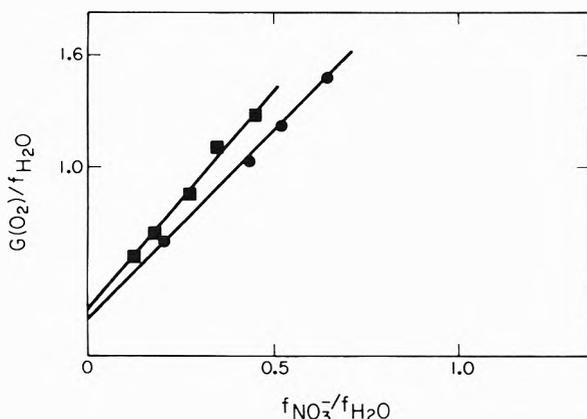
acid concentration in  $^{239}\text{Pu}$  solutions. However, the residual gas fraction is apparently not the only factor causing the difference, since  $G(\text{O}_2)$  in our nonagitated experiments is still not smaller than  $G(\text{H}_2)$  as in the  $^{239}\text{Pu}$  experiments. In view of our correct determination of  $G(\text{H}_2)$  in 0.4 M  $\text{H}_2\text{SO}_4$ , we feel that our results are the correct initial yields of oxygen from  $\alpha$  radiolysis of nitric acid or  $\text{NaNO}_3$ -0.4 M  $\text{H}_2\text{SO}_4$  solutions.

The results for  $G(\text{O}_2)$  from  $\gamma$ <sup>4,9</sup> and  $\alpha$  radiolysis with  $^{244}\text{Cm}$  and  $^{210}\text{Po}$  are compared in Figure 5. In  $\alpha$  radiolysis, values for  $G(\text{O}_2)$  in nitric acid differed from those in 0.4 M  $\text{H}_2\text{SO}_4$ - $\text{NaNO}_3$  by 8% or less at comparable nitrate ion concentrations. Consequently,  $G(\text{O}_2)$  is apparently the same in nitric acid or  $\text{H}_2\text{SO}_4$ - $\text{NaNO}_3$  solutions. This result disagrees with  $\gamma$ -radiolysis studies<sup>9</sup> where  $G(\text{O}_2)$  was lower for nitric acid solutions. It was suggested<sup>9</sup> that the direct interaction of radiation with nitric acid produces OH and  $\text{NO}_2$  radicals rather than the precursor of oxygen. Recent data, however, refute this suggestion.<sup>28</sup> Agreement between our results and also the results for  $^{210}\text{Po}$  radiolysis of 4 and 6 M  $\text{HNO}_3$  supports the conclusion that this mode of decomposition is not occurring in  $\alpha$  radiolysis.

Results for  $G(\text{O}_2)$  for  $\alpha$  radiolysis at any nitrate ion concentration are within 30% of those for  $\gamma$  radiolysis. When the  $\alpha$  radiolysis of water is compared to  $\gamma$  radiolysis, the radical yields ( $G(\text{OH})$  and  $G(\text{e}_{\text{aq}}^-) + G(\text{H})$ ), decrease by a factor of ~6, and the molecular product yields ( $G(\text{H}_2)$  and  $G(\text{H}_2\text{O}_2)$ ) increase by factors of 3.5 and 1.8, respectively.<sup>29</sup> One would expect that if these species were directly involved in oxygen production, a much larger difference would be observed between the effects of  $\alpha$  and  $\gamma$  radiation on  $G(\text{O}_2)$ . Further, there is no mechanistic reason to assume that the products from radiolysis of water interact with nitrate ion to produce oxygen in  $\alpha$  radiolysis



**Figure 5.** Dependence of  $G(\text{O}_2)$  on nitrate concentration in  $\alpha$  and  $\gamma$  radiolysis:  $\bullet$ ,  $\text{HNO}_3$ - $^{244}\text{Cm}$   $\alpha$ , this work;  $\blacksquare$ ,  $\text{NaNO}_3$ - $0.4\text{ M}$   $\text{H}_2\text{SO}_4$ - $^{244}\text{Cm}$   $\alpha$ , this work;  $\blacklozenge$ ,  $\text{HNO}_3$ - $^{210}\text{Po}$   $\alpha$ , ref 13;  $\blacktriangle$ ,  $\text{NaNO}_3$ - $\text{H}_2\text{O}$   $^{60}\text{Co}$   $\gamma$ , ref 9;  $\blacktriangledown$ ,  $\text{HNO}_3$   $^{60}\text{Co}$   $\gamma$ , ref 9.



**Figure 6.**  $G(\text{O}_2)/f_{\text{H}_2\text{O}}$  vs.  $f_{\text{NO}_3^-}/f_{\text{H}_2\text{O}}$  for  $\alpha$  radiolysis:  $\bullet$ ,  $\text{HNO}_3$  solutions;  $\blacksquare$ ,  $\text{NaNO}_3$ - $0.4\text{ M}$   $\text{H}_2\text{SO}_4$  solutions.

when it has been shown that they do not in  $\gamma$  radiolysis.<sup>4</sup> On this basis, we conclude that the increase in  $G(\text{O}_2)$  with increasing nitrate ion concentration is due to oxygen produced from a direct effect in the radiolysis of nitrate ions in  $\alpha$  radiolysis as in  $\gamma$  radiolysis.<sup>2b,4</sup>

It is appropriate to briefly mention here some results of experiments with  $0.05\text{ M}$  2-propanol and  $0.2\text{ M}$  ethanol as solutes in  $5\text{ M}$   $\text{HNO}_3$ . As expected, since nitrate ions effectively scavenge hydrogen atoms, neither solute affected  $G(\text{H}_2)$ . However, both solutes reduced  $G(\text{O}_2)$  from  $0.65$  to negative values. With 2-propanol, not only was the radiolytic production of oxygen stopped, but also the oxygen present initially as dissolved air in the sample was depleted. With ethanol, oxygen was still present after radiolysis, but its concentration in the gas above the sample had decreased significantly. Because these organic solutes form intermediates that apparently react with molecular oxygen, these experiments contribute no information concerning the precursors of oxygen. The organic intermediates are probably radicals formed by OH attack. It has been shown that  $(\text{CH}_3)_2\dot{\text{C}}\text{OH}$  and  $\text{CH}_3\dot{\text{C}}\text{OH}$  are easily oxidized in  $\text{H}_2\text{O}_2$  in an electron transfer chain mechanism.<sup>30,31</sup> It is reasonable to assume that such radicals can also be oxidized by molecular oxygen.

Results for  $G(\text{O}_2)$  obtained for  $\alpha$  radiolysis (Figure 5) were further analyzed by application of eq 2

$$G(\text{O}_2) = f_{\text{H}_2\text{O}}G(\text{O}_2)_{\text{H}_2\text{O}} + f_{\text{NO}_3^-}G(\text{O}_2)_{\text{NO}_3^-} \quad (2)$$

where

$f_{\text{H}_2\text{O}}$  and  $f_{\text{NO}_3^-}$  = the electron fractions of water and nitrate ion in solution

$G(\text{O}_2)_{\text{H}_2\text{O}}$  and  $G(\text{O}_2)_{\text{NO}_3^-}$  = the respective 100-eV yields from the two components

A plot of  $G(\text{O}_2)/f_{\text{H}_2\text{O}}$  vs.  $f_{\text{NO}_3^-}/f_{\text{H}_2\text{O}}$  for both systems is in Figure 6. Results are linear, indicating adherence to eq 2. The intercept at zero nitrate concentration is  $\sim 0.2$  molecule/100 eV and can be equated to the yield of oxygen from the radiolysis of water. This value agrees with those obtained from  $\alpha$  radiolysis of  $0.4\text{ M}$   $\text{H}_2\text{SO}_4$  both in this work and that by Vladimirova.<sup>25</sup> Also, this agrees with the value determined from  $\alpha$  radiolysis of very dilute ( $0.01\text{ M}$ ) nitric acid solutions.<sup>13</sup> A value of  $\sim 0.1$  has been determined<sup>2a</sup> for this quantity from  $\gamma$  radiolysis of solutions containing nitrate ions.<sup>4</sup>

It is well documented in  $\gamma$ -radiolysis experiments that  $G(\text{O}_2)$  and  $G(\text{NO}_2^-)$  vary with nitrate ion concentration as indicated by eq 2.<sup>2a,3,6,10</sup> Also, determinations of  $G(\text{OH})$  in concentrated nitrate solutions indicate that OH radical production is proportional to the electron fraction of water present.<sup>3,10,28</sup> To our knowledge, the data in Figure 6 are the first experimental demonstration that energy from  $\alpha$  particles is partitioned in solutions containing nitrate ion in the same manner as energy from  $\gamma$  rays, *i.e.*, partitioned presumably according to the electron fraction of the two components. Values for  $G(\text{O}_2)_{\text{NO}_3^-}$  calculated from the slopes are  $2.0$  for the nitric acid system and  $2.4$  for the  $0.4\text{ M}$   $\text{H}_2\text{SO}_4$ - $\text{NaNO}_3$  system. This difference results from the slightly higher values for  $G(\text{O}_2)$  in the  $0.4\text{ M}$   $\text{H}_2\text{SO}_4$ - $\text{NaNO}_3$  solution being magnified by electron-fraction calculations that include the presence of sodium ion and sulfuric acid. Accordingly, even though the lines are well defined, the differences can be attributed to experimental error. Consequently, in this study,  $G(\text{N}_2)_{\text{NO}_3^-}$  is  $2.2 \pm 0.2$ . This is in reasonable agreement with the value calculated<sup>2a</sup> from the  $\gamma$  radiolysis of both neutral and acidic nitrate ion solutions,<sup>7</sup> and is experimental evidence suggesting that the efficiency of energy transfer to nitrate ions is apparently independent of the type of incident radiation. Because energy is transferred primarily by secondary electrons rather than by the incident particles, it is expected that similar excited states of nitrate ions are produced by  $\gamma$  and  $\alpha$  radiolysis.

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## Electrically Conducting Metal Dithiolate-Perylene Complexes

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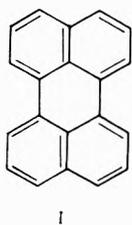
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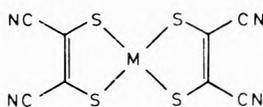
We report on the preparation and some properties of a series of electrically conducting molecular complexes of the general formula (perylene)<sub>2</sub>[MS<sub>4</sub>C<sub>4</sub>(CN)<sub>4</sub>], with M = Ni, Cu, or Pd. Room temperature conductivities of the order of 50 (Ω cm)<sup>-1</sup> were observed on single crystals of the nickel complex, and slightly lower values on the others. The high electrical conductivities are attributed to the existence of relatively wide energy bands associated with positively charged linear chains of perylene molecules. The complexes behave as simple intrinsic semiconductors over the temperature range investigated.

Molecular complexes such as the salts of tetracyanoquinodimethane, TCNQ,<sup>1-3</sup> and some perylene-halogen complexes<sup>4-6</sup> are known to be highly conductive.

In this paper, we wish to report on the preparation, characterization, and conductivity results of a series of molecular complexes of perylene, I, and several planar bis(maleonitriledithiolene)-metal chelates, II, M = Ni, Cu, or Pd,<sup>7</sup> which exhibit electrical conductivities up to 50 (Ω cm)<sup>-1</sup> at room temperature.



I



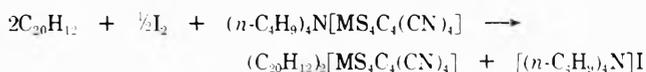
II

### Experimental Section and Results

**Preparation of the Complexes and Characterization.** The complexes, of general formula (C<sub>20</sub>H<sub>12</sub>)<sub>2</sub>[MS<sub>4</sub>C<sub>4</sub>(CN)<sub>4</sub>], M = Ni, Cu, or Pd were prepared by two different methods.

(i) By electrolysis, in a manner similar to the synthesis of pyrene and perylene perchlorate.<sup>8</sup> Controlled potential electrolysis at +1.03 V *vs.* sce of a 200 ml dichloromethane solution containing 1 mmol of (n-C<sub>4</sub>H<sub>9</sub>)<sub>4</sub>N[MS<sub>4</sub>C<sub>4</sub>(CN)<sub>4</sub>] and 2 mmol of perylene after a few hours yielded needle crystals on the anode surface. They were then removed, washed with dichloromethane and pentane, and dried.

(ii) By oxidation of perylene with iodine in the presence of (n-C<sub>4</sub>H<sub>9</sub>)<sub>4</sub>N[MS<sub>4</sub>C<sub>4</sub>(CN)<sub>4</sub>] according to the reaction



A dichloromethane solution containing 2 mmol of perylene was added to a solution of the same solvent containing 1 mmol of (n-C<sub>4</sub>H<sub>9</sub>)<sub>4</sub>N[MS<sub>4</sub>C<sub>4</sub>(CN)<sub>4</sub>] and a large excess of iodine. A portion of the solvent was slowly evaporated by gently heating and then let cool to room temperature. After 3-4 hr the complex was collected, washed, and dried.

Elemental analyses for the nickel complex obtained by both methods gave the stoichiometry indicated above. As an example, the results obtained on a batch prepared by method ii are given. Calcd for (C<sub>20</sub>H<sub>12</sub>)<sub>2</sub>[NiS<sub>4</sub>C<sub>4</sub>(CN)<sub>4</sub>]: C, 68.33; H, 2.86; N, 6.64; S, 15.20. Found: C, 68.02; H,

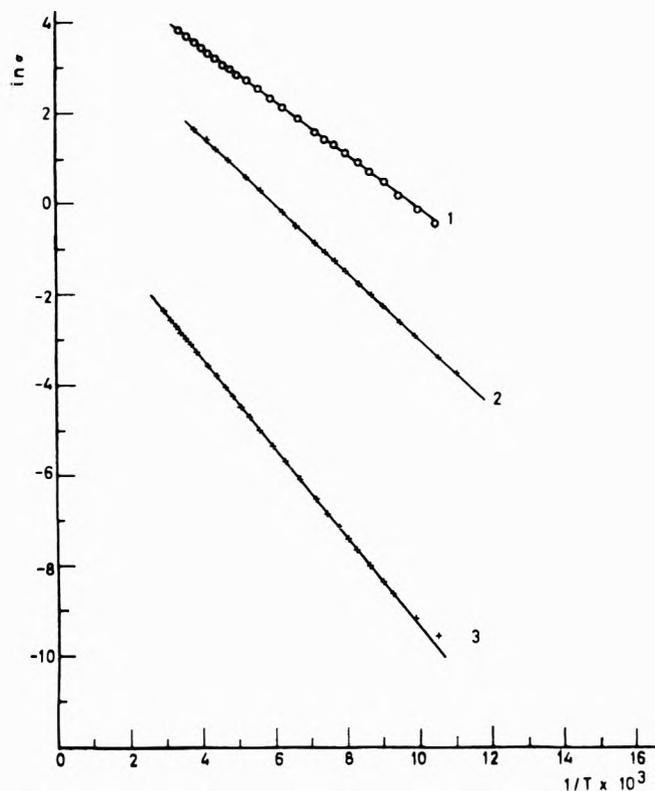


Figure 1. Temperature dependence of the electrical conductivity: (1)  $(C_{20}H_{12})_2NiS_4C_4(CN)_4$ , single crystal; (2)  $(C_{20}H_{12})_2CuS_4C_4(CN)_4$ , single crystal; (3)  $(C_{20}H_{12})_2PdS_4C_4(CN)_4$ , compressed pellet.

2.96; N, 6.57; S, 15.04. Method ii usually gave better yields but larger needles were obtained by i. The complexes are insoluble in most solvents, except nitrobenzene and acetonitrile. However, they cannot be recrystallized from either because the perylene positive ion tends to decompose even in degassed solutions of highly purified solvents.

Quantitative measurements of the epr spectra also were used to characterize these complexes. For  $(C_{20}H_{12})_2[NiS_4C_4(CN)_4]$  the epr spectra obtained in a nitrobenzene solution yield the absorptions characteristic of the ionic species  $[NiS_4C_4(CN)_4]^-$  ( $g = 2.063$ )<sup>7</sup> and  $(C_{20}H_{12})_2^+$  ( $g = 2.003$ ).<sup>9</sup> The integrated epr intensities of cation and anion signals corrected for the first-order decay rate of the unstable cation radical were compared to those of a standard solution of  $(n-C_4H_9)_4N[NiS_4C_4(CN)_4]$ . The intensities corresponded to one cation spin and one anion spin per formula weight of  $869 \pm 40$ . This is in good agreement with the composition  $(C_{20}H_{12})(C_{20}H_{12})^+ [NiS_4C_4(CN)_4]^-$  (FW = 843.72). In the solid state the complex  $(C_{20}H_{12})_2^+$  is likely to be found.

Further epr measurements were made on solutions of the copper and palladium analogs and agreed, within experimental error, with the general formula  $(C_{20}H_{12})(C_{20}H_{12})^+ [MS_4C_4(CN)_4]^-$ , where M = Cu or Pd. In the same manner as above, the intensities corresponded to one cation spin and one anion spin per formula weight of  $820 \pm 40$  for the copper complex (FW = 848.6) and  $930 \pm 40$  for the palladium complex (FW = 891.4). In the case of the copper complex, the monoanion was first reduced to the paramagnetic dinegative ion with *p*-phenylenediamine in both the standard and the sample.

TABLE I: Conductivity Parameters

Compound	Conductivity, $\sigma$ , at 20° ( $\Omega$ cm) <sup>-1</sup>	$\Delta E$ , eV
$(C_{20}H_{12})_2[NiS_4C_4(CN)_4]$ single crystal	50	0.102
$(C_{20}H_{12})_2[CuS_4C_4(CN)_4]$ single crystal	6	0.128
$(C_{20}H_{12})_2[PdS_4C_4(CN)_4]$ pellet	0.07	0.168

The dimensions of the unit cell were determined by X-ray diffraction methods for  $(C_{20}H_{12})_2[CuS_4C_4(CN)_4]$  giving  $c = 4.06$  Å,  $1/a^* = 15.11$  Å;  $1/b^* = 20.33$  Å;  $\gamma^* = 70.4^\circ$ ; with 1.5 formula units per unit cell, and a calculated density of 1.61 in good agreement with a measured density of  $1.62 \pm 0.01$  using flotation methods. At least one of the axes is doubled and the unit cell contains a multiple of three formula units. In the case of the nickel complex, due to the very small thickness of the needles, we could only estimate one of the axes to be 4.06 Å as in the copper analog.

*Electrical and Magnetic Properties in the Solid State.* Electrical conductivities, using a four probe method, were measured along the needle axis of single crystals of the nickel and copper complexes and on a compressed pellet of the palladium complex. The samples were mounted on epoxy plates and the contacts were made with silver paint. The sample holder was placed in a copper can surrounded by a heating element. This was put in a stainless steel cylinder and placed in a liquid nitrogen cryostat. An automatic arrangement was used which slowly increased or decreased the temperature of the sample. A constant current of order of 50  $\mu$ A was drawn through the sample while the voltage developed across the two sensing probes was being measured with a Cary vibrating reed electrometer, and plotted on an X-Y recorder against the output of a temperature-sensing copper-constantan thermocouple placed near the sample. Table I summarizes the results of the measurements of the conductivity parameters, according to the usual relation  $\sigma = \sigma_0 \exp(-\Delta E/2kT)$ . This is seen from Figure 1 to hold over the entire temperature range investigated, viz. from 77 to 300 K.

Magnetic susceptibility measurements, using a Faraday balance, gave a Curie-Weiss behavior for the nickel complex, with  $\theta = -60$  K and a magnetic moment of 2.2 BM. The room temperature epr spectrum of this compound in the polycrystalline form shows a broad single line with a  $g$  value of 2.012, the line width being of order of 600 G. In the copper complex the resonance consists of a single line with  $g = 2.020$ , 68-G wide at room temperature, which narrows to 55 G at 77 K. The behavior of the magnetic susceptibility and epr spectra as a function of temperature of the palladium complex, also in the polycrystalline form, suggests an exchange interaction between the anion and cation spins as well as a nearly temperature independent contribution to the susceptibility by the perylene molecular ions.

A detailed study of the magnetic properties of these complexes is in progress.

## Discussion

Based on the crystallographic data, electrical and magnetic properties, and on similarities between our compounds and other highly conductive complexes, such as the TCNQ salts,<sup>10,11</sup> we suggest that the structure of these perylene complexes consists of stacks of  $(C_{20}H_{12})_2^+$

complexes with the  $[\text{MS}_4\text{C}_4(\text{CN})_4]^-$  anions located between the columns.

As far as the electrical conductivity goes, these complexes behave, over the investigated temperature range, like simple intrinsic semiconductors consisting of a filled valence band and empty conduction band at 0°K. In the limit of low electronic density, *i.e.*, when the Fermi-Dirac distribution function can be substituted by the Boltzmann distribution, taking the effective masses of electrons and holes to be equal and considering the most common case of acoustic mode phonon scattering giving a mobility proportional to  $(kT)^{-3/2}$ , we have for the electrical conductivity

$$\sigma = \sigma_0 \exp(-E_g/kT)$$

where  $E_g$  is half the band gap. As mentioned above, this expression is in good agreement with the observed conductivities.

The applicability of the Curie-Weiss law to fit the magnetic susceptibility data for the nickel complex is certainly questionable. In solution we found two unpaired electrons, one in each ion, while in the solid, the magnetic moment calculated from  $\chi = C/(T + 60)$  is only 2.2 BM. This indicates strong interaction, either between the anion and cation or more likely within the cation system. The values of the effective magnetic moment calculated from the Curie law range from 2.01 BM at room temperature to 1.66 BM at 77 K.

These properties are consistent with a band model if we assume a band system due to a perylene linear lattice, and a set of localized or short-range extended states due to the anion lattice. The perylene set of bands with a relatively wide conduction band is responsible for the high conductivity and would also account for the strong interaction predicted from the susceptibility data on the nickel complex and the Pauli-type contribution to the susceptibility observed in our samples of the palladium com-

plex.<sup>12</sup> Such features of the band structure are associated with large overlap integrals between the molecular orbitals of neighboring perylene molecules.

A related ionic complex of tetrathiotetracene (TTT) with nickel thiete,  $\text{Ni}[\text{S}_4\text{C}_4(\text{CF}_3)_4]$ , (Nith), has been reported recently by Geiger.<sup>13</sup> In contrast with the complexes reported here,  $(\text{TTT})^+(\text{Nith})^-$  has an extremely low electrical conductivity at room temperature [ $\sigma \sim 10^{-10} (\Omega \text{ cm})^{-1}$ ].

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# Electron Spin Resonance Study of the Formation of Anion Radicals over Titanium Exchanged Y-Zeolite

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The state and the chemical reactivity of  $Ti^{3+}$  ions in Y-zeolite are characterized with electron spin resonance.  $Ti^{3+}$  ions tumble in the cavities of the hydrated zeolite, but do not in the dehydrated one. Oxygen or sulfur dioxide adsorbs on TiY to form the anion radicals ( $O_2^-$  or  $SO_2^-$ ) and the adsorption centers are  $Ti^{3+}$  ions. The reactivity of  $O_2^-$  toward various molecules is examined. The formation of O<sup>-</sup> radicals upon adsorption of  $N_2O$  is also suggested.

## Introduction

Synthetic zeolites have been used for catalysts in many reactions. Their catalytic activity depends strongly on the nature of exchangeable metal cations. Thus, it is no doubt that the metal cations play an important role in the development of the catalytic activity. In many cases, especially in carbonogenic reactions, Brønsted acid sites, which are raised with the introduction of the multivalent metal cations, are responsible for the catalytic activity.<sup>1</sup> Yet, in some cases, the metal cations themselves are believed to be active centers.<sup>1,2</sup>

Although the structure of the aluminosilicate framework has been determined, the chemical properties of metal cations and, more importantly, the role of metal cations in determining catalytic behavior have not been completely resolved. The spectroscopic methods are suitable for this purpose since they give us direct information on the behavior of metal cations. Electron spin resonance and electronic spectral studies for several metal cations have been reported so far,<sup>3-6</sup> but no work has been performed on titanium exchanged zeolites. Oxygen or sulfur dioxide adsorbs on partially reduced titanium dioxide to form the corresponding anion radicals and  $Ti^{3+}$  ions are postulated active centers for the charge transfer.<sup>7-11</sup> Thus, it seems interesting to know the behavior of  $Ti^{3+}$  ions in zeolites. In this work, electron spin resonance of  $Ti^{3+}$  ions is studied and the physical and chemical properties of  $Ti^{3+}$  ions will be discussed.

## Experimental Section

Titanium ion-exchanged zeolites (Ti-Y) were prepared by the conventional ion exchange of sodium form of Y-zeolites (SK-40) with  $Ti^{3+}$ ; Na-Y was immersed in an aqueous solution of  $TiCl_3$  (supplied by Toho-Titanium Co.) for several days and then filtered and washed thoroughly with water. Ti-Y thus obtained was stored under a nitrogen atmosphere. All procedures were carried out in a nitrogen atmosphere since Ti-Y is readily oxidized in air. The degree of exchange was determined by the gravimetric analysis of eluted sodium ions. Two samples with 4.2 and 10  $Ti^{3+}$  ions per unit cell were prepared. Adsorption of gases was carried out with a conventional vacuum apparatus. The sample tubes for esr measurements are quartz tubes 5 mm in diameter. ESR apparatus used was a

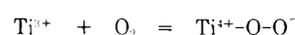
X-band spectrometer (Japan Electron Optics Laboratory JES-3BX) with the field modulation of 100 kHz.

## Results and Discussion

*Effect of Dehydration.* Ti-Y evacuated for 30 min at room temperature shows an isotropic spectrum with a  $g$  value of 1.945 and a line width of 35 Oe at room temperature (Figure 1a). The same sample shows an anisotropic spectrum when measured at 77°K (Figure 1b). The variation in line shape with measurement temperature indicates that  $Ti^{3+}$  ions in Y-zeolites have some freedom of tumbling at room temperature and that the freedom is lost at 77°K.  $Ti(H_2O)_6^{3+}$  in aqueous solution does not give esr absorption at room temperature since complete octahedral configuration leads to very rapid spin lattice relaxation. Since the esr of the  $Ti^{3+}$  ions in Y-zeolite is readily observable at room temperature as well as 77°K, it is clear that the configuration of ligands deviates from octahedral. The number of water molecules coordinated to  $Ti^{3+}$  ions may be less than six in zeolites.

When Ti-Y is evacuated at higher temperature (above 120°), it shows an anisotropic spectrum even at room temperature and the line shape measured at room temperature is same as that measured at 77°K (Figure 2a). This implies that  $Ti^{3+}$  ions do not tumble in dehydrated zeolites. This behavior of  $Ti^{3+}$  ions to adsorbed water is quite similar to that of  $Cu^{2+}$  ions in Cu-Y.<sup>3,5</sup> The samples evacuated over 120° showed a small peak at  $g = 2.002$  with a line width of 7 Oe. The origin of this absorption is unknown.

*Adsorption of Oxygen.* When Ti-Y was evacuated at 500° and contacted with oxygen of 10 mm pressure for 30 min and then evacuated at room temperature, the absorption signals with three  $g$  values ( $g_1 = 2.0196$ ,  $g_2 = 2.0089$ ,  $g_3 = 2.0031$ ) appeared as shown in Figure 2. Since the  $g$  values agree well with those of the oxygen species on partially reduced titanium dioxide<sup>7-10</sup> and the extent of anisotropy in  $O_2^-$  radicals is known to depend on the cationic charge of metal cations to which  $O_2^-$  radicals are attached,<sup>8</sup> we can conclude these new signals are caused by  $O_2^-$  ions attached to Ti nuclei. The reaction can be written as



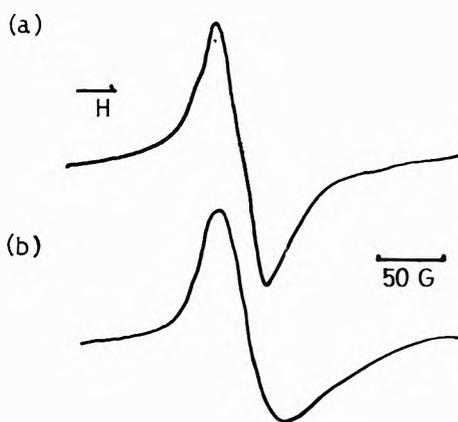


Figure 1. ESR spectra of hydrated Ti-Y measured at room temperature (a) and at 77°K (b).

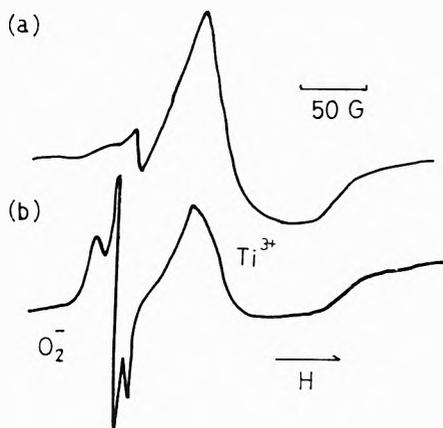
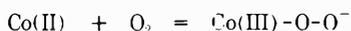


Figure 2. ESR spectrum of oxygen adsorbed on Ti-Y evacuated at 500° (a) and after O<sub>2</sub> adsorption (b).

The same type of superoxide ion formation has been demonstrated in the case of several cobalt complexes in solution.<sup>12,13</sup>



Many works have been reported on the formation of O<sub>2</sub><sup>-</sup> radicals in X- and Y-zeolites,<sup>14-16</sup> but the formation was always assisted by  $\gamma$ -ray or ultraviolet irradiation. This is the first evidence of O<sub>2</sub><sup>-</sup> radical formation in zeolite by a purely chemical reaction.

Känzig and Cohen<sup>17</sup> have derived a theoretical expression for the *g* values of O<sub>2</sub><sup>-</sup> radicals

$$g_{zz} = g_e + \frac{2\lambda}{(\Delta^2 + \lambda^2)^{1/2}}$$

$$g_{xx} = \frac{g_e \Delta}{(\Delta^2 + \lambda^2)^{1/2}} + \frac{\lambda}{E} \left[ \frac{\Delta + \lambda}{(\Delta^2 + \lambda^2)^{1/2}} - 1 \right]$$

$$g_{yy} = \frac{g_e \Delta}{(\Delta^2 + \lambda^2)^{1/2}} + \frac{\lambda}{E} \left[ \frac{\Delta - \lambda}{(\Delta^2 + \lambda^2)^{1/2}} + 1 \right]$$

where  $\lambda$  is the absolute value of the spin-orbital interaction constant for the O<sub>2</sub><sup>-</sup> radical,  $g_e$  is the *g* value of the free electron, and  $\Delta$  and  $E$  are the energies defined in Figure 3. Assuming  $\lambda/\Delta \ll 1$ , the following relations are obtained from experimental *g* values: ( $g_{zz} = 2.0196$ ,  $g_{yy} = 2.0089$ )  $\lambda/\Delta = 0.00865$  and  $\lambda/E = 0.0033$ . Taking  $\lambda = 0.014$  eV,<sup>14</sup> one obtains 1.62 and 4.2 eV for  $\Delta$  and  $E$ , respectively.

When the temperature of the adsorption and the following evacuation was raised to higher temperatures, the

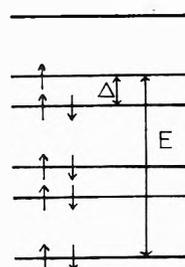


Figure 3. Energy levels of O<sub>2</sub><sup>-</sup>.

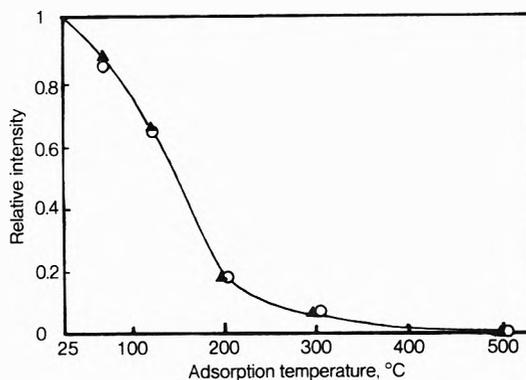


Figure 4. Effect of adsorption temperature on the ESR intensity of O<sub>2</sub><sup>-</sup> on Ti-Y containing 10 (O) and 4.2 ( $\blacktriangle$ ) Ti<sup>3+</sup> ions per unit cell.

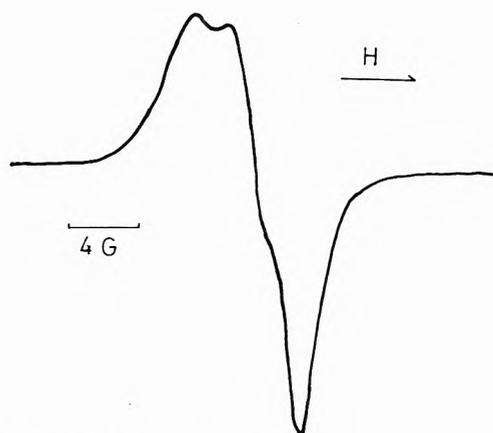
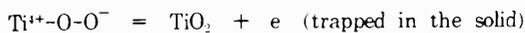


Figure 5. ESR spectrum of SO<sub>2</sub><sup>-</sup> on Ti-Y.

relative intensity of O<sub>2</sub><sup>-</sup> and Ti<sup>3+</sup> decreased. At 500°, both O<sub>2</sub><sup>-</sup> and Ti<sup>3+</sup> signals completely disappeared. This may be caused by the further oxidation of Ti<sup>4+</sup>-O-O<sup>-</sup> complexes to titanium dioxide.



The change in the relative intensity of O<sub>2</sub><sup>-</sup> radicals with adsorption temperature is illustrated in Figure 4. The behavior does not depend on the number of Ti<sup>3+</sup> ions in a unit cell.

**Adsorption of Sulfur Dioxide.** Admission of sulfur dioxide of 10 mm pressure at room temperature onto Ti-Y evacuated at 500° does not alter the ESR spectrum. When the system was heated at 70° for 30 min, the strong asymmetric signals with three *g* values ( $g_1 = 2.001$ ,  $g_2 = 2.002$ ,  $g_3 = 2.004$ ) appeared (Figure 5). Admission of 15 cm of SO<sub>2</sub> at room temperature also led to the development of the same signal. Mashchenko and coworkers<sup>11</sup> found the spectrum of  $g_{||} = 2.005$  and  $g_{\perp} = 2.001$  on the adsorp-

tion of sulfur dioxide on titanium dioxide and attributed it to  $\text{SO}_2^-$  radicals. Since the  $g$  values of our system are very close to those of  $\text{SO}_2\text{-TiO}_2$  system, we conclude that sulfur dioxide adsorbs on Ti-Y to form  $\text{SO}_2^-$  radicals.



When the system was heated to higher temperature, additional peaks appeared; for example, at  $300^\circ$ , seven peaks appeared at  $g = 2.001, 2.003, 2.005, 2.007, 2.011, 2.016,$  and  $2.022$ . These peaks may be caused by  $\text{SO}_2^-$  radicals which are located at several different sites. The formation of  $\text{SO}_2^-$  radicals are observed also when sulfur dioxide adsorbed on decationated Y-zeolites at  $300^\circ$ . The  $g$  values in this case were  $2.007, 2.003,$  and  $2.001$ .

**Reactivity of  $\text{O}_2^-$ .**  $\text{O}_2^-$  radicals were generated by the introduction of oxygen at room temperature to Ti-Y and the system was evacuated for 15 min. Then, ethylene, acetylene, 1-butene, or carbon monoxide was admitted to the system at room temperature and the change in the intensity of  $\text{O}_2^-$  signal was monitored with time. In each case,  $\text{O}_2^-$  intensity decreased with time without showing the development of new radical species. For acetylene, 60% of  $\text{O}_2^-$  radicals disappeared in 30 min and 30% of  $\text{O}_2^-$  radicals disappeared in 30 min on addition of ethylene or carbon monoxide.  $\text{O}_2^-$  showed much less reactivity toward 1-butene.

**Reaction of  $\text{SO}_2^-$  with Oxygen.** When sulfur dioxide was admitted to the dehydrated Ti-Y zeolites and evacuated at room temperature for 15 min, the system showed the characteristic spectrum of  $\text{SO}_2^-$ . When oxygen of 20 mm pressure was admitted to the system, the signal of  $\text{SO}_2^-$  disappeared and signals due to  $\text{O}_2^-$  appeared. When the system was evacuated, the intensity of  $\text{O}_2^-$  remained almost unchanged, but the signal of  $\text{SO}_2^-$  reappeared though the intensity was about  $\frac{1}{8}$  of the original.

**Reaction of Nitrous Oxide.** Ti-Y zeolite was evacuated at  $500^\circ$ , contacted with nitrous oxide for 30 min, and then evacuated at various temperatures. No appreciable change was observed in the esr spectrum below  $120^\circ$ . At  $200^\circ$ , however, the intensity of  $\text{Ti}^{3+}$  decreased to one-third of the original and, at the same time, the signal with  $g$  values of  $2.017$  and  $2.001$  developed. The origin of the radical is not yet clear. One possibility is  $\text{O}^-$  radicals formed

by the following reaction



The similar reaction of  $\text{N}_2\text{O}$  to form  $\text{O}^-$  is postulated for the decomposition of  $\text{N}_2\text{O}$  over  $\text{V}_2\text{O}_5$ <sup>18</sup> and  $\text{MgO}$ .<sup>19,20</sup> Shvets and Kazanskii<sup>21</sup> reported the formation of strong signals with  $g$  values of  $g_{\parallel} = 2.011$  and  $g_{\perp} = 2.002$  on the adsorption of nitrous oxide and subsequent irradiation of silica-supported titanium dioxide.

### Summary

The formation of  $\text{O}_2^-$  and  $\text{SO}_2^-$  radicals is clearly demonstrated in Ti-Y and the  $g$  values of esr signals of these anions are very close to those of the same anion radicals on titanium dioxide. This strongly supports the idea that the adsorption centers of titanium dioxide for these gases are trivalent titanium ions. The formation of  $\text{O}^-$  ions is also suggested.

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# Electron Paramagnetic Resonance and Electron–Nuclear Double Resonance Line Shape Studies of Trapped Electrons in $\gamma$ -Irradiated Deuterium-Substituted 10 M Sodium Hydroxide Alkaline Ice Glass

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The epr and endor line widths and line shapes for the trapped electron produced by  $\gamma$ -irradiation in alkaline ice glasses at 77 K have been studied both theoretically and experimentally as a function of matrix deuteration. The theoretical model is based on the trapped electron ( $e_t^-$ ) interacting equivalently with  $n$  nearest neighbor protons and deuterons. The interactions considered include (a) dipolar contributions between  $e_t^-$  and other radicals, (b) dipolar contributions between  $e_t^-$  and alkali metal nuclei, (c) dipolar interactions between  $e_t^-$  and nonnearest neighbor protons and deuterons, (d) dipolar interactions between  $e_t^-$  and nearest neighbor protons and deuterons, and (e) Fermi contact interactions with nearest neighbor protons and deuterons. The comparison of experimental and theoretical results allow us to set bounds on  $n$  and the Fermi contact coupling  $a$ , although unique values of  $a$  and  $n$  cannot be determined. It is also shown that the dipolar hyperfine coupling must be appreciable. Both theory and experiment show that the deviation of the line shape from Gaussian is a maximum near 80% deuteration. The experimental results also show that the line widths are dependent on sample preparation methods and that this dependence appears to be associated with the freezing rate at which the matrix is formed. A simple theoretical model suggests that at slower freezing rates there is a preference for OH over OD bond orientation toward  $e_t^-$ .

## I. Introduction

The well-studied electron paramagnetic resonance (epr) line of the trapped electron ( $e_t^-$ ) in  $\gamma$ -irradiated alkaline ice glasses (10 M NaOH) at 77 K consists of a single, structureless, Gaussian line.<sup>1,2</sup> The width of the line between points of maximum slope ( $\Delta H_{pp}$ ) narrows by a factor of 2–3 upon substitution of deuterium for hydrogen in the matrix, while substitution of various alkali metal ions gives smaller effects.<sup>3</sup> From these results, it has been concluded that water molecules comprise the first solvation shell of the trapped electron. The experimental data are consistent with a cavity model for the trapping site, such that oriented water dipoles provide part of the trapping potential. It is important to determine the geometry of the trapping site and much experimental<sup>1–4</sup> and theoretical<sup>5–12</sup> work has been directed to this end.

It would be most useful to determine the value of the isotropic Fermi contact hyperfine coupling ( $a$ ) of  $e_t^-$  to the nearest neighbor protons as well as the number ( $n$ ) of these protons. Several approaches have been used including epr in partially deuterated systems,<sup>3,4</sup> resolution enhancement of epr,<sup>13</sup> electron–nuclear double resonance (endor)<sup>14</sup> and electron–electron double resonance (eldor).<sup>15</sup>

In the present work, we have sought information on  $a$  and  $n$  by epr line widths, moments, and line shapes as well as the matrix endor line width as a function of

$$X = [D]/([H] + [D]) \quad (1)$$

where [D] and [H] are the number of deuterium and hydrogen atoms, respectively, in the sample. The results,

which are somewhat dependent upon the sample preparation method, may be interpreted reasonably well in terms of a simple model in which the trapped electron interacts equivalently with  $n$  nearest neighbor protons. We are able to set bounds on  $a$  and  $n$  which may serve as guides to further research.

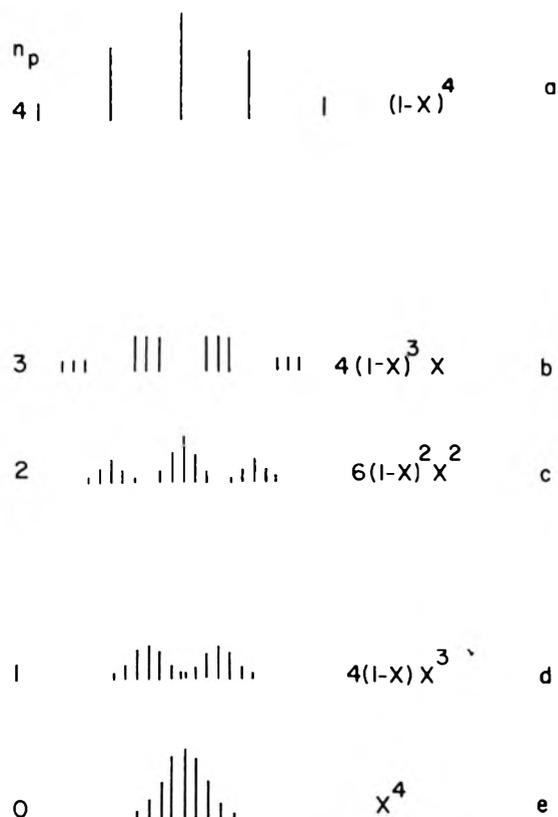
We note that a similar, but less complete, study has been carried out by Ershov, *et al.*,<sup>4</sup> on  $e_t^-$  in 10 M KOH aqueous glasses. Their results are different from ours on 10 M NaOH glasses and do not fit a simple quantitative model.

## II. Theory

*A. Description of the Model.* The epr spectrum of  $e_t^-$  in  $\gamma$ -irradiated 10 M NaOH glass is assumed to be due to an unresolved hyperfine multiplet due to  $n$  equivalent protons. Even though we are dealing with a disordered solid, we feel justified in assuming  $n$  equivalent protons in the hyperfine interaction because the electron presumably orients the molecular dipoles around it to produce a symmetrical molecular dipole arrangement in the first solvation shell.<sup>10</sup> The approximate spin Hamiltonian

$$\mathcal{H} = g\beta H_0 S_z + S_z \sum_{j=1}^n a_j I_{jz} \quad (2)$$

is assumed where  $g$  is taken to be isotropic and the rest of the symbols bear their usual meaning. The assumptions implicit in the spin Hamiltonian and their validity are discussed in a later section. The sum extends over the  $n$  nearest neighbor proton positions and these neighbors are assumed to be equivalent. All of the remaining terms in



**Figure 1.** Epr "stick" spectra for trapped electrons for (a)  $n_p = 4$ ,  $n_d = 0$ ; (b)  $n_p = 3$ ,  $n_d = 1$ ; (c)  $n_p = 2$ ,  $n_d = 2$ ; (d)  $n_p = 1$ ,  $n_d = 3$ ; and (e)  $n_p = 0$ ,  $n_d = 4$  where  $n_p$  ( $n_d$ ) is the number of nearest neighbor protons (deuterons) assuming that the total number of nearest neighbors is  $n = 4$ . The weighting of each hyperfine multiplet depends on  $X$  is indicated. There are five possible sites.

the Hamiltonian, which we discuss individually below, are supposed to contribute to the broadening of the lines. The shape of the broadened individual lines depends on the details of the Hamiltonian, but we show below that the line shape of the *envelope* is affected very little by the shape of the individual lines. The  $2nI + 1$  epr lines predicted by (2) are the classic isotropic lines of binomial intensity distribution separated by  $a$  and are illustrated by stick diagrams in Figure 1a for  $n = 4$ .

When  $X = 0$  (full protiation) all  $e_t^-$  reside in a trap site containing  $n$  protons and only spectra of the type shown in Figure 1a are produced. It is well known that the envelope of unresolved hyperfine multiplets approximates a Gaussian, thus agreeing with experiment. At  $X = 1$  (full deuteration) the situation is similar. Figure 1e shows the hyperfine multiplet due to the interaction of  $e_t^-$  with four deuterons. We assume that the structure of the matrix and trap site is unaltered as a function of deuteration, thus the hyperfine splittings at  $X = 1$  are simply related to those at  $X = 0$ .

At values of  $X$  other than 1 or 0 there will be a distribution of  $e_t^-$  in sites containing  $n_p$  protons and  $n_d$  deuterons where  $n_p + n_d = n$ . We refer to traps with different  $n_p$  as different "sites." There would be  $n + 1$  of these sites and the epr stick spectra for each of these sites are illustrated in Figure 1a-e for  $n = 4$ .

The resulting epr spectrum would be a superposition of the  $n + 1$  spectra, each spectrum weighted according to the probability that its corresponding site exists. To arrive at these probabilities, we assume that deuterons and pro-

tons occupy the available positions in the glass randomly. Thus, the probability of finding a site containing  $n_p$  protons and  $n_d = n - n_p$  deuterons, given that there are a total of  $n$  available neighbor positions, is

$$P(n_p) = \frac{n!}{n_p!n_d!} X^{n_d}(1-X)^{n_p} \quad (3)$$

A site containing  $n_p$  protons and  $n_d$  deuterons has  $(2n_d + 1)(n_p + 1)$  lines. The situation is illustrated in Figure 1 for  $n = 4$ . Five distinct sites are possible and the weighting of the sites is indicated in Figure 1.

The epr spectrum at a given value of  $X$  is obtained by summing all of the lines in each of the various hyperfine multiplets. The relative intensities in a given multiplet are the appropriate binomial intensities while relative intensities from multiplet to multiplet are determined by (3).

A total number of

$$N = \sum_{n_p=0}^n (2n_d + 1)(n_p + 1) = \frac{1}{6}(2n^3 + 9n^2 + 13n + 6) \quad (4)$$

lines are required. This corresponds to  $N = 55$  for  $n = 4$  (see Figure 1) and  $N = 285$  for  $n = 8$ . It should be noted that the line width of the component lines from one hyperfine multiplet to another at a given value of  $X$  are different (see section II-C). This precludes summing lines in different multiplets which lie at the same field position before the broadened lines are produced.

**B. Epr Moments.** The  $n$ th moment of an epr absorption line about an origin at field  $H_0$  is defined as

$$\langle H^n \rangle = \int_{-\infty}^{\infty} (H - H_0)^n Y(H) dH / \left[ \int_{-\infty}^{\infty} Y(H) dH \right] \quad (5)$$

where  $Y(H)$  is the amplitude of the epr absorption. For symmetric spectra, such as considered here,  $H_0$  is conveniently taken to be the center of the spectrum; thus the odd moments vanish. We are primarily interested here in the second moment, since it is the most accessible experimentally.

The physical origin of epr moments was treated in the classic article by Van Vleck.<sup>16</sup> For purposes of discussion of the present problem, it is convenient to divide the contributions to the epr moment into the following: (a) dipolar interactions between  $e_t^-$  and other radicals (including other  $e_t^-$ ), (b) dipolar interactions between  $e_t^-$  and alkali metal nuclei, (c) dipolar interactions between  $e_t^-$  and nonnearest neighbor protons and deuterons, (d) dipolar interactions between  $e_t^-$  and nearest neighbor protons and deuterons, and (e) Fermi contact interactions with nearest neighbor protons and deuterons.

The  $e_t^-$  yield is constant with  $X$  and it is assumed that the spin radius of  $e_t^-$  is not a function of  $X$ , thus a above yields a constant. In a given alkaline ice,  $b$  is independent of  $X$ , thus we sum a and b and any other contribution to the moments which are independent of  $X$  and denote the resulting second moment by  $S_0$ .

Dipolar contributions to the second moment of an epr line due to interactions with magnetic nuclei in a powder sample are given by<sup>16</sup>

$$\langle H^2 \rangle^D = \frac{4}{15} \hbar^2 \gamma_n^2 I(I+1) \left( \sum_j r_{ij}^{-6} \right) \quad (6)$$

where  $\gamma_n$  is the gyromagnetic ratio of the nucleus of spin  $I$  and  $r_{ij}$  is the distance from the electron to the nucleus.

The brackets enclosing the sum indicate that an average is to be taken over the spatial part of the wave function of the unpaired electron. The value of  $\langle H^2 \rangle^D$  depends on the distribution of magnetic nuclei. Consider a given distribution. If  $\langle H^2 \rangle_p^D$  denotes the value of (6) if protons are the interacting nuclei and  $\langle H^2 \rangle_d^D$  denotes the value of (6) if all protons are replaced by deuterons then

$$\langle H^2 \rangle_d^D = \alpha \langle H^2 \rangle_p^D \quad (7)$$

where

$$\alpha \equiv \frac{\gamma_d^2 I_d (I_d + 1)}{\gamma_p^2 I_p (I_p + 1)} = 0.0628 \quad (8)$$

For nonnearest neighbor protons, we approximate (6) by

$$\langle H^2 \rangle_p^D \approx \frac{4}{15} \hbar^2 \gamma_p^2 I_p (I_p + 1) \int_{a_0}^{\infty} \frac{4\pi r^2 \rho_D}{r^6} dr \quad (9)$$

where  $\rho_p$  is the volume density of protons and  $a_0$  is radius at which the nonnearest neighbor protons are considered to begin. Assuming a uniform density  $\rho_p = \rho_0(1 - X)$  where  $\rho_0$  is the proton density at  $X = 0$ , (9) integrates to give

$$\langle H^2 \rangle_p^D \approx S_{p_{nn}}(1 - X) \quad (10)$$

where

$$S_{p_{nn}} = \frac{16\pi\rho_0}{45a_0^3} \hbar^2 \gamma_p^2 I_p (I_p + 1) \quad (11)$$

is the second moment due to nonnearest neighbor protons at  $X = 0$ . Similarly  $\rho_d = \rho_0 X$  is the density of deuterons using the assumptions of random replacement and unaltered glass structure mentioned above. Thus

$$\langle H^2 \rangle^D = \langle H^2 \rangle_p^D + \langle H^2 \rangle_d^D = S_{p_{nn}}(1 - X) + \alpha S_{p_{nn}} X \quad (12)$$

where (7) has been employed. Expression 12 encompasses the second moment due to c above.

We use the results of Vincow and Johnson<sup>17</sup> to handle contributions d and e from nearest neighbor protons.

$$\langle H^2 \rangle_N^P = n_p [a^2/4 + (b_{xx}^2 + b_{yy}^2 + b_{zz}^2)/12] \quad (13)$$

where  $b_{xx}$ ,  $b_{yy}$ , and  $b_{zz}$  are the principal values of the dipolar hyperfine coupling tensor  $\mathbf{b}$  in the dipolar spin Hamiltonian

$$H = \sum_{j=1}^{n_p} \vec{S} \cdot \mathbf{b}_j \cdot \vec{I}_j \quad (14)$$

An expression similar to (13) holds for nearest neighbor deuterons, and summing nearest neighbor proton and deuteron contributions to the second moment gives

$$\langle H^2 \rangle_N = \langle H^2 \rangle_N^P + \langle H^2 \rangle_N^d = (n_p + \alpha n_d)(a^2/4 + b^2/4) \quad (15)$$

where

$$b^2 \equiv \frac{1}{3}(b_{xx}^2 + b_{yy}^2 + b_{zz}^2) \quad (16)$$

Summing the contributions to the second moment due to a-e above, we get

$$S(X, n_p) = (n_p + \alpha n_d)(a^2/4 + b^2/4) + S_{p_{nn}}[1 - (1 - \alpha)X] + S_0 \quad (17)$$

where  $S(X, n_p)$  denotes the second moment of the epr line due to  $e_i^-$  in a site with  $n_p$  nearest neighbor protons and  $n - n_p$  nearest neighbor deuterons at fractional deuteration  $X$  given by (1).

The second moment of the component lines in the hyperfine multiplets is given by

$$S'(X, n_p) = S(X, n_p) - \frac{a^2}{4}(n_p + \alpha n_d) \quad (18)$$

where we have subtracted the explicit contribution to the second moment due to Fermi contact interactions. The total second moment due to the superposition of the  $n + 1$  sites at a given  $X$  is

$$S(X) = \sum_{n_p=0}^n P(n_p) S(X, n_p) \quad (19)$$

Equation 19 can easily be shown to vary linearly with  $X$ .

*C. Epr Line Shapes and Line Widths.* The part of the second moment that "broadens" the component lines in each site is given by (18). The *line shape* depends on the nature of the broadening mechanism. For simplicity, we assume a normalized first derivative Gaussian line for the component lines for most of our spectral simulations which is given by

$$Y'(H) = \frac{2}{(\pi e)^{1/2} (\Delta H_{pp^c})^2} \left( \frac{H - H_0}{\Delta H_{pp^c}} \right) \exp \left\{ -2 \left( \frac{H - H_0}{\Delta H_{pp^c}} \right)^2 \right\} \quad (20)$$

where  $H$  is the field position,  $H_0$  is the resonant field of the particular component line, and  $\Delta H_{pp^c}$  is the width between points of maximum slope of the component line.<sup>18</sup> The expression (20) which gives the derivative of a Gaussian line is normalized such that the doubly integrated  $Y'(H)$  (the integrated intensity of the Gaussian) is unity.

To test the sensitivity of the final results to the chosen component line shape, we also used another line shape, the cut-off Lorentzian, given by

$$Y'(H) = \frac{8(3)^{1/2}}{\pi (\Delta H_{pp^c})^2} \left( \frac{H - H_0}{\frac{1}{2} \Delta H_{pp^c}} \right) \left\{ 3 + \left( \frac{H - H_0}{\frac{1}{2} \Delta H_{pp^c}} \right)^2 \right\}^{-2} \quad (21)$$

for  $|H - H_0| < c$ , and  $Y'(H) = 0$  elsewhere. The component line width is then related to the component second moment by the following

$$\Delta H_{pp^c} = 2 \left( S'(X, n_p) \right)^{1/2} \quad \text{Gaussian} \quad (22)$$

$$\Delta H_{pp^c} = \frac{\pi}{(3)^{1/2} c} S'(X, n_p) \quad \text{cut-off Lorentzian}$$

*D. Simulation Epr Spectra.* Simulation of the epr spectrum at a given value of  $X$  requires generation of  $N$  lines where  $N$  is given by (4), each centered at the appropriate resonant field and each having an amplitude determined both by (3) and its appropriately normalized binomial coefficient. The spectra were summed by computer and the envelope was plotted directly on an x-y recorder using a teledata coupler constructed in this laboratory.

### III. Experimental Section

*A. Sample Preparation and Irradiation.* Reagent grade NaOH and triply distilled water were used to prepare 10.5 M NaOH solutions. NaOD in D<sub>2</sub>O was obtained from Stohler Isotope Co. The concentration, determined by volumetric analysis using a standardized HCl solution, was found to be 14.1 M, and 10.5 M solutions were prepared with 99.8 D atom % D<sub>2</sub>O (Stohler). Deuteration in the

14.1 M NaOD was quoted to be at least 99 D atom %. Solutions were prepared containing 0-99 D atom % by appropriately mixing the above two solutions volumetrically. The atom percentage of protons for the most completely deuterated solution was found to be on the order of 1% by high resolution nmr. Great care was exercised to prevent atmospheric water vapor from contaminating the deuterated solutions.

Soon after the solutions were mixed, one series of samples were prepared for irradiation and analysis at 77 K by dropping 5- $\mu$ l drops of the solution into liquid nitrogen using a microliter syringe. The drops required several seconds to freeze and drop to the bottom of the liquid nitrogen dewar in the form of clear glassy spheres. Another series of samples were prepared by rapidly freezing the solutions in 4-mm o.d. Spectrosil quartz tubes.

Irradiations were carried out at 77 K in a U. S. Nuclear  $^{60}\text{Co}$   $\gamma$ -irradiator at a dose rate of 0.28 Mrad/hr as determined by ferrous sulfate dosimetry.

**B. Epr and Endor Measurements.** The epr measurements were made on a Varian-4500 spectrometer in the low power bridge configuration at 73 K as described before.<sup>19</sup> 100-kHz field modulation was employed. The modulation amplitude was less than one twentieth of the peak-to-peak line width in all of the measurements to avoid modulation broadening. The rotating component of the microwave magnetic field was  $H_1 = 0.014$  G which is in the linear portion of the saturation curve. Saturation curves were obtained as described previously. Line shape and moment analysis were carried out as outlined in Poole.<sup>18</sup>

Endor measurements were made on a Varian E-700 spectrometer which has been described elsewhere.<sup>20</sup> A liquid nitrogen insertion dewar was constructed of Spectrosil quartz to fit the V-4535 large access endor cavity. Helium gas was bubbled through liquid nitrogen to prevent bubbling in the cavity and to lower the temperature to 73 K.

#### IV. Results

**A. Epr Measurements.** The epr spectrum of  $\gamma$ -irradiated 10 M NaOH glass at 73 K consists<sup>1</sup> of a sharp symmetrical line at  $g = 2.001$ , which has been shown to be due to  $e_t^-$ , and broad asymmetric lines at  $g_{11} = 2.07$  and  $g_{11} = 2.002$  due to  $\text{O}^-$ . Low doses used in this work ensure that the lines due to  $\text{O}^-$  are small. A small signal is produced in the quartz tubes which is subtracted. Conveniently measurable quantities in an unresolved experimental epr line include,  $\Delta H_{pp}$ ,  $\langle H^0 \rangle$  (the area),  $\langle H^2 \rangle$ ,  $\langle H^4 \rangle$ , the peak-to-peak intensity of the first derivative curve ( $V_{pp}$ ), as well as other "line shape parameters."

**1. Dependence of the Epr on Sample Preparation Method.** The epr spectrum of the trapped electron in alkaline ice has been discussed by many authors and although the  $g$  value has been generally reported to be  $g = 2.001$ , the line width data have been scattered outside experimental error from one author to another.<sup>1,2</sup> In addition, some of our early results in the deuterium-substituted series were incompatible with results reported by Ershov, *et al.*<sup>2,3</sup> Furthermore, resolved hyperfine coupling has been reported by several authors<sup>13,21</sup> under some conditions, but our attempts to repeat one of the experiments yielded no resolved spectrum.<sup>14</sup> These facts led us to suspect that differences in sample preparation might be responsible for the discrepancies.

To test this possibility three types of samples were prepared. One consisted of glassy spheres and another of

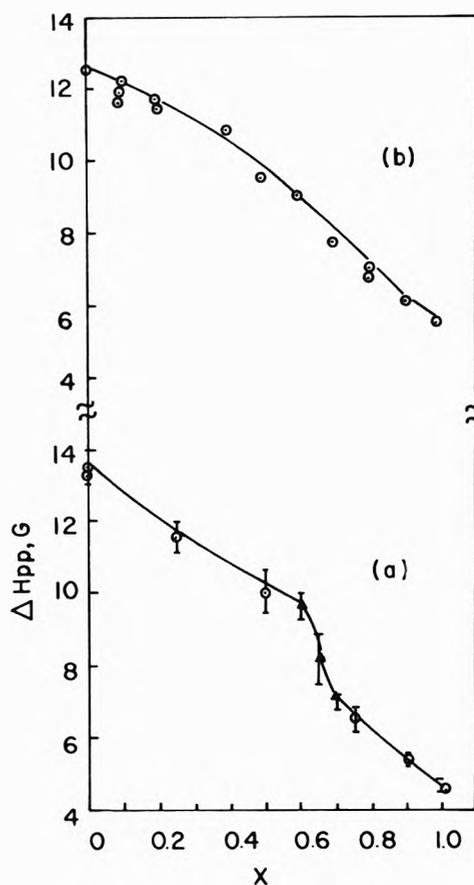


Figure 2. Epr line width  $\Delta H_{pp}$  vs. deuteron atom fraction  $X$  for  $e_t^-$  in  $\gamma$ -irradiated 10 M NaOH glasses at 73 K. The samples were (a) in the form of frozen spheres or (b) enclosed in quartz tubes. The curves are smooth curves drawn through the data points.

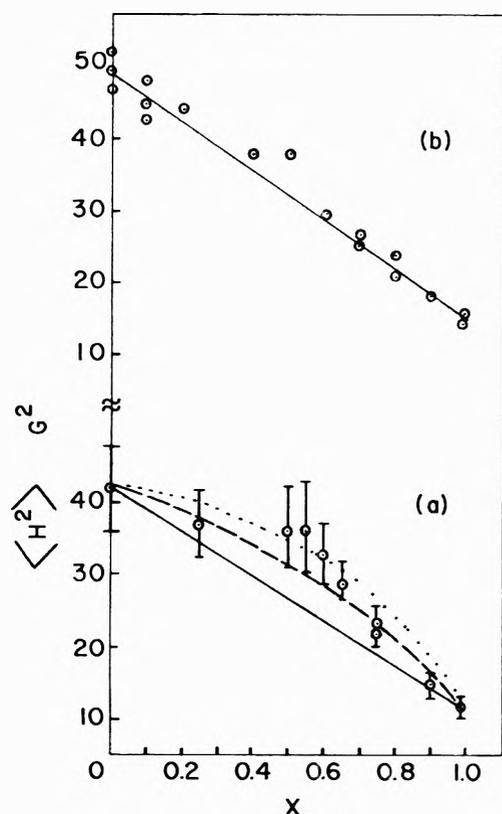
TABLE I: Epr Line Widths of  $e_t^-$  in 10 M NaOH and 10 M NaOD Glassy Ice at 77 K

Sample <sup>a</sup> type	$\Delta H_{pp}$ , <sup>b</sup> G ( $X = 0.99$ )	$\Delta H_{pp}$ , <sup>b</sup> G ( $X = 0$ )
Spheres	4.7	13.4
Quartz tubes	5.5	12.6
Quartz tubes annealed	6.9	12.5

<sup>a</sup> See text for details of preparation. <sup>b</sup> Errors  $\pm 0.1$  G.

glassy cylinders enclosed in quartz tubes as described in the Experimental Section. The third type of sample was identical with the sample contained in quartz tubes except that the glassy sample was annealed at a temperature of 123 K for 5 min and was then recooled to 77 K. The samples were irradiated and studied under identical conditions. Table I gives  $\Delta H_{pp}$  for the three types of samples for  $X = 0$  and  $X = 0.99$ , and it is noted that the line width differences are indeed outside experimental error. Apparently a detailed account of the sample preparation scheme is necessary in this system, even if the form of the sample is the same, if meaningful comparisons between the experimental work of various authors are to be made.

We assume that part of the differences in Table I reflect differences in the dispersion of trapping potentials throughout the sample. That there is a dispersion is generally accepted based on the breadth of the optical absorption line due to  $e_t^-$  in the alkaline ice glass. In addi-



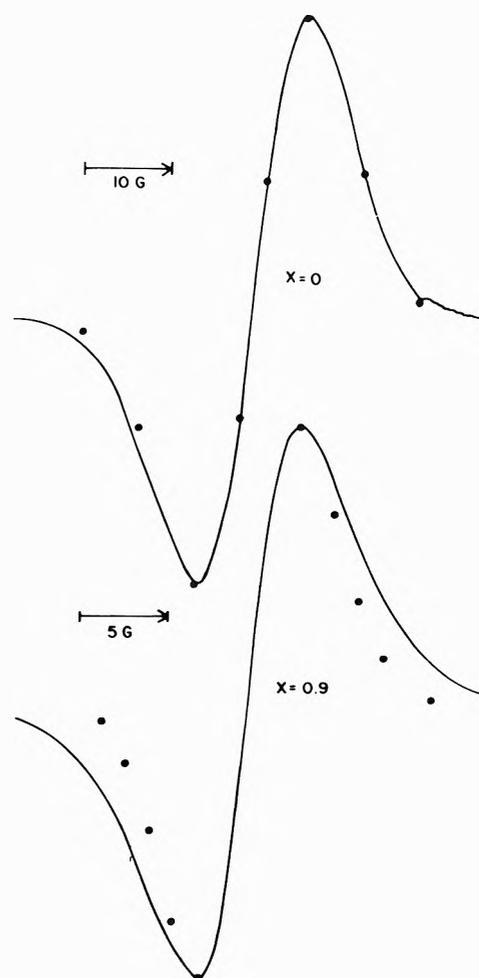
**Figure 3.** The epr second moment  $\langle H^2 \rangle$  vs. deuteron atom fraction  $X$  for  $e_t^-$  in  $\gamma$ -irradiated 10 M NaOH glasses at 73 K. The samples were (a) in the form of frozen spheres or (b) enclosed in quartz tubes. The straight lines are theoretically expected if random replacement of protons by deuterons occurs. The curved lines in a correspond to  $\delta = 3$  (---) and  $\delta = 10$  (....) see text.

tion, we discuss a mechanism that involves dipole rotation which depends on the freezing rate. We proceed on the assumption that, given a sample preparation scheme, the dispersion of trapping potentials is reproducible and does not depend on  $X$ .

2. *Epr Line Widths.* The line width is the most easily measured and reproducible property of an unresolved epr line. The signal in the quartz tube interfered with the peak in the derivative curve on the low-field side so the high-field side was measured and doubled.

Figure 2 shows  $\Delta H_{pp}$  as a function of  $X$  for  $e_t^-$  in  $\gamma$ -irradiated 10 M NaOH in samples prepared as frozen spheres (Figure 2a) and in samples prepared in quartz tubes (Figure 2b). The behavior of  $\Delta H_{pp}$  vs.  $X$  for  $e_t^-$  depends on the sample preparation method. Note the unusual kink in Figure 2a at  $X \approx 0.65$ . There is a less prominent kink in Figure 2b at  $X \approx 0.5$ . The measurements in Figure 2a marked with different symbols were made with different samples on different days and the two measurements shown at  $X = 0.75$  illustrate the reproducibility. Two experimental points at  $X = 0.75$  in Figures 3a, 5a, and 6a are given for the same reason.

3. *Epr  $\langle H^0 \rangle$ .* The area under the integrated first derivative epr line is next in order of difficulty of accurate measurement. The area is proportional to the number of spins in the sample, the proportionality constant being a complicated function of microwave power, spectrometer gain, sample placement, etc. One series of measurements were made carefully avoiding changes in the above factors as much as possible and, within experimental error, the yield

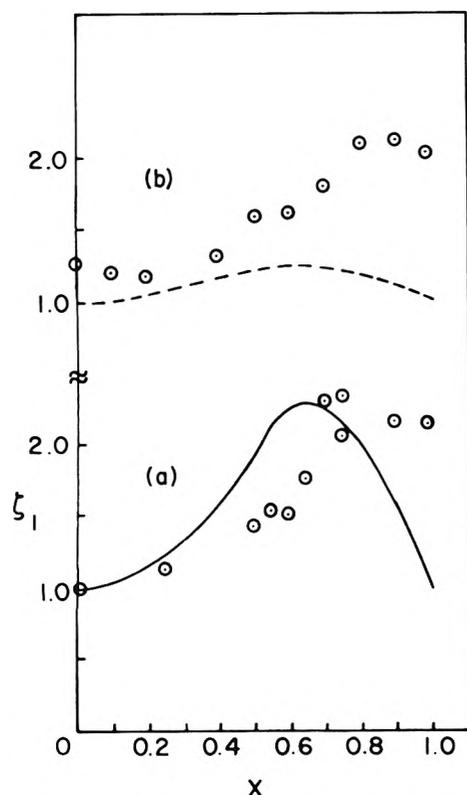


**Figure 4.** X-band epr spectra of  $e_t^-$  in  $\gamma$ -irradiated 10 M NaOH at 73 K at  $X = 0$  and 0.9. The samples were enclosed in quartz tubes. Note that the magnetic field width is twice as large in the upper trace. The dots correspond to Gaussian curves that are adjusted to have the experimental line widths. Note that at  $X = 0$ , the experimental curve is quite Gaussian while at  $X = 0.9$  there is a departure of the experimental curve from Gaussian.

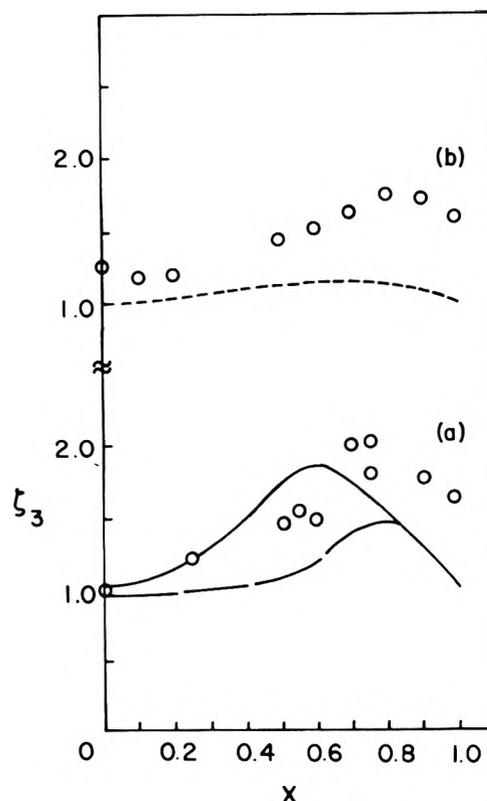
of  $e_t^-$  is constant as a function of  $X$ . Note that, as we show below, the shape of the curve changes as a function of  $X$  and it is not sufficient to compute  $\Delta H_{pp}^2 V_{pp}$  to evaluate relative yields; the double integration must be carried out.

4. *Epr Moments.* The second moment of the epr line width is a difficult accurate measurement; the fourth moment is worse. Figure 3 shows the second moment as a function of  $X$  for the two types of samples. It is most interesting that the variation of  $\langle H^2 \rangle$  with  $X$  is not linear in the spherical samples (Figure 3a), but that it is linear in the quartz tube samples (Figure 3b).

5. *Epr Line Shapes.* Figure 4 shows the epr spectra of  $e_t^-$  at  $X = 0$  and  $X = 0.9$ . A Gaussian shape is indicated such that the line width of the experimental curve matches that of the Gaussian. Visually, it is noted that the spectrum at  $X = 0$  is indeed well approximated by a Gaussian curve and that at  $X = 0.9$  significant deviations from a Gaussian shape occur. Visual comparison of experimental curves over the whole range of  $X$  shows that at small  $X$  the deviations are small and at intermediate  $X$  the deviations are larger. We do note, in contrast with the Gaussian shape reported for  $e_t^-$  in 10 M KOH at  $X = 1$ ,<sup>4</sup> that the line in 10 M NaOH deviates noticeably from



**Figure 5.** Experimental values of  $\zeta_1$  vs.  $X$  for  $e_t^-$  in  $\gamma$ -irradiated 10 M NaOH in (a) spherical sample form and (b) samples enclosed in quartz tubes. Examples of theoretical variation of  $\zeta_1$  vs.  $X$  are shown where the parameters in Table II corresponding to 10 M NaOH (quartz tube) are used with  $n = 4$ ,  $a = 2.3$  G, and  $S_{p_{nn}} = 0$  (---) and where the parameters in Table II corresponding to 10 M KOH were used with  $n = 4$ ,  $a = 0.99$  G and  $S_{p_{nn}} = 0$  (—).



**Figure 6.** Experimental values of  $\zeta_3$  vs.  $X$  for  $e_t^-$  in  $\gamma$ -irradiated 10 M NaOH in (a) spherical samples and (b) samples enclosed in quartz tubes. Examples of the theoretical variation of  $\zeta_3$  vs.  $X$  are shown where the parameters in Table II corresponding to 10 M NaOH (quartz tubes) are used with  $n = 4$ ,  $a = 2.3$  G,  $S_{p_{nn}} = 0$  (---) and where the parameters in Table II corresponding to 10 M KOH are used with  $n = 4$ ,  $a = 0.99$  G, and  $S_{p_{nn}} = 0$  (—) and with  $n = 8$ ,  $a = 0.2$  G, and  $S_{p_{nn}} = 0$  (—).

Gaussian even at  $X = 0.99$ . Also, the line is reproducibly found to be slightly asymmetric.

In order to be more quantitative, we define the three quantities

$$\zeta_1 \equiv 4\langle H^2 \rangle [\Delta H_{pp}^2]^{-1} \quad (23)$$

$$\zeta_2 \equiv 3\langle H^2 \rangle^2 [\langle H^4 \rangle]^{-1} \quad (24)$$

$$\zeta_3 \equiv 2\langle H^0 \rangle [1.03V_{pp}\Delta H_{pp}^2] \quad (25)$$

Each of the above quantities is defined in such a way that they are unity for a Gaussian curve. Note that  $\zeta_3$  is the easiest of the three to measure and that  $\zeta_2$  is the most difficult.

In Figures 5 and 6 we present the experimental variation of  $\zeta_1$  and  $\zeta_3$  as a function of  $X$ . The deviation of both quantities from unity reflects the visual deviation from Gaussian. It is remarkable that the profile of the two parameters is so similar. A meaningful variation of  $\gamma_2$  was not experimentally possible.

In the spherical samples, the deviation from Gaussian peaked at about  $X = 0.75$  while the samples in the quartz tubes showed maximum deviation at somewhat higher values of  $X$ .

**B. Endor Measurements.** We have discussed the endor of  $e_t^-$  in 10 M NaOH previously.<sup>14</sup> Figure 7 shows the full-width at half-height of the matrix endor line due to  $e_t^-$  as a function of  $X$ . Fast passage saturation curves run at 100 kHz at several values of  $X$  showed that the relaxation times are not strongly dependent upon  $X$ , thus all of

the endor experiments were run at the same value of the microwave power such that  $H_1 = 5.6 \times 10^{-2}$  G as before.<sup>14</sup> The endor signal intensity falls off as expected as  $X$  increases, such that the signal-to-noise ratio approaches 1 at  $X = 0.99$ .

**C. Simulation of Epr Spectra.** 1. *Epr Spectra at  $X = 0$  and  $X = 1$ .* Study of the epr spectra of  $e_t^-$  at  $X = 0$  and  $X = 1$  yields bounds on the values of  $a$  and  $n$ . In calculating a spectrum, there are five quantities involved:  $n$ ,  $a$ ,  $b$ ,  $S_{p_{nn}}$  and  $S_0$  (see eq 17). Measurement of the second moments at  $X = 0$  and  $X = 1$  (which are equal to  $S(0, n)$  and  $S(1, 0)$ , respectively) reduces the number of independent quantities to three. The value of  $S_0$  follows directly.

$$S_0 = \frac{S(1, 0) - \alpha S(0, n)}{1 - \alpha} \quad (26)$$

We use the fact that all the probabilities in (3) except one are zero, and note that  $n_p = n$  and 0 at  $X = 0$  and 1 respectively for the two nonvanishing probabilities. Also, eq 27 relates one of the quantities to the other three. The right-hand sides of (26) and (27) are determined experimentally.

$$n\left(\frac{a^2}{4} + \frac{b^2}{4}\right) + S_{p_{nn}} = \frac{S(0, n) - S(1, 0)}{1 - \alpha} \equiv R^2 \quad (27)$$

Table II gives the values of the pertinent quantities for the two types of 10 M NaOH samples, as well as these quantities for  $e_t^-$  in 10 M KOH taken from line widths and second moments reported by Ershov, *et al.*<sup>4</sup> It is eq 27

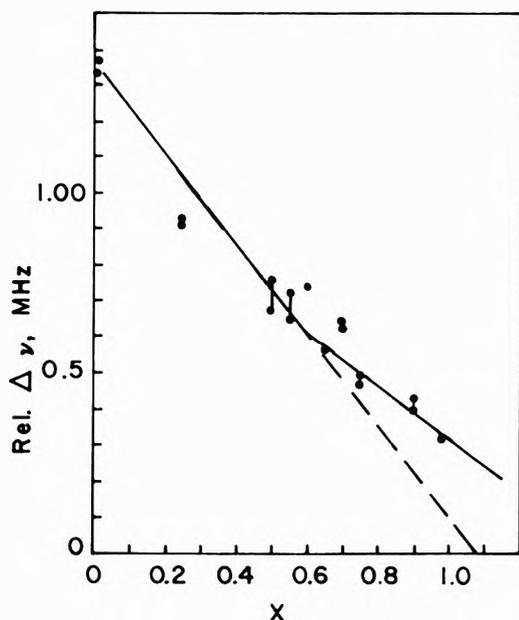


Figure 7. Matrix endor line width vs.  $X$  for  $e_t^-$  in 10 M NaOH at 73 K. The samples were of spherical form.

that yields bounds on  $n$  and  $a$ . This is nicely illustrated by plotting the locus of points satisfying (27) on a plot of  $a$  vs.  $b$ ; such a plot is shown in Figure 8. Note that the ordinate and abscissa are essentially the isotropic and the anisotropic<sup>22</sup> contributions to the hyperfine coupling of each nearest neighbor proton to the  $e_t^-$ . Results for  $n = 4, 6,$  and  $8$  are given for  $S_{p_{nn}} = 0$  and  $S_{p_{nn}} = 0.1S(0, n)$ , respectively. The latter value was arrived at as an upper limit for the nonnearest neighbor contributions to the proton dipolar coupling by substituting reasonable values into (11).

The curves show that the largest possible values of  $a$  are values of  $a$  where the curves intersect the ordinate for a given  $n$  and  $S_{p_{nn}}$ . These maximum values of  $a$  are given in Table II for the three systems. It is impossible to produce a line (of any shape) having the observed second moment at  $X = 0$  with larger values of  $a$  than these.

The experimental observation that at  $X = 0$  the spectrum is completely unresolved leads to another conclusion as well. We define, following Lebedev, *et al.*<sup>23</sup>

$$\beta \equiv \Delta H_{pp}^c / a \quad (28)$$

where  $\Delta H_{pp}^c$  is the component line width in a hyperfine multiplet and  $a$  is the hyperfine spacing. At  $X = 0$ ,  $a^2 = 4(S_0 + R^2)/(B^2 + n)$ . It has been shown that a hyperfine multiplet is partially resolved unless  $\beta > 1.4$  for Gaussian or  $\beta > 1.2$  for Lorentzian component shapes.<sup>23</sup> Accordingly, on each family of curves in Figure 8 there is a horizontal line indicating  $\beta = 1.4$ . Thus values of  $a$  larger than those indicated by the horizontal lines are inconsistent with experiment. The upper limits for  $a$  such that  $\beta = 1.4$  are denoted  $a_{\beta=1.4}$  and are tabulated in Table II. For a given  $S_{p_{nn}}$ ,  $b$  can be found for sets of  $a$  and  $n$ . Table II gives  $b_{\beta=1.4}$  assuming  $S_{p_{nn}} = 0$ . Thus, Ershov, *et al.*'s,<sup>4</sup> conclusion from line shape analysis that  $n = 8$  and  $a = 5.1$  G and Yoshida, *et al.*'s,<sup>15</sup> conclusion from endor studies that  $n = 8$  and  $a = 5.7$  G are both somewhat inconsistent. The above considerations require only that the epr spectrum be a hyperfine multiplet of  $2n + 1$  lines having a given second moment to be unresolved.

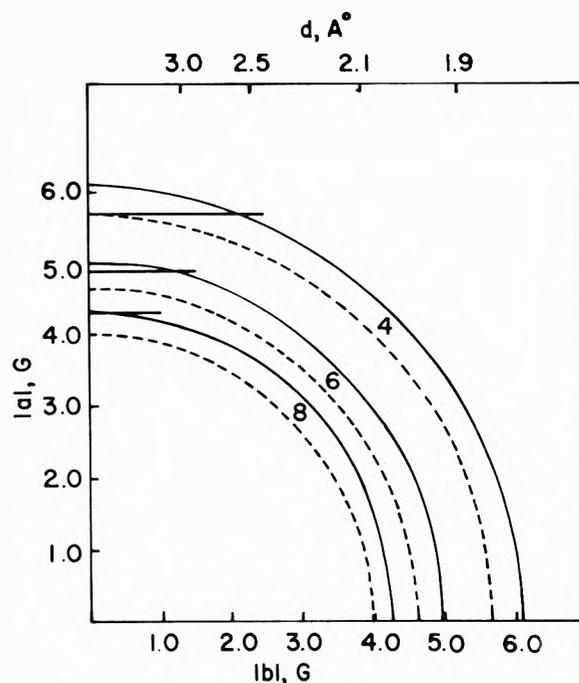


Figure 8. Locus of points satisfying eq 27 using data from Table II corresponding to 10 M NaOH (quartz tubes). The values of  $n = 4, 6,$  and  $8$  are indicated on the figure. The solid lines are computed using  $S_{p_{nn}} = 0$  and the broken lines correspond to  $S_{p_{nn}} = 0.1S(0, n)$ . The horizontal lines at  $a = 5.7, 4.9,$  and  $4.3$  G denote the value of  $a$  such that  $\beta = 1.4$ . The values of  $d$ , the distance from  $e_t^-$  to the nearest proton computed using a point dipole approximation, are indicated along the top of the figure.

TABLE II: Experimental Values of  $S_0$ ,  $R^2$ ,  $a_{\max}$ ,  $a_{\beta=1.4}$ , and  $b_{\beta=1.4}$  for  $e_t^-$  in Alkaline Ices at 77 K

Sample	$S_0$ , G <sup>2</sup>	$R^2$ , G <sup>2</sup>		$a_{\max}$ , G	$a_{\beta=1.4}$ , G	$b_{\beta=1.4}$ , G
10 M KOH <sup>a</sup>	2.5	40.9	$n = 4$	6.4	5.4	3.4
			$n = 6$	5.2	4.7	2.2
			$n = 8$	4.5	4.2	1.6
10 M NaOH spheres	9.3	35.8	$n = 4$	6.0	5.5	2.4
			$n = 6$	4.9	4.8	1.1
			$n = 8$	4.2	4.2 <sup>b</sup>	0
10 M NaOH quartz tubes	10.5	37.4	$n = 4$	6.1	5.7	2.2
			$n = 6$	5.0	4.9	1.0
			$n = 8$	4.3	4.3 <sup>b</sup>	0

<sup>a</sup> Reference 2. <sup>b</sup>  $a_{\max} < a_{\beta=1.4}$ .

Further appreciation of Figure 8 may be gained by turning to a physical picture of the  $e_t^-$  site. All models now seriously being considered envision the electron to be trapped in a "cavity" and to be surrounded by partially oriented water molecules. That most of the inhomogeneous broadening is due to hyperfine interaction with water protons is irrefutable, but the important question of the relative importance of the isotropic and of the anisotropic parts of the interaction has not received much attention. That the latter may be significant is amply demonstrated in Figure 8 where we observe that a significant value of  $b$  is required regardless of the value of  $n$ . Further, if we estimate  $b$ , using a simple dipole approximation, from (6), we find  $|b_{xx}| = 25d^{-3}$  G where  $d$  is the distance from the center of the cavity to the nearest proton in Å and  $|b_{xx}|$  is in gauss. Now  $b = (2)^{1/2} b_{xx}$  and the corresponding value of  $d$  is indicated on the top of Figure 8 for reference. We have noted that the 5–6 G number mentioned for  $a$  is impossible for  $n = 6$  or  $8$ . Now, we note

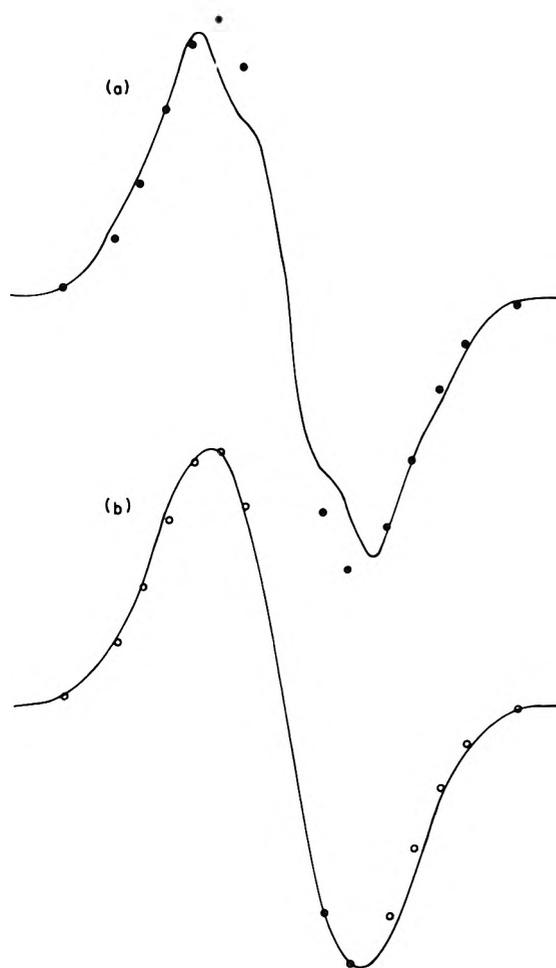


Figure 9. Simulated epr spectra at  $X = 0$  (solid lines) assuming  $n = 4$  using the data in Table II corresponding to 10 M KOH: (a)  $a = 5.74$  G, (b)  $a = 5.0$  G. The dots indicate a Gaussian curve of equal second moment to the simulated spectra.

that  $a = 5$  G for  $n = 4$  requires  $d \approx 2.1$  Å. Observe that  $d = 2$  Å is larger than most models indicate<sup>10</sup> and that carrying out the average in (6) correctly over the electronic wave function would lead to even larger values of  $d$  for a given  $b$ . The inescapable point is that the anisotropic part of the hyperfine coupling will be significant in any reasonable cavity mode.

The above considerations ignore the details of the composite epr line. Now, we turn to the computer simulation of the spectra at  $X = 0$ . Figure 9 shows examples of the results where Figure 9a gives a spectrum using  $n = 4$ ,  $a = 5.74$  G (thus  $\beta = 1.2$ ) and Figure 9b gives a spectrum using  $n = 4$ ,  $a = 5.0$  G ( $\beta = 1.7$ ). A Gaussian curve of equal second moment and area is overlaid in each case for comparison and the fit can be visually noted to be much better in Figure 9b. Direct computation of  $\zeta_1$ ,  $\zeta_2$ , and  $\zeta_3$  was carried out for the curves in Figure 9 and others with different  $a$ ; the results are shown in Figure 10 where  $\zeta_1$ ,  $\zeta_2$ , and  $\zeta_3$  are plotted as a function of  $a$ . All three quantities converge toward unity as  $a$  diminishes as expected, since the curve becomes more Gaussian. The large deviations from unity at the right-hand side of Figure 10 occur only when the composite curve nears the point of being resolved. Similar curves are produced using a cut-off Lorentzian component line shape except for very small  $a$  because there the composite curve takes the shape of the component curve. The fact that the observed curve is

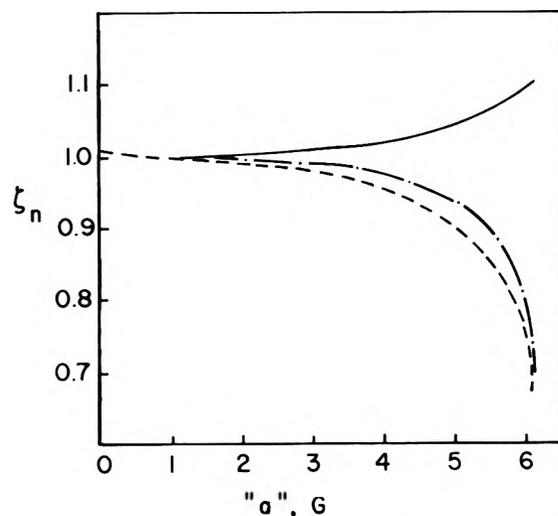


Figure 10. The values of  $\zeta_1$  (---),  $\zeta_2$  (—), and  $\zeta_3$  (-·-·-) computed at  $X = 0$  vs. the isotropic component of the hyperfine coupling constant of  $e_1^-$  to nearest neighbor protons. The curves were computed for  $n = 4$  using the data in Table II corresponding to 10 M NaOH samples in quartz tubes. The definitions of  $\zeta_1$  and  $\zeta_3$  are given in eq 23–25 and are defined such that all three parameters are unity for a Gaussian shaped line.

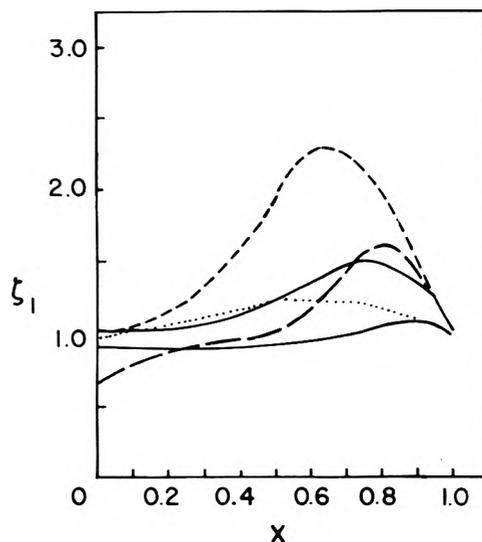
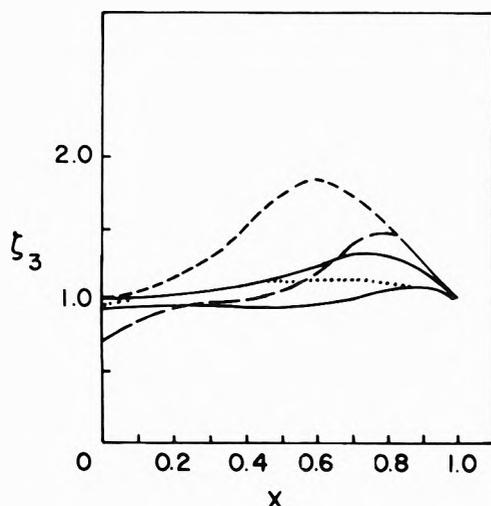


Figure 11. The values of  $\zeta_1$  vs.  $X$  are given for  $n = 4$ ,  $a = 0.99$  G (---);  $n = 4$ ,  $a = 5.7$  G (—);  $n = 8$ ,  $a = 0.24$  G, upper solid line;  $n = 8$ ,  $a = 4.2$  G, lower solid line. All of the above were computed for  $S_{p,nn} = 0$  using data in Table II for 10 M KOH. The value of  $\zeta_1$  vs.  $X$  for  $n = 4$ ,  $a = 2.3$  G (· · · · ·) was computed using the data in Table II for 10 M NaOH (quartz tubes). The deviation from Gaussian is given by the departure of  $\zeta_1$  from unity. Note that the deviation is larger for smaller values of  $a$  and for smaller values of  $n$ . The deviation is larger for 10 M KOH than for 10 M NaOH (quartz tube) samples.

Gaussian precludes the possibility that both  $a$  is small and the composite line shape is not Gaussian. Curves similar to Figure 9 are found for  $n = 6$  and  $n = 8$  except that they converge much more quickly to unity.

Considerations similar to the above may be made for the spectrum at  $X = 1$ . It is found that a Gaussian shape line is produced at  $X = 1$  using any consistent set of values  $a$  and  $n$  that are in the "accessible" range of Figure 8. Thus one learns very little from the fact that a Gaussian is produced at  $X = 1$ .

2. Epr Spectra at  $X \neq 0$ . Now that we have established bounds on the values of  $n$ ,  $a$ , and  $b$  from the  $X = 0$  spec-

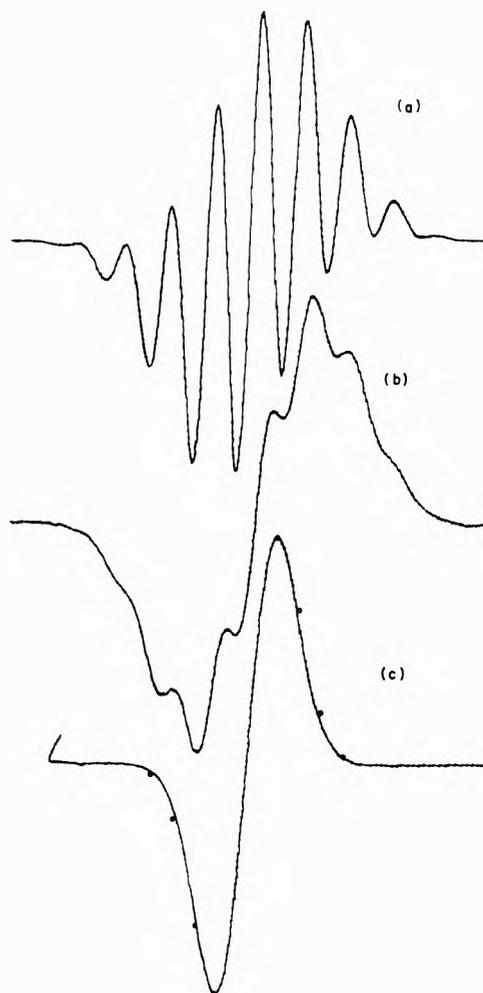


**Figure 12.** The values of  $\zeta_3$  vs.  $X$  are given for  $n = 4$ ,  $a = 0.99$  G (-----);  $n = 4$ ,  $a = 5.7$  G (— — —);  $n = 8$ ,  $a = 0.24$  G, upper solid line;  $n = 8$ ,  $a = 4.2$  G, lower solid line. All of the above were computed for  $S_{p,nn} = 0$  using data in Table II for 10 M KOH. The value of  $\zeta_3$  vs.  $X$  for  $n = 4$ ,  $a = 2.3$  G (....) was computed using the data in Table II for 10 M NaOH (quartz tubes). The deviation from Gaussian is given by the departure of  $\zeta_3$  from unity. Note that the deviation is larger for smaller values of  $a$  and for smaller values of  $n$ . The deviation is larger for 10 M KOH than for 10 M NaOH (quartz tube) samples.

trum, we proceed to investigate the behavior of the composite spectrum as a function of  $X$ . Figures 11 and 12 show the behavior of  $\zeta_1$  and  $\zeta_3$  [see (23) and (25)] as a function of  $X$  for several values of  $a$ . The broken lines denote  $n = 4$  and the solid lines  $n = 8$ . The corresponding lines for  $n = 6$  lie intermediate to those shown and have the same qualitative behavior. Variation of  $\zeta_2$  is less than unity over the range of  $X$  and shows a minimum near where  $\zeta_1$  and  $\zeta_3$  exhibit maxima. The parameters are quite good indicators of the deviation of the line from Gaussian. For example, for  $a = 5.74$  G,  $X = 0.5$ , and  $n = 4$  it is noted for Figures 11 and 12 that  $\zeta_3 = 1.04$  and  $\zeta_1 = 1.00$ . When the simulated spectrum is compared with a Gaussian of the same area and second moment, the two curves are overlays within the width of the ink line. Observe that the above example illustrates a rather remarkable feature of these results; even for a choice of  $a$  which leads to partial resolution (see Figure 9a) at  $X = 0$ , one gets an unresolved Gaussian line at  $X = 0.5$ . In fact, the larger  $a$  is, the more nearly Gaussian is the unresolved line over the entire range of  $X$ . To dramatize this point, Figure 13 shows a completely resolved spectrum for  $n = 8$  at  $X = 0$  compared with the spectra at  $X = 0.15$  and 0.85. The maximum deviation of the unresolved line from Gaussian ( $\zeta_1 = 1.08$ ,  $\zeta_3 = 1.06$ ) occurs at  $X = 0.85$  and the smallness of this deviation is shown by the fit to a Gaussian as indicated in Figure 13c.

Figures 11 and 12 were computed assuming no nonnearest neighbor hyperfine broadening. The effect of including some nonnearest neighbor broadening is to depress the deviation from Gaussian and to shift the maximum to higher  $X$ .

The points of maximum deviation from Gaussian vary in the range  $X = 0.6-0.8$  depending on the choice of  $a$  and  $S_{p,nn}$ . The corresponding range is  $X = 0.7-0.8$  for  $n = 6$  and  $X = 0.8-0.9$  for  $n = 8$ . These results seem to support the qualitative arguments of Ershov, *et al.*,<sup>2</sup> who suggested that the greatest deviation from Gaussian should occur



**Figure 13.** Simulated epr spectra at (a)  $X = 0$ , (b)  $X = 0.15$ , and (c)  $X = 0.85$ . The values  $n = 8$ ,  $a = 4.5$  G,  $S_{p,nn} = 0$  were used together with the data in Table II corresponding to 10 M KOH. The fit to a Gaussian is indicated in 13c from which spectrum are derived  $\zeta_1 = 1.08$  and  $\zeta_3 = 1.06$ .

when one proton and seven deuterons interact with  $e_t^-$  "on the average." Now, we see that the maximum deviation occurs, in fact, if we assume  $a$  is small. The deviation occurs in the range of  $X$  where the site containing one proton and seven deuterons is only fortuitously near the maximum.

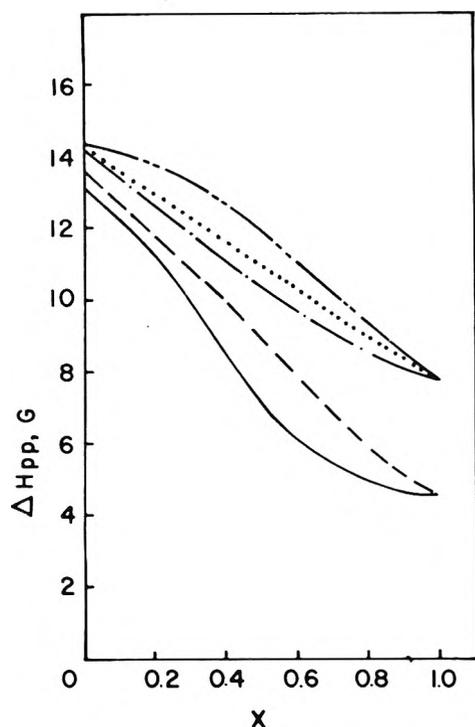
Another interesting result is that no hint of resolution is possible in the present model at  $X \neq 0$  using any consistent set of  $a$  and  $n$ . Figure 14 shows the variation of  $\Delta H_{pp}$  vs.  $X$  for  $n = 4$  (solid lines) and  $n = 8$  (broken lines) and for several values of  $a$ .

We should emphasize, at this point, that the results given in this section may be obtained only after the values in Table II have been determined.

## V. Discussion

The comparison of theory (Figures 11 and 12) with experiment (Figures 5 and 6) is good; the deviation from Gaussian is in the correct direction and a maximum deviation is reached near  $X = 0.7$  for spherical samples and  $X = 0.8$  for quartz tube samples which are in the range predicted by the model.

An obvious discrepancy is that the model predicts a Gaussian curve at  $X = 1$  which is contrary to the 10 M NaOH results. It is known that at large  $\beta$ , the shape of



**Figure 14.** The epr line width  $\Delta H_{pp}$  vs.  $X$  is shown for  $n = 4$ ,  $a = 0.99$  G (—);  $n = 8$ ,  $a = 0.24$  G (---), both using the data from Table II for 10 M KOH. The curves for  $n = 4$ ,  $a = 2.3$  G (— · —);  $n = 8$ ,  $a = 0.24$  (.....), were calculated using the data from Table II for 10 M NaOH (quartz tubes). The curve for  $n = 4$ ,  $a = 2.3$  G and  $\delta = 10$  (----) was calculated using the 10 M NaOH (quartz tubes) data where  $\delta > 1$  implies that the hydrogen atoms in HDO molecules are preferentially rotated "in" toward  $e_t^-$ . The line width variation is more nearly linear for larger  $n$ .

the envelope of a hyperfine multiplet approaches the shape of the component lines.<sup>23</sup> At  $X = 1$ ,  $\beta$  is large; thus the  $X = 0.99$  experimental spectrum approximates the component line shape. We have found in our model that varying the component line shape from Gaussian to cut-off Lorentzian affects the envelope only near  $X = 1$ . Thus, the fact that the model does not fit near  $X = 1$  would not be expected to affect the results over most of the range of  $X$ .

In contrast to our results on 10 M NaOH, we find that a plot of  $\xi_1$  vs.  $X$  for Ershov, *et al.*'s,<sup>4</sup> reported results on 10 M KOH does not fit the theory even qualitatively. Their results show a negative deviation from Gaussian instead of the positive deviation predicted in Figure 11. However, Ershov, *et al.*, do report the  $e_t^-$  line in 10 M KOH at  $X = 1$  to be Gaussian, which agrees with the model, while in 10 M NaOH at  $X = 1$  the line is not Gaussian. This difference may well lie in the fact that the broadening due to factors other than proton hyperfine couplings in the system is much larger in 10 M NaOH than in 10 M KOH. This is evidenced by the relative magnitudes of  $S_0$  in Table II for the two systems. Any type of hyperfine broadening (isotropic or dipolar) would be expected to produce symmetric broadening. The asymmetry in the  $X = 0.99$  epr spectrum would be expected to come from other sources, *e.g.*,  $g$  factor anisotropy, or a dispersion in trapping potentials, and it is these sources that contribute to  $S_0$ .

Even though the model predicts the qualitative behavior of the experimental epr line shape, the present accuracy of the measurements prevents a definitive evaluation of

$a$  and  $n$ . We have chosen not to make the model more sophisticated, in ways that are discussed below, until better measurements, and other measurements at different microwave frequencies are forthcoming. We do note that definite limits may be placed on the values of  $a$  and  $n$  as given in Table II. Also, large deviations from Gaussian require a smaller isotropic Fermi contact interaction than those indicated in Table II. This, in turn, requires an appreciable value of  $b$ , the anisotropic hyperfine interaction of  $e_t^-$  with nearest neighbor protons. We have previously discussed being unsuccessful in finding endor transitions corresponding to the Fermi contact coupling of  $e_t^-$  to the nearest neighbor protons.<sup>14</sup> This negative result is more understandable if  $b$  is on the order of  $a$  because then the endor transition would be quite broad.

An interesting fact, which is predicted by the model, is that departure from a Gaussian shape is quite rapid as  $X$  recedes from unity. Small proton contamination of samples of high deuterium content change the epr spectrum measurably, a fact we accidentally discovered experimentally!

The second moment variation with  $X$  in the samples prepared in quartz tubes is linear within experimental error. This is a good indication that no structural changes take place as a function of deuteration. The fact that the second moment in the spherical samples appears to depart from linear behavior is very interesting. The reason is that the second moment varies linearly with  $X$  if one assumes that protons and deuterons occupying available proton positions randomly and that the available positions do not change with  $X$ .<sup>24</sup> Thus, some kind of structural change is indicated. Perhaps this is a real change in structure such as those reported in some crystals upon deuteration. If we turn to the model advanced by Natori<sup>7-9</sup> in which the trapped electron resides at the center of a tetrahedron of water molecules such that one O-H bond from each molecule extends toward the  $e_t^-$ , then a very interesting possibility arises. In Natori's model, there is associated with each of the four nearest waters a proton that points "in" and one that is farther away. It is known that dipolar reorientation takes place upon trapping of electrons in polar matrices. Thus we pose the question: "what effect would it have on the epr results if one of the bonds (either O-D or O-H) in HDO molecules in the partially deuterated system were to be preferentially reoriented toward  $e_t^-$ ?" Such an isotope effect is not unreasonable and our results thus far indicate that  $n = 4$  is not unreasonable so we may develop the above described situation in the context of our present model. This is not a structural change in the usual sense, but the available final proton positions (after dipole reorientation) clearly are not occupied randomly.

We define

$$\delta = \omega_p / \omega_d \quad (29)$$

where  $\omega_p$  is twice the probability that in a nearest neighbor HDO molecule the proton points "in" and  $\omega_d$  is twice the probability that the deuteron points "in." Then  $\omega_p + \omega_d = 2$ . Now,  $\delta = 1$  if it is equally probable that H or D is "in" for all molecules HDO,  $\delta > 1$  indicates that the proton is more likely "in" while  $\delta < 1$  indicates that the deuteron is more likely "in." The probability of having a site with  $n_p$  protons and  $4 - n_p$  deuterons is

$$P(n_p, \delta) = P(n_p) \sum_{k=0}^4 \Delta_{n_p, k} P(k) \quad (30)$$

where  $P(k)$  is given by eq 3. The coefficients  $\Delta_{n_p, k}$  form the elements of a  $5 \times 5$  matrix

$$\Delta = \begin{vmatrix} 1 & \omega_d & \omega_d^2 & \omega_d^3 & \omega_d^4 \\ \omega_p & \frac{1 + 3\omega_p\omega_d}{4} & \frac{\omega_d + \omega_p\omega_d^2}{2} & \frac{\omega_p\omega_d^3 + 3\omega_d^4}{4} & \omega_d^4 \\ \omega_p^2 & \frac{\omega_p + \omega_p^2\omega_d}{2} & \frac{1 + 4\omega_p\omega_d + \omega_p^2\omega_d^2}{6} & \frac{\omega_d + \omega_p^2\omega_d}{2} & \omega_d^2 \\ \omega_p^3 & \frac{\omega_p^3\omega_d + 3\omega_p^2}{4} & \frac{\omega_p + \omega_p^2\omega_d}{2} & \frac{1 + 3\omega_p\omega_d}{4} & \omega_d \\ \omega_p^4 & \omega_p^3 & \omega_p^2 & \omega_p & 1 \end{vmatrix}$$

which is a constant for a given  $\delta$ . One arrives at the matrix  $\Delta$  by computing the probability that  $\text{H}_2\text{O}$ ,  $\text{HDO}$ , and  $\text{D}_2\text{O}$  molecules respectively occupy one of the four nearest neighbor positions, then allowing  $\omega_p/2$  of the  $\text{HDO}$  molecules to have the hydrogen atom pointed in and  $\omega_d/2$  of the  $\text{HDO}$  molecules to have the deuterium pointed in and finally, counting the sites with  $n_p$  hydrogens pointed in.

Since at  $\delta = 1$ ,  $\omega_d = \omega_p = 1$  all of the elements of  $\Delta$  are unity. The sum in eq 30 reduces to unity; thus we see  $P(n_p, 1) = P(n_p)$  as is obviously required. Also at  $X = 0$ ,  $P(n_p, \delta) = P(n_p)$  and at  $X = 1$ ,  $P(0, \delta) = P(0)$  independent of  $\delta$ .

The computation of the epr spectra proceeds as before and surprisingly,  $\zeta_1$ ,  $\zeta_3$  are not greatly affected by  $\delta \neq 1$ . The maximum deviation from Gaussian is about the same for  $\delta = 1$  and  $\delta = 10$ , but the position of the maximum is shifted to higher  $X$  at  $\delta = 10$ . The effect on the second moment is to produce a curve that is concave down. The second moments computed with  $\delta = 3$  and  $\delta = 10$  are plotted in Figure 3a. The fit is rather good and the possibility of preferential rotation of the H-O bond "in" can form a working hypothesis in future research.

The difference in the behavior of the second moment in the two types of samples considered here is interesting. The only difference in the two types of samples that occurs to us is the difference in freezing rates. We have therefore measured the freezing rates to be approximately 2 K/sec for the 10- $\mu\text{l}$  spheres and somewhat less for 5- $\mu\text{l}$  spheres (linear temperature change assumed) and 7 K/sec for the samples in quartz tubes. The time to cool spheres to 77 K is much longer than the time to cool the samples in quartz tubes to 77 K mainly because the spheres float on top of the liquid nitrogen. There is a large variation in the time required to freeze any individual sphere while the samples in tubes all freeze in about the same time ( $\pm 5\%$ ).

Thus it is possible that a slower freezing rate allows more dipole reorientation and the difference in the two sets of samples could be explained on that basis. Without further work, this possibility cannot be proved.

The line width *vs.*  $X$  (Figure 2) shows a change of slope or kink near  $X = 0.5$ – $0.7$  depending on the sample type. The change in slope is most prominent in spherical samples. This change in slope is not predicted by the model (Figure 14) and may be attributable to preferential dipole rotation as a function of deuteration. It is interesting that a similar change in slope near  $X \sim 0.6$  is observed for the endor line width *vs.*  $X$  in Figure 7 for spherical samples.

The matrix endor line width measures the average distance to the protons in the sphere of unpaired electron density around the trapped electrons.<sup>14</sup> If protons and deuterons occupy available hydrogen positions randomly, and the nuclear spin packet width decreases linearly with  $X$ , one expects the matrix endor line width to decrease linearly with  $X$ . The change in slope implies a discontinuity in replacing D for H and is consistent with preferential orientation of OH over OD since the endor line width deviates above the linear extrapolation from small  $X$ .

The model we have presented could be improved in several ways. We have neglected many terms in the Hamiltonian (2) in order to arrive at simple hyperfine multiplets. If there is  $g$ -value anisotropy, the component lines ought to reflect that. The exchange term and electron-electron dipolar term were taken to be small, and from available estimates of the dipolar interactions among  $e_1^-$  in spurs at low  $\gamma$ -irradiation doses,<sup>25</sup> these are probably good approximations. The neglect of the nuclear Zeeman term in the Hamiltonian is probably the most serious of the approximations. Under some conditions, satellite lines or four-line spectra can arise from hyperfine coupling to a spin 1/2 particle. In case this is important one would need to use the appropriate powder average as the component line shape and the change from proton to deuteron spectra would be more complicated. Alternatively, one could make line shape measurements at higher microwave frequencies.

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## Kinetic Studies of Photobleaching of Trapped Electrons in Protiated and Deuterated Methanol and Ethanol Glasses at 77°K

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Trapped electrons in  $\gamma$ -irradiated light and heavy methanol and ethanol at 77°K were photobleached with light of  $\lambda$  450–600 nm and the decay was analyzed kinetically. The quantum yield of the bleaching was determined for both alcohols. The yield for the protiated alcohol was found to be 3 to 4 times as large as that for the deuterated alcohol. The remarkable difference in the yield is consistent with the assumption that most of the electrons disappear by a dissociative attachment to alcohol molecules.

### Introduction

Some dynamic aspects of trapped electrons ( $e_t^-$ ) in  $\gamma$ -irradiated amorphous solids can be studied by photobleaching the electrons.<sup>1-7</sup> In this paper we report that the quantum yield of photobleaching of  $e_t^-$  in light (ROH) and heavy (ROD) alcohols shows a distinct difference which provides further support to the assumption that the photoexcited electron attaches dissociatively to an alcohol molecule solvating the electron.

### Experimental Section

Alcohols from various sources (see below) were degassed in a 1.5–2 mm thick silica cell and frozen at 77°K to a transparent glass which occasionally suffered slight cracking. The absorption of the frozen solid was measured at 77°K before and after  $\gamma$  irradiation using a Cary 14 RI spectrophotometer. After the absorption of irradiated samples was recorded, they were exposed intermittently to green light of  $\lambda$  450–600 nm at 77°K. The light was obtained from an IR-2 tungsten lamp incorporated in the spectrophotometer combined with a Toshiba VG-54 glass filter. By virtue of this internal lamp the decrease in the absorption upon each bleaching could be measured successively without moving the sample from the spectrophotometer.

Ethanol and methanol were purified by the use of 2,4-dinitrophenylhydrazine, but fresh commercial alcohols gave the same experimental results as the purified alcohols. Deuterated alcohols,  $\text{CH}_3\text{OD}$ ,  $\text{CD}_3\text{OD}$ , absolute  $\text{C}_2\text{H}_5\text{OD}$  (99.5 atom % D), and  $\text{C}_2\text{H}_5\text{OD}$  (96 % in  $\text{D}_2\text{O}$ ) were purchased from CIBA, and  $\text{D}_2\text{O}$  was obtained from E. Merck, A. G. They were used as received except for  $\text{CH}_3\text{OD}$  and 96%  $\text{C}_2\text{H}_5\text{OD}$  which were distilled once with a small amount of magnesium. Again, the distilled alcohols showed no appreciable difference from the untreated ones.

Since electron scavenging impurities affect the quantum yield of photobleaching,<sup>2</sup> the following preparation of samples was also carried out in order to provide further assurance that the observed difference in the yield between ROH and ROD is not due to the source of supply nor to the possible insufficiency of purification for the heavy alcohols. Both  $\text{C}_2\text{H}_5\text{OH}$  and  $\text{C}_2\text{H}_5\text{OD}$  were prepared by exactly the same method as follows. Fresh magnesium flakes washed with dilute acid and water were

dried thoroughly and amalgamated on a vacuum line. Commercial absolute light ethanol was then introduced to the solid under vacuum to yield bulky magnesium ethoxide. After drying the ethoxide *in vacuo*, water or heavy water of a quantity slightly less than that of the ethoxide was admitted to the solid to regenerate  $\text{C}_2\text{H}_5\text{OH}$  or  $\text{C}_2\text{H}_5\text{OD}$ , respectively. Both products, which should be of the same purity, gave the same result in the experiment of  $e_t^-$  as the commercial  $\text{C}_2\text{H}_5\text{OH}$  or  $\text{C}_2\text{H}_5\text{OD}$ .

### Results and Discussion

Although selective photobleaching with light of wavelengths longer and shorter than the absorption maximum suppresses the absorption band of  $e_t^-$  on the low- and high-energy sides of the band respectively,<sup>3,8</sup> and the photobleaching kinetics using such light leads to complicated results,<sup>2</sup> the green light used in this work diminished the absorption relatively uniformly. The uniform decay allows us to take the optical density at  $\lambda_{\text{max}}$   $\text{OD}_{\text{max}}$  as a convenient measure of  $e_t^-$  present.

Some evidence indicates that most of the photoexcited electrons in alcohols disappear by a reaction with matrix molecules and are not mobilized significantly.<sup>9,10</sup> The rate of such a reaction will be proportional to the number of photons absorbed by  $e_t^-$ .<sup>2,3,7</sup> Since  $e_t^-$  has a dispersion of the kind of trap, one may expect a multitude of the quantum yield. Therefore, the conventional description by eq 1 with the assumption of a single value for the yield must be considered on evidence of experiments.

$$-d(2.303\text{OD})/dt = 2.303\epsilon Q I_0(1 - e^{-2.303\text{OD}}) \quad (1)$$

where  $t$  (sec) is the illumination time,  $Q$  (molecule photon<sup>-1</sup>) is the quantum yield of disappearance of  $e_t^-$ ,  $I_0$  (photon  $\text{cm}^{-2} \text{sec}^{-1}$ ) is the incident photon flux, and the suffix max has been dropped from OD and the extinction coefficient  $\epsilon$  ( $\text{cm}^2 \text{molecule}^{-1}$ ).

It turns out that the theoretical curve derived from eq 1 is in fair agreement with experiment for the various observed initial values of OD provided that a best-fitting coefficient  $2.303\epsilon Q I_0$  is found. A representative comparison between theory and experiment is shown for  $\text{C}_2\text{H}_5\text{OH}$  in Figure 1 where the abscissa  $T$  stands for the dimensionless product of  $2.303\epsilon Q I_0 t$ . The result indicates that one can conceive of an average single quantum yield for the wavelengths 450–600 nm used in the bleaching.

TABLE I: Quantum Yields for the Alcoholic Matrices

	C <sub>2</sub> H <sub>5</sub> OH	C <sub>2</sub> H <sub>5</sub> OD	CH <sub>3</sub> OH <sup>a</sup>	CH <sub>3</sub> OD <sup>a</sup>	CD <sub>3</sub> OD <sup>a</sup>
$2.303\epsilon QI_0 \times 10^3 \text{ sec}^{-1}$	29	9	27	11	9
$\epsilon^b \times 10^{-4} \text{ l. mol}^{-1} \text{ cm}^{-1}$	1.5	1.7	1.7	2.0	2.0
$Q \times 10^2 \text{ molecule photon}^{-1}$	8.5	2.1	7 <sup>c</sup>	2.4	2.0

<sup>a</sup> Containing 5 vol % H<sub>2</sub>O or D<sub>2</sub>O for the prevention of crystallization. <sup>b</sup> The  $\epsilon$  was estimated referring to our measurement of  $G\epsilon$  where  $G$  stands for the yield of electrons produced in pure alcoholic glasses.<sup>13</sup> Note that the  $\epsilon$  thus obtained is slightly higher than the previously reported values.<sup>7</sup> <sup>c</sup> Cited from ref 1.

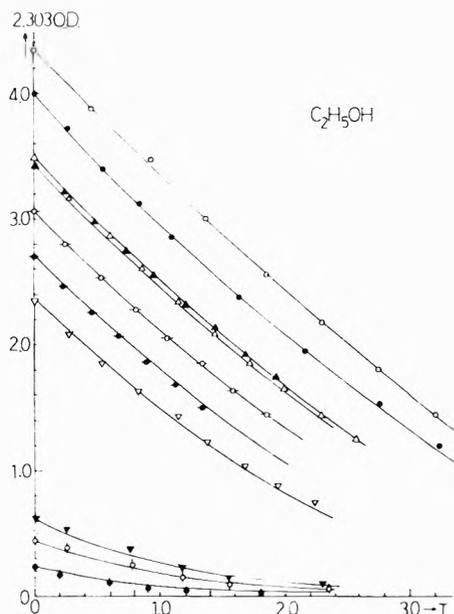


Figure 1. Experimental and theoretical decays of  $e_1^-$  in light ethanol. Ordinate and abscissa represent  $2.303OD$  and  $T = 2.303\epsilon QI_0 t$ . The experimental decays were plotted by multiplying the illumination time  $t$  (sec) by the following best-fitting coefficients in units of  $10^{-2} \text{ sec}^{-1}$ : O, 2.293; ●, 2.707; △, 2.869; ▲, 2.400; ⊕, 2.669; ●, 2.224; ▽, 2.788; ▼, 2.583; ⊙, 2.609; ●, 2.007.

A closer examination reveals, however, that  $Q$  decreases gradually as the bleaching proceeds. This can be shown more clearly by plotting the coefficient  $2.303\epsilon QI_0$  against  $t$  for successive measurements of bleaching with the use of the integrated form of eq 1; that is

$$\left\{ \ln(e^{2.303OD} - 1) - \ln(e^{2.303OD'} - 1) \right\} / (t - t') = 2.303\epsilon QI_0 \quad (2)$$

Figure 2 demonstrates the coefficient as a function of the illumination time. It is seen that throughout the measured range of time the coefficients for light alcohols are 3 to 4 times as large as those for the heavy alcohols. Although it is known that  $\epsilon$  of  $e_1^-$  in deuterated matrices is 10-20% higher than that in protiated ones,<sup>3,11</sup> the observed difference in  $\epsilon QI_0$  exceeds far beyond the difference in  $\epsilon$ .

The fact that  $Q$  differs remarkably between ROH and ROD but is little different between MeOH and EtOH or between MeOD and EtOD suggests that the disappearance of  $e_1^-$  involves a bond scission of OH and OD. We consider that the photoexcited electron, presumably in a bound electronic state,<sup>12</sup> attaches dissociatively to an alcohol molecule oriented toward the electron in its immediate vicinity



The first row of Table I shows the initial value of the coefficient  $2.303\epsilon QI_0$  obtained by the extrapolation of the

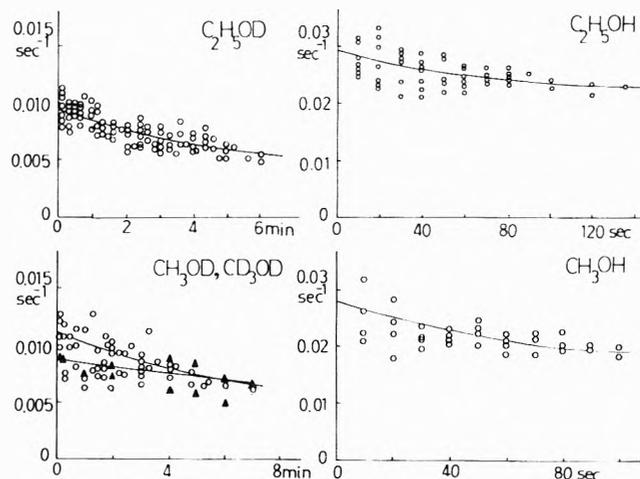


Figure 2. Variation of the coefficient in eq 2 with the illumination time. The triangles represent the values for the perdeuterated methanol matrix.

curves in Figure 2. Using the estimated  $\epsilon$  in the second row and referring to the quantum yield reported to be 0.07 for CH<sub>3</sub>OH, we obtain the quantum yield as in the final row of the table. Although the yield thus obtained absorbs the uncertainty in  $\epsilon$  and depends on the accuracy of the cited quantum yield, it seems certain that the photoexcited electron is quite reluctant in the disappearance reaction.

As for the observed decrease of  $Q$  with time  $t$ , one may consider that in some traps reaction 3 takes place preferentially at an early stage of illumination owing to favorable orientations of the hydroxyl group toward the electron.

In a previous paper,<sup>9</sup> we assumed reaction 3 and ascribed the enhancement of esr signal of CH<sub>2</sub>OH radicals upon photobleaching of  $e_1^-$  in methanol glasses to reaction 4 ensuing from reaction 3



It was not quite certain whether the H atom diffused to some extent and reacted with an alcohol molecule remote from the site of reaction 3. Although the appearance of *t*-butyl radicals for the solution containing isobutene might suggest the diffusion of H,<sup>9</sup> this evidence is not conclusive because the concentration of isobutene in the previous work was as high as 10 mol %, and the H atom from reaction 3 might have found an isobutene molecule in the immediate vicinity of  $e_1^-$ . It is plausible that the H atom produced by reaction 3 in pure alcohols undergoes reaction 4 almost immediately, in which case the overall result of reactions 3 and 4 is equivalent to the reaction proposed by Dainton, *et al.*<sup>10</sup>

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## Bursting of Soap Films. VI. The Effect of Surfactant Purity

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Velocities of the rim and of the frontal shock of the aureole were measured photographically on bursting films from solutions of sodium dodecyl sulfate undergoing purification by exhaustive foaming. The principal features of the bursting process of mobile films remained unaffected by purification and quantitative changes were observed only upon addition of a massive amount of dodecyl alcohol.

During the last few years a number of papers<sup>2-5</sup> dealing with the detailed study of the bursting of soap films have indicated the potential of such studies for the elucidation of fast desorption kinetics,<sup>3</sup> of the equation of state for highly compressed monolayers,<sup>4</sup> and hence in the study of intermolecular forces. It is therefore of some interest to know the degree to which observations correspond in fact to the postulated theoretical model<sup>2b</sup> or are due to experimental artifacts. Thus, for very thin films formed from sodium dodecyl sulfate solution, some of the deviations of velocities of the rim of the growing hole from those expected on the basis of the model have been ascribed<sup>5</sup> to aerodynamic drag by the surrounding atmosphere rather than to the close proximity of the two surfaces.

In this note we consider another possibility; namely, that surfactant impurities play a significant role in bursting phenomena, particularly in the formation of the aureole,<sup>2a</sup> the portion of thickening and accelerating film which precedes the growing hole in the bursting film. The study of the properties of the aureole provides the information referred to above. The aureole is generally sharply delimited by two shock waves:<sup>2b</sup> one is formed by its advancing front, the other by the rim of the hole. Their velocities can be measured accurately from a series of sub-microsecond flash photographs triggered through a delay circuit by the hole-initiating spark.<sup>2a</sup> Since the triggering process involves a small but somewhat uncertain time length, a single photograph can give a ratio of velocities but several photographs involving different delays, and otherwise the same conditions, are required for absolute velocity measurements.

The solutions studied were purified by foaming and foam removal. For this purpose, a submerged air inlet and a foam suction tube were incorporated into the film bursting apparatus<sup>2a</sup> used previously. The air was passed over active charcoal and humidified by dilute, aqueous CaCl<sub>2</sub>

before entering the surfactant solution. Although foaming does have limitations which are discussed elsewhere,<sup>6</sup> it still seems the best available method for removing surface active impurities. The concentration of other impurities has been kept low in all experiments by standard precautions.

In initial experiments, two solutions of pure sodium dodecyl sulfate (NaDS), used in previous work,<sup>2a</sup> were foamed for over 10 and over 26 hr, respectively, with occasional interruptions during which photographs of the bursting process were taken. No effect of this purification could be detected. The results and experimental details shown in Figure 1 indicate that the previously reported bursting studies were not affected significantly by readily removable impurities.

To learn about the effect of a massive impurity, an  $8.35 \times 10^{-3} M$  NaDS solution was prepared to which was added 0.47% (of NaDS) of the most likely surface active impurity, namely, dodecyl alcohol (DOH). It is known that under these circumstances the DOH can lower the critical micelle concentration (cmc) and can then be solubilized in any NaDS micelles present.<sup>7</sup> It can also form a highly insoluble alcoholate of NaDS<sup>8</sup> (probably (NaDS)<sub>2</sub>DOH), and it adsorbs very strongly at the air-solution surface to give monolayers which can have a very high surface viscosity<sup>9</sup> and a 1:1 ratio of NaDS to DOH.<sup>10</sup> Such solutions may generate very slowly draining "rigid" soap films.<sup>11</sup> The equilibrium situation<sup>8</sup> is complicated and some observations suggest that, under certain conditions, the rigid films form when the solution is supersaturated with respect to the alcoholate and not when the latter has fully precipitated or when the solution is undersaturated in this respect.

The solution originally contained some alcoholate crystals and gave a rigid film, whose bursting has a very different character,<sup>2a</sup> but after 5 hr of foaming the film was

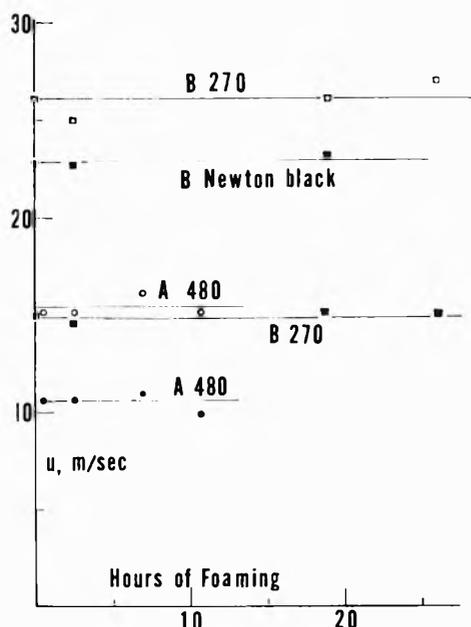


Figure 1. The lack of effect of purification by foaming on the bursting velocities of films from solutions of standard purity: circles, solution A, 0.51% NaDS; squares, solution B, 0.19% NaDS, 0.3 M NaCl; open symbols, frontal shock; filled symbols, rim. Thicknesses in nm as indicated: Newton Black films have a thickness of  $\sim 4.5$  nm.

mobile, and after 10.3 hr the alcoholate crystals were no longer visible, showing the effectiveness of the purification process which was continued for a further 10.2 hr. Throughout this purification the character of bursting of mobile films remained qualitatively the same, showing that its general features do not depend on accidental impurities.

There were, however, quantitative changes as shown in Figure 2. The rim velocities increased as alcohol was removed whereas those of the frontal shock decreased at the same time, leading to a considerable narrowing of the aureole. This seems to be the expected behavior. Both theory<sup>2b</sup> and experiments<sup>2a,3,5</sup> show that for not-too-thin mobile films the rim velocity is close to  $(2\sigma/\rho\delta)^{0.5}$  where  $\delta$  is the film thickness,  $\rho$  the density of the solution, and  $\sigma$  its surface tension. It is well known that removal of DOH leads to an increase in surface tension so that it should cause an increase in rim velocity, as observed. The change in rim velocity of 17% should correspond to a change in surface tension of 37%, which is very close to the change measured by Miles and Shedlovsky<sup>12</sup> under comparable conditions (from about 29 to 39 dyn/cm or about 34%).

The velocity of the frontal shock depends on the initial modulus of elasticity of the monolayer. It is given quantitatively by

$$u_s^2 = (2\Delta\sigma/\Delta x)/\rho\delta_0$$

which is a rearrangement of eq 3-12 of ref 4. Here  $\alpha = \delta_0/\delta = A/A_0$  is the fractional shrinkage of the film as its area changes from the original  $A_0$  to  $A$ , and  $\Delta$  denotes the changes occurring across the shock. At constant  $\rho$  and  $\delta_0$ ,

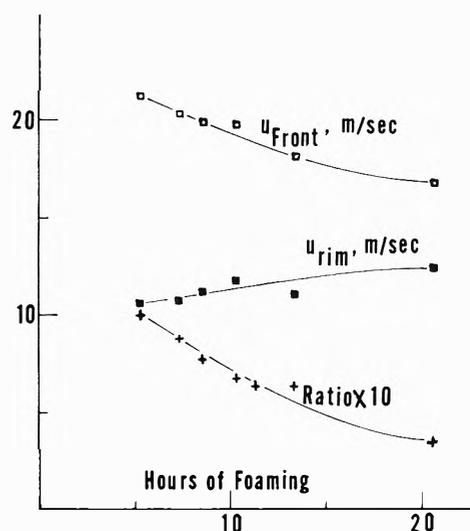


Figure 2. The effect of purification by foaming on the bursting velocities of films from a solution of 0.24% NaDS originally containing 0.00516% of DOH and forming rigid films. The ratio plotted is that of aureole width-to-hole radius. Film thickness 480 nm.

it is clearly  $\Delta\sigma/\Delta\alpha$ , the modulus of elasticity, that determines  $u_s$ . Though no independent measurement of this modulus is available, it can be expected to be much higher in the presence of DOH since the monolayer, though mobile, is close to the rigid one in which the area per molecule is<sup>10</sup> only about  $20 \text{ \AA}^2$ ; *i.e.*, extremely crowded. Thus, removal of DOH should reduce the surface concentration and hence the modulus of elasticity, and the frontal shock velocity should decrease which is again the observed behavior.

Thus surface impurities affect only the quantitative aspects of the bursting process, and these only when present in high concentration, and they are not responsible for the existence of the main features of the bursting process which has been discussed in earlier papers.

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# A Reinvestigation of the Symmetric Stretching Mode of Matrix-Isolated $\text{Al}_2\text{O}$ <sup>1</sup>

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Infrared measurements of matrix-isolated  $\text{Al}_2\text{O}$  species were carried out with  $^{18}\text{O}$ -enriched samples. An analysis of the isotopic splittings and diffusion behavior demonstrated that the band originally assigned as the  $\nu_1$  mode is instead a mode of some aggregate species, perhaps the dimer of  $\text{Al}_2\text{O}$ . A reassessment of concentrations involved in previous studies suggested also that a band originally assigned as the  $\nu_2$  mode is a frequency of some aggregate species.

## Introduction

In an article by Makowiecki, Lynch, and Carlson,<sup>2a</sup> assignments were reported for the three fundamental vibrational modes of the aluminum family suboxide molecules isolated in frozen, inert gas matrices. The  $\nu_3$  assignments were based in part on correlations with the results of earlier published studies by others, and these have been reconfirmed in several subsequent investigations.<sup>2b,3</sup> The  $\nu_1$  assignments were based in part on correlations with the results of studies on  $\text{Al}_2\text{O}$  by Linevsky, White, and Mann.<sup>4</sup>

On the other hand, Hinchcliffe and Ogden<sup>2b</sup> have recently published an investigation which clearly refutes our  $\nu_1$  and  $\nu_2$  assignments for  $\text{Ga}_2\text{O}$  and  $\text{In}_2\text{O}$ . Furthermore, an earlier article by Brom, Devore, and Franzen<sup>3</sup> gives evidence which suggests that our  $\nu_1$  and  $\nu_2$  assignments for  $\text{Tl}_2\text{O}$  also are incorrect. This then leaves some question regarding the correctness of the  $\nu_1$  and  $\nu_2$  assignments for  $\text{Al}_2\text{O}$ . In a recent issue of this journal, Marino and White<sup>5</sup> report on a new infrared study of  $\text{Al}_2\text{O}$  in the regions of the  $\nu_3$  and so-called  $\nu_2$  modes. In this article, we describe a reinvestigation of the infrared spectrum of this molecule in the regions of the  $\nu_3$  and so-called  $\nu_1$  modes.

## Experimental Section

Molecular species were produced for infrared measurements by vaporizing mixtures of liquid Al and solid  $\text{Al}_2\text{O}_3$  contained in zirconia Knudsen cells and by isolating the vapor beams in argon matrices at liquid helium temperatures. The matrix gas and condensed-phase samples were materials of high chemical purity. The design of the effusion cells were similar and the heating apparatus, cryostat, and infrared equipment were identical with those items used in our previous investigation.<sup>2a</sup> Owing to a malfunction of the IR-11, however, measurements were carried out only with the IR-7 instrument down to the limit of  $650\text{ cm}^{-1}$ .

Both natural and  $^{18}\text{O}$ -enriched  $\text{Al}_2\text{O}_3$  were employed. The enriched samples were obtained with a nominal 70%  $^{18}\text{O}$  concentration from the Research Division of Miles Laboratories. After deposition of the vapor, the band intensity ratios indicated only about 30–35% enrichment of the isolated species. Subsequent experiments employing natural sesquioxide in outgassed cells used previously with enriched samples showed traces of  $^{18}\text{O}$  components in the most intense bands. These experiments indicated that the heavy loss of enrichment was due in part to an oxygen ex-

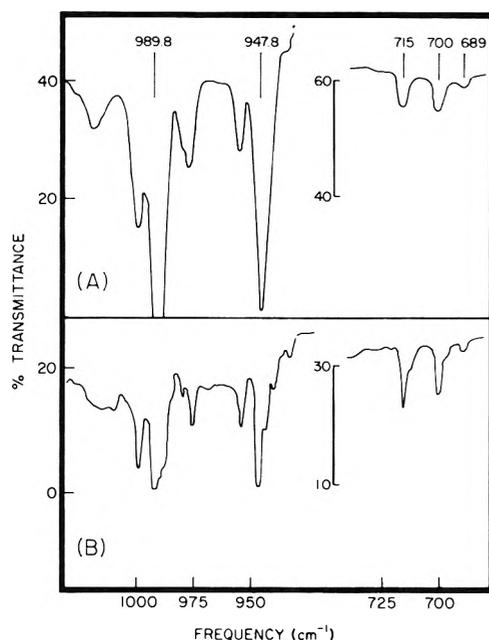
change with the oxide container, as suggested by Ogden and Ricks<sup>6</sup> in connection with other investigations. Because of this enrichment problem, the overtone regions above  $1100\text{ cm}^{-1}$  were not studied in any detail.

## Infrared Measurements

Several experiments were carried out to study the behavior of the bands on diffusion of species and the effects of systematically varying the Ar/ $\text{Al}_2\text{O}$  isolation ratios. In a series of experiments employing the undiluted enriched sesquioxide, a deposit of about  $2.4\text{ }\mu\text{mol}$  of  $\text{Al}_2\text{O}$  at an isolation ratio of 5500 was prepared by heating the cell for 9 min at  $1625\text{ K}$ . The matrix showed prominent absorptions at  $990.7$  and  $946.6\text{ cm}^{-1}$  but no other bands at lower energies. These absorptions correspond with the  $\text{Al}_2^{16}\text{O}$  and  $\text{Al}_2^{18}\text{O}$  doublet of the  $\nu_3$  mode first reported by Linevsky, White, and Mann.<sup>4</sup>

A slightly heavier deposit of  $6.4\text{ }\mu\text{mol}$  of enriched  $\text{Al}_2\text{O}$  at an isolation ratio of 2100 again showed only the  $\nu_3$  doublet along with certain weak side bands frequently found with this system and others in this family. After diffusion, however, prominent absorptions were detected in the so-called  $\nu_1$  region at  $714.8$ ,  $700.0$ , and  $689.4\text{ cm}^{-1}$ . The first two correspond with the absorptions assigned as the  $\nu_1$  doublet of  $\text{Al}_2^{16}\text{O}$  and  $\text{Al}_2^{18}\text{O}$  by Linevsky, *et al.*<sup>4</sup> The third component, however, suggested that this group of absorptions is a triplet system arising from some species having two equivalent oxygen atoms; the intensity ratios were roughly in agreement with such an assignment for a 35% enrichment based on the  $\nu_3$  isotopic doublet absorptions.

A deposit of  $13\text{ }\mu\text{mol}$  of enriched  $\text{Al}_2\text{O}$  at an isolation ratio of 1100 was prepared by heating the cell for 10 min at  $1725\text{ K}$ . With this more concentrated matrix, both the  $\nu_3$  doublet and the apparent triplet system at  $715\text{ cm}^{-1}$  were detected in the freshly prepared matrix. After prolonged diffusion, the absorptions of the apparent triplet system were slightly enhanced or at least remained comparably intense as the absorption of the  $\nu_3$  doublet markedly decreased. These experiments are illustrated in Figure 1. The spectra given in this figure show in addition to the bands in question a variety of satellite absorptions and other structure of uncertain origin. These are interesting but of no apparent consequence to the present considerations. On the other hand, such spurious structure does suggest the possibility that the third component of the triplet system may be due to some satellite effect or impurity.



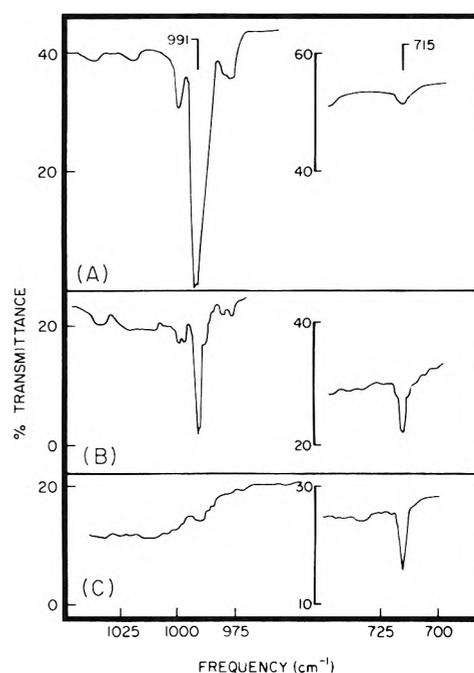
**Figure 1.** Infrared absorption spectra of 13  $\mu\text{mol}$  of  $^{18}\text{O}$ -enriched  $\text{Al}_2\text{O}$  isolated in an argon matrix at  $M/R = 1100$ : (A) for the freshly prepared matrix; (B) after prolonged diffusion.

Experiments were carried out with natural  $\text{Al}_2\text{O}$  to investigate the diffusion behavior under conditions more optimal for enhancing the absorption intensities. In each experiment, the so-called  $\nu_1$  band was a single absorption near  $715\text{ cm}^{-1}$  without any satellite structure. The absorptions either increased on diffusion or remained unchanged as the  $\nu_3$  band decreased, depending on conditions in preparing the matrix and in warming to induce diffusion. In one set of experiments, however, conditions and techniques were achieved which revealed a very pronounced diffusion effect, and this is illustrated in Figure 2.

The matrix for these experiments contained 30  $\mu\text{mol}$  of  $\text{Al}_2\text{O}$  at an isolation ratio of 500 prepared by heating the cell 11 min at 1780 K. The freshly prepared matrix showed a very strong absorption for the  $\nu_3$  band and a very weak absorption in the so-called  $\nu_1$  region. After two diffusions, the  $\nu_1$  absorption increased by threefold while that of  $\nu_3$  decreased by one-half. The  $\nu_1$  absorption intensity then remained nearly constant with two more diffusions. The  $\nu_3$  band, however, nearly vanished by the end of the fourth diffusion. Knight and Weltner<sup>7</sup> have reported similar pronounced diffusion behavior occurring with extreme annealing of  $\text{Al}_2\text{O}$  deposits in neon matrices, and thus they have questioned the  $\nu_1$  assignment.

## Discussion

This very notable diffusion phenomenon almost certainly implies that the so-called  $\nu_1$  band is not a mode of  $\text{Al}_2\text{O}$  but that of an aggregate species. In view of its triplet structure in  $^{18}\text{O}$ -enriched samples, one suspects that this species is the dimer  $(\text{Al}_2\text{O})_2$ . In any case, it is likely to be the same aggregate species which give rise to the triplet splittings of the so-called  $\nu_1$  bands in the  $\text{Ga}_2\text{O}$  and  $\text{In}_2\text{O}$  systems, as reported by Hinchcliffe and Ogden.<sup>2b</sup> The " $\nu_1$ "/ $\nu_3$  frequency ratios, in fact, are quite comparable for this series of molecules. The present authors also have studied the  $\text{Ga}_2\text{O}$  and  $\text{In}_2\text{O}$  systems with  $^{18}\text{O}$  enrichment and have completely confirmed the occurrence of such triplets. In those experiments, furthermore, dilutions of



**Figure 2.** Infrared absorption spectra of 30  $\mu\text{mol}$  of natural  $\text{Al}_2\text{O}$  isolated in an argon matrix at  $M/R = 500$ : (A) for the freshly prepared matrix; (B) after two diffusion warmings; (C) after four diffusion warmings.

the enriched gallia to give a 50% mixture of isotopes were often found to produce matrices in which the  $^{18}\text{O}$ - $^{18}\text{O}$  component was undetectable, giving rise to an apparent doublet structure in the so-called  $\nu_1$  region. A similar loss of enrichment due to oxygen exchange with the cell probably accounts for the apparent  $\nu_1$  doublet of  $\text{Al}_2\text{O}$  observed by Linevsky and associates. We have found no other absorptions in  $\text{Al}_2\text{O}$  which might arise from the true  $\nu_1$  mode; this mode probably lies below the  $650\text{-cm}^{-1}$  cut-off of the instrument.

One can conclude from our experiments that the so-called  $\nu_1$  band of  $\text{Al}_2\text{O}$  more readily appears in the more concentrated matrices, where polymerization may rapidly occur on annealing or even during the deposition. The quantities deposited and the isolation ratios have been reported here to provide evidence of this fact. These quantities, however, must be viewed only as relative values because the vapor pressures of  $\text{Al}_2\text{O}$  are very uncertain. First of all, the published heats of vaporization have rather large variations. Secondly, almost all the literature on the subject and, in particular, such critical compilations as the JANAF Tables<sup>8</sup> have based analyses of the vaporization process on third-law considerations. However, it is quite clear now that only the highest lying vibrational mode of  $\text{Al}_2\text{O}$  is experimentally established. As a consequence of this situation, one may select thermodynamic data which give vapor pressures ranging over several orders of magnitude at temperatures important to this investigation.

For present purposes, the values reported here for the  $\text{Al}_2\text{O}$  deposits were based on the following thermodynamic data: the heat of formation at 298 K and the free-energy functions for  $\text{Al}_2\text{O}(\text{g})$  reported in the JANAF Tables of Sept 30, 1965; the same data for  $\alpha\text{-Al}_2\text{O}_3(\text{s})$  reported in the JANAF Tables of June 30, 1972; and the free-energy functions of  $\text{Al}(\text{l})$  given by Hultgren, *et al.*<sup>9</sup> In addition to the quantities deposited, the time and temperatures are reported so that adjustments may be made on the basis of

any other selected set of thermodynamic values. It should be noted that the Al pressures are even higher than those of Al<sub>2</sub>O (on the basis of the data cited above). Thus, the matrices are much more concentrated in terms of the total material deposited.

For experiments reported in our previous publication,<sup>2a</sup> isolation data were based on pressures of Al<sub>2</sub>O reported by Brewer and Searcy.<sup>10</sup> As a point in their favor, these pressures are independent of third-law analyses, but they are probably too low by one or two orders of magnitude. Thus the matrices were much more concentrated than reported. In this case, the apparent absence of an increase in the so-called  $\nu_1$  absorption on diffusion may have resulted because aggregation had proceeded too far during the preparation of the matrix. On similar grounds, one must conclude that the so-called  $\nu_2$  mode of Al<sub>2</sub>O at 503 cm<sup>-1</sup> is observed only in very concentrated matrices, of the order of 40  $\mu$ mol, based on the present method of calculation, at an isolation ratio of 380. This band therefore is also likely to be due to an aggregate species, possibly the dimer, perhaps Al<sub>3</sub>O. The " $\nu_2$ "/ $\nu_3$  ratio is quite similar to those for the other suboxides.<sup>2b</sup> We can neither confirm nor deny

that this 503-cm<sup>-1</sup> band is the same absorption studied by Marino and White.<sup>5</sup>

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## Infrared Optical Constants of Aqueous Solutions of Electrolytes. The Alkali Halides

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The normal-incidence spectral reflectance of aqueous solutions of alkali halides has been measured in the spectral range 350–5000 cm<sup>-1</sup>. From the measured values of reflectance we have used Kramers–Kronig phase-shift analysis to provide values of the real and imaginary parts of the complex refractive indices  $\tilde{N} = n + ik$  of the solutions. The plots of  $k(\nu)$  vs.  $\nu$  give quantitative measures of absorption band intensities as well as positions; we find that the negative ions produce greater changes in the absorption spectrum of the solvent than do the positive ions. Similarly, we find that the negative ions have greater influence on  $n(\nu)$  in the near infrared; all the halide ions increase  $n(\nu)$  to values above that of water but, at a common concentration, the iodide ion has the greatest effect. The primary influence of the ions on  $n(\nu)$  in the near infrared can be attributed to electronic bands in the ultraviolet. The influence of the ions on the characteristic water bands is related to the influence of the ions on the intermolecular structure of water.

### Introduction

Although the infrared spectrum of water has been the subject of numerous investigations dating from the early days of infrared spectroscopy, a critical survey by Irvine and Pollack<sup>1</sup> revealed many inconsistencies in published results and emphasized the importance of further quantitative studies of transmission and reflection for the purpose of obtaining more precise values of the real and imaginary parts of the refractive index  $\tilde{N} = n + ik$  in the infrared. Several such quantitative studies of water have recently been reported.<sup>2–8</sup> These studies have revealed that the values of the optical constants  $n$  and  $k$  obtained

in much of the infrared by Kramers–Kronig (KK) analysis<sup>6</sup> of near-normal-incidence-reflectance measurements were in much of the infrared in essential agreement with values based on reflectance measurements at two angles of incidence and with values based on a combination of reflection and absorption measurements.<sup>5</sup> The KK analysis of reflectance measurements provides reliable values of  $n$  in most of the range covered by the reflectance measurements and good values of  $k$  in the vicinity of strong bands; the fractional uncertainties in  $k$  increase as  $k$  decreases.

The KK theorem for phase-shift analysis of reflectance  $R(\nu)$  data asserts that if the modulus  $\rho(\nu) = [R(\nu)]^{1/2}$  of

the complex reflectivity  $\rho(\nu)e^{i\phi(\nu)}$  is known for all frequencies  $\nu$ , then the phase  $\phi(\nu_0)$  at any frequency  $\nu_0$  is given by

$$\phi(\nu_0) = \frac{2\nu_0}{\pi} P \int_0^\infty \frac{\ln \rho(\nu)}{\nu_0^2 - \nu^2} d\nu \quad (1)$$

where  $\rho(\nu)$  and  $\phi(\nu)$  must satisfy conditions that allow contour integration in the complex plane. Although the value of  $\phi(\nu_0)$  in eq 1 is most strongly influenced by values of  $\rho(\nu)$  in the vicinity of  $\nu_0$ , values of  $\rho(\nu)$  for all frequencies must be known if the KK theorem is to apply with rigor. Our earlier experience with water<sup>6</sup> has shown that  $\phi(\nu_0)$  in the range 300–5000  $\text{cm}^{-1}$ , in which we had actually measured  $R(\nu)$  is relatively insensitive to the type of extrapolation of  $R(\nu)$  to higher frequencies provided the extrapolation joins smoothly to the measured reflectance curve; values based on extrapolation to lower frequencies have increasingly greater uncertainties as  $\nu_0$  in eq 1 approaches the lower limit covered by experiment. In making the extrapolation of  $R(\nu)$  to low frequencies, we found it desirable to make use of approximate values based on the experimental work of others.<sup>4,3</sup>

In the present study, we report the results of measurements of the near-normal incidence spectral reflectance  $R(\nu)$  of aqueous solutions of alkali halides in the range 350–5000  $\text{cm}^{-1}$  and present the values of  $n$  and  $k$  provided by KK phase-shift analysis of the measured values of  $R$ . In addition to providing some insight into the influence of dissolved monatomic ions on the intermolecular lattice structure of liquid water, the study provides results that are of practical importance to meteorological studies of the transmission of infrared radiation through aerosols encountered in fog and cloud formation near the surface of the sea.

### Experimental Section

The general experimental techniques have been described in detail in earlier papers. We determined the spectral reflectance of a sample by comparing the radiant flux reflected from the free liquid surface with the flux reflected by a reference mirror, the absolute reflectivity of which was determined in an auxiliary experiment. In the comparison of the sample with the reference mirror we used a calibrated optical attenuator consisting of a rapidly rotating sector wheel, which was placed in front of the spectrometer slit when flux from the mirror was being measured; by use of the attenuator we avoided the necessity of changing amplifier gain settings. In spectral regions where the spectral reflectance of water had been well established, we made direct comparison of sample reflectance with the reflectance of water.

At least four independent measurements of reflectance were made for each solution studied. We believe that the averaged values of  $R$  presented in the figures have an experimental uncertainty of  $\pm 1\%$  of the plotted values; the uncertainties may have been slightly larger in spectral regions where  $R$  is changing rapidly with frequency and also at the lowest frequency range covered 450–350  $\text{cm}^{-1}$ .

The computer program used to determine  $\phi(\nu_0)$  from eq 1 employed a basic wave number interval of 10  $\text{cm}^{-1}$  for numerical integration except in the vicinity of the singularity at  $\nu = \nu_0$ , where the wave number interval was reduced to approximately 1  $\text{cm}^{-1}$ . In providing values of  $R$  for use in eq 1 outside the 350–5000- $\text{cm}^{-1}$  range covered by actual measurement, we made use of the values of the optical constants of water tabulated by Zolotarev, *et al.*,<sup>4</sup>

the computed values of water reflectance were raised to match and join smoothly with our  $R$  curves at 5000 and at 350  $\text{cm}^{-1}$ . Since the gross features of the reflectance curves for the solutions are similar to those for water, the adjustment of the Zolotarev data probably gives a satisfactory approximation of solution reflectance outside our range of measurement. We further assumed that the reflectance of a solution was constant for  $\nu > 10,000 \text{ cm}^{-1}$  and equal to the reflectance at 10,000  $\text{cm}^{-1}$ ; this eliminated remote ultraviolet reflection bands from the integral. Similarly, we assumed that solution reflectance at extremely low frequencies was constant and equal to its reflectance at 0.37  $\text{cm}^{-1}$ , thereby eliminating the effects of bands at lower radio frequencies.

On the basis of our earlier work on water together with considerations of uncertainties in our present work, we estimate that the uncertainty in  $\phi(\nu_0)$  in eq 1 is  $\pm 0.003$  rad. This indicates that uncertainties in  $n$  amount to  $\pm 1\%$  of the values shown in our graphs over most of the frequency range indicated but may increase to  $\pm 2\%$  at the lowest frequencies. The numerical uncertainty  $\delta k$  amounts to approximately  $\pm 0.03$  over most of the range; thus, our uncertainties amount to  $\pm 10\%$  at the maximum of the strong band near 3400  $\text{cm}^{-1}$ ,  $\pm 25\%$  at the maximum of the weaker band near 1640  $\text{cm}^{-1}$ , and  $\pm 7.5\%$  at the maximum of the strong band near 500  $\text{cm}^{-1}$ . These uncertainties are large but are smaller than those involved in values based on transmission measurements unless extreme care is taken in the preparation of the absorption cell.<sup>7</sup> For values of  $k < 0.10$ , values of  $k$  based on transmission measurements are usually to be preferred provided suitable cell windows of high optical quality are available.

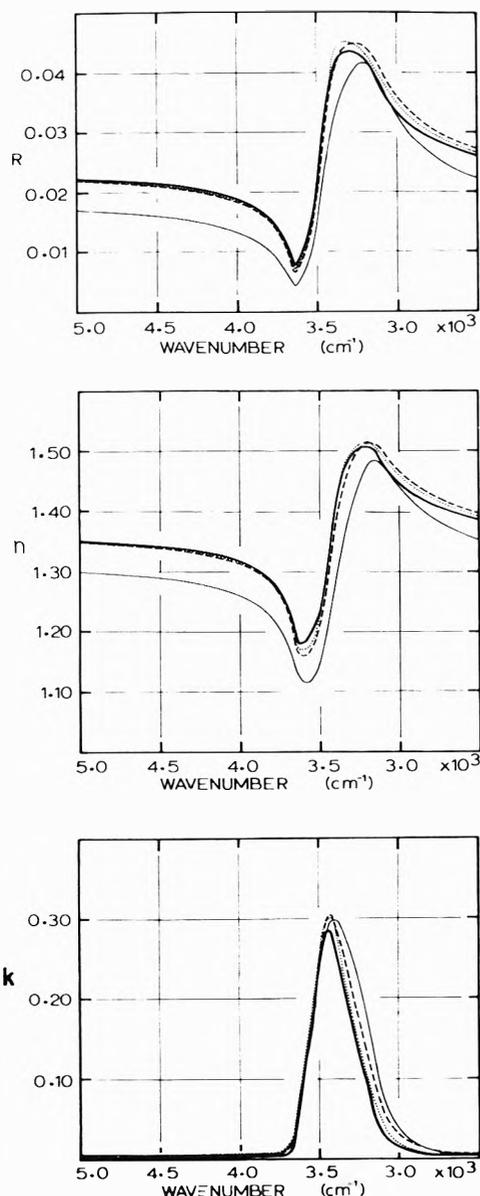
Reagent grade materials were employed. Reflectance was measured for solutions at ambient laboratory temperature, which was approximately 27°.

### Results

The results of our study are summarized in the figures. The top panel in each figure gives measured reflectance  $R$  as a function of frequency. The center panel of each figure gives a corresponding plot of  $n$ , and the bottom panel gives  $k$  as a function of frequency. In each panel a light continuous curve gives the corresponding parameter for pure water at 27° for purposes of comparison.

*A. The 5000–2500- $\text{cm}^{-1}$  Region.* Figures 1–4 gives plots for the spectral region 5000–2500  $\text{cm}^{-1}$ , which is dominated by the intense absorption band near 3400  $\text{cm}^{-1}$  in water; this band, which occurs in a region where molecules containing OH groups have characteristic absorption, is usually attributed primarily to the  $\nu_1$  and  $\nu_3$  fundamentals of the  $\text{H}_2\text{O}$  molecule but includes some contribution from  $2\nu_2$  of the molecule.

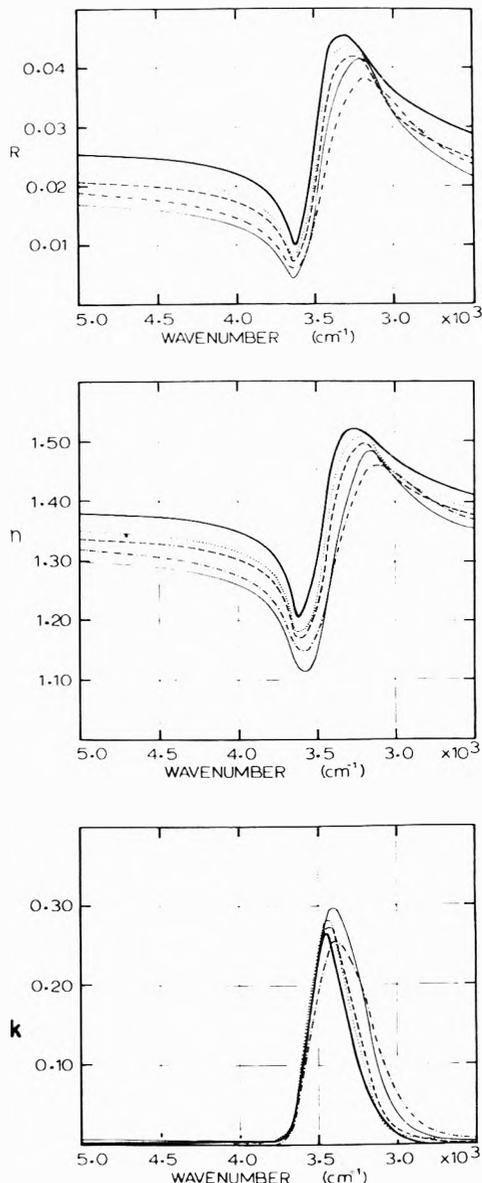
Figure 1 gives the results obtained with 4  $M$  solutions of three alkali bromides in the 5000–2500- $\text{cm}^{-1}$  region. The general contours of the reflectance feature are changed somewhat and the reflectances of the solutions are generally higher than those of water. The values of  $n$  for the solutions in the 5000–4000- $\text{cm}^{-1}$  region are practically indistinguishable from one another; this indicates that the difference between  $n$  of the solutions from that of water in this region is due primarily to the common halide ion  $\text{Br}^-$  and the alkali ions have relatively little effect on  $n$ . Similar results were obtained in studies of  $\text{Li}^-$ ,  $\text{Na}^+$ , and  $\text{K}^+$  in the presence of the halide ions  $\text{Cl}^-$  and  $\text{I}^-$ ; related but less clear-cut results were obtained with alkali fluorides.



**Figure 1.** Normal-incidence reflectance and optical-constant curves for 4 M solutions of LiBr (dashed), NaBr (dotted), and KBr (heavy continuous). The light continuous curves give corresponding plots for water.

In view of our uncertainty  $\Delta k = \pm 0.03$ ,  $k$  is not significantly different from zero in the 5000–3800- $\text{cm}^{-1}$  range; in the vicinity of the 3400- $\text{cm}^{-1}$  absorption band of pure water, the  $k$  bands for the solutions are shifted to higher frequencies and are narrower than the water band; the slight shift of the peaks and the slight decrease in the half-width are progressively greater in the order  $\text{Li}^+$ ,  $\text{Na}^+$ , and  $\text{K}^+$ . The  $k$  peak heights are essentially the same within the limits of uncertainty, but the KBr peak is slightly lower than the other peaks shown in the figure; the maximum value of  $k$  for the solutions is very nearly equal to the  $k = 0.30$  maximum for water.

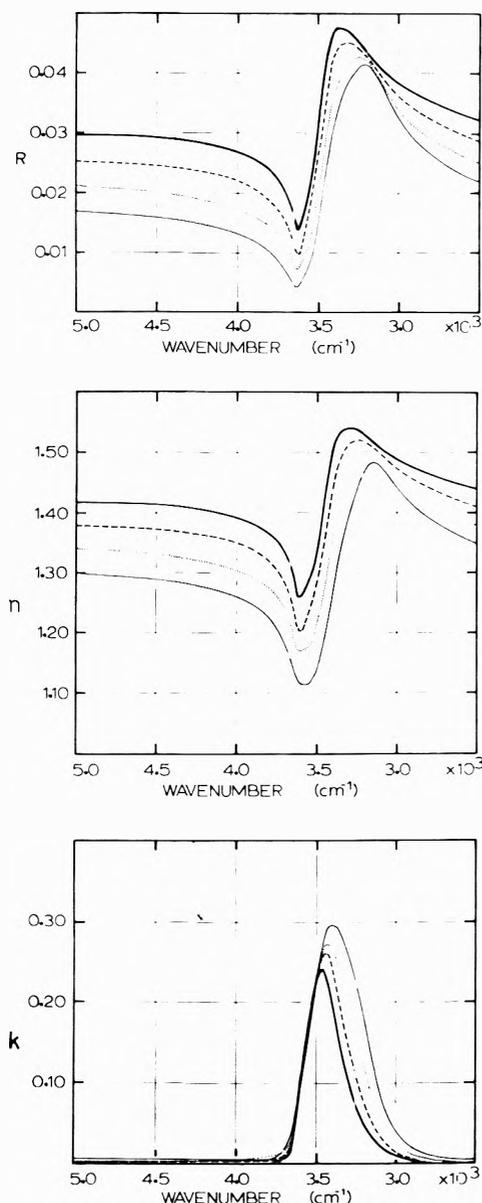
Figure 2 gives plots of  $R$ ,  $n$ , and  $k$  in the 5000–2500- $\text{cm}^{-1}$  region for 4 M solutions of potassium halides. The values of  $R$  and  $n$  for the solutions differ markedly from the corresponding values for water in the 5000–4000- $\text{cm}^{-1}$  range; the values of  $R$  and  $n$  increase in the order  $\text{F}^-$ ,  $\text{Cl}^-$ ,  $\text{Br}^-$ , and  $\text{I}^-$ . The peak values of  $k$  for the bands in



**Figure 2.** Normal-incidence reflectance and optical-constant curves for 4 M solutions of KF (dash-dot-dash), KCl (dashed), KBr (dotted), and KI (heavy continuous). The light continuous curves give corresponding plots for water.

the solutions are lower than that of the 3400- $\text{cm}^{-1}$  water band and decrease in the order  $\text{Cl}^-$ ,  $\text{Br}^-$ , and  $\text{I}^-$  for the heavier halides; the half-widths of the band are less than that of the water band and show a corresponding decrease with increasing ion size; however, the frequency at which maximum  $k$  occurs is greater than that of the water maximum and increases in the order  $\text{Cl}^-$ ,  $\text{Br}^-$ , and  $\text{I}^-$ . The appearance of the  $k$  band in the KF solution is different from its appearance in the other halide solutions; its peak value is considerably lower than those in the other halide solutions; its half-width is greater than that of the corresponding band in pure water; the  $k$  peak is shifted to a lower frequency than that of the water band.

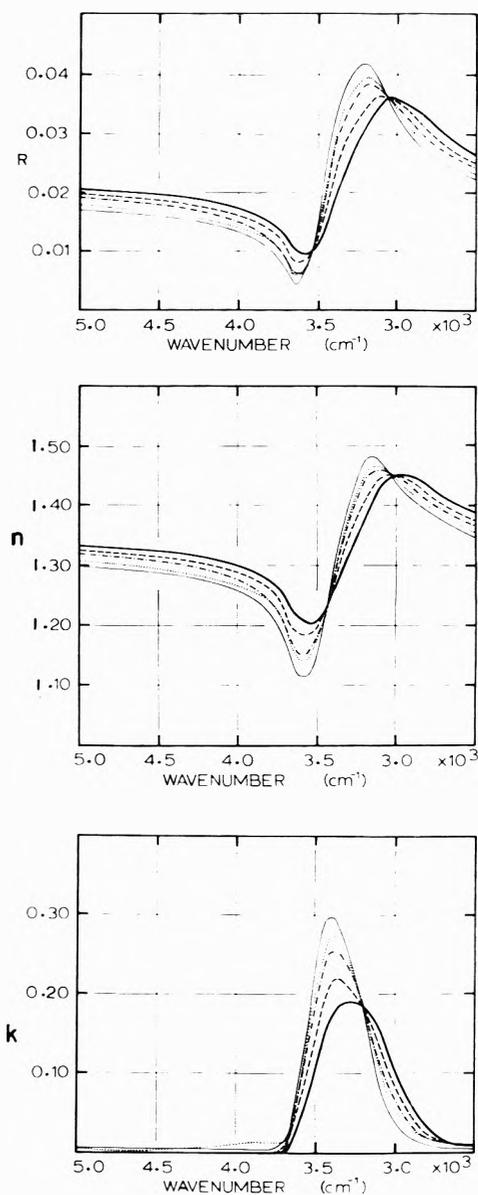
On the basis of the plots in Figures 1 and 2 we conclude that the influence of negative ions on the 3400- $\text{cm}^{-1}$  water band is greater than the influence of positive ions. The influences of the  $\text{I}^-$  and  $\text{F}^-$  ions are greatly different; the smaller effects of  $\text{Cl}^-$  and  $\text{Br}^-$  are more nearly like those



**Figure 3.** Normal-incidence reflectance and optical-constant curves for KI solutions: 2 (dotted), 4 (dashed), and 6 M (heavy continuous). The light continuous curves give corresponding plots for water.

of  $I^-$  than like those of  $F^-$ . These conclusions have been borne out in studies of alkali halide solutions other than those shown in the figures.

In order to ascertain the influences of concentration on the infrared spectra, we studied KI and KF solutions at several concentrations. Figure 3 gives the results obtained for KI. The difference between  $n$  for the solutions and  $n$  for water in the  $5000\text{--}4000\text{-cm}^{-1}$  region is roughly proportional to concentration. With increasing concentration: (1) the frequency at which the  $k$  peak occurs shifts progressively to higher frequencies, (2) the maximum value of  $k$  progressively decreases, and (3) the half-width of the absorption band progressively decreases. The corresponding results for KF solutions are shown in Figure 4. The value of  $n$  in the  $5000\text{--}4000\text{-cm}^{-1}$  region shows a small monotonic increase with increasing concentration. The peak value of  $k$  and the frequency at which the peak occurs become progressively lower with increasing concentration of KF;

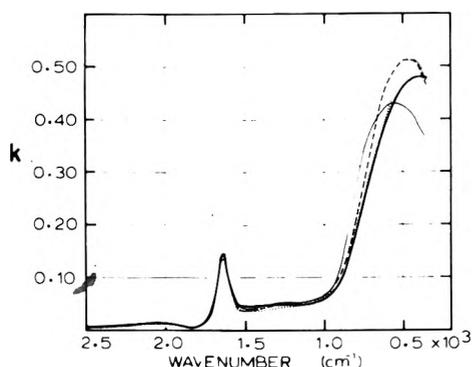
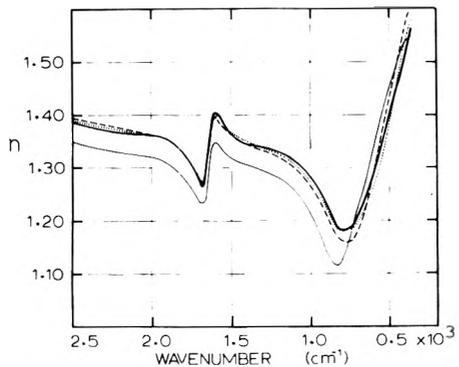
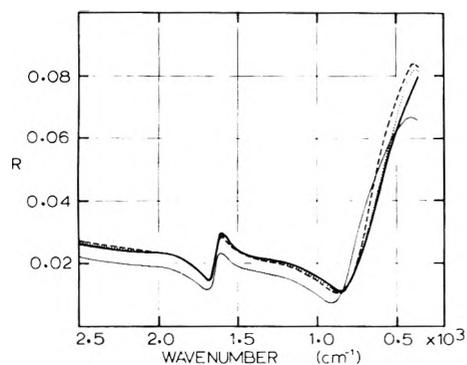


**Figure 4.** Normal-incidence reflectance and optical-constant curves for KF solutions: 2 (dotted), 4 (dot-dash-dot), 8 (dashed), and 12 M (heavy continuous). The light continuous curves give corresponding plots for water.

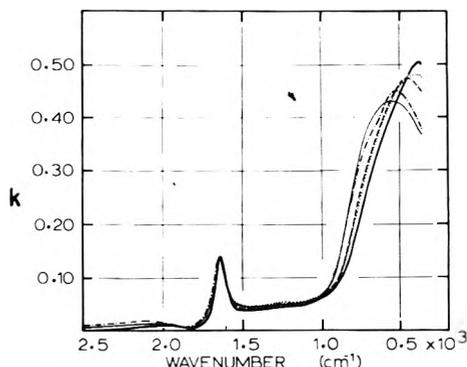
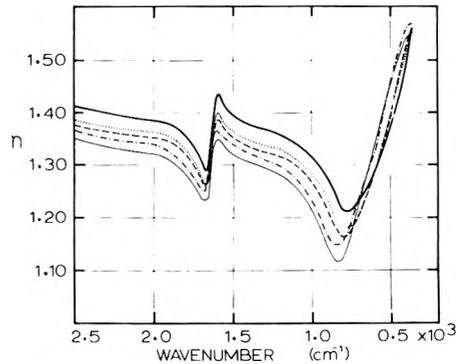
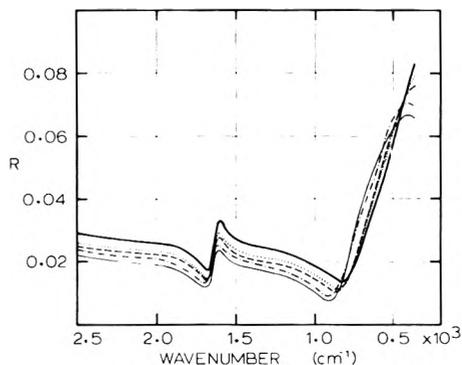
the half-width of the band becomes increasingly greater. For the 12 M solution the shape of the band bears little resemblance to that of the water band.

Consideration of Figures 3 and 4 reveals no anomalies with increasing concentration. All effects noted in Figures 1 and 2 appear to increase monotonically, although not necessarily linearly, with increasing concentration. Similar results have been obtained in concentration studies of other alkali halide solutions not shown in the figures.

*B. The  $2500\text{--}350\text{-cm}^{-1}$  Region.* In the  $2500\text{--}350\text{-cm}^{-1}$  region in the spectrum of water occur the weak associational band  $\nu_A$  near  $2120\text{ cm}^{-1}$ , the  $\nu_2$  fundamental of the  $H_2O$  molecule near  $1640\text{ cm}^{-1}$  and the strong, broad band  $\nu_1$ , attributed to the librational or hindered rotational motion of  $H_2O$  molecules in the fields of their neighbors. The librational band in water at  $27^\circ$  is characterized by a maximum in  $k$  at  $580\text{ cm}^{-1}$ ; the peak position of the  $\nu_1$  band is strongly influenced by temperature. Our present



**Figure 5.** Normal-incidence reflectance and optical-constant curves for 4 M solutions of LiBr (dashed), NaBr (dotted), and KBr (heavy continuous). The light continuous curves give corresponding plots for water.



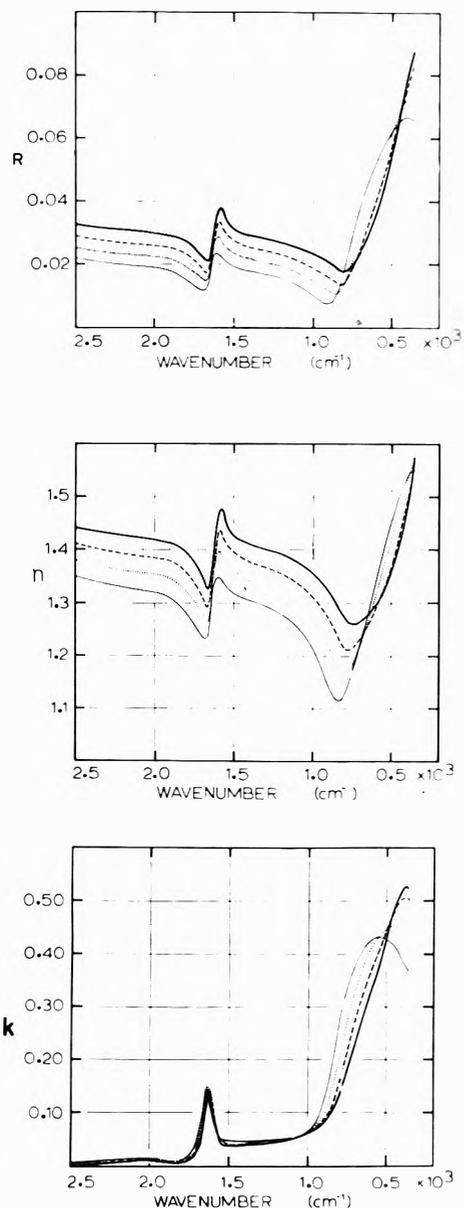
**Figure 6.** Normal-incidence reflectance and optical-constant curves for 4 M solutions of KF (dash-dot-dash), KCl (dashed), KBr (dotted), and KI (solid continuous). The light continuous curves give corresponding plots for water.

results in the 2500–350- $\text{cm}^{-1}$  region are summarized in Figures 5–8.

Figure 5 gives the results obtained with alkali bromide solutions. The spectral reflectance  $R$  is nearly the same for all the bromides and is greater for all solutions than for water except in the 800–350- $\text{cm}^{-1}$  region, where the reflectance curves of the solutions cross that of water; similar behavior is exhibited by the  $n$  curves, in which the minimum corresponding to the water minimum at 840  $\text{cm}^{-1}$  is shifted to lower frequencies. In the plot of  $k$  the small maximum associated with the associational band  $\nu_A$  is clearly visible near 2120  $\text{cm}^{-1}$ ; however, in the view of experimental uncertainties in  $k$ , we can, on the basis of the present work, draw no conclusions regarding the influence of solutes on the associational band, which can be more readily studied by measurements of transmission.<sup>7</sup> The position and shape of the  $\nu_2$  fundamental are not measurably influenced by the presence of the solutes. The  $k$  peak position for the librational band in the alkali bro-

mid solutions is shifted to lower frequencies, relative to its position in the spectrum of water; the shift is large for all the bromides but increases slightly in the order  $\text{Li}^+$ ,  $\text{Na}^+$ ,  $\text{K}^+$ .

Figure 6 summarizes our results for alkali halide solutions. In the 2500–800- $\text{cm}^{-1}$  range the  $R$  and  $n$  curves for the solutions are higher than the corresponding curves for water; the difference between  $n$  for the solutions and  $n$  for water in this region increases in the order  $\text{F}^-$ ,  $\text{Cl}^-$ ,  $\text{Br}^-$ ,  $\text{I}^-$ . In the 2500–800- $\text{cm}^{-1}$  region, the  $k$  curves for the solutions coincide within the limits of uncertainty with the  $k$  curve for water; we can conclude that the solutes have little influence on  $\nu_2$ . In the 800–350- $\text{cm}^{-1}$  regions, the  $R$  and  $n$  curves cross; the minimum in  $n$  occurs at a slightly higher frequency for KF and at increasingly lower frequencies for the other potassium halides in the order  $\text{Cl}^-$ ,  $\text{Br}^-$ ,  $\text{I}^-$ . The position of the  $k$  peak for  $\nu_L$  is strongly influenced by the solute and is shifted to lower frequencies in the order  $\text{F}^-$ ,  $\text{Cl}^-$ ,  $\text{Br}^-$ ,  $\text{I}^-$ ; there is a slight indica-

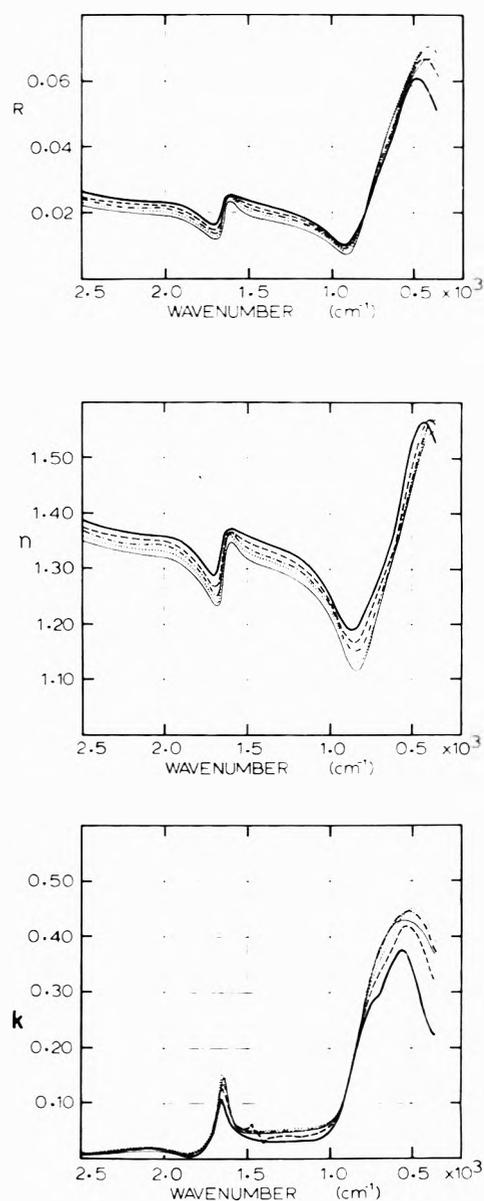


**Figure 7.** Normal-incidence reflectance and optical-constant curves for KI solutions: 2 (dotted), 4 (dashed), and 6 M (heavy continuous). The light continuous curves give corresponding plots for water.

tion of a high-frequency shoulder on the KF curve. The magnitude of  $k$  at the peak is greater than that for water; peak values of  $k$  increase in the order  $F^-$ ,  $Cl^-$ ,  $Br^-$ ,  $I^-$ .

Figure 7 gives the results of our study KI solutions as a function of concentration. In the 2500–800- $cm^{-1}$  region, the  $R$  and  $n$  curves become increasingly higher than the water curves as the KI concentration increases; the  $k$  curves for KI nearly coincide with the water curve at all concentrations. In the frequency range below 800  $cm^{-1}$  the  $R$  and  $n$  curves cross; the minima in the  $n$  curves for the KI solutions occur at progressively lower frequencies as the concentration increases. The  $k$  maxima of the  $\nu_L$  band in the solution spectra shift to progressively lower frequencies as the concentration of KI increases; for the 6 M solution the  $k$  maximum may actually occur at a frequency below our limit of measurements at 350  $cm^{-1}$ .

Figure 8 gives the results of our study of KF as a function of concentration. In the 2500–800- $cm^{-1}$  region the  $R$  and  $n$  curves are higher than the corresponding water



**Figure 8.** Normal-incidence reflectance and optical-constant curves for KF solutions: 2 (dotted), 4 (dot-dash-dot), 8 (dashed), and 12 M (heavy continuous). The light continuous curves show corresponding plots for water.

curves by a relatively small amount that increases with increasing concentration of KF. The  $\nu_2$  peak in the  $k$  curve remains at the same frequency in the solutions, but the peak value of  $k$  appears to decrease with increasing concentration. Differences in the levels of the  $k$  curves for the KF solutions can be noted in the relatively flat parts of the curves between 1500 and 1000  $cm^{-1}$ . In the spectral range below 1000  $cm^{-1}$ , the  $R$  curves nearly coincide until the  $R$  maximum is reached; the reflectance maximum is attained at a higher frequency for the 12 M solution than for water or the less concentrated solutions. The minimum in the  $n$  curves occurs at a progressively higher frequency relative to the minimum in the water curve as the KF concentration increases. The  $k$  peak position for the  $\nu_L$  band in the 2, 4, and 8 M solutions appears at slightly lower frequencies than for water and at a slightly higher frequency for the 12 M solution than for water. The height of the  $\nu_L$  peak in the  $k$  curves decreases with increasing concentration; evidence for the existence of a high-fre-

quency shoulder appears in the spectra of the more concentrated solutions.

## Discussion

The results obtained for values of  $n$  in the infrared indicate that this parameter is influenced more by negative ions than by positive ions. Its value in the visible and infrared is determined primarily by the intensities and positions of electronic bands in the ultraviolet; at a given frequency in the infrared, the increase of  $n$  for the solutions over  $n$  for water is directly proportional to the intensities of the ultraviolet bands of the solutions and is greater for electronic bands in the near ultraviolet than in the far ultraviolet. Since our values of  $n$  in the near infrared show little dependence on the positive ions (Figure 1), we can conclude that the ultraviolet bands of the tightly bound electronic structures of the positive ions  $\text{Li}^+$ ,  $\text{Na}^+$ , and  $\text{K}^+$  are either weak or located in the remote ultraviolet or both; conversely, since our values of  $n$  are strongly dependent on the negative ions (Figure 2), we can conclude that the ultraviolet bands of the loosely bound electronic structures of the negative ions  $\text{F}^-$ ,  $\text{Cl}^-$ ,  $\text{Br}^-$ , and  $\text{I}^-$  are either strong or located in the near ultraviolet or both.

Our determinations of  $k$  have given good values of this quantity at the centers of strong bands where values of  $k = \lambda\alpha/4\pi$  cannot be determined with high precision by transmission techniques because of large uncertainties in the Lambert coefficient  $\alpha$  resulting from difficulties in the preparation of extremely thin absorbing layers of uniform and precisely measured thickness and because of the presence of small amounts of stray radiation in nearly all spectrometers.<sup>7</sup> Because of our limiting uncertainty  $\delta k \cong 0.03$ , the fractional uncertainty  $\delta k/k$  increases in the wings of absorption bands and in other spectral regions where  $k$  is small. Thus, we have not made any important contributions to existing knowledge of band contours; for example, the  $3400\text{-cm}^{-1}$  band of liquid water, which is actually a complex region of absorption consisting of several overlapping unresolved components, we have treated in our discussion of Figures 1-4 as a single band with characteristic frequency, peak height, and half-width.

Earlier studies<sup>10-12</sup> of the infrared absorption of solutions of alkali halides have revealed certain superficial similarities between the effects of dissolved ions and the effects of temperature on the positions of the absorption bands of water. The comparison between these types of effects was prompted by the early theoretical work of Bernal and Fowler,<sup>13</sup> who attempted to explain various phenomena on the basis of disruptive effects of ions on the tetrahedrally bonded intermolecular lattice of water; according to this theory the disruptive effects were related to the ratio of the magnitude of the ionic charge to ionic radius; large ions such as  $\text{I}^-$  were supposed to have a greater disruptive effect than small ions such as  $\text{Li}^+$ . Bernal and Fowler also introduced the concept of the *equivalent structural temperature* of a solution; this equivalent temperature of a solution is the temperature of a pure water sample that would exhibit the same properties as those of the solution. On the basis of these theoretical considerations as modified by subsequent refinements and extensions, some investigators have attempted to classify various ions as "structure makers," which actually contribute to the regularity of the water structure of the type produced by decreasing the temperature of pure water, and as "structure breakers," which have the reverse effect.

Our recent study of the optical constants of water at different temperatures<sup>6</sup> and studies of ice<sup>14</sup> make it possible to compare parameters other than band position in solution with water at various temperatures. For the  $3400\text{-cm}^{-1}$  water band a summary of our results given in Table II of ref 14 indicates that (1) the peak value of  $k$  decreases, (2) the frequency of the  $k$  peak increases, and (3) the width of the band between points of half-maximum  $k$  increases with increasing temperature. Our present results for alkali bromides (Figure 1) show little significant change in the  $k$  peak height, a small shift of the band maximum to higher frequencies with barely significant differences produced by  $\text{Li}^+$ ,  $\text{Na}^+$ , and  $\text{K}^+$ , and a narrowing of the absorption band with the width of the peak in  $\text{LiBr}$  somewhat larger than for the other two solutions. Thus, (1) the nearly constant peak value of  $k$  would indicate a nearly unchanged equivalent temperature; (2) the shift of the peak to higher frequencies would indicate an increase in equivalent temperature; (3) the narrowing of the absorption peak would indicate a decrease in equivalent temperature. In the case of the potassium halide solutions (1) the decrease in  $k$  peak height is characteristic of a temperature increase in the expected order for the large ions  $\text{Cl}^-$ ,  $\text{Br}^-$ , and  $\text{I}^-$  but is even greater for the smaller  $\text{F}^-$  ion; (2) the shift of the peak to increasingly higher frequencies for the  $\text{Cl}^-$ ,  $\text{Br}^-$ , and  $\text{I}^-$  would indicate an increasing structural temperature, while the shift of the band to lower frequencies in  $\text{KF}$  is characteristic of a decrease in structural temperature; (3) the progressive narrowing of the band for  $\text{Cl}^-$ ,  $\text{Br}^-$ , and  $\text{I}^-$  would indicate a progressive decrease in structural temperature, but the greatly broadened peak for  $\text{F}^-$  would indicate an increase in structural temperature. These ambiguous interpretations are further emphasized in the analysis of Figures 4 and 5, which show the influence of concentration of  $\text{KI}$  and  $\text{KF}$ , respectively.

Thus, it would appear that any interpretation of our results for the  $3400\text{-cm}^{-1}$  band in terms of an equivalent structural temperature or in terms of various ions as structure makers or breakers leads to such serious ambiguities that it is of questionable value. It is, of course, possible that future studies in which the individual components of the  $3400\text{-cm}^{-1}$  band are resolved can alter our present conclusion on this subject.

In the case of the  $\nu_2$  band near  $1640\text{ cm}^{-1}$  our studies of liquid water and ice show that (1) the peak value of  $k$  shows no significant change in temperature for liquid water but is considerably smaller for ice; (2) the peak frequency of  $1640\text{ cm}^{-1}$  is not significantly altered with temperature change in water or in ice near the melting point but shifts to  $1600\text{ cm}^{-1}$  in ice at  $-170^\circ$ ;<sup>15</sup> and (3) that the width of the band decreases monotonically with increasing temperature. Comparison of the results for the  $\nu_2$  band in Figures 5-7 indicate that solutes also have little influence on the  $\nu_2$  band characteristics; the results shown in Figure 8 indicate some possible influences of  $\text{KF}$  on the  $\nu_2$  band, but these marginally significant changes cannot be interpreted with clarity in terms of changes in structural temperature.

In the case of the librational band  $\nu_1$ , our earlier studies of water and ice have shown that (1) the peak value of  $k$  does not change significantly with temperature; (2) the peak shifts to lower frequency with increasing temperature; and (3) that the band width increases with increasing temperature. For the alkali bromides (Figure 5), (1) the peak values of  $k$  are all significantly larger than the  $k$

maximum for water; (2) the frequency of the band maximum is shifted to lower frequencies in the order  $\text{Li}^+$ ,  $\text{Na}^+$ ,  $\text{K}^+$ ; (3) no valid conclusions can be drawn with regard to band width. For the potassium halides (Figure 6), (1) peak values of  $k$  are all greater than for water; (2) the position of the peak is shifted to lower frequencies in the increasing order  $\text{F}^-$ ,  $\text{Cl}^-$ ,  $\text{Br}^-$ , and  $\text{I}^-$ ; (3) no conclusions can be drawn with regard to band width. With increasing concentration of KI (Figure 7), (1) peak height increases monotonically; (2) the peak position shifts to lower frequencies; (3) there is some evidence of progressive narrowing of the band. With increasing concentration of KF (Figure 8), (1) the peak value of  $k$  decreases monotonically; (2) the position of the peak shifts monotonically to higher frequencies; (3) there is some indication of band narrowing and there is increasing evidence of a high-frequency shoulder. For the  $\nu_L$  band, (1) there is thus no temperature analog of the observed change in peak values of  $k$ ; (2) at a common 4 *M* concentration, the shift of the band peak to lower frequencies would indicate an increased structural temperature; with increasing concentration of KI the progressive shift of the peak to lower frequencies would indicate an increased structural temperature; with increasing concentration of KF, we might conclude that the equivalent structural temperature of the solution is initially greater than 27° but decreases to much lower values at the highest concentrations; (3) the progressive narrowing of the librational band can be interpreted as progressive reduction of the structural temperature for increasing concentration of KF; no valid conclusions can be drawn for the other solutions.

Thus, for the  $\nu_L$  band there seems to be no unique way to interpret the observed solution spectra in terms of an equivalent structural temperature. The situation for the  $\nu_L$  band is worse than in the case of the 3400  $\text{cm}^{-1}$  in view of the fact that the large observed changes in the maximum value of  $k$  for the  $\nu_L$  band cannot be produced by either increase or decrease in the temperature of pure water.

In the Bernal-Fowler theory the concept of structural temperature was introduced to account for perturbation of the water lattice by ions; the concept should thus be applied only to dilute solutions in which the number density of ions is small as compared with the number density of water molecules constituting the lattice. In the more concentrated solutions used in the present study of reflection and in earlier studies of absorption, this concentration limitation has not been fulfilled; thus, it is not surprising that the concept of structural temperature involving the classification of various ions as structure makers and structure breakers should fail. In the most concentrated solutions used in the present study nearly every  $\text{H}_2\text{O}$  molecule interacts directly or indirectly with one or more ions; Draeger and Williams<sup>16</sup> have pointed out that it is remarkable that the spectra of such concentrated solutions bear any resemblance to the spectrum of water, particularly in the far infrared.

The present study has been concerned with the quantitative measurements of the spectral reflectance and with the determination of absolute values of refractive indices  $n$  and absorption indices  $k$  from measured values of reflectance. Our results for the absorption indices  $k$  are closely related to the results of numerous earlier studies of infrared absorption spectra, which have recently been discussed in considerable detail by Verrall.<sup>17</sup> Many of the results obtained in the present study have also been ob-

tained in studies of absorption. However, unless extreme care is taken in absorption measurements,<sup>7,8</sup> it is difficult to obtain accurate values of the Lambert absorption coefficients  $\alpha$  or the associated absorption indices  $k$  in the vicinity of strong absorption bands. In the vicinity of the strong absorption band with maximum at 3400  $\text{cm}^{-1}$  in the spectrum of water, the difficulties are particularly great; under conditions of low resolution the observed band center as given in a plot of spectral transmittance can actually shift with cell thickness.<sup>18</sup> Inadequate control of absorption cell thickness in the early study of aqueous solutions by Millet and Williams<sup>19</sup> apparently led to spurious results for the 3400- $\text{cm}^{-1}$  band but caused no difficulties in other spectral regions.<sup>17</sup>

Recent absorption studies have given valuable information<sup>17</sup> concerning the influence of solutes on the  $\nu_1$  and  $\nu_2$  bands by making effective use of isotopic shifts. In HDO the  $\nu_1$  and  $\nu_3$  are widely separated in frequency; by absorption studies of HDO as an impurity in liquid  $\text{H}_2\text{O}$  and in liquid  $\text{D}_2\text{O}$ , the  $\nu_1$  and  $\nu_3$  bands of HDO can be studied separately under conditions where neither is overlapped by the overtone band  $2\nu_2$ . Although the results of these absorption studies have given valuable information concerning interactions between ions and HDO molecules, it is possibly doubtful whether the HDO results can be applied directly to quantitative studies of the optical properties of liquid  $\text{H}_2\text{O}$  or of ordinary aqueous solutions. In liquid  $\text{H}_2\text{O}$  the frequencies of the molecular vibrations  $\nu_1$ ,  $\nu_3$ , and  $2\nu_2$  are so nearly the same that resonance effects producing band splittings and intensity anomalies may occur.

However, it would possibly seem desirable to consider various models for the association of monatomic ions with individual water molecules. In the Bernal-Fowler theory, the effects produced by ions depend on ionic charge and ionic radius and not on the sign of the charge; although this theory and subsequent refinements of it account satisfactorily for many observed effects, it has failed in explaining the effects observed in the present study and in studies of infrared absorption, which reveal that negative ions have more influence than do positive ions; for example, the influence of the  $\text{F}^-$  ion is much greater than the effects produced by the  $\text{K}^+$  ion even though the two ions have nearly the same radius.

In the  $\nu_1$ ,  $\nu_2$ ,  $\nu_3$ , and  $\nu_L$  modes of water, most of the actual motion is associated with H atoms rather than with the more massive O atoms. In the association of a water molecule with a negative monatomic ion, the effect of the energetically favored close proximity of the negative ion to the H atoms of the polar water molecule would be expected to be greater than the effect of a positive ion of the same size, for which the energetically favored position would be near the O atom of the polar water molecule. Elaboration of a simple model of this type to include possible ion clustering might lead to an interpretation of some of the observed effects of ions on the water spectrum.

We might close by pointing out that carefully executed ATR measurements are capable of providing greater precision<sup>20</sup> in the determination of  $n$  and  $k$  from directly measured quantities without the necessity of employing KK analysis. ATR techniques should provide further information regarding band contours. We hope that our present results can soon be checked by ATR techniques. It would also be desirable to extend the measurements to frequencies lower than our present limit of 350  $\text{cm}^{-1}$  to include the hindered-translation band<sup>8</sup> near 180  $\text{cm}^{-1}$ .

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## A High-Pressure Laser Raman Spectroscopic Investigation of Aqueous Magnesium Sulfate Solutions

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The laser Raman spectra of aqueous  $\text{MgSO}_4$  solutions have been recorded at pressures up to 1 kbar for temperatures between 7.0 and 25.0°. The results are used to calculate the volume changes of dissociation of  $\text{MgSO}_4^\circ$  contact ion pairs (average  $\Delta\bar{V} = -20.3 \pm 1.4 \text{ cm}^3 \text{ mol}^{-1}$  between 7.0 and 25.0°). The absence of temperature dependence of  $\Delta\bar{V}$  and the different values of  $\Delta\bar{V}$  obtained by other techniques are discussed in terms of a multistate ion pair equilibrium process.

## Introduction

The study of interactions in aqueous electrolyte solutions<sup>1,2</sup> and especially the effects of pressure on chemical equilibria<sup>3-6</sup> has been the subject of several recent papers. This attention arises mainly from the investigations associated with natural waters.<sup>7-16</sup> From the variation of the equilibrium constant with pressure it is possible to calculate the volume changes associated with the ionic processes. These volume changes are useful in the estimation of the concentration of different ionic species present at various depths in lake and sea water.<sup>17</sup> Raman spectroscopy is especially applicable to mixed electrolytes such as sea water since a given electrolyte equilibrium can be studied in the presence of many other species but it is restricted to moderately concentrated solutions. To establish the actual concentrations of different species involved in an interaction between  $\text{Mg}^{2+}$  and  $\text{SO}_4^{2-}$  ions in aqueous solution, Davis and Oliver<sup>18</sup> of this laboratory used Raman spectroscopy and found a good agreement between the concentrations of contact ion paired  $\text{MgSO}_4^\circ$  calculated from their Raman data and those calculated from ultrasonic results of Atkinson and Petrucci.<sup>2</sup> The present

study was undertaken to extend the Raman work at atmospheric pressure<sup>18</sup> up to 1 kbar. This represents the range of pressures found in the oceans of the world but the present study is limited to solute concentrations of 2 M. The variation in the Raman spectra of aqueous solutions with pressure can be used to calculate the change in the partial molal volume associated with an ionic equilibrium if the variation of the activity coefficient ratio with pressure is neglected. The true thermodynamic equilibrium constant cannot be calculated from the available Raman data. However, the concentration quotient calculated from the Raman intensities can be used in place of the equilibrium constant,  $K_d$ , in the following equation

$$RT \left( \frac{\partial \ln K_d}{\partial P} \right)_{T,P} = -\Delta\bar{V} - RT\beta \quad (1)$$

where  $T$  and  $P$  are temperature and pressure,  $R$  is the gas constant,  $\beta$  is the isothermal compressibility of water, and  $\Delta\bar{V}$  is the volume change associated with an ionic equilibrium. The arguments for using the concentration quotient in eq 1 have been discussed by Adams and Davis.<sup>19</sup> The term  $RT\beta$  requires concentrations to be given in moles per liter.

Several physicochemical measurements have been made to ascertain the extent and nature of ion pairing in aqueous  $\text{MgSO}_4$  solutions. The effect of pressure on the dissociation constant of  $\text{MgSO}_4$  in dilute aqueous solution has been experimentally determined, using conductivity, by Fisher<sup>3</sup> at 25°, by Marshall<sup>20</sup> at higher temperatures, by Adams and Oliver<sup>21</sup> at 25, 18, 10, and 0° up to 3 kbars and by Inada, Shimizu, and Otsugi<sup>22</sup> at 25°. These measurements offer an empirical approach to the problem of ion-solvent interactions.

The main limitation of Raman spectroscopy in the study of electrolyte solutions is its low sensitivity in the dilute concentration range. Adams and Davis<sup>19</sup> have discussed the advantages of using high-pressure laser Raman spectroscopy for obtaining detailed molecular information at medium concentrations. In contrast to Daly, Brown, and Kester<sup>23</sup> who did not find Raman evidence for contact ion pairing in aqueous  $\text{MgSO}_4$ , Davis and Oliver<sup>18</sup> have reported the existence of contact ion pairing based on the Raman technique. Partial molal volumes reflect ionic interactions and hydration, and the volume changes on dissociation of  $\text{MgSO}_4$  in aqueous solution, as determined by Raman spectroscopy at high pressures, can provide information that cannot be obtained by other physicochemical measurements. Compared to the conductivity studies on aqueous solutions for measuring volume changes on dissociation, Raman work is relatively new. An attempt has been made here to compare the volume effects from Raman and from conductivity measurements.

### Experimental Section

The experimental technique has been described by Davis and Adams.<sup>24,25</sup> The same three-window high-pressure cell made from Hastelloy C to withstand corrosive solutions was used. The windows were sapphire and the cell was thermostatted by circulating water from a constant-temperature bath through plates bolted on each side of the cell. A Spectra-Physics 164 argon ion laser operating at 4880 Å and 1.5 W was used as the excitation source and the spectra recorded on a Jarrell-Ash Model 450 Raman spectrometer.

The solutions were prepared by weight using  $\text{MgSO}_4 \cdot 7\text{H}_2\text{O}$  (Baker Analyzed Reagent) and then analyzed for magnesium by atomic absorption spectroscopy. A Du Pont curve analyzer making use of a Lorentz-Gaussian product function<sup>26</sup> was used to resolve the band contours.

### Results and Discussion

The Raman spectra of an aqueous 2 M  $\text{MgSO}_4$  solution, shown in Figure 1, correspond to two different pressure conditions at 10.5° (a) 0.977 kbar; (b) 0.028 kbar. Spectra were also recorded at 7.0, 10.5, 18.5, and 25.0° for the entire pressure range up to 1 kbar. Figure 1 provides information about the effect of pressure on Raman bands typical of each temperature. (Also see Table I.)

Adams and Davis<sup>19</sup> have discussed the possible explanations for the Raman intensity changes observed as the pressure on an aqueous solution is varied. They have used solutions containing an internal standard of  $\text{NaClO}_4$  to compensate for the increase in refractive index and density with pressure, and also for variations in laser intensity and detector sensitivity. However, the laser used in this work was found to be stable within the errors associated with recording the spectra (2%), so no internal standard was used. The effect of the internal standard on the equilibria, because of the greater ionic strength of the solution

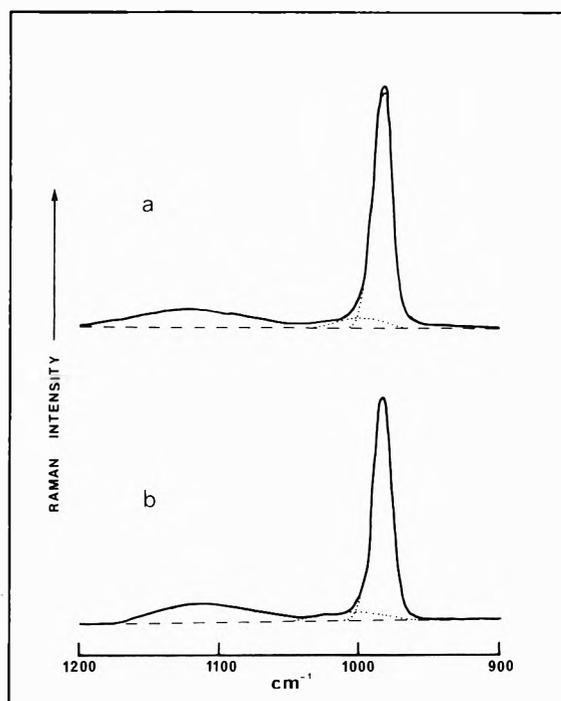


Figure 1. The argon-ion laser-Raman spectra (4880 Å) of aqueous 2.0 M  $\text{MgSO}_4$  (900–1200  $\text{cm}^{-1}$ ) at 10.5°: (a) 0.977 kbar, (b) 0.028 kbar. The resolved intensities are shown by dotted lines. Spectra were obtained with a time constant of 1 sec, a slit width of 350  $\mu$ , and a scanning rate of 0.25  $\text{cm}^{-1}/\text{sec}$ ; the laser power was 1.5 W.

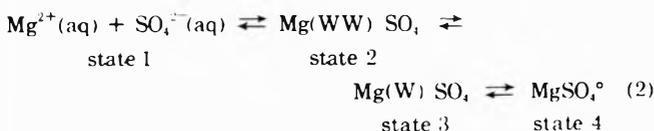
TABLE I: Raman Band Intensities for Aqueous 2 M  $\text{MgSO}_4$  Solution as a Function of Temperature and Pressure

P, kbar	$I_{982}^a$	$I_{995}^b$	$I_{982}/(I_{982} + I_{995})$	$I_{995}/(I_{982} + I_{995})$	Ln $Q_v$
Temp 7.0°					
0.078	0.120	0.014	0.896	0.104	2.73
0.205	0.111	0.011	0.910	0.090	2.91
0.497	0.122	0.010	0.924	0.076	3.12
0.736	0.134	0.009	0.937	0.063	3.33
1.029	0.144	0.008	0.947	0.053	3.53
Temp 10.5°					
0.014	0.114	0.016	0.877	0.123	2.53
0.028	0.105	0.014	0.882	0.118	2.58
0.122	0.110	0.014	0.887	0.113	2.63
0.472	0.122	0.012	0.910	0.090	2.92
0.705	0.120	0.010	0.923	0.077	3.10
0.977	0.130	0.008	0.942	0.058	3.42
Temp 18.5°					
0.025	0.120	0.018	0.870	0.130	2.45
0.187	0.122	0.017	0.878	0.122	2.53
0.477	0.123	0.014	0.898	0.102	2.76
0.733	0.124	0.011	0.918	0.082	3.03
1.002	0.122	0.009	0.931	0.069	3.23
Temp 25.0°					
0.153	0.150	0.020	0.882	0.118	2.58
0.175	0.167	0.024	0.874	0.126	2.50
0.240	0.141	0.022	0.865	0.135	2.41
0.490	0.147	0.018	0.891	0.109	2.68
0.494	0.139	0.015	0.903	0.097	2.82
0.722	0.161	0.016	0.910	0.090	2.91
0.739	0.146	0.016	0.901	0.099	2.80
0.969	0.142	0.013	0.916	0.084	3.00
1.030	0.143	0.011	0.929	0.071	3.18

<sup>a</sup> Integrated 982 band intensity. <sup>b</sup> Integrated 995 band intensity.

containing a standard, was also avoided by using a direct technique. The variation of the intensity in the Raman spectrum has been generally assumed to be due to the perturbation of chemical equilibria. The shape of the envelope in the region of  $982\text{ cm}^{-1}$  changes as a function of pressure in  $\text{MgSO}_4$  solutions at each temperature of the present study. As has been noted by Davis and Oliver<sup>18</sup> and observed in our own present results, the envelope of the  $\text{SO}_4^{2-}$  band is slightly asymmetric to the high-frequency side and, in the present study, this envelope has been resolved with the curve analyzer into two bands at  $982$  and  $995\text{ cm}^{-1}$ . The band at  $995\text{ cm}^{-1}$  has been assigned to the sulfate in the contact ion paired form in aqueous  $\text{MgSO}_4$  solutions. On the other hand, the band at  $982\text{ cm}^{-1}$  has been attributed to three different forms of sulfate<sup>18</sup> indistinguishable by Raman spectroscopy.

It is observed that the relative intensity of the band at  $995\text{ cm}^{-1}$  decreases with increase in pressure (Figure 1). Qualitatively, this can be explained by Le Chatelier's principle as follows: an increase in hydrostatic pressure causes the equilibrium to shift in the direction of the smaller partial molal volumes, *i.e.*, toward the more ionized forms since the electrostriction of water molecules around the ions causes a net contraction of the system. Therefore, the effect of pressure, as seen from Figure 1, on dissociation of  $\text{MgSO}_4^\circ$  contact ion pair is to decrease the concentration of this species. This agrees with Fisher,<sup>3</sup> who also observed a decrease in the concentration of  $\text{MgSO}_4^\circ$  ion pairs in aqueous  $\text{MgSO}_4$  solutions using the conductivity method. This observation is also in agreement with Inada, Shimizu, and Oslugi<sup>22</sup> who found, using a conductivity method, that the dissociation of the ion pair,  $\text{MgSO}_4^\circ$ , was promoted by pressure. Following an original proposal of Eigen and Tamm<sup>1</sup> and since used by Atkinson and Petrucci,<sup>2</sup> Davis and Oliver<sup>18</sup> have interpreted their results in terms of the following four-state model for the dissociation of  $\text{MgSO}_4$  in aqueous solutions



They suggested that the two solvent separated ion pairs (states 2 and 3) and the free sulfate (state 1) could not be distinguished from each other by Raman spectroscopy. The band at  $982\text{ cm}^{-1}$  was therefore attributed to sulfate in all three of these forms:  $\text{SO}_4^{2-}(\text{aq})$ ,  $\text{Mg}(\text{WW})\text{SO}_4$ ,  $\text{Mg}(\text{W})\text{SO}_4$ , whereas the band at  $995\text{ cm}^{-1}$  was only from sulfate in the contact ion pair form,  $\text{MgSO}_4^\circ$ , as discussed earlier.

Davis and Oliver<sup>18</sup> used the following relationship to calculate the concentration of  $\text{MgSO}_4$  contact ion pairs

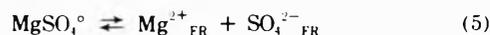
$$[\text{MgSO}_4^\circ]_{\text{contact}} = \frac{I_{995}}{I_{982} + I_{995}} C \quad (3)$$

where  $I_{995}$  and  $I_{982}$  are the integrated intensities of the  $995$ - and  $982\text{-cm}^{-1}$  Raman bands and  $C$  is the concentration of magnesium, determined by atomic absorption spectrometry, in the stoichiometric  $\text{MgSO}_4$  solutions. Since the sum of the  $995$ - and  $982\text{-cm}^{-1}$  band intensities is proportional to total sulfate concentration, the combined concentration of the solvent separated ion pairs (state 2), the solvent shared ion pairs (state 3), and the free sulfate ions (state 1) is given by the following relationship

$$[\text{SO}_4^{2-}]_{\text{FR}} = [\text{SO}_4^{2-}] + [\text{Mg}(\text{W})\text{SO}_4] +$$

$$[\text{Mg}(\text{WW})\text{SO}_4] = \frac{I_{982}}{I_{995} + I_{982}} C \quad (4)$$

where the notations have the same meaning as in eq 3 and  $[\text{SO}_4^{2-}]_{\text{FR}}$  is the concentration of sulfate as determined by Raman not to be in the form of  $\text{MgSO}_4^\circ$ . The Raman technique is therefore detecting the following equilibrium process



The subscript FR refers to all  $\text{Mg}^{2+}$  and  $\text{SO}_4^{2-}$  that is not in the contact ion paired form. The concentration quotient for the equilibrium represented by eq 5 can be written as below

$$Q_v = [\text{Mg}^{2+}]_{\text{FR}}[\text{SO}_4^{2-}]_{\text{FR}}/[\text{MgSO}_4^\circ] \quad (6)$$

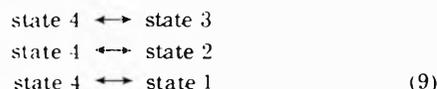
However, since  $[\text{Mg}^{2+}]_{\text{FR}} = [\text{SO}_4^{2-}]_{\text{FR}}$ ,  $Q_v$  can also be written as

$$Q_v = [\text{SO}_4^{2-}]_{\text{FR}}^2/[\text{MgSO}_4^\circ] \quad (7)$$

Substituting from eq 3 and 4

$$Q_v = \left( \frac{I_{982}}{I_{995} + I_{982}} \right)^2 C / \left( \frac{I_{995}}{I_{995} + I_{982}} \right) \quad (8)$$

This suggests that any  $\Delta V$  calculated from using  $Q_v$  as obtained above would be a combination of the volume changes of the following processes in the Eigen multistate dissociation theory

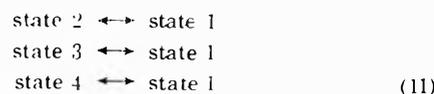


The  $Q_v$  calculated in eq 8 has been used in eq 1 in place of  $K_d$  to calculate  $\Delta V$  from the Raman data.

In the interpretation of most experimental work on ion pairing only a two-state model is used to calculate the effects of pressure on the equilibrium constant according to eq 1, where  $\Delta V^\circ$  is the difference in partial molal volumes between products and reactants in their standard state. For example, Fisher<sup>3</sup> has experimentally determined the effect of pressure on the dissociation of  $\text{MgSO}_4^\circ$  in dilute aqueous solutions by conductivity measurements and found that

$$\begin{aligned} \Delta \bar{V}_{\text{dissoc}}^\circ(\text{MgSO}_4^\circ) &= \bar{V}^\circ(\text{Mg}^{2+}, \text{SO}_4^{2-}) - \\ &\bar{V}^\circ(\text{MgSO}_4^\circ) = -7.3\text{ cm}^3\text{ mol}^{-1} \end{aligned} \quad (10)$$

where  $\bar{V}^\circ(\text{Mg}^{2+}, \text{SO}_4^{2-})$  is the partial molal volume of the free ions and  $\bar{V}^\circ(\text{MgSO}_4^\circ)$  is the partial molal volume of the ion pair. Since the free ions (state 1 in eq 2) are the main species which would contribute to the electrical conductivity of solutions, it is reasonable to assume that Fisher's<sup>3</sup>  $\Delta V^\circ$  is a composite of all the volume changes involved in those transitions of the states in eq 2 that are shown by the following processes



Fisher<sup>15</sup> in a later publication suggested that the transition from state 4 to state 3 is the reaction which essentially controls the relaxation frequency observed in the ultrasonic absorption of aqueous  $\text{MgSO}_4$  solutions. From their ultrasonic measurements Atkinson and Petrucci<sup>2</sup> also found three relaxation peaks in aqueous  $\text{MgSO}_4$  solutions

and concurred with the Eigen three-step association mechanism.

The Raman results do not agree with the values of  $\Delta\bar{V}^\circ$  as obtained by other workers<sup>1,3,15,21,22</sup> from other types of measurements. It is estimated that there is an overall 5% error associated with the measurement of the intensity of the strong 982-cm<sup>-1</sup> band. This includes the percentage error in the measurement of the spectrum by the spectrometer and that introduced by using the curve resolver. Since  $Q_v$  is a function of the intensities of the bands at 982 and 995 cm<sup>-1</sup>, an error analysis in a function of 2 variables was performed to obtain an estimate of the propagation of error in  $Q_v$  due to the errors in the measurements of  $I_{982}$  and  $I_{995}$ . The error in the intensity of the weak band at 995 cm<sup>-1</sup> was estimated as follows

$$\Delta I_{995} = \sqrt{I_{995}/I_{982}} \times \Delta I_{982} \quad (12)$$

where  $\Delta I_{995}$  and  $\Delta I_{982}$  are the errors in  $I_{995}$  and  $I_{982}$ , respectively. Thus for the intensity of the weak band,  $I_{995}$ , the error was considerably larger than 5% estimated for  $I_{982}$ .  $\Delta \ln Q_v$ , the resulting error in  $\ln Q_v$ , obtained from the error analysis is shown by an error bar for each  $\ln Q_v$  value in Figure 2, which is a plot of  $\ln Q_v$  (or  $\ln K_d$ ) vs. pressure for the temperatures of this study. The slope of  $\ln K_d$  as a function of pressure when computed by least-mean squares (lms) is  $+ (8.20 \pm 0.42) \times 10^{-4} \text{ bar}^{-1}$  at 7.0°,  $+ (8.75 \pm 0.40) \times 10^{-4} \text{ bar}^{-1}$  at 10.5°,  $+ (8.25 \pm 0.41) \times 10^{-4} \text{ bar}^{-1}$  at 18.5°, and  $+ (7.06 \pm 0.99) \times 10^{-4} \text{ bar}^{-1}$  at 25.0°. The standard errors are obtained from the standard deviations of the lms fit. The corresponding  $\Delta\bar{V}$  at different temperatures are respectively  $(-20.14 \pm 1.03) \text{ cm}^3 \text{ mol}^{-1}$  at 7.0°,  $(-21.68 \pm 1.02) \text{ cm}^3 \text{ mol}^{-1}$  at 10.5°,  $(-21.09 \pm 1.02) \text{ cm}^3 \text{ mol}^{-1}$  at 18.5°, and  $(-18.61 \pm 2.44) \text{ cm}^3 \text{ mol}^{-1}$  at 25.0°. It will be noted that in the calculation of the equilibrium constant for dissociation  $K_d$  (equivalent to  $Q_v$  in this work), of the contact ion pair  $\text{MgSO}_4^\circ$ , the free sulfate concentration chosen was actually a composite (free sulfate + solvent separated + solvent shared sulfate) concentration. This indicates that  $\Delta\bar{V}$  calculated in this Raman work reflects different transition processes than those from conductivity or ultrasonic absorption. It is therefore not surprising that our  $\Delta\bar{V}$  values are different and not in agreement with Fisher,<sup>3,15</sup> Inada, Shimizu and Osugi,<sup>22</sup> Adams and Oliver,<sup>21</sup> or Eigen and Tamm.<sup>1</sup>

The effect of temperature on the  $\Delta\bar{V}$  for the dissociation of  $\text{MgSO}_4^\circ$  contact ion pair as calculated from high-pressure Raman data is negligible in the range 7.0-25.0° of this study. Once a water molecule penetrates the  $\text{MgSO}_4^\circ$  contact ion pair, the Raman technique is not sensitive to any further water layers forming between ions  $\text{Mg}^{2+}$  and  $\text{SO}_4^{2-}$ . Further, no temperature-induced structural changes are observed in the values of  $\Delta\bar{V}$ . This indicates that the primary hydration of  $\text{Mg}^{2+}$  ions is fairly insensitive to temperatures in agreement with Walrafen<sup>27</sup> who found a similar phenomenon for many electrolytes. Changes in secondary hydration occur with temperature changes and these are reflected in the  $\Delta\bar{V}^\circ$  values obtained from conductivity work. Transport properties are much more sensitive to secondary hydration structure than are Raman spectra. For this reason, the  $\Delta\bar{V}$  values calculated from Raman measurements are not a function of temperature. However, Adams and Oliver<sup>21</sup> have found evidence of the temperature effect on secondary hydration in their conductivity study by noting that  $\Delta\bar{V}^\circ$  becomes more negative as the temperature is reduced from 25 to

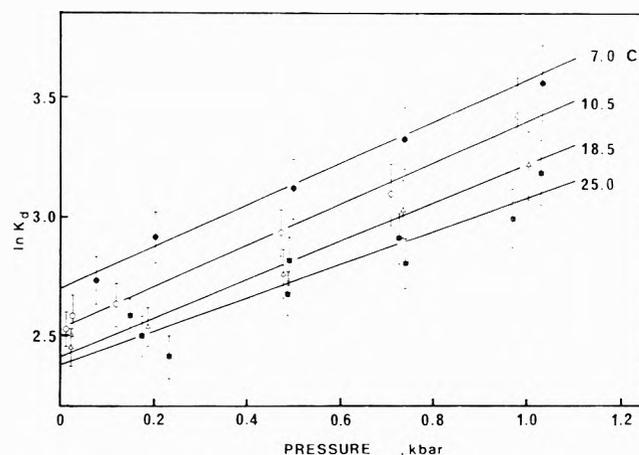


Figure 2. A plot of  $\ln K_d$  vs. pressure for the  $\text{MgSO}_4^\circ$  ion pair dissociation constant at several temperatures.

0°. Inada, Shimizu, and Osugi<sup>22</sup> have observed a temperature effect for  $\text{CaSO}_4$  ion pairing in aqueous solution. Within the accuracy of our Raman measurements such an effect is not seen in aqueous  $\text{MgSO}_4$  solutions. The observation of a temperature effect on the  $\Delta\bar{V}^\circ$  of ion pairing processes in aqueous solution from conductivity studies<sup>21,22</sup> results from the pressure effect on equilibrium processes shown in eq 11. These involve equilibria between states 2 and 3, where solvent interacting with partially neutralized ion pair species would be most sensitive to the effect of temperature. Since Raman results do not indicate a large temperature effect, the relative concentrations of contact ion pairs at high pressures must remain the same at temperatures between 7.0 and 25.0°. However, the distribution of species between states 1, 2, and 3 is affected by temperature. Since the electrostriction effect is greatest, and the  $\Delta\bar{V}^\circ$  largest, for equilibria involving the most charge dissipation or production, the Raman and conductivity pressure coefficients are understood as follows: the larger magnitude of  $\Delta\bar{V}$  from Raman (average  $-20.3 \pm 1.4 \text{ cm}^3 \text{ mol}^{-1}$ ) as compared to the smaller from conductivity ( $-7.3 \text{ cm}^3 \text{ mol}^{-1}$  found at 25.0° by Fisher<sup>3</sup>) is a result of the smaller  $\Delta\bar{V}^\circ$  for equilibrium between the partially charge neutralized ion pair states 2 and 3 with the highly charged free ion state 1 which contribute to the conductivity pressure effect. This compares to the larger  $\Delta\bar{V}$  in the Raman effect associated with equilibria between the neutral ion pair state 4 with the charged states 1, 2, and 3.

The Raman results we have discussed are based on observation of the symmetric stretching band for sulfate and any perturbation of this has been interpreted by us as resulting from formation of the contact ion pair. The effect of pressure on the structure of water is not directly reflected in any of the spectra observed in this study. Raman spectra of pure water at high pressures have been studied in different frequency regions.<sup>6,28</sup> Pressure effects on the low-frequency librational region have been interpreted by Walrafen<sup>29</sup> as arising from a breakdown of the short-range ordering of water. In the high-frequency region (3000-4000 cm<sup>-1</sup>), pressure seems to enhance intermolecular coupling.<sup>28</sup> However, a detailed quantitative Raman investigation which might reveal Raman evidence corresponding to the viscosity minimum in water occurring between 0 and 2 kbars below 30° has not been completed. As has been discussed before, the Raman intensities are only sensitive to interactions involving the primary hydration

of the contact ion pair and therefore the effect of pressure on the structure of water, as related to solvent separated ion pairs has no effect on the interpretation of our  $\Delta\bar{V}$  results. The  $-20.3 \pm 1.4 \text{ cm}^3 \text{ mol}^{-1}$  value is consistent with the  $\Delta\bar{V}$  estimates from the partial molal ionic volumes in more concentrated  $\text{MgSO}_4$  solutions as estimated by Millero and Masterton.<sup>30</sup> The concentration effect on the  $\Delta\bar{V}$  as determined by Raman spectroscopy is presently being studied in our laboratory using various concentrations of bisulfate solutions.

The enthalpy change at ambient pressure was calculated from the values of  $\ln K_d$  extrapolated to zero pressure using the lms equations fitted at each of the temperatures. These intercepts are  $(2.70 \pm 0.03)$  at  $7.0^\circ$ ,  $(2.52 \pm 0.02)$  at  $10.5^\circ$ ,  $(2.40 \pm 0.03)$  at  $18.5^\circ$ , and  $(2.37 \pm 0.07)$  at  $25.0^\circ$ . The equation which was used for the enthalpy change is given below

$$\Delta H = -R \left( \frac{\partial \ln K_d}{\partial (1/T)} \right)_p \quad (13)$$

A value of  $-2.9 \pm 1.0 \text{ kcal mol}^{-1}$  was obtained by lms which compares with  $-4.84 \text{ kcal mol}^{-1}$  by Helgeson,<sup>31</sup> and  $-4.01 \text{ kcal mol}^{-1}$  by Marshall.<sup>20</sup> In view of the precision of the data the agreement is satisfactory.

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## Studies of the Self-Association and Solvent-Association of Cholesterol and Other $3\beta$ -Hydroxysteroids in Nonpolar Media<sup>1</sup>

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The concentration and temperature dependence of the hydroxyl proton chemical shift of cholesterol in  $\text{CDCl}_3$  are interpreted in terms of equilibria among three species: cholesterol monomer, cholesterol dimer, and cholesterol monomer associated with solvent. Equations are derived, which allow the determination of equilibrium constants and, therefore, thermodynamic properties of various species. These equations require the chemical shift extrapolated to zero concentration and the limiting slope, both obtained at various temperatures, as experimentally determined parameters. The enthalpy change upon solvent complexation of the monomer is  $-0.9 \text{ kcal/mol}$  and the enthalpy change of dimerization is  $-2.6 \text{ kcal/mol}$ . Equal slopes in the plot of chemical shift vs. concentration are shown to indicate equal equilibrium constants.

### Introduction

The hydrogen bonding of monohydric alcohols<sup>4-9</sup> and water<sup>10</sup> in nonpolar solvents has received considerable attention in recent years. Since the self-association of cho-

lesterol and other  $3\beta$ -sterols in nonpolar media is expected to occur *via* hydrogen-bond formation, the determination of the nature (size, cyclic or acyclic) and thermodynamic properties of the various self-associated species is of im-

portance to the general theory of the self-association of hydroxylic compounds. In addition, the self-association may be involved in the pathogenesis of certain conditions, such as atherosclerosis and cholelithiasis. Previous work using infrared spectroscopy has resulted in the value of  $-1.8$  kcal/mol for the enthalpy of dimerization of cholesterol in carbon tetrachloride and an estimated value of  $-3.6$  kcal/mol for enthalpy of association of larger aggregates of undetermined size.<sup>11</sup> The value of  $-1.8$  kcal/mol for the enthalpy of dimerization was obtained by assuming the dimer is acyclic. The more recent determination of  $-1.8$  kcal/mol for the enthalpy change of dimerization of water in chloroform solution<sup>10</sup> is surprising when compared to theoretical calculations<sup>12,13</sup> and the thermodynamic data for cholesterol.<sup>11</sup> Further, the determination of the enthalpy change of dimerization of water in chloroform did not account for the association of water with the solvent, even though such complexation was mentioned. It is of interest, therefore, to determine the thermodynamic properties of cholesterol self-association and cholesterol association with chloroform.

In the present study, infrared and proton magnetic resonance spectroscopy were used to investigate the hydrogen bonding of  $3\beta$ -sterols in nonpolar solvents. Both of these techniques have a history of application to hydrogen-bonded systems, and assessments of their use in this regard are available.<sup>14,15</sup> Data from pmr spectroscopy form the bulk of the information presented here, since temperature variation was performed in conjunction with pmr only. The infrared spectra are used as a source of qualitative information to supplement the pmr results.

### Experimental Section

**Materials.** Cholesterol was purchased from Sigma Chemical Co. and was purified *via* the dibromide according to Fieser.<sup>16</sup> Lanosterol [8,24(5 $\alpha$ )-cholestadien-4,4,14 $\alpha$ -trimethyl- $3\beta$ -ol] was obtained from Steraloids, Inc., and was recrystallized twice from anhydrous methanol. Stigmasterol [24 $\beta$ -ethyl-5,22-cholestadien- $3\beta$ -ol] was purchased from Aldrich Chemical Co. and was recrystallized from hot ethanol. Purified cholesterol, lanosterol, and stigmasterol were dried *in vacuo* over  $P_2O_5$  for 3 hr at  $90^\circ$ . Absence of solvent impurities was confirmed by pmr spectroscopy. Cholestanol was purchased from Matheson Coleman and Bell and was used without further purification. Pregnenolone [5-pregnen- $3\beta$ -ol-20-one], 5 $\alpha$ -pregnan- $3\beta$ -ol-20-one, and 5 $\alpha$ -pregnan- $3\beta$ -ol were obtained from Steraloids, Inc., and also were used without further purification. Spectrograde  $CCl_4$ ,  $CHCl_3$ , and  $CH_2Cl_2$  were purchased from Matheson Coleman and Bell and were dried immediately prior to use by distillation from  $P_2O_5$ .  $CDCl_3$ , with 1% TMS,  $CH_3OD$ , and 20% DCl in  $D_2O$  were purchased from Diaprep, Inc. The  $CDCl_3$  was stored at  $5^\circ$ , kept tightly sealed, and shielded from light, but was used without further precaution.

The hydroxyl proton resonance signal was identified by the temperature and concentration dependence of its chemical shift and also by the removal of the resonance signal from the spectra by deuterium exchange and acetylation. Acetylation was accomplished by treating 1 g of sterol with 5 ml of acetic anhydride (freshly prepared by distillation from  $P_2O_5$ ) and 4 ml of anhydrous pyridine (obtained by vacuum distillation from KOH) and heating the mixture to  $100$ – $110^\circ$  for 2 hr. The acetate derivative was precipitated by the addition of 60 ml of deionized water, collected, and recrystallized twice from hot 4:1 ac-

tone:methanol. The acetylated sterols were dried *in vacuo* over  $P_2O_5$  for 3 hr at  $90^\circ$ . Deuterium exchange was achieved by refluxing 400 mg of dried sterol with 3 ml of  $CDCl_3$  and 1 ml of  $CH_3OD$  for 2 hr and then distilling off the solvents. The deuterated sterols were dried as usual.

**Methods.** All the pmr spectra were recorded with a Varian A60A nmr spectrometer equipped with a variable temperature control, Model V-4343. Sample temperature was determined by obtaining the pmr spectrum of neat methanol before and after recording the sample pmr spectrum and converting the separation of the two resonance signals of methanol to the absolute temperature by using standard curves. Temperatures determined in this way did not vary more than  $\pm 1^\circ$  for different samples at the same variable temperature control setting. Sample solutions and methanol were allowed to equilibrate 10–15 min prior to the recording of their pmr spectra. Chemical shifts were measured with respect to tetramethylsilane (TMS) as an internal reference by interpolation on precalibrated paper. The estimated accuracy of reported chemical shifts is  $\pm 0.2$  Hz.

Infrared spectra were obtained with a Perkin-Elmer Model 337 spectrophotometer using 0.5-mm liquid absorption cells. The ir spectrum of polystyrene was used as a frequency scale calibration.

Samples for ir and pmr spectroscopy were prepared by accurately weighing various amounts of the designated compound to 1-, 2-, 4-, or 5-ml volumetric flasks and then dissolving the compound to volume by the addition of the appropriate dried solvent. Solutions for pmr spectroscopy were transferred to 5-mm nmr tubes, which were then sealed. Solutions for ir spectroscopy were kept tightly stoppered and sealed until transfer to the liquid absorption cells. Spectra were obtained using freshly prepared solutions in all cases.

### Results and Discussion

The  $3\text{-}\mu$  region of the ir spectra of solutions of cholesterol, cholestanol, and lanosterol in  $CCl_4$  is shown in Figure 1. It is in this region that the fundamental hydroxyl stretching frequencies occur. The general spectral characteristics observed for solutions of various concentrations of cholesterol in  $CCl_4$  agree well with those of previous work with cholesterol<sup>11</sup> and with other monohydric alcohols, such as *tert*-butyl alcohol.<sup>17</sup> The absorption maxima at 3610, 3465, and  $3330\text{ cm}^{-1}$  are assigned to the monomer, dimer, and "polymer" species, respectively. It is evident from Figure 1 that the ir spectra of cholestanol are virtually indistinguishable from those of cholesterol. The conclusion, which may be made from this observation, is that the  $\Delta^5$  function of cholesterol influences neither the position of the absorption maxima nor the distribution of solute among the various self-associated species; that is, it does not affect the equilibrium constants. The ir spectra of lanosterol clearly show a marked reduction in the formation of the larger self-associated species. It is not possible to say that self-association of lanosterol is limited to dimers, since the shoulder on the low-frequency side of the dimer absorption may be due to the formation of small amounts of higher-order complexes. It seems clear, however, that the 4,4-geminal dimethyl of lanosterol sterically hinders self-association, but the hindrance may not be so large as to preclude higher aggregate formation altogether.

The ir spectra of solutions of cholesterol, cholestanol, lanosterol, and pregnenolone are shown in Figure 2. In

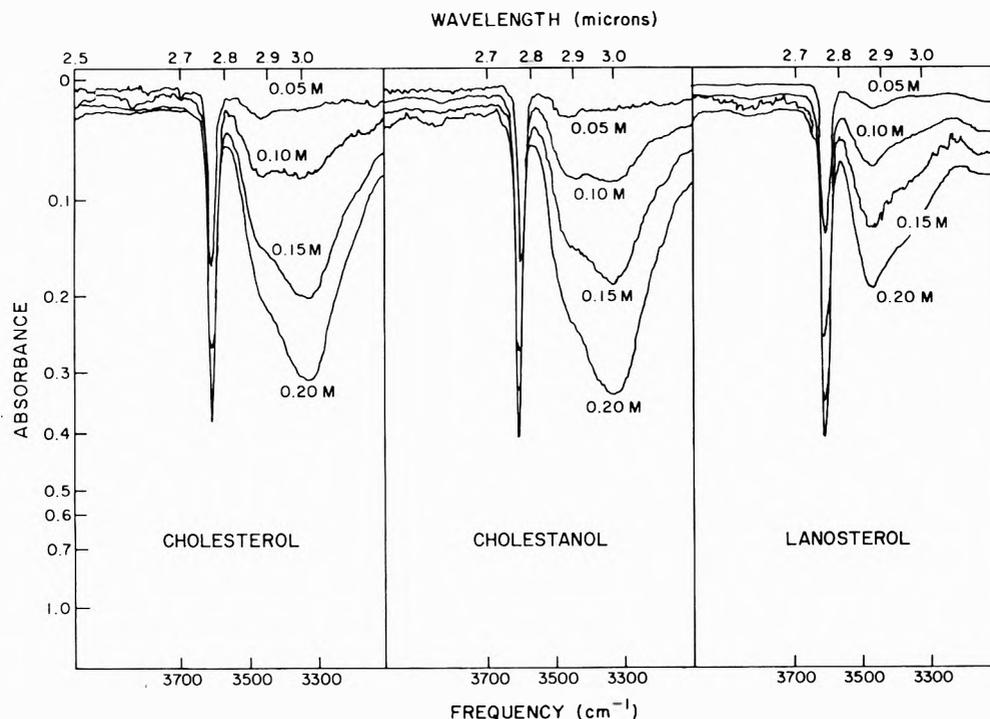


Figure 1. Ir spectra of 0.05, 0.10, 0.15, and 0.20 M solutions of cholesterol, cholestanol, and lanosterol in  $\text{CCl}_4$ .

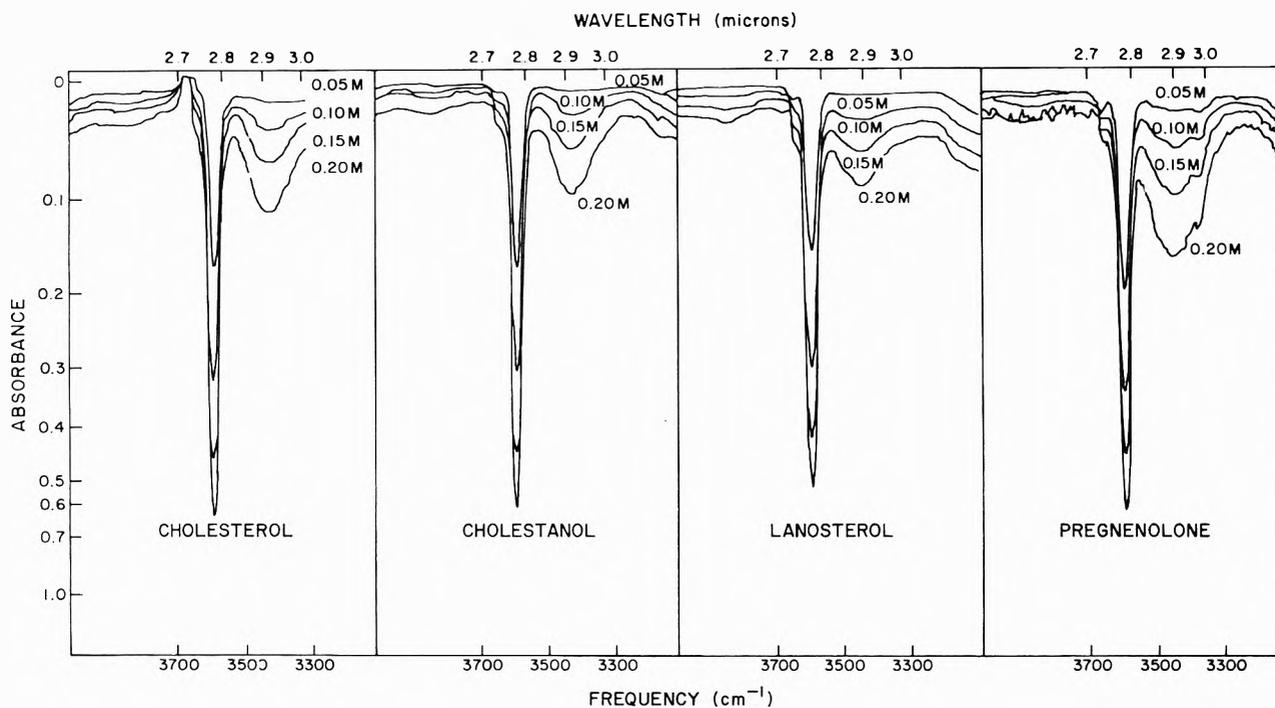
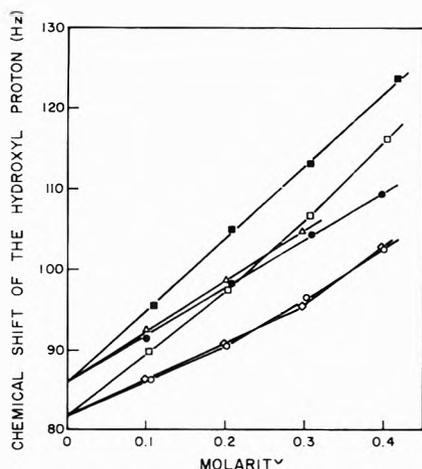


Figure 2. Ir spectra of 0.05, 0.10, 0.15, and 0.20 M solutions of cholesterol, cholestanol, lanosterol, and pregnenolone in  $\text{CHCl}_3$ .

these spectra, no broad band centered at  $3330\text{ cm}^{-1}$  occurs, indicating that self-association of  $3\beta$ -sterols in  $\text{CHCl}_3$  is limited to dimers. This effect is probably due to competition between self-association and association with solvent. In  $\text{CHCl}_3$ , the self-association of cholesterol is again seen to be indistinguishable from that of cholestanol, and lanosterol appears to be self-associated to a lesser degree. Solutions of pregnenolone, on the other hand, exhibit more extensive hydrogen bonding than solutions of the same concentration of cholesterol or cholestanol. This effect may be explained by the fact that pregnenolone has an additional hydrogen-bonding center, the C-20 ketone

function. The ketone group may act as a proton acceptor for hydrogen-bond formation with the  $3\beta$ -hydroxyl group. The broadening of the association band in solutions of pregnenolone relative to cholestanol may also be explained by the fact that two distinct types of hydrogen-bonded dimers may form.

The qualitative information derived from ir spectroscopy is amply confirmed by pmr spectroscopy. The concentration dependence of the chemical shift of the hydroxyl proton relative to TMS for a variety of  $3\beta$ -sterols is shown in Figure 3. The interpretation of these results appears straightforward when one compares the  $3\beta$ -sterols in pairs



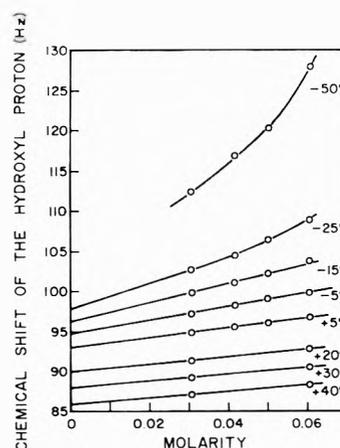
**Figure 3.** Chemical shift of the hydroxyl proton of pregnenolone (■), 5 $\alpha$ -pregnan-3 $\beta$ -ol-20-one (□), cholesterol (○), stigmasterol (△), cholestanol (◇), and 5 $\alpha$ -pregnan-3 $\beta$ -ol (◇) as a function of concentration in CDCl<sub>3</sub> at 40°.

with the realization that any difference in the observed curves is due to the differences in the chemical structures of the 3 $\beta$ -sterols. The curves for pregnenolone and 5 $\alpha$ -pregnan-3 $\beta$ -ol-20-one differ only in that they have different intercepts. Since the only difference between the two compounds is the  $\Delta^5$  function, clearly this function is responsible for deshielding the extrapolated chemical shift 4.2 Hz, from 81.8 to 86.0 Hz. A nearly identical (4.3 Hz) deshielding of the extrapolated hydroxyl chemical shift is observed between cholesterol and cholestanol. The only difference in the concentration dependence of the hydroxyl proton chemical shift between cholesterol and pregnenolone is the rate at which the shift changes with concentration, the slope. The same effect on the slope of the curves is observed when one compares the results for 5 $\alpha$ -pregnan-3 $\beta$ -ol-20-one with those for cholestanol. This effect could be due to the influence of the shortened side chain *per se* or the influence of a second center capable of forming hydrogen bonds. Removal of the C-20 keto group, in 5 $\alpha$ -pregnan-3 $\beta$ -ol, produces a curve having the same slope as that for cholesterol and cholestanol. The increased slope observed for pregnenolone and 5 $\alpha$ -pregnan-3 $\beta$ -ol-20-one, then, is indeed due to the C-20 keto function. Comparison of cholesterol and stigmasterol, which differ by  $\Delta^{22}$  and 24 $\beta$ -ethyl groups present in stigmasterol but absent in cholesterol, and cholestanol and 5 $\alpha$ -pregnan-3 $\beta$ -ol, which differ only in the length of the C-17 side chain, shows that alteration of the C-17 side chain does not materially alter either the slope or intercept of the curves.

Implicit in the interpretation of the pmr results of Figure 3 is that equal slopes indicate equal equilibrium constants. This assumption is tantamount to supposing that the characteristic chemical shifts of the various self-associated species of one sterol are the same as, or differ by a constant amount from, the characteristic chemical shifts of the corresponding self-associated species of the second sterol. To see that this is so, consider only the equilibrium between monomer and dimer, for which the following equation has been derived<sup>18</sup>

$$\lim_{c \rightarrow 0} \frac{d\nu}{dc} = 2\Delta_D K_D \quad (1)$$

where  $\Delta_D \equiv \nu_D - \nu_M$  is the difference between the characteristic chemical shifts of dimer and monomer, respective-



**Figure 4.** Chemical shift of the hydroxyl proton of cholesterol as a function of concentration in CDCl<sub>3</sub> obtained at various temperatures.

ly. If the characteristic chemical shifts of one sterol differ by a constant amount,  $\nu_S$ , from the characteristic chemical shifts of another sterol, we may write  $\nu_D' = \nu_D + \nu_S$ ,  $\nu_M' = \nu_M + \nu_S$ , and  $\Delta_D' = \nu_D' - \nu_M' = \nu_D - \nu_M$ . Hence, the limiting slope for each of the two sterols is directly proportional, with the same proportionality constant,  $2\Delta_D$ , to the equilibrium constant for dimerization. There is no *a priori* guarantee, however, that a specific chemical function will influence the characteristic chemical shift of the monomer hydroxyl proton to exactly the same extent that it will influence the characteristic chemical shift of the dimer hydroxyl proton. That is, there is no certainty that there exists a  $\nu_S$  for comparing the experimental curves for two sterols. If  $(\nu_D' - \nu_D)$  and  $(\nu_M' - \nu_M)$  are small, compared to  $\Delta_D' = \nu_D' - \nu_M'$  and  $\Delta_D = \nu_D - \nu_M$ , then the qualitative interpretations of the pmr curves are valid. It results shed some light on this problem. The plot of the apparent molar extinction coefficient of the monomer,  $\epsilon_m^a$ , against total concentration of solute has been used to determine equilibrium constants from the relation

$$\lim_{c \rightarrow 0} \frac{d\epsilon_m^a}{dc} = -D\epsilon_m^0 K_D \quad (2)$$

derived by Liddel and Becker,<sup>17</sup> where  $D$  is a constant that depends upon whether the dimer is cyclic or acyclic. Such plots for cholesterol are nearly identical with those for cholestanol in CCl<sub>4</sub>, indicating that the  $K_D$ 's are nearly equal for these two sterols. The slopes of the plots of the hydroxyl proton chemical shift *vs.* concentration in CCl<sub>4</sub> are also equal for the two sterols, but the intercepts are not. Since  $K_D$  is essentially the same for these two sterols, and  $\lim_{c \rightarrow 0} d\nu/dc$  is also the same, it follows from eq 1 that  $\Delta_D' \approx \Delta_D$  for these two sterols. In view of the results then, the assumption that equal slopes indicate equal equilibrium constants seems reasonable. The extension of this result from solutions in CCl<sub>4</sub> to solutions in CDCl<sub>3</sub> presents no difficulties, since  $K_C$ , the equilibrium constant for solute-solvent complexation, is temperature dependent and not concentration dependent. For the range of concentrations studied, at a single temperature, differences in  $K_C$  for the different sterols may alter the intercept of the curves but will not alter the limiting slope.

The concentration and temperature dependence of the hydroxyl chemical shift of cholesterol in CHCl<sub>3</sub> is shown in Figure 4. These curves are identical in form with those of water in CHCl<sub>3</sub>.<sup>10</sup> An analysis of these results must ac-

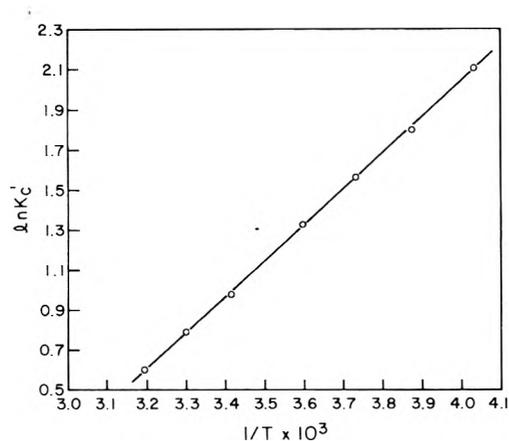


Figure 5. van't Hoff plot for solute-solvent complexation of cholesterol in  $\text{CDCl}_3$ .

TABLE I: Extrapolated Chemical Shift,  $\nu_0$ , and the Limiting Slope,  $\lim_{c \rightarrow 0} d\nu/dc$ , for Cholesterol in  $\text{CDCl}_3$  as a Function of Temperature

Temp, °C	$\nu_0$ , Hz	$\lim_{c \rightarrow 0} d\nu/dc$
-50		
-25	97.8	162
-15	96.2	119
-5	94.7	86
+5	93.0	62
+20	89.9	50
+30	88.0	43
+40	86.0	40

count for the self-association and solvent-association of cholesterol. To do this, we have used a method of analysis that combines the analysis of self-association<sup>17,18</sup> and the analysis of solvent-association.<sup>19-21</sup> The analysis depends upon the value of the limit at zero concentration of the observed hydroxyl chemical shift and the limit at zero concentration of the concentration rate of change of the hydroxyl chemical shift. Although it is always hazardous to extrapolate such data as that shown in Figure 4, the linearity of the curves above  $-15^\circ$  allows one to put some confidence in the determination of the intercepts. It is clear that one cannot unambiguously obtain an intercept at  $-50^\circ$  without the determination of the chemical shift at still lower concentrations. The extrapolated chemical shift,  $\nu_0$ , is found to vary nearly linearly with temperature, in agreement with past results.<sup>21</sup> The values of  $\nu_0$  and the slope,  $\lim_{c \rightarrow 0} d\nu/dc$ , as a function of temperature are given in Table I.

The equilibrium constant for solute-solvent complexation is given by

$$K_C = [\text{AH} \cdots \text{B}]/[\text{AH}][\text{B}] \quad (3)$$

If the total concentration of solute is  $C$ ,  $K_C$  may be rewritten as

$$K_C = P_C C / P_M C [\text{B}] \quad (4)$$

where  $P_C$  is the mole fraction of solute that is complexed to solvent, and  $P_M$  is the mole fraction of solute in the unassociated, monomeric state. Since for dilute solutions  $[\text{B}]$  is constant, and by material balance  $P_C + P_M = 1$ , eq 4 may be represented as

$$K_C' = P_C / (1 - P_C) \quad (5)$$

We may associate a characteristic chemical shift,  $\nu_C$ , with

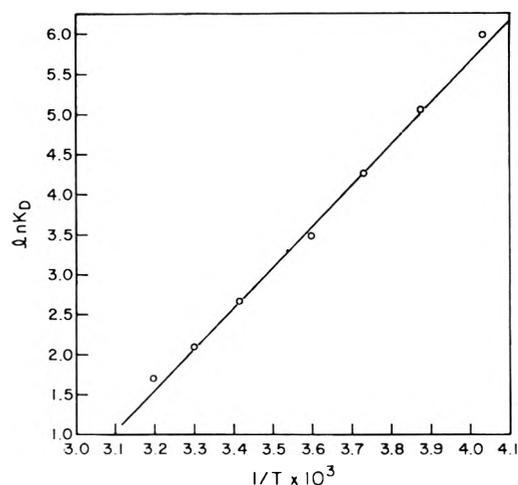


Figure 6. van't Hoff plot for cholesterol dimerization in  $\text{CDCl}_3$ .

the solute-solvent complex and a characteristic chemical shift,  $\nu_M$ , with the monomeric solute. If exchange between the solvent-associated and self-associated species is fast, compared to the time scale of pmr measurements, then the observed chemical shift,  $\nu$ , will be the material-weighted average of  $\nu_C$  and  $\nu_M$ , given by<sup>19</sup>

$$\nu = P_C \nu_C + P_M \nu_M \quad (6)$$

We may then write

$$K_C' = (\nu - \nu_M) / (\nu_C - \nu_M) \quad (7)$$

We may apply this result to solutions in which self-association of solute molecules may also occur because, in the limit of zero concentration, all solute is present either as monomer or complexed with solvent. The values of the chemical shift extrapolated to zero concentration,  $\nu_0$ , are the values of  $\nu$  for the above analysis. Self-association by dimerization, for example, will impose a concentration-dependent variation of  $\nu$  upon the concentration-independent value arising from solute-solvent interaction.

Using the values of  $\nu_0$  found in Table I, we may use eq 7 to determine  $\Delta H$  of solute-solvent complexation by the method of Fort and Lindstrom.<sup>20,21</sup> In this analysis, the values of  $\nu_M$  and  $\nu_C$  are varied in search of that series of  $K_C'$ 's, determined at various temperatures, which yield the least deviation from a straight line on the van't Hoff plot ( $\ln K_C'$  vs.  $1/T$ ). When such a procedure is performed, it is found that a reasonably broad range ( $\pm 5$  Hz) of values for  $\nu_C$  and  $\nu_M$  yield acceptable curves. The best-fitting line was obtained with  $\nu_M = 55$  Hz and  $\nu_C = 103$  Hz and is shown in Figure 5.

The value of  $\nu_M = 55$  Hz is interesting, since this corresponds closely to the temperature-independent value of the chemical shift extrapolated to zero concentration of cholesterol in  $\text{CCl}_4$  (55.8 Hz). This is good evidence that solute-solvent complexation occurs *via* the  $\text{CHCl}_3$  proton bonded to the hydroxyl oxygen electron pairs, and that the non-hydrogen-bonded proton of the hydroxyl group is deshielded by solvent association.

The equation for the line obtained with  $\nu_M = 55$  Hz and  $\nu_C = 103$  Hz is

$$\ln K_C' = 1793(1/T) - 5.13 \quad (8)$$

The change in enthalpy upon solvent complexation,  $\Delta H_C$ , is  $-0.91$  kcal/mol.

A similar procedure to the one above may be used to evaluate the change in enthalpy upon dimer formation,

**TABLE II: Slopes, Intercepts, and Correlation Coefficients Obtained by Linear Regression for Different Values of  $\nu_D$** 

	$\nu_D$						
	110	115	118	(Hz) 120	125	130	135
Slope	5.34	5.14	5.08	5.04	4.96	4.90	4.86
Intercept	-15.32	-14.86	-14.78	-14.70	-14.59	-14.49	-14.49
Correlation coefficient	0.99773	0.99787	0.99763	0.99760	0.99751	0.99740	0.99730

$\Delta H_D$ , of  $3\beta$ -sterol in  $CDCl_3$ . The four relevant equations in this case are

$$\nu = P_C\nu_C + P_M\nu_M + P_D\nu_D \quad (9)$$

$$1 = P_C + P_M + P_D \quad (10)$$

$$K_D = \frac{1}{2}P_D/P_M^2C \quad (11)$$

$$K_C' = P_C/P_M \quad (12)$$

where the subscript D denotes the dimer species. Using these four equations, it is possible to derive

$$K_D = \left( \frac{1}{\nu_D - \nu_0} \right) \left( \frac{1}{2} \left( \frac{\nu_C - \nu_M}{\nu_C - \nu_0} \right)^2 \lim_{c \rightarrow 0} \frac{d\nu}{dc} \right) \quad (13)$$

This equation is seen to be a more general form of eq 1, which accounts for the equilibrium between monomer and dimer only, since, when  $\nu_0 = \nu_M$  (which must occur when no solute-solvent interactions occur), eq 13 is identical with eq 2. This equation may be applied to the data of Figure 4, since the ir spectra indicate that only dimer formation is important. The values of  $\nu_0$  and  $\lim_{c \rightarrow 0} d\nu/dc$  are given in Table I, and  $\nu_C$  and  $\nu_M$  are known by the previous analysis. Variation of  $\nu_D$ , the characteristic chemical shift of the dimer, allows the calculation of a series of  $K_D$ 's. The value of  $\nu_D$ , which generates the series of  $K_D$ 's yielding the least deviation from a linear relation between  $\ln K_D$  and  $1/T$ , is assumed to be the correct  $\nu_D$ . The application of this procedure to the data of Table I results in a wide range of possibilities for  $\nu_D$ . The best-fitting lines by linear regression and their correlation coefficients for a variety of values of  $\nu_D$  are given in Table II. The optimum value of  $\nu_D$  was found to be 115 Hz, and the van't Hoff plot corresponding to this value is shown in Figure 6. If  $\nu_D = 115$  Hz, then  $\Delta_D = \nu_D - \nu_M = 60$  Hz, which is in good agreement with the  $\Delta_D$  for methanol of  $57 \pm 15$  Hz reported previously.<sup>22</sup> A similar uncertainty of  $\pm 15$  Hz is

involved in the present work, but relatively large variations in  $\nu_D$  do not greatly affect either the slope or the intercept of the van't Hoff plots. The final equation for  $\nu_D = 115$  Hz is

$$\ln K_D \equiv 5141(1/T) - 14.86 \quad (14)$$

The value of  $\Delta H_D$ , the change in enthalpy upon dimer formation, is  $-2.60$  kcal/mol.

### References and Notes

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# High-Resolution and Pulsed Nuclear Magnetic Resonance Studies of Microemulsions

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The location and dynamic state of surfactant and cosurfactant and the state of water in water-in-oil microemulsions (micellar solutions) has been investigated by nmr and infrared spectroscopic measurements on the potassium oleate/1-hexanol/water/benzene system. It was found that the polar ends of the oleate molecules are relatively immobilized at the aqueous interface, while the terminal methyl end of the molecule is free to reorient in the benzene phase. In contrast, hexanol shows no motional restriction and presumably exchanges rapidly between the interfacial film and the benzene phase. The water in this system exists in two states: (1) a ca. 1 Å thick "monolayer" of water molecules of low mobility associated with the surfactant polar groups at the aqueous interface and (2) a core of water which is similar to ordinary liquid water in its mobility (and thus presumably capable of dissolving (solubilizing) other molecules). Exchange of water molecules between these sites is rapid (milliseconds).

## Introduction

Microemulsions, first introduced by Schulman,<sup>1</sup> are optically clear, thermodynamically stable oil-water dispersions, obtained by using mixtures of surface-active compounds as emulsifiers. Phase diagrams showing the regions of microemulsion formation have been obtained for a number of systems.<sup>2</sup> Studies of these systems by light scattering,<sup>3</sup> low-angle X-ray,<sup>4</sup> viscosity,<sup>5</sup> electron microscopy,<sup>6</sup> ultracentrifugation,<sup>7</sup> nuclear magnetic resonance (nmr)<sup>8,9</sup> and infrared spectroscopic techniques,<sup>8</sup> and electrical resistance measurements<sup>9</sup> have been reported. Water-in-oil microemulsions have been shown to consist of water droplets with diameters from less than 100 Å to several hundred Å surrounded by an interfacial film of surfactant and cosurfactant.<sup>1-9</sup> Gillberg, *et al.*,<sup>8</sup> have studied the effect of oil (benzene) concentration on the nmr chemical shift and line width of the species present in water/benzene/potassium oleate/alcohol microemulsions. They found that, at high benzene concentrations, the solubilization of water was reduced, because of transport of alcohol from the interfacial region to the continuous benzene phase. Shah and Hamlin<sup>9</sup> have measured the nmr chemical shifts and line widths of water, methylene, and methyl protons, and the electrical resistance in hexadecane/hexanol/potassium oleate/water microemulsions, as a function of water content. This system exhibits two clear and one turbid birefringent regions. Changes occurring in the nmr parameters and the electrical resistance at these phase boundaries were attributed to changes in the water structure from water spheres to cylinders to lamellae.

The purposes of this work are to provide descriptions of (1) the location and dynamics of the surfactant and cosurfactant in the interfacial film and (2) the state of water in water-in-oil microemulsions.

## Experimental Section

*A. Sample Preparation.* Potassium oleate was prepared by reaction of equimolar amounts of KOH and oleic acid in ethanol. After evaporation of the ethanol, the product was washed five times with diethyl ether. After filtering and atmospheric drying, the product was stored in a vacuum desiccator for 72 hr before use. The white powder thus obtained contained less than 1% saturated material and free acid groups as determined by proton nmr.

Spectroquality benzene was used and the hexanol and water were redistilled. The deuterium oxide used for the pulsed nmr measurements was >99.8 atom % deuterium. Samples were prepared by mixing a weighed amount of potassium oleate with a known volume of water, adding benzene with stirring, and then titrating slowly with 1-hexanol, with stirring, until the solution just cleared.

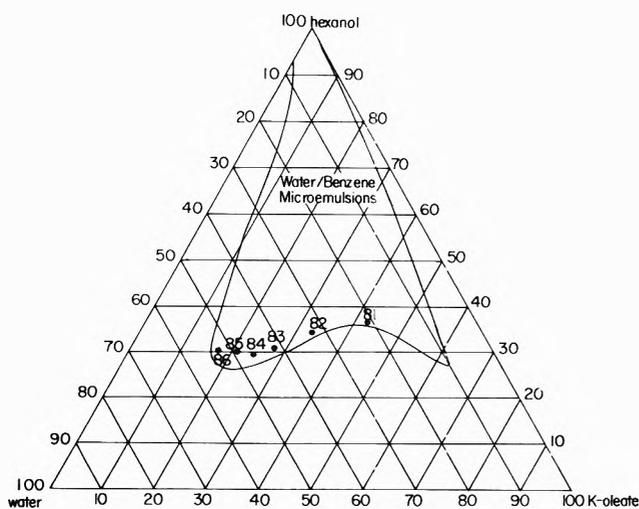
*B. Instrumentation.* A Varian HA-100 nmr spectrometer was used for the high-resolution experiments. Benzene was used as the field-frequency stabilization signal, after checking for the absence of any concentration dependence of the benzene proton resonance. About 0.1% (v/v) tetramethylsilane was added as an internal standard for chemical shift measurements.

Pulsed nmr measurements were made with a Magnion ELH-30 spectrometer, operating at 6 MHz for deuterium resonance. A Varian 12-in. electromagnet with flux stabilizer was used. The measurement of the spin-spin relaxation times  $T_2$  were made using the Carr-Purcell method,<sup>10</sup> as modified by Meiboom and Gill.<sup>11</sup> The  $T_1$  values were obtained by means of repeated 180-90° pulse sequences,<sup>10</sup> and measured from the slope of a semilog plot of the magnetization recovery. All measurements were made at 30°.

## Results

Table I shows the composition of the samples studied, the molar ratios  $n_w/n_s$  of water to surfactant (potassium oleate), and the calculated radii of the water droplets  $r_w$ .<sup>12</sup> Note that as  $n_w/n_s$  increases,  $r_w$  increases, so that a fourfold change in "particle size" (from 13 to 65 Å radius) is possible in the microemulsion region of the phase diagram. Chemical shifts and line widths were measured for each series shown in Table I: H<sub>2</sub>O/benzene, D<sub>2</sub>O/benzene, and H<sub>2</sub>O/perchloroethylene. Nmr relaxation times for deuterium were measured for the D<sub>2</sub>O/benzene series. The concentration of benzene is approximately constant in each series, while the water concentration varies, as does the amount of hexanol required to produce a microemulsion.

Figure 1 shows the location of the D<sub>2</sub>O/benzene series on the previously determined phase diagram for this system.<sup>8,12</sup> Note that all samples are located just inside the microemulsion region.



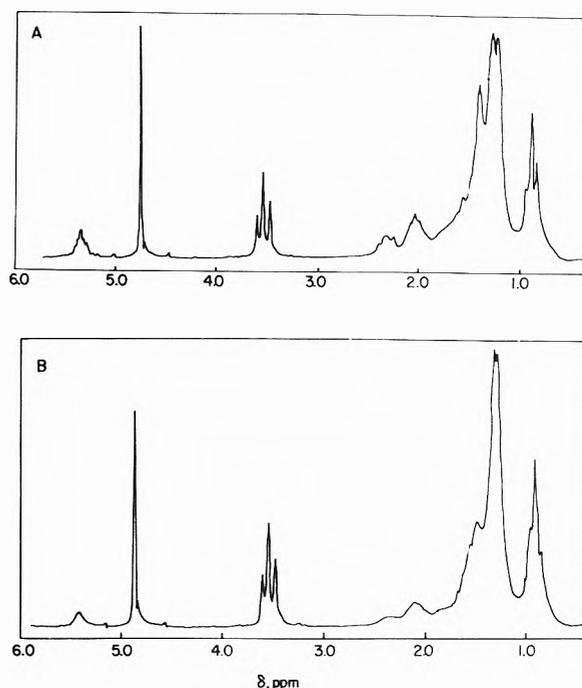
**Figure 1.** Phase diagram for the system: 1 g of potassium oleate, 7.5 ml of benzene, water, and 1-hexanol. The axes are labeled in weight per cent of each component. The circles show the location of the samples HR 81-86.

**TABLE I: Molar Ratios of Water (or D<sub>2</sub>O) to Surfactant (Potassium Oleate) and Calculated<sup>a</sup> Radii of Water Droplets for the Microemulsions Used<sup>a</sup>**

Sample no.	$n_w/n_s$	$r_w, \text{\AA}$
(a) D <sub>2</sub> O-Containing Microemulsions		
HR 81	8.0	13
HR 82	16.0	24
HR 83	24.0	36
HR 84	30.4	45
HR 85	36.8	54
HR 86	48.0	65
(b) H <sub>2</sub> O-Containing Microemulsions		
M5a	57.8	67
M5b	53.3	66
M5c	31.2	46
M5d	8.9	14
(c) H <sub>2</sub> O-Containing Microemulsions with Perchloroethylene Instead of Benzene		
M7a	8.9	14
M7b	17.8	27

<sup>a</sup> Each sample contained 1 g of potassium oleate, 7.5 ml of benzene, and varying amounts of 1-hexanol.

**A. High-Resolution Nmr.** Chemical shifts were measured as a function of the water content for the protons of benzene, water, terminal methyl, vinyl, hydrocarbon chain methylene, methylene adjacent to vinyl and polar groups of potassium oleate, and of the methylene adjacent to the hydroxyl group of hexanol. For the H<sub>2</sub>O/benzene series (M5a-d), the only resonance line which shifts is that of the hydroxyl proton. This resonance shifts downfield with increasing water content, having chemical shifts of 3.85, 4.66, 4.75, and 4.77 ppm from TMS at molar ratios of water to oleate of 8.9, 31.2, 53.3, and 57.8, respectively. A similar situation is found in the H<sub>2</sub>O/perchloroethylene series (M7a-b), in which the hydroxyl proton chemical shift is 4.32 and 4.60 ppm downfield from TMS at molar ratios of water to oleate of 8.9 and 17.8, respectively. The errors in these chemical shift values are *ca.*  $\pm 0.02$  ppm, as measured by the standard deviation among replicate spectra. The errors in line widths are probably of similar mag-



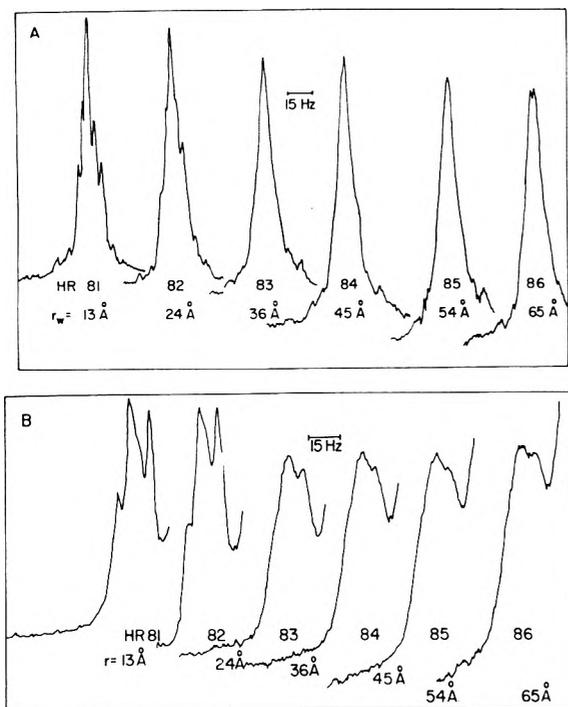
**Figure 2.** (A) The 100-MHz proton nmr spectrum of microemulsion HR 81; (B) 100-MHz proton nmr spectrum of microemulsion HR 86.

nitude, although no attempts to measure them quantitatively were made, due to the existence of overlap and multiplet structure due to spin-spin coupling.

No variation in chemical shift of the hydroxyl proton resonance with water content is observed for the D<sub>2</sub>O/benzene series, HR 81-86, within the experimental error of  $\pm 0.02$  ppm. Since only a single hydroxyl resonance is observed in all systems studied, rapid exchange<sup>13</sup> of hydroxyl protons between hexanol and water must take place at the aqueous interface, resulting in a single, averaged, chemical shift. Since the chemical shift difference between benzene and TMS remained constant in all samples, no bulk susceptibility corrections were made.

Proton spectra representing two samples of extremes in micellar size (HR 81 and 86, with  $n_w/n_s = 8; 48$ ) are shown in Figures 2A and 2B, respectively. The resonances due to the methylene adjacent to the polar head group (at  $\delta = 5.5$  ppm) of oleate are broadened markedly at high  $n_w/n_s$ . The resonance of the methylene adjacent to the hydroxyl group of hexanol (at 3.5 ppm) and the resonance of the terminal methyl groups of both molecules (at 0.9 ppm) remain relatively sharp in both samples. Figures 3A and 3B show an expanded scale presentation of the resonances for vinyl protons and methylene protons adjacent to the oleate polar group, respectively, for samples HR 81-86, with varying  $n_w/n_s$  (varying micellar size). The broadening of these multiplets is clearly shown.

**B. Pulsed Nmr Results on Water.** In order to probe the state of water in microemulsions, pulsed nmr was used to measure relative water molecular reorientation rates. Measurements were made on D<sub>2</sub>O-containing samples, so that only the signal due to D<sub>2</sub>O, and possibly to exchangeable OD of hexanol, were measured. Nuclear spin relaxation times of D are determined predominantly by intramolecular interactions,<sup>14</sup> and thus are related to rotational diffusion rates. Relaxation times of H in H<sub>2</sub>O are determined both by intra- and intermolecular interactions<sup>14</sup> and thus would be more difficult to interpret unambig-

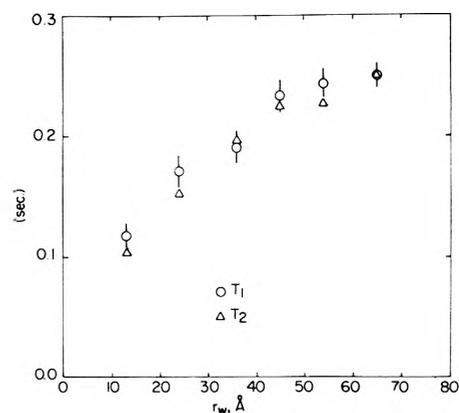


**Figure 3.** (A) Vinyl resonances for potassium oleate, for samples with varying micellar radii,  $r$ , for the microemulsion series HR 81-86; (B)  $\alpha$ -methylene resonances for potassium oleate, for samples with varying micellar radii,  $r$  (microemulsion series HR 81-86).

uously. The relaxation curves obtained in all  $T_1$  and  $T_2$  determinations were simple exponential functions (single time constant  $T_1$  or  $T_2$  for the relaxation process). Figure 4 shows the  $T_1$  and  $T_2$  values thus obtained for  $D_2O$  in samples HR 81-86. The errors in  $T_1$  and  $T_2$  (ca.  $\pm 10\%$ ), as measured by the standard deviation for several experiments, are indicated for  $T_1$  in Figure 4. Both relaxation times are seen to increase with increasing micellar size and are less than the value for bulk liquid  $D_2O$  ( $T_1 = T_2 = 0.5$  sec) in all samples.

## Discussion

**A. Proton Chemical Shifts.** The invariance of the proton chemical shifts for hexanol, potassium oleate, and benzene with changing water content suggests that as the micelles increase in size, the molecular environments of these species remain unchanged. The upfield shift of the hydroxyl protons with decreasing micellar size suggest that the average environment of the water molecules is changing. The water chemical shift could be affected by two mechanisms. If benzene is present at the oil-water interface, the chemical shift of the water protons in the interfacial region could be affected by the proximity of the benzene molecules. The diamagnetic anisotropy of the aromatic ring<sup>15</sup> would result in an upfield shift of the water proton resonance. The magnitude of this shift would depend upon the fraction of water (and benzene) molecules at the interfacial region; *i.e.*, it would depend on the surface-to-volume ratio, which increases as the micellar size decreases. A second mechanism that could produce an upfield water proton shift is the disruption of the bulk liquid-like water structure at the micellar interface by surfactant polar groups on the micellar surface. Breaking of water hydrogen bonds in the interfacial region would result in an upfield shift of the hydroxyl proton resonance.<sup>16</sup>



**Figure 4.** Spin-lattice ( $T_1$ ) and spin-spin ( $T_2$ ) relaxation times for  $D_2O$  as a function of calculated water droplet radius,  $r_w$ . The vertical lines represent the standard deviations among several replicates.

The shift would increase as the micellar surface area increases.

Both of these mechanisms predict an upfield shift of the water proton resonance with decreasing micellar size. The fact that this same behavior is also observed for the perchloroethylene/hexanol/ $K^+$  oleate/ $H_2O$  system suggests that it is predominantly the effect of the surfactants on the water structure which causes the concentration dependence of the hydroxyl chemical shift. This explanation is further supported by the fact that when  $H_2O$  in the benzene microemulsion system is replaced by  $D_2O$ , the hydroxyl resonance due to hexanol and residual HOD shows no dependence upon micellar size. The effect of benzene on the proton chemical shifts of HOD and HOH should be the same, but there may be differences in hydrogen bonding between  $D_2O$  and  $H_2O$  with the surfactant polar groups, as has been postulated for  $D_2O/H_2O$  interactions with phospholipids<sup>17</sup> and proteins.<sup>18</sup>

A shift to low field has also been reported for the resonance due to water associated with lecithin, as the concentration of lecithin is decreased in chloroform, carbon tetrachloride, or benzene.<sup>19</sup> This concentration dependence of the water chemical shift was attributed to changes in the hydrogen-bonded association of water molecules. At low lecithin concentrations (predominantly monomeric lecithin), the associated water molecules were assumed to experience a strong hydrophobic environment. As the lecithin concentration increases, the water molecules associate within lecithin micelles, resulting in a more bulk liquid water-like environment. This results in a low-field shift in the water resonance.<sup>19</sup> The change in the water proton chemical shift with surfactant concentration is thus similar in the case of lecithin micelles and the microemulsion systems reported here. In the case of lecithin micelles, the chemical shift change is presumably due to a variation in the relative amounts of monomeric and micellar lecithin, while in the microemulsions this change is attributed to changes in micellar size.

**B. Proton Nmr Line Widths.** There are two major features of the high-resolution line width of oleate and hexanol. The oleate protons close to the polar group are broadened progressively as the micellar size increases, and this effect extends out to the oleate vinyl resonances, while the terminal methyl group resonance remains fairly sharp. In contrast, the protons adjacent to the hydroxyl group of hexanol are not appreciably broadened with increasing micellar size, suggesting that the dynamics of surfactant

(oleate) and cosurfactant (hexanol) in microemulsions are quite different.

The decrease in line width observed for oleate hydrocarbon chain resonances in going from the polar end of the molecule to the terminal methyl group, in the samples of larger micellar size (see Figures 2 and 3), suggests that the amount of local chain motion increases in going from polar group to the terminal methyl end of the molecule. This is similar to the situation found in phospholipid bilayers by the esr spin-label studies of McFarland and McConnell,<sup>20</sup> in lecithin bilayers (in D<sub>2</sub>O) and micelles (in CDCl<sub>3</sub>) by Birdsall, *et al.*,<sup>21</sup> and Lee, *et al.*,<sup>22</sup> from proton nmr  $T_1$  measurements, in lecithin bilayers by <sup>19</sup>F substituted at various positions along the alkyl chain incorporated into the bilayers (Birdsall, *et al.*,<sup>23</sup>), and in lecithin bilayers and micelles from <sup>13</sup>C  $T_1$  measurements for the various alkyl chain and polar group carbons, by Metcalfe, *et al.*<sup>24</sup> Presumably the polar groups of the oleate molecules are relatively immobilized at the aqueous interface, while local chain motion of oleate molecules increases toward the terminal methyl end of the molecule. The decrease in surfactant line widths with decreasing micellar size could be due to two effects. One effect is a decrease in correlation time due to overall reorientation of the micelles. The contribution to the relaxation process of this mechanism is given by the expression<sup>25</sup>

$$T_2^{-1}(\text{rot}) = (3\gamma^4 \hbar^2 / 2b^6) \tau_c \quad (1)$$

where  $\gamma$ ,  $\hbar$ ,  $b$ , and  $\tau_c$  are the proton magnetogyric ratio, Planck's constant/ $2\pi$ , the interproton distance, and the correlation time describing micelle rotational diffusion, respectively. The correlation time  $\tau_c$  is roughly the time required for the micelle to rotate through an angle of one radian, and is given by the Stokes-Einstein equation<sup>25</sup>

$$\tau_c = 4\pi\eta r^3 / 3kT \quad (2)$$

for isotropic rotation of rigid spheres of radius  $r$  in a medium of viscosity  $\eta$ . In the case of an isotropically reorienting molecule, the line width is given by  $1/\pi T_2$ . An approximation for the contribution of overall micelle reorientation to the relaxation process of the hydrocarbon chain methylene protons can be calculated from eq 1 and 2, using  $b = 1.78 \text{ \AA}$  and  $\eta = 0.564 \text{ cP}$ . The calculated line widths are 4.8 and 4800 Hz for 10- and 100- $\text{\AA}$  radius particles, respectively. Since the line widths observed for surfactant protons in the case of 13- $\text{\AA}$  radius water droplets ( $\approx 38\text{-}\text{\AA}$  total micellar radius), where the line-narrowing effects of micellar reorientation would be expected to be greatest, are less than 4.8 Hz, and the change in line widths in going from 13 to 65- $\text{\AA}$  radius particles is much less than the predicted 1000-fold (see Figures 2 and 3), it must be assumed that the line widths of surfactant proton resonances are determined predominantly by molecular reorientation rather than overall micellar reorientation. A second explanation for the observed surfactant line width dependence on micellar size could be changes in packing of the hydrocarbon chains due to changes in radius of curvature of the interfacial film. At a flat interface, the area available for a surfactant molecule is uniform across the interface. At a curved interface, with the polar groups pointing toward the center, the area available for the polar group is less than that for the terminal methyl end of the molecule. Thus, if the cross-sectional area per surfactant polar group were the same in both large and small diameter micelles, one would expect no line width dependence on size for protons near the polar group and line

widths which decrease with decreasing micellar diameter for the remainder of the protons. What is observed is a decrease in line width for protons at the center of the surfactant hydrocarbon chains (vinyl protons) and at the polar end of the molecule, with decreasing micellar size. This suggests that the interfacial film becomes more disordered, with greater freedom of local chain motion for the entire surfactant molecule, as the micellar size decreases, similar to the situation found by Sheetz and Chan for lecithin bilayer vesicles.<sup>26</sup>

Relaxation *via* spin-diffusion to the terminal methyl sinks has been postulated for unsonicated phospholipid bilayers.<sup>27</sup> That this is not the case here is shown by the existence of different line widths (presumably associated with different relaxation times) for the various hydrocarbon chain proton resonances.

In contrast to the dependence of surfactant line widths on micellar size, the line width of the hexanol methylene group adjacent to the hydroxyl is quite narrow in all samples studied, implying that hexanol molecules are not localized at the interfacial film, as are the oleate molecules, but exchange rapidly between this region and the benzene continuous phase.

*C. Pulsed Nmr Results on Water.* The increase in water relaxation times  $T_1$  and  $T_2$  with increasing droplet size, shown in Figure 4, indicates an increased average reorientation rate of water molecules with increasing droplet size. It is expected that the water molecules near the interfacial film would interact strongly with the surfactant polar groups, resulting in a decrease in water reorientation rate for these molecules. Water molecules in the micellar core may exist in a state of mobility near that of the bulk liquid. Since simple exponential relaxation is observed for water in all samples (see Figure 4), exchange of water between this "bound," or motionally restricted layer at the interfacial film and the "free" (or bulk liquid-like) water in the droplet interior must be rapid.<sup>13</sup> In this case, the observed water relaxation time will be the weighted average of the relaxation time of "free" and "bound" water<sup>28</sup>

$$T_1^{-1} = f_B T_{1B}^{-1} + f_F T_{1F}^{-1} \quad (3)$$

where  $T_1^{-1}$ ,  $T_{1B}^{-1}$ , and  $T_{1F}^{-1}$  are the observed relaxation rate, and relaxation rates of bound and free water, respectively;  $f_B$  and  $f_F$  are the mole fractions of bound and free water, respectively, and  $f_B = 1 - f_F$ . From this two-species model of water it is possible to calculate the fraction of bound water,  $f_B$ , as a function of droplet size, from the observed  $T_1$  values and eq 3, if  $T_{1F}$  and  $T_{1B}$  are known.  $T_{1F}$  is simply the relaxation time of bulk liquid D<sub>2</sub>O, 0.50 sec, and  $T_{1B}$  is found, by extrapolation of a log  $T_1$  vs.  $r_w$  plot (approximately linear) to  $r_w = 0$ , to be  $0.033 \pm 0.008$  sec. The result of the calculation of  $f_B$  using the  $T_1$  data and eq 3 is shown by the closed circles in Figure 5.

The existence of two water species in these systems is further supported by the observation of two uncoupled OD oscillator (HOD surrounded by HOH) bands in the infrared spectra<sup>29</sup> of microemulsions prepared with the aqueous phase consisting of 95% H<sub>2</sub>O/5% D<sub>2</sub>O. These spectra exhibit three bands in the OD region, assigned to hexanol OD (at 2670 cm<sup>-1</sup>), bulk liquid-like HOD, and "bound" HOD. Table II shows the center frequencies, half-widths, and estimates of the relative intensities of the bands due to the two HOD species. The relative intensity of the "bound" OD band is simply  $f_B$ , and these values are shown by the open circles in Figure 5, for comparison to

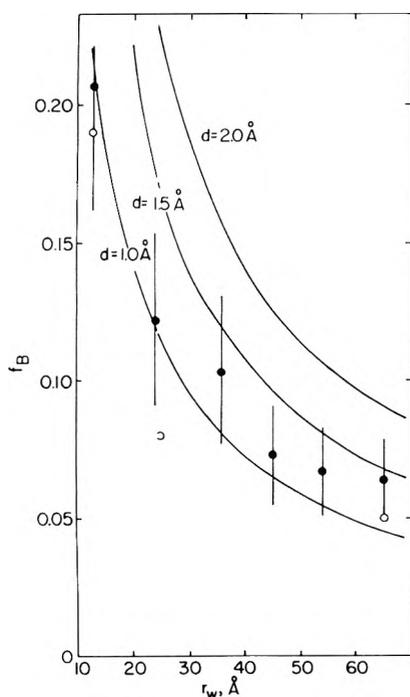


Figure 5. Fraction of total water associated with the surfactant,  $f_B$ , as a function of water droplet radius,  $r_w$ . The solid lines represent  $f_B$  calculated assuming several thicknesses,  $d$ , of water layers associated with the surfactant polar groups, while the filled circles represent  $f_B$  calculated from the  $T_1$  data. The open circles represent  $f_B$  calculated from the infrared O-D spectra.

TABLE II: Relative Infrared Integrated OD Band Intensities for Microemulsions Containing Potassium Oleate, Benzene, Hexanol, and Water (95%  $H_2O$ /5%  $D_2O$ , v/v)<sup>a</sup>

Sample no.	$r_w$ , Å	Intensity		$f_B$
		2521 $cm^{-1}$	2376 $cm^{-1}$	
HR 164	24	0.92	0.08	0.125
165	65	0.95	0.05	0.065
193	13	0.81	0.19	0.21

<sup>a</sup> The calculated<sup>12</sup> radii of the water droplets are also shown, together with the values of  $f_B$  obtained by pulsed nmr, for comparison. The "bulk liquid-like" HDO band was observed at  $2521 \pm 4 \text{ cm}^{-1}$ , with half-width  $165 \pm 1 \text{ cm}^{-1}$  in all samples, and the "bound" HDO band was centered at  $2376 \pm 8 \text{ cm}^{-1}$ , with half-width  $204 \pm 12 \text{ cm}^{-1}$ .

the values obtained by pulse nmr. Although the values determined by infrared are *ca.* 20% lower than the nmr-determined values, the trend of decreasing  $f_B$  with increasing droplet radius is clear in both cases.

The fraction,  $f_B$ , of "interfacially bound" water can also be calculated as a function of water droplet size, from purely geometrical considerations, if a thickness  $d$  of the bound water layer is assumed.

$$f_B = 1 - \left(1 - \frac{d}{r_w}\right)^3 \quad (4)$$

This calculated value of  $f_B$  is shown as a function of  $r_w$  by the solid lines in Figure 5 for  $d = 1.0, 1.5,$  and  $2.0 \text{ Å}$ . The  $f_B$  values calculated from the experimental data using eq 3 fall between the lines calculated for 1.0 and 1.5 Å thick layers of water restricted in motion by those associated with the surfactant polar groups.

Thus, the water relaxation time data suggest that on the average, about a 1-Å thick layer of water molecules in

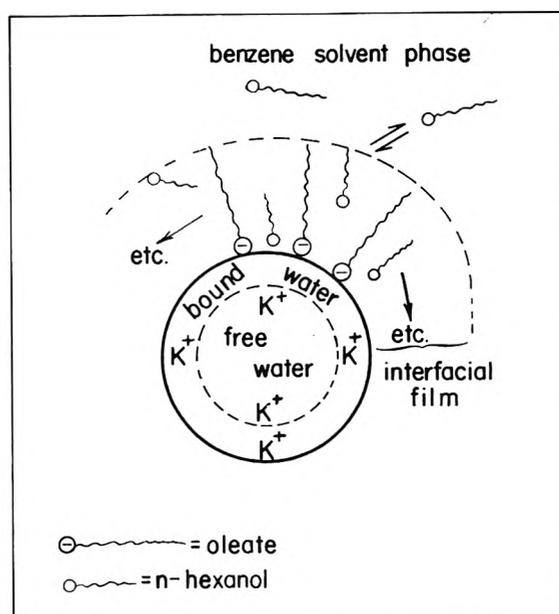


Figure 6. Schematic of microemulsion model.

the micellar core is restricted in motion by interaction with the surfactant polar groups. This corresponds roughly to a "monolayer" of water (molecular diameter 1.2 Å).

### Summary

Varying amounts of water can be incorporated in a particular microemulsion system by varying the concentration of surfactant and/or cosurfactant. The water droplet size is a function of water concentration and can vary from *ca.* 15 to 70 Å in a radius. In order to form stable water-in-oil microemulsions, the presence of both surfactant and cosurfactant is generally necessary. In order to determine the location and dynamic state of the surfactant species necessary for microemulsion stability, the potassium oleate/1-hexanol/water/benzene "water-in-oil" microemulsion system has been studied with high-resolution proton nuclear magnetic resonance (nmr). In addition, the state of water in this microemulsion system has been studied *via* pulsed nmr and ir spectroscopy.

It was found that the oleate is relatively immobilized in the interfacial film, with its polar end at the aqueous interface, while the terminal methyl end of the molecule is free to reorient in the benzene phase. In contrast, hexanol shows no motional restriction and presumably exchanges rapidly between the interfacial film and the benzene phase. Thus, microemulsions cannot be thought of simply as pure oil and water regions separated by static surfactant-containing interfacial films. The existence of a surfactant at the oil/water interface and a cosurfactant which distributes itself between the interfacial region and the dispersed phase may be a general requirement for microemulsion stability and it explains why two surfactant species are necessary for microemulsion formation.

The water in this system exists in two states: (1) a *ca.* 1-Å thick "monolayer" of water molecules of low mobility associated with the surfactant polar groups at the aqueous interface and (2) a core of water which is similar to ordinary liquid water in its mobility (and thus presumably capable of dissolving (solubilizing) other molecules). Exchange of water molecules between these sites is rapid (milliseconds). The fraction of this "restricted" water at the interface is rather small, amounting to a maximum of

about 20% of the total water at the smallest droplet size studied (13-Å radius), and dropping to about 7% for the 54-Å radius droplets. This model is shown schematically in Figure 6.

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## Ultrasonic Relaxation of Aqueous Yttrium Nitrate

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The ultrasonic absorption spectra of aqueous yttrium nitrate solutions were investigated and the results were interpreted using a one-step mechanism for the formation of the mononitrate complex. This approach is a radical departure from previous approaches used for lanthanide elements. Both the concentration dependence of the relaxation frequency and of the magnitude of the excess absorption have been reconciled with the proposed mechanism to give a self-consistent interpretation. The resulting value of the rate of complex formation is  $k_f = 1.0 \times 10^8 M^{-1} \text{sec}^{-1}$ , which is significantly below the diffusion-controlled limit. The formation constant for the complex has been evaluated solely from kinetic data and is in fair agreement with literature values for related ions.

Normally ion pairs are considered to be of two types, outer sphere and inner sphere. In the former the two ions are held together by electrostatic forces with an intervening solvent molecule while in the latter the ions are in contact. Some years ago, Jørgensen<sup>1</sup> considered a third type which he called an anisotropic complex in which the ligand is directly bonded to the metal but the bond distance is so long that it is comparable to a ligand in the outer sphere. Bonding in such a species would be mainly but not totally electrostatic. We have been interested in searching for examples of such complexes. We had to con-

sider the problem of defining the expected properties of such species and what elements might form them. One possibility is the addition of a ligand to the face of an octahedral ion with subsequent bond rearrangement to give a seven-coordinate species. One would predict that such a process is most likely with cations with fewer than 3 *nd* electrons so that one vacant orbital is available for the incoming ligand. Another slightly different case would involve metal ions with an unsymmetrical solvation shell such that one or more coordinated solvent molecules are located further from the central metal ion than the others.

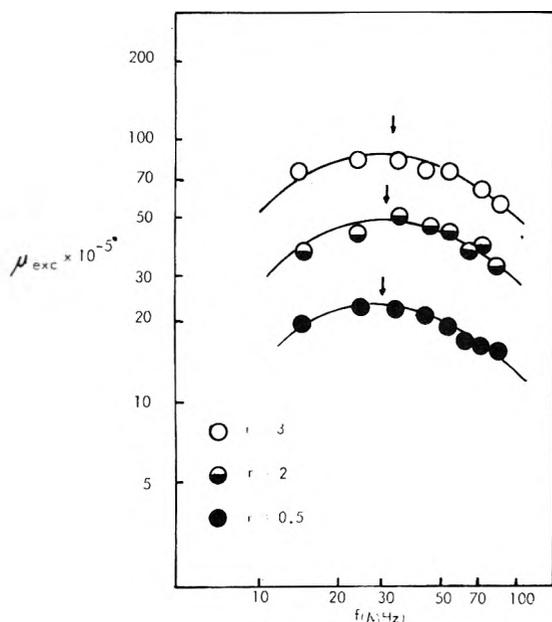
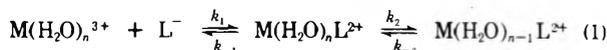


Figure 1. Plot of  $\mu_{exc}$  vs.  $f$  (MHz) for aqueous 0.200 M  $Y(NO_3)_r(ClO_4)_{3-r}$  at 25°.

Under such conditions the distinction between the first coordination sphere and the second or higher coordination sphere became blurred. Displacement of a distant solvent molecule by a ligand will lead to a situation similar to anisotropic complex formation. Both requirements for the formation of anisotropic complexes are fulfilled by the group IIIa elements and the lanthanide elements. For these ions, if such complexes exist, the second reason is most probable since the energy of the 5d level in the lanthanide ions is too high to be involved in bonding.

A great deal of work has been done on the kinetics of complex formation of lanthanide ions. A number of investigations have been made of lanthanide nitrates using ultrasonic absorption.<sup>2-5</sup> Most of these investigations have assumed the Eigen multistep mechanism of ionic association.<sup>6</sup> Such a mechanism assumes that a kinetic distinction can be made between ions in the first coordination sphere and ions in the second. The two-step process can be written



where  $M(H_2O)_n^{3+}$  is the solvated cation,  $L^-$  is the anion,  $M(H_2O)_nL^{2+}$  is a solvent-separated ion pair,  $M(H_2O)_{n-1}L$  is a contact ion pair,  $K_1 = k_2/k_{-2}$ , and  $K_0 = k_1/k_{-1}$ .

In all the previous ultrasonic studies but one, it has been assumed that the single relaxation effect observed corresponds to one or the other of the two steps in eq 1 or else the investigators have been content to measure only the overall rate of complex formation.<sup>4</sup> In the case where two relaxations were reported,<sup>5</sup> the solutions contained large quantities of excess nitrate and thus the possibility of multiligand complexation arises. In the current work we will not adopt eq 1 but rather will assume a simple model which will account for all experimental results.

### Experimental Section

The ultrasonic instrumentation has been described previously.<sup>7</sup> Yttrium nitrate and yttrium oxide (Alfa Inorganics) were used without further purification. Stock solutions of the nitrate were made by dissolving the salt in

deionized water. Stock solutions of yttrium perchlorate were prepared by dissolving the oxide in perchloric acid and diluting with deionized water. The stock solutions were analyzed for yttrium content by EDTA titration.<sup>8</sup> All solutions contained a small amount of excess perchloric acid to prevent hydrolysis. Solutions for ultrasonic measurements were prepared by mixing the appropriate amount of nitrate and perchlorate stock solutions such that the analytical concentration of yttrium was constant but the ratio of nitrate to perchlorate could be varied. All solutions were maintained in a Forma temperature bath at  $25.00 \pm 0.05^\circ$ . The data are shown in Figure 1.

### Results

Following the procedure first described by Ebdon and Garnsey,<sup>3</sup> we have made all measurements at a constant analytical concentration of yttrium ion equal to  $C_T = 0.2$  M. The nitrate ion concentration was related to the yttrium concentration by the ratio  $r$

$$r = \frac{\text{total nitrate}}{\text{total yttrium}}$$

In addition to nitrate, the solutions contained  $(3 - r)C_T$  perchlorate ion. This procedure minimizes the variation of the activity coefficients of the ions as the concentration of nitrate varies.

The values of the relaxation frequencies as a function of  $r$  are shown in Table I. Also shown is the value of the maximum absorption per wavelength,  $\mu_{max}$ . The quantity  $\mu$  given by

$$\mu = (\alpha - \alpha_0)\lambda$$

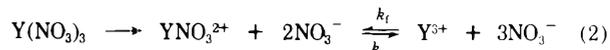
where  $\alpha$  is the sound absorption coefficient of the solution and  $\alpha_0$  is the sound absorption coefficient in the absence of the chemical equilibrium. The solvent value was taken in all cases in this work;  $\lambda$  is the wavelength of the sound wave. The frequency dependence of  $\mu$  is given by

$$\mu = \frac{2\mu_{max}(f/f_r)}{1 + (f/f_r)^2}$$

where  $f$  is the frequency and  $f_r$  is the relaxation frequency. The value of  $f_r$  was determined from Mikhailov plots<sup>9</sup> of the data. The value of  $\mu_{max}$  was found by data fitting. No evidence whatsoever was found for a second relaxation process up to 150 MHz.

### Treatment of the Data

The following dissociation scheme has been adopted



If  $C_T$  is the analytic concentration of yttrium,  $r = \text{total nitrate}/\text{total yttrium}$ ,  $k_{f(r)}$  is the forward (reverse) rate constant for association, and  $\sigma$  is the ratio of equilibrium concentration of  $Y^{3+}$  to the sum of the concentrations of  $Y^{3+}$  and  $YNO_3^{2+}$ , then the equilibrium concentrations of the various species are

$$[Y^{3+}] = \left(1 - \frac{r}{3} + \frac{r\sigma}{3}\right)C_T$$

$$[NO_3^-] = \frac{(2 + \sigma)}{3}rC_T$$

$$[YNO_3^{2+}] = \frac{(1 - \sigma)}{3}rC_T$$

$$[ClO_4^-] = \left(1 - \frac{r}{3}\right)C_T$$

**TABLE I:**  $\theta$ ,  $\mu_{\max}$  and  $f_r$  for 0.200 M  $\text{Y}(\text{NO}_3)_r(\text{ClO}_4)_{3-r}$  in Water at 25°

$r$	$\theta, M$	$f_r, \text{MHz}$	$\mu_{\max} \times 10^4$
3	0.359	34 ± 2	80
2	0.262	33 ± 2	52
1	0.162	31 ± 2	25

The association constant for the formation of  $\text{YNO}_3^{2+}$  ion pairs is (charge symbols are henceforth dropped on all ionic species)

$$K = \frac{a_{\text{YNO}_3}}{a_{\text{Y}}a_{\text{NO}_3}} = \frac{C_{\text{YNO}_3}}{C_{\text{Y}}C_{\text{NO}_3}} \frac{\gamma_{\text{YNO}_3}}{\gamma_{\text{Y}}\gamma_{\text{NO}_3}} = \frac{C_{\text{YNO}_3}}{C_{\text{Y}}C_{\text{NO}_3}} \frac{1}{\Pi} = \frac{k_f}{k_r}$$

where  $\Pi = \gamma_{\text{Y}}\gamma_{\text{NO}_3}/\gamma_{\text{YNO}_3}$  and  $\gamma_i$  is the activity coefficient of species  $i$ . In terms of the concentrations given earlier

$$KC\Pi = \frac{3(1 - \sigma)}{(3 - r + r\sigma)(2 + \sigma)} \quad (3)$$

We will be making use of the fact that at constant  $C\Pi$  the right-hand side of eq 3 is a constant for all values of  $r$ .

For a single-step mechanism described by the dissociation scheme 2, the reciprocal relaxation time  $\tau^{-1}$  is given by

$$\tau^{-1} = k_f\theta + k_r \quad (4)$$

where

$$\theta = \Pi \left[ C_{\text{Y}} + C_{\text{NO}_3} \left( 1 + \frac{d \ln \Pi}{d \ln C_{\text{Y}}} \right) \right]$$

In addition to the relaxation frequency, we can use the magnitude of the ultrasonic effect to provide an independent relationship between experimentally measurable quantities and the equilibrium concentrations. We have the equation

$$\mu_{\max} = \frac{\pi}{2\beta_s} \frac{(\Delta V^0)^2}{RT} C_T \left[ \frac{3}{3 - r + r\sigma} + \frac{3}{(2 + \sigma)r} + \frac{3}{(1 - \sigma)r} + \frac{3}{r} \frac{d \ln \pi}{d \sigma} \right]^{-1}$$

All terms not previously defined are given in ref 4. In our case the differential term in the above equation can be estimated to be about 7% or less of the entire bracketed term. It was therefore discarded. Hence

$$\mu_{\max} = \frac{\pi}{2\beta_s} \frac{(\Delta V^0)^2}{RT} CB^{-1} \quad (5)$$

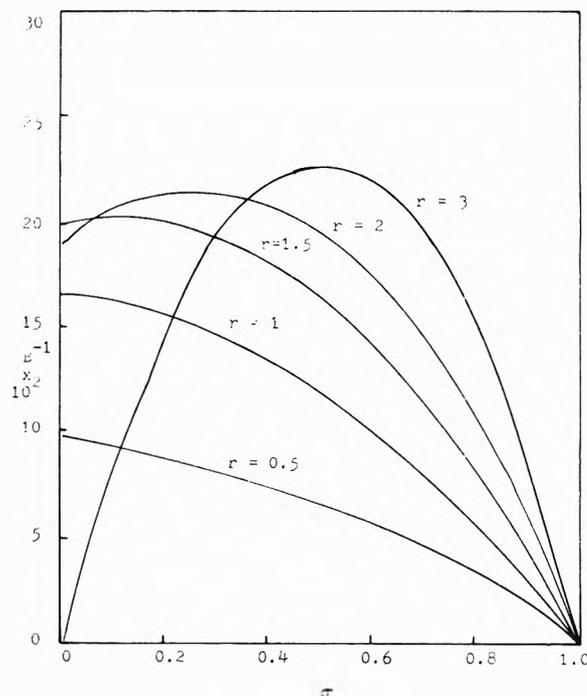
where

$$B \cong \frac{3}{3 - r + r\sigma} + \frac{3}{(2 - \sigma)r} + \frac{3}{(1 - \sigma)r}$$

Since  $\Delta V^0$  can reasonably be assumed to be constant for all values of  $r$  eq 5 predicts that  $\mu_{\max}B = \text{constant}$  for all  $r$ . Hence for two values of  $r$  we can write

$$\frac{\mu_{\max}(1)}{\mu_{\max}(2)} = \frac{B^{-1}(1)}{B^{-1}(2)} \quad (6)$$

The above relationship and the use of eq 3 enable estimates of  $\sigma$  to be made from the  $\mu_{\max}$  data. The following procedure was adopted. (a) Plots were made of  $B^{-1}$  vs  $\sigma$  for each value of  $r$  studied (Figure 2). (b) For  $r = 3$  an initial guess of  $\sigma$  was made. Using the above plots  $B^{-1}$  was calculated. (c) From the experimental value of the ratio of  $\mu_{\max}$  for any other  $r$  value to the value for  $r = 3$ ,  $B^{-1}$  for that  $r$  value can be calculated *via* eq 6. (d) Using this


**Figure 2.** Plot of  $B^{-1}$  vs.  $\sigma$  for  $\text{Y}(\text{NO}_3)_r(\text{ClO}_4)_{3-r}$ .

$B^{-1}$  value the corresponding value of  $\sigma$  is determined from the plots of part a appropriate for this  $r$  value. (e) Using the initial  $\sigma$  (part a) for  $r = 3$  and the calculated  $\sigma$  for  $r \neq 3$  determined in part d, values of  $KC\Pi$  can be calculated (eq 3).

Since the ionic strength of these solutions does not vary to any great extent and since Choppin<sup>10a</sup> proved there is little variation in the activity coefficients of lanthanide nitrate when perchlorate is substituted for nitrates at high ionic strength, it is reasonable to assume that  $\Pi$  is a constant. Hence the values of  $KC\Pi$  calculated in step e should be a constant. If  $KC\Pi$  varied by more than 0.01 unit for the three values of  $r$  studied, the initial estimate of  $\sigma$  was changed and the process was recycled. The desired constancy was attained after a few iterations. The final value of  $K\Pi$  was found to be 0.16. It should be noted that Choppin<sup>10a</sup> found a value of  $K\Pi = 0.56 \pm 0.10$  at  $I = 1.00$  for thulium nitrate complex formation. Since thulium and yttrium have nearly the same ionic radius, electrostatics would predict that they have nearly the same formation constant. Similarly, we have recalculated the data of Garnsey and Ebdon<sup>3</sup> for Nd and Gd nitrates to obtain the values of  $K\Pi = 1.05$  and  $0.95$ , respectively. These should be compared to the values<sup>12</sup> of  $2.48 \pm 0.29$  for Pm,  $2.04 \pm 0.05$  for Eu and  $1.13 \pm 0.05$  for Tb all at  $I = 1.00$ . Since the two methods for obtaining  $K\Pi$  are so grossly different in approach, the agreement seems rather satisfactory. The current method yields lower values than the solvent extraction technique.

Having determined an estimate of  $\sigma$  for the various solutions, we can now calculate the rate constants for the association process *via* eq 4 and the relationships between the equilibrium concentrations and  $r$  and  $\sigma$ . In calculating  $\theta$ , however, we have retained the differential term. The justification for including this term in  $\theta$  while dropping a similar term for the calculation of  $B$  is seen by estimating the relative importance of the two terms in the calculation of the respective quantities. As previously mentioned, the differential term in  $B$  is only about 7% of  $B$  while the

differential term in  $\theta$  is about 50% of  $\theta$ . All estimates used the Davies<sup>11</sup> equation for the activity coefficients. The value of  $k_r$  is  $1.0 \times 10^8$  while  $k_{-r}$  is  $1.8 \times 10^8$ , giving a  $K$  of  $0.55 M^{-1}$ . Since the value of  $K_{II}$  was found to be  $0.16 M^{-1}$  we can calculate a value of  $\Pi = 0.29$ . Previous work<sup>4</sup> had calculated values of  $\Pi = 0.20$  for  $0.2 M Gd(NO_3)_3$  and  $Nd(NO_3)_3$  using a totally different approach.

## Discussion

Unlike most other investigators of aqueous lanthanide nitrate systems, we have rejected the need for invoking a multistep mechanism. This rejection is based on the following considerations. (1) Only one relaxation is observed in the ultrasonic region. We believe that the multiple relaxations found in one previous work are due to multiligand complexation known to occur at high nitrate ion concentrations.<sup>10a,b</sup> (2) According to a simple calculation by Larson<sup>16</sup> for ions of the size of yttrium and nitrate, electrostatics would favor a contact ion pair rather than a solvent-separated one. (3) Previous rejection of a single-step mechanism is based on the assumption that all deviations from a diffusion-controlled rate constant for ion pairing require a chemical explanation. That is, it is assumed that either ion-pair formation is diffusion controlled or there exist *discrete* desolvation steps which slow the process. This assumption is known to be invalid since there exist physical reasons for the existence of less than diffusion-controlled rate constants which do not require the existence of new chemical species.

Returning to the first point, we expect that the ion-pair formation process will have a higher  $\Delta V$  of reaction than will the ion pair interconversion in a multi-step mechanism.<sup>12</sup> Hence the magnitude of this effect will be much larger than will the ion-pair conversion unless the ion pairing is nearly quantitative, which is clearly not the case here. Furthermore, step perturbation methods have not located slower desolvation processes in lanthanide complexation reactions and by analogy we assume that there are no slower processes in the yttrium case also. Hence we believe that we are observing the one and only relaxation associated with formation of yttrium nitrate ion pairs in water. We can experimentally test the hypothesis that we are looking at the fastest process by means of a viscosity dependence. Suppose we are in fact looking at the second step of a two-step mechanism, for such a process the two relaxation times are given by

$$\tau_{1,2}^{-1} = \frac{1}{2}(S \pm \sqrt{S^2 - 4P})$$

where  $S = k_1\theta + k_{-1} + k_2 + k_{-2}$ ,  $P = k_1\theta(k_2 + k_{-2}) + k_{-2}k_{-1}$ , and  $\theta \cong [Y^{3+}] + [NO_3^-]$ . According to the Debye-Smoluchowski equation<sup>13</sup> for  $k_1$  and the Eigen equation for  $k_{-1}$ ,<sup>14</sup> the only viscosity-dependent rate constants will be  $k_1$  and  $k_{-1}$ . If as an approximation we assume  $S^2 \gg 4P$  the two relaxation times are given by  $\tau_1^{-1} \cong S$  and  $\tau_2^{-1} \cong P/S$ . This assumption is equivalent to taking  $k_1\theta, k_{-1} \gg k_2, k_{-2}$ . Hence if the relaxation times are viscosity dependent, we have

$$\frac{d\tau_1^{-1}}{d\eta} \cong \frac{dS}{d\eta}$$

where  $\eta$  is the viscosity, and

$$\frac{d\tau_2^{-1}}{d\eta} = \frac{1}{S} \frac{dP}{d\eta} - \frac{P}{S^2} \frac{dS}{d\eta}$$

TABLE II<sup>a</sup>

X glycol	$f_r$	$f_r(\text{H}_2\text{O})/$ $f_r(\text{glycol-water})$ , calcd	$f_r(\text{H}_2\text{O})/$ $f_r(\text{glycol-water})$ , exptl
0.050	$24 \pm 1$	1.43	1.41
0.10	$18 \pm 1$	1.85	1.90

<sup>a</sup> All solutions were  $0.20 M$  in  $Y(NO_3)_3$ .

TABLE III: Values of the Excess Absorption per Wavelength,  $\mu$ , for  $0.212 M Y(NO_3)_3$  in  $0.1$  Mole Fraction Ethylene Glycol-Water Mixtures

$f_r$ , MHz	$\mu \times 10^3$
10	352
15	360
25	335
30	291
50	192
70	140
90	115
150	62

Since  $S^2 \gg 4P$  we neglect the second term above and have

$$\frac{d\tau_n^{-1}}{d\eta} \cong \frac{1}{S} \frac{dP}{d\eta}$$

but

$$\frac{dP}{d\eta} = (k_2 + k_{-2}) \frac{d(k_{-1}\theta)}{d\eta} + k_{-2} \frac{dk_{-1}}{d\eta}$$

Hence

$$\frac{1}{S} \frac{dP}{d\eta} \cong \frac{k_2 + k_{-2}}{k_1\theta + k_{-1}} \frac{dk_{-1}\theta}{d\eta} + \frac{k_{-2}}{k_1\theta + k_{-1}} \frac{dk_{-1}}{d\eta}$$

Since

$$\frac{dS}{d\eta} = \frac{dk_1\theta}{d\eta} + \frac{dk_{-1}}{d\eta}; k_1\theta + k_{-1} \gg k_2 + k_{-2}$$

we see that

$$\left| \frac{d\tau_2^{-1}}{d\eta} \right| \ll \left| \frac{d\tau_1^{-1}}{d\eta} \right|$$

Hence a viscosity dependence of  $f_r$  should reveal whether or not we are observing the first or second step. Measurements were made using mixtures of water and ethylene glycol as solvent. In order to reduce the chance of complex formation between yttrium and glycol the measurements were restricted to the low mole fraction glycol region. Two mixtures were studied with mole fraction glycol equal to 0.05 and 0.10. The relaxation process was highly dependent upon viscosity; hence we conclude that we are not observing the slower of two relaxations. If we assume the Debye<sup>13</sup> and Eigen<sup>14</sup> equations for diffusion-controlled rates apply to this case we can calculate the ratio of relaxation frequencies. These ratios as well as the frequencies for the water-glycol mixtures are given in Table II. In the calculation the ion size parameter  $a$  was taken to be  $10 \text{ \AA}$ . The calculated results are not very sensitive to choice of the size parameter. It is interesting to note also that the magnitude of the relaxation effect greatly increases in the water-glycol mixtures. This is predictable qualitatively. In pure water the degree of dissociation is quite large and hence the effect is small. By reducing the dielectric constant we decrease the degree of dissociation and hence the maximum absorption per wavelength increases (see Figure 2). It is important to note that these relaxation effects in water-glycol mixtures were single, undistorted relaxation

processes out to greater than  $\omega\tau = 7$ . In our calculation the limiting value of  $\alpha/f^2$  was taken to be the solvent values, taken or interpolated from values in the literature.<sup>15</sup> Actual data for a glycol experiment are given in Table III.

As further confirmation of the fact that we are observing the fastest step, we measured the temperature dependence of  $f_r$ . When aqueous 0.20 M solutions of  $Y(NO_3)_3$  are heated to 35° and the ultrasonic absorption spectrum measured, it is found that the relaxation frequency is within 1 MHz of its value at 25°. Such a small temperature dependence is in agreement with the predicted temperature dependence of a diffusion-controlled process. Desolvation processes will have much greater temperature dependences.<sup>15</sup>

Most of the above arguments have invoked the Debye and Eigen equations for diffusion-controlled rates. Yet  $k_f$  and  $k_r$  are both at least one order of magnitude lower than these equations predict. Indeed  $k_f$  is at least two orders of magnitude lower than the Debye equation predicts. From the preceding experiments it seems as if the functional form of these equations is correct but the magnitude is less than calculated. Such behavior has been shown by Schmitz and Schurr<sup>17,18</sup> to occur whenever there are orientational constraints on the association process. Such steric effect can reduce the experimental rate constant by many orders of magnitude, which is far more than was previously expected. It is evident that steric effects should be found for nitrate ion which cannot react if it approaches the metal along the threefold axis. Not so evident is the possible steric limitations for yttrium. The structure of solid  $Nd(BrO_3)_3 \cdot 9H_2O$  has been determined.<sup>19</sup> The bond distance between Nd and  $H_2O$  is not the same and hence if this ion exists as such in solution the rates of  $H_2O$  exchange may be different for the different positions, the more distant presumably exchanging faster. Unlike the case of copper(II), this faster exchanging site cannot simply interconvert to a site with shorter bond distance. Hence this ion may have preferred sites for substitution. Hydrated yttrium ion is expected to be similar in structure or, if different, it is expected to have a smaller hydration number and perhaps a less symmetrical structure. Hence yttrium ion may show steric constraints on substitution reactions. Thus the observed magnitude of  $k_f$  and  $k_r$  may well be due to the steric effects.

In summary, we have shown that measuring the relaxation frequency as a function of nitrate ion concentration and combining this with the magnitude of the excess absorptions leads to values of  $KII$  in good agreement with

independent results for similar lanthanide systems. We have assumed that there is only one step in the association process. The only problem with this approach is that the resulting rate constants are much lower than the diffusion-controlled limit. Steric effects may account for this discrepancy.<sup>20</sup> With regard to this point, it is useful to note that, when treated as single-step processes, the forward rates of formation of lanthanide complexes of oxalate, mu-xide, and anthranilate give still lower values of  $k_f$  (in the range  $1-8 \times 10^8 M^{-1} sec^{-1}$ ).<sup>4</sup>

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## High-Temperature Vaporization of Ternary Systems. I. Mass Spectrometry of Oxygen-Rich Vanadium–Tungsten–Oxygen Species

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Mass analysis of the gases produced by the high-temperature vaporization of mixtures of  $V_2O_5$  and  $WO_3$  revealed the production in the electron impact source of more than 60 ions, many previously undiscovered. From measurements of relative ion intensities, appearance potentials, and apparent temperature coefficients, and from the assignment of 15 metastable decomposition reactions, 17 neutral species,  $O_2$ ,  $VO_2$ ,  $V_4O_{10}$ ,  $V_6O_{15}$ ,  $VW_2O_8$ ,  $VW_3O_{11}$ ,  $VW_4O_{14}$ ,  $V_2W_2O_{10}$ ,  $V_2W_3O_{14}$ ,  $V_3WO_{10}$ ,  $V_3W_2O_{13}$ ,  $V_4W_2O_{16}$ ,  $W_2O_6$ ,  $W_3O_9$ ,  $W_4O_{12}$ ,  $W_5O_{15}$ , and  $W_6O_{18}$  have been identified, nine of them including  $W_6O_{18}$  for the first time. All but  $V_2W_2O_{10}$  are fully oxidized;  $V_2W_3O_{14}$  and  $V_4W_2O_{16}$  may be considered as compounds of  $V_2O_5$  and  $WO_3$ , the others of  $VO_2$ ,  $V_2O_5$ , and  $WO_3$ . The fully oxidized samples were chosen to enhance the concentration of ternary species. Vaporization in the temperature range 1255–1465°K caused rapid loss of oxygen with attendant reduction of the sample and thus rendered thermodynamic measurements impossible. High molecular weight vanadium-rich species quickly disappeared. Most of the data were obtained from the somewhat reduced  $VO_2$ – $WO_2$  solid solution region of the ternary system. Metastable decomposition reactions of doubly charged ions appear to be the first observed in high-temperature studies.

### Introduction

During mass spectrometric studies of the vaporization behavior of the ternary titanium–vanadium–oxygen system, Lin<sup>1</sup> observed a wide variety of ternary species. They arose not only from the samples themselves but also from reactions with the tungsten crucible. They were especially abundant over the most oxygen-rich sample.

The purpose of the present work was to clarify the chemistry of the complex ternary gaseous species by studying a chemically simpler system. We here report the results of some vaporization studies of the ternary vanadium–tungsten–oxygen system. Because the ternary species are abundant in the environment of high oxygen potential, we studied the vaporization of samples that were initially mixtures of  $V_2O_5$  and  $WO_3$ . Parent and fragment ions have been identified from relative ion intensities, appearance potentials, temperature coefficients, and metastable decomposition reactions.

Berkowitz, Chupka, and Inghram<sup>2</sup> observed the presence of complex gaseous molecules over  $V_2O_5$  solid contained in a molybdenum crucible with a platinum liner. The ionic species  $V_6O_{14}^+$ ,  $V_6O_{12}^+$ ,  $V_4O_{10}^+$ ,  $V_4O_9^+$ ,  $V_4O_8^+$ ,  $V_3O_6^+$ ,  $V_2O_4^+$ ,  $V_2O_3^+$ , and shutterable  $O_2^+$  were detected. They concluded that the vapor contained  $V_6O_{14}(g)$ ,  $V_6O_{12}(g)$ ,  $V_4O_{10}(g)$ ,  $V_4O_8(g)$ , and  $V_2O_4(g)$ . The ions  $V_4O_9^+$ ,  $V_3O_6^+$ , and  $V_2O_3^+$  were considered to be fragments. Initially (temperature unspecified) the predominant gaseous species appeared to be  $V_4O_{10}$  and  $V_4O_8$ , but as the run proceeded the ion currents corresponding to the molecules  $V_6O_{14}$ ,  $V_6O_{12}$ , and  $V_2O_4$  rose in intensity but quickly disappeared relative to  $V_4O_{10}$  and  $V_4O_8$ . Even these latter two ion intensities, however, decreased with time. They were unable to obtain thermodynamic data due to the rapid change in solid composition and vapor pressure with time. No  $V_2O_5^+$  was ever observed, indicating that electron impact fragmentation does not favor this configuration. Presumably, the  $V_4O_{10}$  molecule has the

same stable, highly symmetrical structure as the  $P_4O_{10}$  molecule and considerable energy would be required to break sufficient bonds to produce  $V_2O_5^+$ . An oxygen atom, however, could be easily removed from such a structure to produce the  $V_4O_9^+$  fragment.

Farber, Uy, and Srivastava<sup>3</sup> have found the same general behavior. Because the ion intensities of  $V_4O_{10}^+$  and  $V_4O_8^+$  were observed to be stable only during the initial minutes of heating, several experiments were performed with new samples of  $V_2O_5$ , at different initial temperature (1003–1205°K) in order to obtain the heats of reaction for  $2V_2O_5(s) = V_4O_{10}(g)$  and  $2V_2O_5(s) = V_4O_8(g) + O_2(g)$ . The appearance potentials of  $V_4O_{10}^+$  and  $V_4O_8^+$  were found to be  $12 \pm 1$  and  $13 \pm 1$  eV, respectively.

Frantseva and Seminov<sup>4</sup> and Farber, *et al.*,<sup>3</sup> found that the dioxide decomposed rapidly to  $V_2O_3(s)$ , with the evolution of  $VO_2(g)$  and  $O_2(g)$ . Only the ions  $V^+$ ,  $VO^+$  and  $VO_2^+$  were detected. From the vaporization of  $V_2O_3(s)$ , Frantseva and Semenov and Farber, *et al.*, have reported the heats of formation and dissociation energies of  $VO(g)$  and  $VO_2(g)$ .

Ackermann and Rauh<sup>5</sup> have studied the vaporization behavior of initially stoichiometric tungsten trioxide over the temperature range 1320–1480°K by mass effusion and mass spectrometric techniques. They established that  $WO_{2.96}(s)$  is the congruently vaporizing composition up to 1480°K under the vacuum conditions ( $10^{-6}$  to  $10^{-7}$  Torr) employed.

The ions  $WO^+$ ,  $WO_2$ ,  $WO_3^+$ ,  $W_2O_5^+$ ,  $W_2O_6^+$ ,  $W_3O_8^+$ ,  $W_3O_9^+$ ,  $W_4O_{11}^+$ ,  $W_4O_{12}^+$ , and  $W_5O_{15}^+$  were observed at electron energies greater than 40 eV. Below 30 eV, the ions  $WO^+$ ,  $WO_2^+$ , and  $WO_3^+$  disappeared and were undoubtedly dissociation fragments, while the ions  $W_2O_5^+$ ,  $W_4O_{11}^+$ , and  $W_5O_{15}^+$  were detectable, but were several orders of magnitude less intense than  $W_2O_6^+$ ,  $W_3O_8^+$ ,  $W_3O_9^+$ , and  $W_4O_{12}^+$ . While a portion of the  $W_2O_6^+$  and  $W_3O_8^+$  ion currents could have resulted from the dissocia-

tive fragmentation of the major species  $W_3O_9(g)$ , the principal species above all tungsten oxides appeared to be  $W_2O_6$ ,  $W_3O_8$ ,  $W_3O_9$ , and  $W_4O_{12}$ .

On heating  $WO_{3.000}(s)$  at constant temperature below 1480°K they found the ion currents, as measured with a Bendix time-of-flight mass spectrometer, to vary until the composition of the solid had reached  $WO_{2.96}(s)$ . Indeed, the  $W_3O_9^+$  intensity decreased by almost a factor of 3, while the  $W_3O_8^+$  intensity increased slightly, as the composition approached  $WO_{2.96}$ . Such behavior demonstrated that a large fraction of the  $W_3O_8^+$  current results from the primary ionization of  $W_3O_8(g)$ ; that is, all the  $W_3O_8^+$  is not a dissociation fragment of  $W_3O_9(g)$ , for otherwise the  $W_3O_8^+$  and  $W_3O_9^+$  should have shown parallel behavior. Moreover, the congruency of evaporation of  $WO_{2.96}(s)$  demands the existence of a species having an oxygen-to-tungsten ratio of less than 2.96. At temperatures greater than approximately 1550°K the evaporation of the composition  $WO_{2.96}$  produced lower oxides and ultimately tungsten metal.

Kazenas and Tsvetkov<sup>6</sup> have essentially repeated, and are in agreement with, the work of Ackermann and Rauh on the thermodynamic properties of the gaseous species in equilibrium with the congruently vaporizing phase. From electron diffraction studies, Hargittai, *et al.*,<sup>7</sup> have proposed a six-membered ring structure for gaseous  $W_3O_9$ .

## Experimental Section

**Instruments.** The 12-in., 60° Nuclide mass spectrometer, its ion detection equipment, and crucible heating arrangement have been described by Edwards, Franzen, and Gilles.<sup>8</sup> Slit widths were: source, 0.010 and collector, 0.012 in. Resolving power was about 600. The ion accelerating voltage was maintained constant at about 4750 V except for minor variations during ion focusing and except when ions of mass greater than 715 amu were being investigated. The potentials on the repeller plates were near zero, and the potentials on the ion focusing plates were adjusted to produce maximum ion intensity. The potentials on the plates below the ionization chamber, which include the suppressor and the plate carrying the trap, were kept at the same potential as that of the ionization chamber except during investigation of the effect of these voltages on ion signals.

Four filaments and four radiation shields were used. Temperatures were measured with a Leeds and Northrup Model 8622C disappearing filament optical pyrometer (no. 2) by viewing a blackbody hole ( $l/r = 8$ ) in the bottom of the tungsten crucible through a glass window (A) in the vacuum chamber. Window corrections were made in the usual manner. The multiplier gain was constant during all experiments and was typically  $2.0 \times 10^5$  as determined on  $W_3O_9^+$  ( $m/e = 696$ ) at 4000 V multiplier voltage.

**Samples.** The samples were composed of a mixture of  $WO_3$  and  $V_2O_5$  in a 2:1 molar ratio. The  $WO_3$  was prepared by heating tungstic acid  $H_2WO_4$  (Baker Analyzed Reagent Grade, Lot. No. 32574) in a porcelain crucible for 4 days at 700° in a muffle furnace. The  $V_2O_5$  was prepared by thermal decomposition of finely ground ammonium *m*-vanadate,  $NH_4VO_3$  (Fisher Reagent Grade, Lot No. 743299), in a porcelain crucible in a muffle furnace for 4 days at 650°. Debye-Scherrer powder photographs confirmed the desired products.

**Crucible and Liners.** The samples were contained in platinum liners in an outgassed (2400°K, 3 hr,  $10^{-6}$  Torr) tungsten crucible (no. 109), about  $0.75 \times 1.25$  in. In order

to increase the amount of information that could be obtained during any one experiment, long channels for the liners were fabricated from platinum rod in order to reduce the transmission probability, and larger quantities of sample were introduced into the liners by first compressing the mixed oxides into pellets and then breaking them into small pieces.

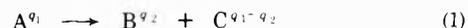
Separate liners were made for each experiment and were fabricated from 0.005-in. platinum sheet. Channels were made by heating and gently swaging a platinum rod about  $\frac{1}{8} \times \frac{1}{8}$  in. to the top of the liner, and then drilling with a 0.025-in. diameter drill. After the introduction of the sample, the bottom, also shaped from 0.005-in. platinum, was spot-welded to the walls of the liner. The resulting liner, sealed except through the channel, was then inserted into the  $\frac{1}{2}$ -in. i.d. tungsten crucible having an  $\frac{1}{8}$ -in. orifice in its lid, so that the platinum channel extended into the tungsten orifice but terminated at least  $\frac{1}{16}$  in. below the top surface of the crucible lid. Channel areas were measured with a projection microscope. The channel lengths were obtained with a micrometer.

**Procedure.** Samples were gradually heated, and positive ions were mass analyzed. Shutter profiles, mass scans, ionization efficiency curves, relative ion intensities, and temperature coefficients of ion intensities were all measured.

For the identification of ions, the counting of mass peaks was employed for masses less than 300 amu, and for higher masses, the mass was calculated from a knowledge of the magnetic field. A moveable shutter situated between the crucible and the ion source enabled background species to be distinguished from those originating from the platinum liner. Shutter profiles were obtained on all species in order to ascertain whether the signals were originating from molecules leaving the channel, or from the tungsten crucible, or from the tantalum shield cans. Isotope distributions as well as masses were used to identify the ions uniquely. The effects of the suppressor, trap voltage, emission current, and electron voltage were checked on all ion signals.

Ionization efficiency curves were determined for species originating in the platinum liner and for  $Hg^+$ . Appearance potentials were determined relative to  $Hg^+$  (10.4 eV) by the vanishing current method. By varying the temperature or by selecting the appropriate  $Hg^+$  isotope the intensity of the subject ion was made equal to the intensity of  $Hg^+$  at 70 eV. Further, all appearance potentials were obtained at the same emission current (0.12 mA) and under the same focusing conditions. For masses greater than 715 amu, a lower ion acceleration voltage was employed, and appearance potentials were determined relative to  $Hg^+$  under these same conditions. All measurements were made in duplicate, and at least one appearance potential was repeated with each new sample.

Metastable ions resulting from fragmentation of the type



where  $q_1$  and  $q_2$  are the charges, were distinguished by their diffuse appearance and by the fact that they appear at nonintegral  $m/e$  values. If the fragmentation occurs after acceleration is complete but before the parent ion enters the magnetic field, then the  $m/e$  value,  $m^*$ , at which the metastable ion appears in the mass spectrum is given by

$$m^* = (m_B^2/m_A)(q_1/q_2^2) \quad (2)$$

TABLE I: Purposes, Masses, and Phases in Mass Spectrometric Study of Vanadium-Tungsten-Oxygen System

Experiment	Purpose	Initial mass, g	Residual mass, g	% Vaporized	Max temp, °K	Residue X-ray	l/r of channel
MS-4 (Pt liner no. 4)	$I^+$ vs. $T$	1.3380	0.6494	51.46	1473	HT VO <sub>2</sub> <sup>a,b</sup> (C-5359)	7.1
MS-5 (Pt liner no. 5)	A.P.	1.7691	1.0112	42.84	1462	WO <sub>3</sub> and HT VO <sub>2</sub> <sup>a</sup> (C-4940)	10.6
MS-6 (Pt liner no. 7)	A.P.	1.8848	1.4803	21.46	1458	WO <sub>3</sub> and HT VO <sub>2</sub> <sup>a</sup> (C-5358)	10.5
MS-7 (Pt liner no. 8)	A.P.	1.8402	1.5227	17.25	1416	WO <sub>3</sub> and HT VO <sub>2</sub> <sup>a</sup> (C-5015)	12.4
Vacuum evaporation (Pt liner no 8b)	Residue analysis	1.9584	1.8422	5.93	1335	WO <sub>3</sub> and HT VO <sub>2</sub> <sup>a</sup> (C-5372)	12.3

<sup>a</sup> HT VO<sub>2</sub> is the VO<sub>2</sub>-WO<sub>2</sub> solid solution with rutile structure. <sup>b</sup> Lattice parameters:  $a = 4.6137 \pm 0.0003 \text{ \AA}$ ,  $c = 2.9518 \pm 0.0002 \text{ \AA}$ .

To identify the species involved in the decomposition, eq 2 must be satisfied,  $A^{q1}$  and  $B^{q2}$  must have relatively high intensities, and  $C^{q1-q2}$  must be a reasonable chemical species. The masses used in eq 2 were those of the most abundant isotopic species.

Four experiments, typically of a month's duration each, were performed. Samples and liners were weighed before and after each experiment, and Debye-Scherrer X-ray patterns were taken of each residue.

During experiment MS-4 the sample was devoted to the measurement of intensities of all ionic species over the temperature range 1255-1473°K. Electron energies of 70 eV were employed. With an ion acceleration voltage of 4750 V, masses 1 to 715 amu were scanned; at 3150 V, masses 680 to 1200 amu were scanned, and at 2560 V, masses 1100 to 1450 amu were scanned. At each setting of the acceleration voltage, the voltages in the ion source were adjusted for maximum signals. A 10% loss in transmission occurred on changing from 3150 to 2560 V and the ion intensities were corrected accordingly. Slow scans over the complete mass range (temperature constant to  $\pm 5^\circ$ ) were performed at *ca.* 15-20° intervals in the direction of increasing temperature. Experiments MS-5, -6, and -7 were devoted to appearance potential measurements.

A separate vacuum evaporation experiment was designed to duplicate the early heating in experiment MS-4 in order to establish the phases present after that stage. The same prism, optical pyrometer, and tungsten crucible were used as in all previous experiments. A similar liner was used. Temperature correction for the prism and the window of the vacuum line were made.

## Results

**General.** The composition of the sample was changing throughout each experiment. The major species were oxygen and the tungsten oxides. In the initial stages of vaporization (1255-1310°K) the ion intensities were erratic and some ions disappeared completely. These were all vanadium-rich species. Over the temperature region 1320-1445°K, where the sample was VO<sub>2</sub>-WO<sub>2</sub> solid solution plus WO<sub>3</sub>, and where most of the emphasis has been placed in this study, plots of  $\log I^+ T$  vs.  $1/T$  were linear for all remaining species. At temperatures greater than 1465°K, there was a drop in the ion intensities due to the complete vaporization of WO<sub>3</sub>. Also the ions V<sup>+</sup> and VO<sup>+</sup> were partially anomalous in the lowest temperature experiments.

A total of 62 ions, of which 27 were ternary species, have been observed. Fifteen metastable decomposition reactions have been observed and identified. A total of 33 appearance potentials have been determined.

The purpose of the various experiments, initial and final sample masses, per cent vaporized, maximum temperatures, X-ray analyses of the residues, and channel dimensions are summarized in Table I.

**X-Ray Results.** In experiment MS-4 the maximum temperature to which this sample was heated was 1473°K. X-Ray analysis of the residue indicated the high-temperature VO<sub>2</sub>-WO<sub>2</sub> solid solution (rutile) pattern only. The lattice parameters of the tetragonal unit cell were  $a = 4.6137 \pm 0.0003$  and  $c = 2.9518 \pm 0.0002 \text{ \AA}$ . If we assume no oxygen solubility in the VO<sub>2</sub>-WO<sub>2</sub> solid solution region, then from the variation of lattice parameters *vs.* composition<sup>9,10</sup> we estimate that the composition of the residue was V<sub>0.74</sub>W<sub>0.26</sub>O<sub>2</sub>, which is close to V<sub>3</sub>WO<sub>8</sub>.

Experiments designated MS-5, -6, and -7 were devoted to the measurement of appearance potentials. The maximum temperatures to which these samples were heated were deliberately lower than for MS-4 and the fractional vaporization was smaller. The residues in all cases consisted of the high-temperature VO<sub>2</sub>-WO<sub>2</sub> solid solution and WO<sub>3</sub>.

In the separate vacuum experiment, after heating a fresh sample for 12 hr at  $1335 \pm 5^\circ\text{K}$ , the residue consisted of the VO<sub>2</sub>-WO<sub>2</sub> solid solution and WO<sub>3</sub>. Thus over the temperature region 1335-1462°K (the range over which we are mainly concerned) the sample consisted of two condensed phases. During vaporization, the initial composition of V<sub>2</sub>O<sub>5</sub> + 2WO<sub>3</sub> moves into the two-phase VO<sub>2</sub>-WO<sub>2</sub> solid solution plus WO<sub>3</sub> region, and ultimately into the VO<sub>2</sub>-WO<sub>2</sub> solid solution region. For a three-component system with two condensed phases and a gas phase, the pressure will depend at constant temperature upon the composition of the condensed phases.

**Identity of Ions and Metastables.** Except for the ions V<sup>+</sup> and VO<sup>+</sup> at the lower temperatures, the shutter profiles of all ionic species were symmetrical and had half-widths appropriate to the geometry of the instrumental arrangement (*ca.* 0.030 in.) at all temperatures, and all ion intensities were independent of the voltages applied to the suppressor and trap electrodes. The shutter profiles of both V<sup>+</sup> and VO<sup>+</sup> were unsymmetrical; the suppressor and trap potentials influenced their intensities, and there was a residual intensity even with the electron voltage

**TABLE II: Masses, Appearance Potentials, Intensities, and Their Temperature Dependences for Singly Charged Ions from Mixtures Initially  $V_2O_5 + 2WO_3$** 

$m/e$	No. of atoms per molecule			A.P., eV	Temp coeff. <sup>d</sup> kcal/mol	$I^a$	$I^b$	Parent or fragment
	V	W	O					
16			1		1 (6) <sup>c</sup>	0.415		F
32			2	12.2 (2) <sup>c</sup>	-4 (4)	13.4		P
51	1			20.0 (6)	53 (2)	0.116		F
67	1		1	17.7 (9)	77 (3)	0.967		F
83	1		2	12.7 (2)	107 (3)	1.91		P
134	2		2	32. (1)	38 (5)	0.0052		F
150	2		3	19.7 (4)	47 (7)	0.0196		F
166	2		4	17.8 (5)	54 (7)	0.0825		F
184		1			131 (3)	0.273		F
200		1	1	22.3 (9)	135 (3)	7.28		F
216		1	2	18.5 (3)	141 (3)	33.0		F
232		1	3	14.7 (2)	149 (2)	5.99		F
249	3		6		30 (2)	0.0021		F
265	3		7		64 (4)	0.0058		F
267	1	1	2			0.0027	0.54	F
283	1	1	3		121 (4)	0.0104	2.19	F
299	1	1	4		119 (10)	0.0198	4.13	F
315	1	1	5	11.7 (3)	125 (6)	0.073	15.2	F
332	4		8	12.8 (2)		Gone		?
348	4		9			Gone		F
364	4		10	11.8 (3)	-66 (4)	0.0016		P
368		2			137 (4)	0.0351		F
382	2	1	6	13.3 (2)	56 (4)	0.0908	18.9	F
384		2	1		136 (3)	0.126		F
398	2	1	7	13.3 (2)	56 (3)	0.116	24.2	F
400		2	2		136 (2)	0.224		F
414	2	1	8	11.8 (2)	51 (3)	0.227	47.2	F
416		2	3	35. (1)	140 (2)	0.335		F
432		2	4	17.1 (2)	139 (2)	1.17		F
448		2	5	15.3 (2)	140 (2)	6.33		F
464		2	6	12.2 (2)	139 (2)	6.45		P
481	3	1	9		47 (3)	0.0067	1.29	F
497	3	1	10	11.5 (3)	37 (2)	0.0935	19.5	P
514	6		13			Gone		F
531	1	2	7			0.0088	1.84	F
546	6		15			Gone		P
547	1	2	8	10.4 (2)	125(4)	0.301	62.7	P
564	4	1	11		2 (6)	0.0029	0.61	F
580	4	1	12			Gone		F
596	4	1	13			Gone		?
614	2	2	9	12.2 (2)	74 (2)	0.280	58.4	F
630	2	2	10	11.9 (2)	73 (3)	0.479	100.0	P
646	2	2	11	11.4 (2)	54 (2)	0.071	14.8	? F
648		3	6		141 (6)	0.0434		F
680		3	8	14.7 (2)	142 (2)	23.8		F <sup>e</sup>
696		3	9	12.0 (2)	136 (3)	100.0		P
713	3	2	12			0.0040	0.85	F
729	3	2	13	11.1 (2)	55 (3)	0.0341	7.12	P
779	1	3	11	10.7 (2)	130 (4)	0.0776	16.2	P
796	4	2	14			Gone		F
812	4	2	15 (?)			Gone		F
828	4	2	16 (?)			Gone		P
846	2	3	12		92 (2)	0.0356	7.43	F
862	2	3	13	12.3 (4)	94 (2)	0.0900	18.8	F
878	2	3	14			0.0048	1.01	P
912		4	11	13.9 (2)	164 (2)	2.09		F
928		4	12	12.0 (2)	156 (2)	12.7		P
1011	1	4	14		155 (4)	0.0248	5.21	P
1144		5	14		188 (5)	0.0128		F
1160		5	15	12.1 (2)	160 (2)	0.294		P
1392		6	18			0.0026		P

<sup>a</sup> Total intensity relative to  $W_3O_9^+$  as 100; 70-eV electrons,  $T = 1422 \pm 5^\circ\text{K}$ . <sup>b</sup> Total intensity relative to  $V_3W_2O_{16}^+$  as 100; 70-eV electrons,  $T = 1422 \pm 5^\circ\text{K}$ . <sup>c</sup> Uncertainty in last digit. <sup>d</sup> Not to be regarded as a thermodynamic quantity because sample composition was changing. <sup>e</sup> Reported by Ackermann and Rauh<sup>6</sup> to be a parent ion.

near zero and with the electron emission current at the minimum setting. However, on the basis of appearance potentials, both of these ions are undoubtedly fragment

ions. The small residual signal at low electron energies is probably due to surface ionization.

Each singly charged ion is listed in Table II along with

the mass of the most abundant isotopic species, its appearance potential, its temperature coefficient of intensity, its relative intensity, and its characterization as parent or fragment. The ion  $W_3O_7^+$  is no doubt present but is completely obscured by the metastable peak at  $m^* = 664.37$ . Doubly charged ions are listed in Table III.

The similarity among the singly charged ionic species of vanadium-oxygen, tungsten-oxygen, and mixed metal-oxygen are shown in Table IV. The table is arranged in such a manner that within each section the total number of metal atoms in each ion is constant and so that the number of oxygen atoms in each ion is constant along a horizontal row. The maximum oxidation state of vanadium is 5 and that of tungsten is 6. The table shows that in an ion which has the maximum number of oxygen atoms, a tungsten atom can replace one of the vanadium atoms without changing the number of oxygen atoms. For the reverse substitution, however, the number of oxygen atoms is decreased by 1.

The species  $MoO^+(114)$ ,  $MoO_2^+(130)$ ,  $MoO_3^+(146)$ ,  $MoWO_5^+(360)$ ,  $MoWO_6^+(376)$ ,  $MoW_2O_8^+(592)$ ,  $MoW_2O_9^+(608)$ , and  $MoW_3O_{12}^+(840)$  were present in minor quantities, but fortunately did not obscure the ions of interest. These ions presumably arose from trace amounts of  $MoO_3(s)$  present as impurity in the  $WO_3(s)$ .

In addition, 17 metastable peaks have been observed. The decomposition reactions which have been assigned to these diffuse peaks and the calculated  $m^*$  at which they appear in the mass spectrum are shown in Table V. The observed  $m^*$  values agreed with calculated ones to within 0.2 mass unit. Two metastable decomposition reactions of doubly charged ions each giving rise to two singly charged fragments were observed. These ions were  $W_3O_8^{2+}$  and  $W_4O_{11}^{2+}$ , the most intense doubly charged ions in the spectrum.

The decomposition  $W_3O_8^{2+} \rightarrow W_2O_6^+ + WO_2^+$  produces two peaks, corresponding to the two product ions, one calculated at  $m^* = 633.22$  amu, the other at  $m^* = 137.22$  amu. Both appear as dish-topped peaks extending over a large mass range. The mass centered at 137 extends from 128 to 146 amu; the mass centered at 633 extends from 610 to 656 amu.

The decomposition  $W_4O_{11}^{2+} \rightarrow W_3O_9^+ + WO_2^+$  produces metastable masses at  $m^* = 1062.32$  and 102.32 amu. The dish-topped peak with a midpoint of 1062 extends from 1028 to 1096 amu; the metastable centered at 102 extends from 91 amu to a mass which was obscured by the presence of the metastables at  $m^* = 104.14$  and 116.00 amu.

No metastable mass could be attributed to decompositions of vanadium oxide species. None of the metastable ions observed could be attributed to decompositions of Mo-O or Mo-W-O species.

**Relative Intensities.** Ion intensities obtained in experiment MS-4 at  $T = 1442 \pm 5^\circ K$  are given in Tables II, III, and V, all relative to  $W_3O_9^+$ . The intensities of ternary ions are also shown relative to  $V_2W_2O_{10}^+$ . All intensities have been corrected for isotope distributions. At the time of these measurements, the sample had become the two-phase  $VO_2$ - $WO_2$  solid solution plus  $WO_3$  mixture.

**Appearance Potentials.** All ionization efficiency curves were smooth and showed no irregular breaks. The appearance potentials obtained are shown in Tables II, III, and V. Because of small intensities the A.P.'s of several species could not be obtained. Except for  $V_4O_8^+$ , all A.P.'s were measured over the temperature region 1335-

**TABLE III: Masses, Appearance Potentials, Intensities, and Their Temperature Dependences for Doubly Charged Ions from Mixtures Initially  $V_2O_5 + 2WO_3$**

$m/e$	Number of atoms per molecule			A.P., eV	Temp coeff, kcal/mol	$I^a$
	V	W	O			
224	2	5				0.126
332	3	7			136 (4) <sup>b</sup>	0.142
340	3	8	29.7 (3) <sup>b</sup>		135 (2)	3.57
348	3	9			134 (9)	0.155
456	4	11	28.7 (6)		155 (2)	0.892

<sup>a</sup> Total intensity relative to  $W_3O_9^+$  as 100; 70-eV electrons,  $T = 1422 \pm 5^\circ K$ . <sup>b</sup> Uncertainty in last digit.

1440°K, when the sample was the two-phase  $VO_2$ - $WO_2$  plus  $WO_3$  mixture. For  $V_4O_8^+$  the A.P. was measured at 1295°K because the ion disappeared at higher temperatures.

**Temperature Coefficients.** During the initial stage of vaporization (1255-1310°K) the ion intensities varied in an erratic fashion, suggesting that the composition of the solid was changing considerably. Indeed, some of the ions disappeared during the initial stages. These were the ions  $V_4O_8^+$ ,  $V_4O_9^+$ ,  $V_6O_{13}^+$ ,  $V_6O_{15}^+$ ,  $V_4WO_{12}^+$ ,  $V_4WO_{13}^+$ ,  $V_4W_2O_{14}^+$ , and what were believed to be  $V_4W_2O_{15}^+$  and  $V_4W_2O_{16}^+$ . All these ions are vanadium-rich.

The temperature coefficients of vanadium-rich ternary species are much lower than those for the tungsten-rich species. The negative temperature coefficient of  $V_4O_{10}^+$  is indicative of the change of composition of the sample during vaporization.

Over the temperature interval 1320-1445°K, plots of  $\log I^+T$  vs.  $1/T$  were linear, and reasonably reliable slopes could be obtained. Some ions were detected only at the highest temperatures. These were the ions  $VWO_2^+$ ,  $V_3W_2O_{12}^+$ ,  $V_2W_3O_{14}^+$ ,  $W_6O_{18}^+$  and the metastable masses at  $m^* = 68.61, 77.33, 102.32, 104.14, 116.00, 137.22, 633.22, 1062.32,$  and 1128.22; hence, of course, plots were not obtained for these.

Second-law enthalpies of vaporization are not derivable from these slopes because the composition of the solid was changing during vaporization, and moreover, 70-V electrons were employed, but the temperature coefficients can be an aid in establishing or confirming the source of the many ions. A least-squares computer program was used to calculate the temperature coefficients (in kcal/mol) and the standard deviations for 56 ionic and metastable species. The results are shown in Tables II, III, and V.

At temperatures greater than 1465°K there was a drop in the ion intensities due to the complete exhaustion of  $WO_3$  from the sample. The investigation was not pursued to higher temperatures.

**Identity of Neutral Molecules.** The appearance potential for shutterable  $O_2^+$  is in agreement with the literature value, 12.1 eV.<sup>11</sup> The ion  $O_2^+$  is thus a parent.

Ions of higher mass than those shown in Table II were not detected. Thus  $V_6O_{15}^+$ ,  $V_4W_2O_{16}^+$ ,  $V_2W_3O_{14}^+$ ,  $VW_4O_{14}^+$ , and  $W_6O_{18}^+$  must be parent ions, unless, of course, even higher molecular weight gaseous molecules fragment completely on electron impact. Certainly ion-molecule reactions are not possible under the pressure conditions employed in this study.

The binary tungsten oxide ions  $W_2O_6^+$ ,  $W_3O_9^+$ ,  $W_4O_{12}^+$ , and  $W_5O_{15}^+$  all have the same A.P., namely,

**TABLE IV: Singly Charged Species<sup>a</sup> Observed over Mixtures Originally V<sub>2</sub>O<sub>5</sub> + 2WO<sub>3</sub>**

O										
O <sub>2</sub>										
V	W									
VO	WO									
VO <sub>2</sub>	WO <sub>2</sub>									
	WO <sub>3</sub>									
		W <sub>2</sub>								
		W <sub>2</sub> O								
V <sub>2</sub> O <sub>2</sub>	VWO <sub>2</sub>	W <sub>2</sub> O <sub>2</sub>								
V <sub>2</sub> O <sub>3</sub>	VWO <sub>3</sub>	W <sub>2</sub> O <sub>3</sub>								
V <sub>2</sub> O <sub>4</sub>	VWO <sub>4</sub>	W <sub>2</sub> O <sub>4</sub>								
	VWO <sub>5</sub>	W <sub>2</sub> O <sub>5</sub>								
		W <sub>2</sub> O <sub>6</sub>								
V <sub>3</sub> O <sub>6</sub>	V <sub>2</sub> WO <sub>6</sub>							W <sub>3</sub> O <sub>6</sub>		
V <sub>3</sub> O <sub>7</sub>	V <sub>2</sub> WO <sub>7</sub>	VW <sub>2</sub> O <sub>7</sub>						(W <sub>3</sub> O <sub>7</sub> ) <sup>b</sup>		
	V <sub>2</sub> WO <sub>8</sub>	VW <sub>2</sub> O <sub>8</sub>						W <sub>3</sub> O <sub>8</sub> <sup>c</sup>		
								W <sub>3</sub> O <sub>9</sub>		
V <sub>4</sub> O <sub>8</sub>										
V <sub>4</sub> O <sub>9</sub>	V <sub>3</sub> WO <sub>9</sub>	V <sub>2</sub> W <sub>2</sub> O <sub>9</sub>								
V <sub>4</sub> O <sub>10</sub>	V <sub>3</sub> WO <sub>10</sub>	V <sub>2</sub> W <sub>2</sub> O <sub>10</sub>								
		V <sub>2</sub> W <sub>2</sub> O <sub>11</sub>						VW <sub>3</sub> O <sub>11</sub>	W <sub>4</sub> O <sub>11</sub>	
									W <sub>4</sub> O <sub>12</sub>	
	V <sub>4</sub> WO <sub>11</sub>									
	V <sub>4</sub> WO <sub>12</sub>	V <sub>3</sub> W <sub>2</sub> O <sub>12</sub>						V <sub>2</sub> W <sub>3</sub> O <sub>12</sub>		
	V <sub>4</sub> WO <sub>13</sub>	V <sub>3</sub> W <sub>2</sub> O <sub>13</sub>						V <sub>2</sub> W <sub>3</sub> O <sub>13</sub>		
								V <sub>2</sub> W <sub>3</sub> O <sub>14</sub>	VW <sub>4</sub> O <sub>14</sub>	W <sub>5</sub> O <sub>14</sub>
										W <sub>6</sub> O <sub>15</sub>
V <sub>6</sub> O <sub>13</sub>										
V <sub>6</sub> O <sub>15</sub>		V <sub>4</sub> W <sub>2</sub> O <sub>14</sub>								
		V <sub>4</sub> W <sub>2</sub> O <sub>15</sub> (?)								
		V <sub>4</sub> W <sub>2</sub> O <sub>16</sub> (?)								
										W <sub>6</sub> O <sub>18</sub>

<sup>a</sup> Bold face indicates that ion is a parent ion. <sup>b</sup> Obscured by metastable. <sup>c</sup> Reported by Ackermann and Rauh<sup>6</sup> to be a parent ion.

**TABLE V: Metastable Decomposition Reactions, Masses, Appearance Potentials, Intensities, and Their Temperature Dependences from Mixtures Initially V<sub>2</sub>O<sub>5</sub> + 2WO<sub>3</sub>**

m* (calcd)	Number of atoms per molecule									A.P., eV	Temp coeff, kcal/mol	I <sup>a</sup>	I <sup>b</sup>
	Parent			Fragment			Neutral						
	V	W	O	V	W	O	V	W	O				
58.00		4	12		1	3		3	9			0.0140	
68.61		3	8		1	2		2	6			0.0247	
77.33		3	9		1	3		2	6			0.0237	
104.14		2	5		1	2		1	3			0.0145	
116.00		2	6		1	3		1	3			0.0116	
232.00		4	12		2	6		2	6			0.0386	
309.33		3	9		2	6		1	3			0.0036	
432.55		2	6		2	5			1		145 (7) <sup>c</sup>	0.0360	
522.00		4	12		3	9		1	3		160 (5)	0.0205	
572.45	3	2	13	2	2	11	1		2		148 (5)	0.0258	5.38
664.37		3	9		3	8			1	14.6 (2) <sup>c</sup>	141 (2)	1.98	
896.28		4	12		4	11			1	13.9 (2) <sup>c</sup>	162 (2)	0.393	
1128.22		5	15		5	14			1			0.0026	
137.22					1	2						0.0010	
633.22		3	8 <sup>2+</sup>		2	6						0.0098	
102.32					1	2						0.0005	
1062.32		4	11 <sup>2+</sup>		3	9						0.0019	

<sup>a</sup> Total intensity relative to W<sub>6</sub>O<sub>18</sub><sup>+</sup> as 100; 70-eV electrons, T = 1422 ± 5°K. <sup>b</sup> Total intensity relative to V<sub>2</sub>W<sub>2</sub>O<sub>10</sub><sup>+</sup> as 100; 70-eV electrons, T = 1442 ± 5°K. <sup>c</sup> Uncertainty in last digit.

12.0 ± 0.2 eV, but except for the first two, different temperature coefficients. We conclude that all are parent ions, as did Ackermann and Rauh, even though W<sub>2</sub>O<sub>6</sub><sup>+</sup> and W<sub>3</sub>O<sub>9</sub><sup>+</sup> are also products in metastable decompositions. The ions W<sub>2</sub>O<sub>5</sub><sup>+</sup>, W<sub>2</sub>O<sub>4</sub><sup>+</sup>, W<sub>2</sub>O<sub>3</sub><sup>+</sup>, W<sub>2</sub>O<sub>2</sub><sup>+</sup>, W<sub>2</sub>O<sup>+</sup>, W<sub>2</sub><sup>+</sup>, WO<sub>3</sub><sup>+</sup>, WO<sub>2</sub><sup>+</sup>, WO<sup>+</sup>, and W<sup>+</sup> must be fragment ions, because of their large A.P.'s. The first six of these have

the same temperature coefficients as does W<sub>2</sub>O<sub>6</sub><sup>+</sup> and appear to be its fragments.

The ions W<sub>3</sub>O<sub>8</sub><sup>+</sup> and W<sub>4</sub>O<sub>11</sub><sup>+</sup> are fragment ions arising in part, at least, from the metastable decompositions of W<sub>3</sub>O<sub>9</sub><sup>+</sup> and W<sub>4</sub>O<sub>12</sub><sup>+</sup>, respectively. The temperature coefficients and A.P.'s of the fragment ions are the same as those of the respective metastable peaks. These results

confirm the assignment of the metastable decomposition reactions and also suggest that little or no kinetic energy is released in these decomposition processes.

Of the binary vanadium oxide ions,  $V_4O_{10}^+$  has the lowest A.P. and is undoubtedly a parent ion. The ion  $VO_2^+$  is probably a parent, with perhaps some contribution to the ion signal due to fragmentation of  $V_4O_{10}^+$ . During the initial stages of vaporization  $V_6O_{15}^+$  must also be a parent ion as previously deduced. Our evidence is inconclusive on the characterization of the ion  $V_4O_8^+$ , but both Berkowitz, Chupka, and Inghram and Farber, *et al.*, describe it as a parent ion. In our experiments it disappeared rapidly from the mass spectrum. The A.P.'s of  $V_4O_{10}^+$  and  $V_4O_8^+$  are in agreement with those of Farber, *et al.* The ions  $V_6O_{14}^+$  and  $V_6O_{12}^+$  were characterized by Berkowitz, Chupka, and Inghram as being parent ions, but we did not find them. All remaining vanadium oxide ions must be fragments.

Turn now to the ternary ions. The low A.P. and high intensity of  $VW_2O_8^+$  suggest that it is a parent ion. The ion  $V_2W_2O_{10}^+$  is the most intense ternary ion, has a reasonably low A.P., has a temperature coefficient not matched by another ion, and is taken to be a parent ion. The low A.P.'s and unique temperature coefficients of  $VW_3O_{11}^+$ ,  $V_3WO_{10}^+$ , and  $V_3W_2O_{13}^+$  suggest that they are probably parent ions. The last of these is the parent in one proposed metastable decomposition and could not have been a fragment from a larger one except by loss of the unlikely neutral  $VO_3$ .

The ion  $V_2W_2O_{11}^+$  is shown to be a fragment in the proposed metastable decomposition reaction from  $V_3W_2O_{13}^+$  with which it has the same temperature coefficient. Also  $V_2WO_8^+$ ,  $V_2WO_7^+$ , and  $V_2WO_6^+$  have the same temperature coefficients and are fragments from  $V_3W_2O_{13}^+$  or  $V_2W_2O_{11}^+$ . The low A.P. of  $V_2W_2O_{11}^+$  suggests that it is a parent ion; hence some uncertainty exists on this point.

The ion  $V_2W_2O_9^+$  is indicated by its high appearance potential to be a fragment, and the agreement of its temperature coefficient with that of  $V_2W_2O_{10}^+$  indicates the latter to be its parent. The ions  $V_2W_3O_{13}^+$  and  $V_2W_3O_{12}^+$  have the same temperature coefficient, and are probably both fragments of the ion  $V_2W_3O_{14}^+$ .

No ion containing five vanadium atoms was observed, but their absence cannot be certain because  $V_5WO_{13}^+$  would overlap the ion  $V_2W_2O_{11}^+$  in the spectrum; the ion  $V_5WO_{14}^+$  would be completely masked by the metastable ion at  $m^* = 664.37$ ; and  $V_5WO_{15}^+$  would be masked by  $W_3O_8^+$ . These ions are vanadium-rich and probably, if present, would have disappeared during the early stages of the vaporization.

The ions,  $VWO_5^+$ ,  $VWO_4^+$ ,  $VWO_3^+$  have the same temperature coefficients as  $VW_2O_8^+$  and they, and presumably  $VWO_2^+$ , are its fragments. No isomolecular exchange reactions involving unambiguously deduced gaseous molecules could be written and hence no thermodynamic results could be obtained.

## Discussion

The present mass spectrometric studies indicate the existence of many previously unknown high molecular weight ternary ions and several metastable decomposition reactions arising in this very complicated chemical system. From their masses and isotopic distributions, the many ions have been identified; and from relative ion in-

intensities, appearance potential measurements, and the assignment of metastable decomposition reactions, the parent and fragment ions have been deduced. The 17 neutral species found in this system are shown in Table IV in bold-faced type. The hexamer of  $WO_3$  has been observed for the first time. Eight ternary molecules all of low abundance are believed to exist. To our knowledge the metastable decomposition reactions of the doubly charged tungsten oxide ions are the first to be observed in a high-temperature system.

High molecular weight vanadium-rich species are present during the initial stages of vaporization of mixtures of  $V_2O_5$  and  $WO_3$ , but quickly disappear as the composition moves into the two-phase  $VO_2$ - $WO_2$  solid solution plus  $WO_3$  region. The partial pressures of all remaining species, except  $V_4O_{10}^+$ ,  $V_4WO_{11}^+$ ,  $O_2^+$ , and  $O^+$ , increase with increasing temperature until the residue enters the  $VO_2$ - $WO_2$  solid solution region. At this point, corresponding to loss of  $WO_3$  from the sample, there is a reduction in all ion intensities. Thermodynamic data have not been obtained; indeed, only the salient features of this system have been established.

The neutral molecules are nearly all fully oxidized,  $V_2W_2O_{10}$  being the only one potentially capable of accepting an additional oxygen atom. Of course, the chemical system was deliberately chosen to be oxygen-rich so as to enhance the presence of the ternary molecules. Two of the ternary molecules  $V_4W_2O_{16}$  and  $V_2W_3O_{14}$  may be considered as compounds of  $V_2O_5$  and  $WO_3$ ; the others as compounds of  $VO_2$ ,  $V_2O_5$ , and  $WO_3$ .

The principal unresolved problems within the scope of the present work relate to the identities of the neutral molecules giving rise to  $V_4O_8^+$ ,  $V_4WO_{11}^+$ ,  $V_4WO_{12}^+$ , and  $V_4WO_{13}^+$  and to the temperature coefficient of one metastable decomposition.

Other techniques that could be employed to establish the neutral precursors of the above ions as well as to confirm identities are: (a) use of different V-W-O compositions; (b) use of a monoenergetic electron beam to examine ionization onset curves; (c) measurement of ion excess kinetic energies; and (d) use of time-of-flight to determine the velocity distribution and hence the molecular weight of the neutrals prior to their being ionized. This last technique is attractive for those systems where the appearance potential of an ion from direct ionization of a parent and from fragmentation of a larger molecule are similar, as is often the case when a material vaporizes to give polymeric species.

The temperature coefficient of the metastable at  $m^* = 572.5$  amu ( $148 \pm 5$  kcal/mol) does not agree with the temperature coefficient of the fragment or parent ion (both  $55 \pm 3$  kcal/mol). Possibly a doubly charged ion with the formula  $W_5O_{15}^{2+}$  ( $m/e = 572$ ), whose temperature coefficient is expected to be *ca.* 160 kcal/mol, may also be contributing, but discrete peaks could not be discerned from the diffuse metastable peak. This metastable peak does not arise from the decomposition of Mo-O or Mo-W-O species.

Outside the scope of the present work but related to it are studies of the thermodynamic properties of the neutral molecules, the thermodynamic properties of the condensed phases, the structures of the complicated molecules, the degrees of fragmentation of the molecules, especially the tungsten oxides, the energies and rates of the several metastable decompositions, and the chemistry over the less oxygen-rich regions of the system.

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# Identification and Dissociation Energy of Gaseous Hafnium Mononitride

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The hafnium nitride molecule (HfN) has been identified by molecular beam mass spectrometry in the vapor phase at temperatures above 2800 K. The enthalpy of the reaction  $\text{HfN}(\text{g}) = \text{Hf}(\text{g}) + 0.5\text{N}_2(\text{g})$  was determined as  $\Delta H^\circ_0 = 60.5 \pm 30 \text{ kJ mol}^{-1}$  and combined with the dissociation energy of  $\text{N}_2(\text{g})$  to yield the dissociation energy of HfN(g) of  $D^\circ_0 = 531 \pm 30 \text{ kJ mol}^{-1}$ .

## Introduction

The dissociation energies of the diatomic metal nitride molecules TiN,<sup>1</sup> VN,<sup>2</sup> ZrN,<sup>3</sup> CeN,<sup>4</sup> ThN,<sup>5</sup> and UN<sup>6</sup> have been determined in the last several years by the technique of high-temperature molecular beam mass spectrometry. Although the HfN molecule has not been observed, bond energy calculations<sup>5,7</sup> based on the dissociation energies of ZrN, ThN, and UN predict that it should be one of the most stable diatomic transition metal nitrides. In the present study, we have identified gaseous HfN and determined its dissociation energy by the mass spectrometric technique.

## Experimental Procedure and Results

The experimental details and procedure used are similar to those employed in the determination of the dissociation energy of TiN.<sup>1</sup> The high-temperature Knudsen cell and double-focusing mass spectrometer system have been described in detail previously.<sup>8</sup> In the present experiment, a sample of hafnium nitride (initial stoichiometry approximately  $\text{HfN}_{1.0}$ ) was contained in a tungsten Knudsen cell. After calibration of the system by vaporization of silver from a 0.005-mm thick foil placed in the cell, the sample was heated over a period several days to temperatures above 2800 K during which large amounts of  $\text{N}_2(\text{g})$  were liberated. In addition to  $\text{N}_2^+$ , the  $\text{Hf}^+$ ,  $\text{HfN}^+$ , and  $\text{HfO}^+$  ions were identified.

The mass spectrometer was operated at a resolution of approximately 2000 (10% valley definition) which was sufficient to separate clearly the metal-containing peaks from any organic background peaks present at the same nomi-

nal  $m/e$  ratio. The ionic species were identified in the usual manner by their  $m/e$  ratio and isotopic abundance distribution. Shutter measurements established that each of the Hf-containing ions was 100% shutterable and had neutral precursors originating from the Knudsen cell. After the preliminary heating noted above, the shutterable portion of the  $\text{N}_2^+$  intensity was about 15%. The resolution of the mass spectrometer was insufficient to provide a workable separation of  $\text{HfN}^+$  from  $\text{HfO}^+$  at the same  $m/e$  peak. Therefore the  $\text{HfN}^+$  intensity was measured at  $m/e = 191$  where the interference from  $\text{HfO}^+$  due to overlapping isotopic distributions was determined to be negligible. The  $m/e$  191 peak of  $\text{HfN}^+$  ( $^{177}\text{Hf} + ^{14}\text{N}$ ) represents 18.5% of the total  $\text{HfN}^+$  intensity while the  $m/e$  191 peak of  $\text{HfO}^+$  ( $^{174}\text{Hf} + ^{17}\text{O}$ ) is less than  $10^{-4}\%$  of the total  $\text{HfO}^+$  intensity. A precise appearance potential for  $\text{HfN}^+$  was not determined because of its low intensity. A rough determination indicated that the A.P. was less than 10 eV. Ion currents, given in Table I, were measured for  $\text{N}_2^+$ ,  $\text{Hf}^+$ , and  $\text{HfN}^+$  at 2885 and 2969 K.

TABLE I: Ion Intensity<sup>a</sup> for the  $\text{N}_2^+$ ,  $\text{Hf}^+$ , and  $\text{HfN}^+$  Ions

Temperature, K	Multiplier anode current, A		
	$^{28}\text{N}_2^+$	$^{180}\text{Hf}^+$	$^{191}\text{HfN}^+$
2885	$1.42 \times 10^{-8}$	$1.01 \times 10^{-7}$	$7.46 \times 10^{-12}$
2969	$2.31 \times 10^{-8}$	$2.19 \times 10^{-7}$	$9.59 \times 10^{-12}$

<sup>a</sup> Measurements were made using 20-eV electrons at 100  $\mu\text{A}$  anode current.

TABLE II: Partial Pressures of N<sub>2</sub>, Hf, and HfN and Third-Law Enthalpies

Temp, K	Pressure, N m <sup>-2</sup>			Log K	-Δ[(G° <sub>T</sub> - H° <sub>0</sub> )/T], J K <sup>-1</sup> mol <sup>-1</sup>	ΔH° <sub>0</sub> , kJ mol <sup>-1</sup>
	N <sub>2</sub>	Hf	HfN			
2885	7.30 × 10 <sup>-1</sup>	3.85	6.59 × 10 <sup>-4</sup>	1.196	46.71	68.7
2969	1.22	8.61	8.72 × 10 <sup>-4</sup>	1.534	46.95	52.2
						Av 60.5

TABLE III: Parameters for Various Ions<sup>a</sup>

Ion	Ionization cross section, σ	Multiplier gain, γ	Intensity correction factor, E	Isotopic abundance, n
<sup>107</sup> Ag <sup>+</sup>	5.44	6.50 × 10 <sup>6</sup>	(1.0)	0.5182
<sup>28</sup> N <sub>2</sub> <sup>+</sup>	2.66	1.00 × 10 <sup>7</sup>	(2.0)	0.9927
<sup>180</sup> Hf <sup>+</sup>	7.76	(6.50 × 10 <sup>6</sup> )	(1.0)	0.3524
<sup>191</sup> HfN <sup>+</sup>	6.40	(6.50 × 10 <sup>6</sup> )	(1.0)	0.1845
	$k = 2.35 \times 10^{11} \text{ N m}^{-2} \text{ A}^{-1} \text{ K}^{-1}$			

<sup>a</sup> Values in parentheses are estimated.

### Calculations and Discussion

The measured ion currents  $I_i$  were converted to partial pressures  $P_i$ , listed in Table II, by use of the standard relation,  $P_i = kI_iTE_i/\sigma_i\gamma_i n_i$ , where  $k$  is the sensitivity constant determined by the silver calibration,  $\sigma_i$  is the relative maximum ionization cross section,  $\gamma_i$  is the multiplier gain,  $n_i$  is the fractional isotopic abundance, and  $E_i$  is a dimensionless factor to correct ion intensities measured at a particular ionizing electron energy to the maximum of the ionization efficiency curve. Relative maximum atomic ionization cross sections were taken from the work of Mann.<sup>9</sup> The cross section of N<sub>2</sub> was obtained by multiplying Mann's value for nitrogen by the experimentally determined factor  $\sigma_{N_2}/\sigma_N = 1.93$ .<sup>10</sup> The cross section for HfN was estimated by multiplying the sum of atomic cross sections by an empirical factor of 0.7.<sup>11</sup> It was assumed that the multiplier gains for Ag<sup>+</sup>, Hf<sup>+</sup>, and HfN<sup>+</sup> were identical. The values of  $k$ ,  $\sigma$ ,  $\gamma$ ,  $E$ , and  $n$  used are given in Table III.

From the partial pressures of N<sub>2</sub>, Hf, and HfN the third-law enthalpy  $\Delta H^\circ_0$  for the reaction



was calculated according to the relation  $\Delta H^\circ_0 = -RT \ln K - T\Delta[(G^\circ_T - H^\circ_0)/T]$  where  $K = (P_{\text{Hf}})(P_{\text{N}_2})^{1/2} / (P_{\text{HfN}})^{-1}$  is the equilibrium constant and  $\Delta[(G^\circ_T - H^\circ_0)/T]$  is the change of the Gibbs free-energy function for the reaction. The results are given in Table II. Taking into account the estimated errors in pressure determinations and in the molecular parameters, an overall uncertainty of ±30 kJ is obtained for the enthalpy of reaction 1.

Values of the free-energy functions for N<sub>2</sub>(g) were taken from the JANAF tables<sup>12</sup> and those for Hf(g) from Hultgren's compilation.<sup>13</sup> The free-energy functions for HfN(g) were calculated on the basis of estimated molecular parameters. The ground state was taken as <sup>2</sup>Σ, analogous to TiN(g).<sup>14</sup> An internuclear distance of 1.80 Å was used along with a fundamental vibrational frequency of 820 cm<sup>-1</sup> and an anharmonicity constant of 5 cm<sup>-1</sup>. The  $-[(G^\circ_T - H^\circ_0)/T]$  values in J K<sup>-1</sup> mol<sup>-1</sup> calculated for HfN(g) are 285.81 at 2800 K, 287.08 at 2900 K, and 288.31 at 3000 K.

The  $\Delta H^\circ_0$  of 60.5 ± 30 kJ mol<sup>-1</sup> for reaction 1 was combined with the dissociation energy<sup>12</sup> of N<sub>2</sub>,  $D^\circ_0 = 941.4 \pm 8.4$  kJ mol<sup>-1</sup>, to obtain the dissociation energy of gaseous HfN as  $D^\circ_0 = 531 \pm 30$  kJ mol<sup>-1</sup> or  $D^\circ_{298} = 535 \pm 30$  kJ mol<sup>-1</sup>. The heat of formation  $\Delta H^\circ_{f,298}$  of HfN(g) was found to be 555 ± 30 kJ mol<sup>-1</sup> using the heat of vaporization<sup>13</sup> of Hf(g),  $\Delta H^\circ_{298,\text{vap}} = 619.2 \pm 4.2$  kJ mol<sup>-1</sup>, and the enthalpy of reaction 1.

Gingerich<sup>5,7</sup> has employed the empirical  $\alpha$ -parameter method of Colin and Goldfinger<sup>15</sup> to predict a value of  $D^\circ_{298}[\text{HfN(g)}] = 590 \pm 42$  kJ mol<sup>-1</sup>. This value was obtained using an average value of  $\alpha = 0.5\Delta H^\circ_{\text{atomization}}[\text{MN(s)}]/D^\circ_{298}[\text{MN(g)}] = 1.24$  derived from the experimentally determined dissociation and atomization energies of solid and gaseous ZrN, ThN, and UN. By deriving an alternate value of  $\alpha = 1.32$  based on the dissociation and atomization energies of only the group IV transition metal nitrides TiN<sup>1</sup> and ZrN,<sup>3</sup> we obtain a predicted value of  $D^\circ_{298}[\text{HfN(g)}] = 553 \pm 42$  kJ mol<sup>-1</sup> using an atomization energy<sup>5</sup> of HfN(s) of 1461 kJ mol<sup>-1</sup>. This predicted value of  $D^\circ_{298}$  is in reasonable agreement with the experimental determination of 535 ± 30 kJ mol<sup>-1</sup> and reaffirms the usefulness of the  $\alpha$ -parameter method to predict dissociation energies if  $\alpha$  is obtained from molecules of similar electronic structure.

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## Vapor Pressure Measurements of 4,4'-Dimethoxyazoxybenzene

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The liquid crystal 4,4'-dimethoxyazoxybenzene (*p*-azoxyanisole, PAA) has long been used as a stationary phase in chromatography. During some recent studies on the effect of chromatographic support material on the properties of that stationary phase, the PAA was found to strip from the support. Subsequent measurements of the vapor pressure of PAA using an isoteniscope in the 122–145° temperature range yielded significant values ranging from 0.48 to 2.05 mm. The implications of these measurements on chromatographic data are discussed in this paper. Other preliminary results indicate that liquid crystals similar in structure to PAA (different only by the length of the alkyl side chains) also have appreciable vapor pressures.

Liquid crystals are popular stationary phases in chromatography, especially in the separation of ortho, meta, and para isomers. The liquid crystal 4,4'-dimethoxyazoxybenzene (*p*-azoxyanisole, PAA) has long been used as such a stationary phase.<sup>1-10</sup> In addition, chromatographic systems are used to measure thermodynamic parameters of liquid crystals in general and of PAA<sup>1,2,6,11,12</sup> in particular.

Due to some recent studies in our laboratory on the behavior of PAA as a stationary phase in capillary columns,<sup>10</sup> it was decided to investigate the effect of the support material on the properties of PAA as a stationary phase. A study of the behavior of PAA coated on glass beads in one case and on Chromosorb W in another was attempted. In both cases, reproducible results could not be obtained. When the chromatographic columns were unpacked it was observed that the packing (*i.e.*, glass beads or Chromosorb W) closer to the column inlet was stripped of the PAA. After the columns were unpacked, their inner walls were washed with CH<sub>2</sub>Cl<sub>2</sub>. From the color of the solution, it was obvious that some of the PAA adhered and coated the tubing wall. It became obvious then that PAA had an appreciable vapor pressure when in its nematic and isotropic range. A literature survey revealed that in general no work had been done on the measurement of vapor pressure of liquid crystals with the exception of one paper published in 1939 by Neumann.<sup>13</sup> This is somewhat surprising since the vapor pressure can be related to intermolecular forces.

If indeed PAA has an appreciable vapor pressure, then some of its thermodynamic properties which were obtained from gas chromatographic studies can be in doubt. This communication describes the measurement and the magnitude of the vapor pressure of PAA.

### Experimental Section

**Instrumentation.** Vapor pressure measurements were done with a modified isoteniscope shown in Figure 1. The U tube (A) of the isoteniscope was filled with Hg. The PAA was placed in an evacuated sample bulb B. The isoteniscope was placed in an oil bath and heated with an immersion heater controlled with a 110-V ac variac. The oil bath was stirred with an air-driven stirrer. Readings of the difference in the mercury level in the isoteniscope were done with a telescope attached to a graduated meter pole.

**Reagents.** The PAA was purchased from Eastman Kodak. It was purified by three consecutive recrystallizations from hot 95% ethanol. The dried crystals were then sublimed *in vacuo* onto a cold finger.

**Procedure.** For the vapor pressure measurements, approximately 0.10 g of the sublimed PAA was put into the sample bulb which was then sealed. Mercury was introduced through the stopcock into its reservoir C. The assembly was connected to a high vacuum line, equipped with a mercury diffusion pump, and evacuated for 1 hr. At the same time the mercury was heated with a heat gun to degas it completely. The stopcock was then closed and the mercury poured from its reservoir into the U-tube of the isoteniscope. The isoteniscope was then lowered into the oil bath.

An equilibration period of at least 30 min was allowed between runs at two different temperatures. After the 30 min the vapor pressure was obtained every 15 min until it remained constant. The actual reading of the vapor pressure was obtained from the difference in the mercury levels in the isoteniscope's U-tube.

### Results and Discussion

**Vapor Pressure Measurements.** Initially we introduced into the measuring device PAA which was recrystallized from hot 95% ethanol. The vapor pressures obtained were much higher than expected. In addition, when the isoteniscope was lowered back to room temperature, some final pressure was obtained. This indicated that perhaps the PAA was not completely pure and some other compound exerted its own pressure in addition to that of PAA. It was thought that perhaps some ethanol molecules were hydrogen bonded to the azoxy part of PAA. However, an infrared spectrum of the recrystallized material failed to reveal any ethanol molecules (at least not to a large extent). Reevacuating the system still failed to yield reproducible results, although it was noticed that the pressures obtained at this point were lower than in the previous experiment. It was decided to sublime the PAA under vacuum at 150°. The PAA thusly purified gave consistent readings. Also after heating the PAA from room temperature to 148° and cooling back down to ambient, no residual vapor pressure could be noticed. It should be mentioned perhaps that purifying PAA is not a trivial problem and recrystal-

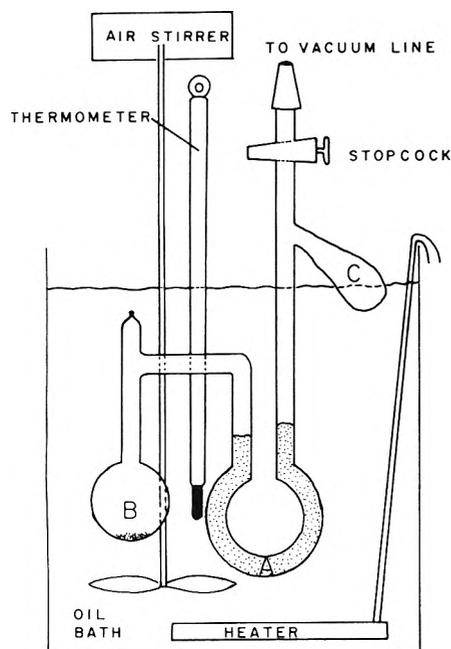


Figure 1. The isoteniscope arrangement.

TABLE I: PAA Vapor Pressure as a Function of the Temperature

Temp, °C	Vapor pressure, mm	Temp, °C	Vapor pressure, mm
122.0	0.48	132.2	1.25
124.4	0.75	134.2	1.40
126.1	0.80	136.6	1.50
126.9	0.85	138.4	1.65
129.0	0.95	140.4	1.80
130.6	1.15	144.5	2.05

lization alone is not sufficient. Hsu and Johnson,<sup>14</sup> for example, purified PAA with 30 passes of a zone refiner.

The data obtained are given in Table I. The vapor pressure values were rounded up to the nearest 0.05 mm. Below 122° the vapor pressure increased slightly and insignificantly. We did not include these values in Table I due to the large error associated with the measurement at that range of pressure. Between 122 and 124° the pressure increased drastically. The vapor pressure values given in Table I are accurate to within 5%. This is due partially to errors in reading the telescope ( $\pm 0.025$  mm) and partially to errors inherent in the method of measurement itself as recently discussed by Carruth and Kobayashi.<sup>15</sup> We did not correct the values obtained for changes in the density of the mercury.

Figure 2 shows a plot of  $\ln(\text{vapor pressure})$  vs.  $1/T^\circ\text{K}$  for all the points except that at 122°. The straight line was least-squares fitted to the data. The coefficients of the equation relating the vapor pressure to the temperature were found to be

$$\ln P = 22.0 - (8870/T) \quad (1)$$

The slope of the line is  $-8870$ . The absolute value of the correlation coefficient is 0.9894 which indicates a 99% confidence level of a linear relation between the two parameters plotted. It must be stressed that we did not use a weighted least-squares scheme as we did not know the measurements' error dependence on the temperature. From the slope of the line the heat of vaporization,  $\Delta H_V$ ,

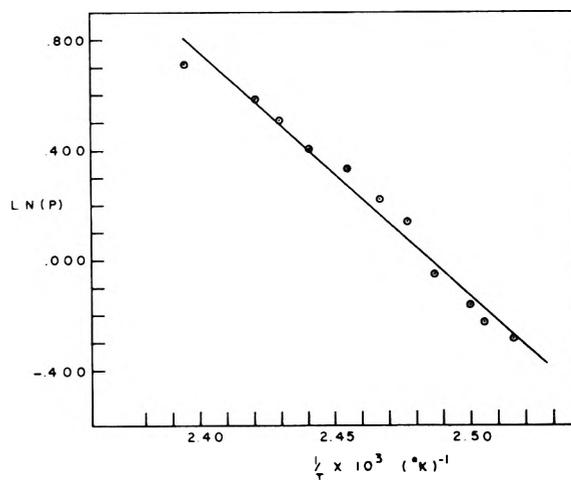


Figure 2. Dependence of the vapor pressure on the temperature.

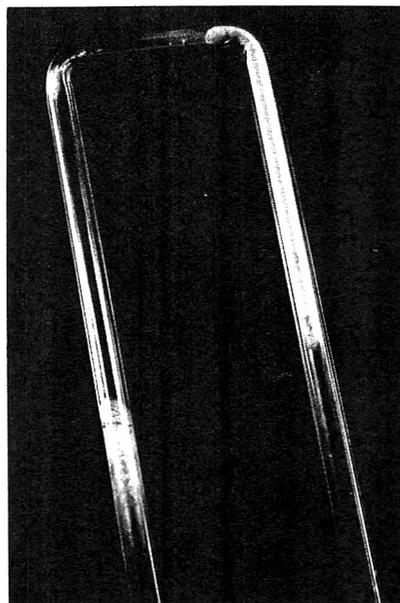


Figure 3. The behavior of PAA in the glass U-tube.

of PAA was estimated to be 17.8 kcal/mol. This value might, at first, seem rather high. If, however, one uses the "Hildebrand rule"<sup>16</sup> the heat of vaporization of model compounds such as azobenzene is about 16.8 kcal/mol, which is not far from the value for PAA. The Hildebrand relationship cannot be used directly for PAA since this molecule is polar and since its boiling point is not reported (most likely it decomposes). Nonetheless, the value of 17.8 kcal/mol seems reasonable for the heat of vaporization of PAA.

Around the clarification point the slope of the line should change and the difference between the two  $\Delta H_V$  should give the heat of transition which is about 0.17 kcal/mol for PAA.<sup>8</sup> Such a small change in the slope of the line would not be easily detectable. In Figure 2 one could fit a straight line to the first six points (up to 132.2°) and to the last five points. However, the difference in the slopes yields a transition heat of several kcal. We, consequently, do not feel that the data represented in Figure 2 can be broken up into two groups. In order to obtain the heat of transition a much more accurate and sophisti-

cated method of measurement of vapor pressure should be used. Such a method is currently not within our capabilities. Our vapor pressure data are significant mostly because they are the only values available to date.

**Chromatographic Behavior of PAA.** To visually observe the stripping of PAA of the chromatographic packing, one arm of a glass U-tube was filled with Chromosorb W coated with 15% w/w PAA. The U-tube was placed in an oil bath maintained at  $129 \pm 1^\circ$ . One arm of the U-tube was connected to a helium tank. The flow rate of the He was about 35 cc/min. After 24 hr, about 0.5 in. of the Chromosorb next to the helium inlet was completely white (the coated support had a light yellow color). PAA crystals were condensing on the glass wall of the other arm of the U-tube immediately above the surface of the oil. This region of PAA accumulation is clearly visible in Figure 3 which is the photograph of the U-tube. Unfortunately, the color contrast of the pure white and the yellowish support is not very distinguishable in the black and white photograph.

From a chromatographic point of view this observation has an important implication. Columns with PAA as the stationary phase on solid support could bleed and thus would, as we observed, cause the column to deteriorate with time. In a recent study we investigated PAA as a stationary phase in stainless steel capillary columns.<sup>10</sup> In that case, however, we did not have any difficulties with data reproduction. Perhaps the stainless steel walls with their high-energy surface can "anchor" the PAA molecule more firmly than Chromosorb W or glass beads. This point should be investigated further.

We recently repeated the U-tube experiment with 4,4'-bis(hexyloxy)azoxybenzene (BHAB). Again, we observed a noticeable stripping of this mesophase. This indicates that the vapor pressure of the BHAB is also high. We did not, as of yet, measure that vapor pressure.

In summary, PAA has an appreciable vapor pressure in the nematic and isotropic range. Unlike the results of Neumann<sup>13</sup> for *p*-azoxyethylbenzoate, the vapor pressure of PAA is not constant through the mesophase region. This liquid crystal is not a viable stationary phase in chromatography and some of the measurements done on it should be reexamined. Indications are that other liquid crystals of the same family also have high vapor pressures.

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# Heat Capacities and Fusion Entropies of the Tetrahydrates of Calcium Nitrate, Cadmium Nitrate, and Magnesium Acetate. Concordance of Calorimetric and Relaxational "Ideal" Glass Transition Temperatures

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The latent heat of fusion and of a solid-state transition and the heat capacities of crystalline, liquid, and vitreous  $\text{Ca}(\text{NO}_3)_2 \cdot 4\text{H}_2\text{O}$ ,  $\text{Cd}(\text{NO}_3)_2 \cdot 4\text{H}_2\text{O}$ , and  $\text{Mg}(\text{OAc})_2 \cdot 4\text{H}_2\text{O}$  have been determined by differential scanning calorimetry. In  $\text{Ca}(\text{NO}_3)_2 \cdot 4\text{H}_2\text{O}$  and  $\text{Cd}(\text{NO}_3)_2 \cdot 4\text{H}_2\text{O}$  over 80% of the entropy of fusion has been lost before the state of the supercooled liquid is frozen in at the glass transition. By contrast, only 30% is lost in the case of  $\text{Mg}(\text{OAc})_2 \cdot 4\text{H}_2\text{O}$ , a substance which is unusual in several respects. The ratio  $T_g/T_0$ , 1.07, is unusually small among glass-forming liquids for the calcium and cadmium hydrates, implying that under hypothetical equilibrium conditions the change of heat capacity cannot be much less sharp than that observed at the experimental glass transition. The  $T_g/T_0$  ratio for the  $\text{Mg}(\text{OAc})_2 \cdot 4\text{H}_2\text{O}$  system, however, is approximately 1.30, a value commonly quoted for organic liquids and polymers. The data have been used to estimate "ideal glass" temperatures,  $T_0(\text{cal})$ , at which  $S(\text{internally equilibrated liquid}) = S(\text{crystal})$ .  $T_0(\text{cal})$  is found to be  $200 \pm 4$  K for  $\text{Ca}(\text{NO}_3)_2 \cdot 4\text{H}_2\text{O}$  and  $198 \pm 4$  K for  $\text{Cd}(\text{NO}_3)_2 \cdot 4\text{H}_2\text{O}$ , in good agreement with the respective  $T_0(\text{transport})$  values of  $202 \pm 3$  K and  $194 \pm 3$  K salts obtained from analysis of the temperature dependence of liquid mass transport processes.

## Introduction

In recent years the physicochemical properties of molten calcium nitrate tetrahydrate have been the subject of a number of investigations. These include studies of conductance,<sup>1-3</sup> shear viscosity,<sup>3</sup> impurity ion self-diffusion coefficients,<sup>4</sup> bulk viscosity,<sup>5</sup> and glass transition temperature.<sup>6</sup> Much of the interest in calcium nitrate tetrahydrate has been motivated by the relative ease with which it can be cooled below its melting point of  $42.5^\circ$  and by the fact that it can be studied in the vitreous state for the case of small samples rapidly cooled. The glass transition temperature, according to dta studies, falls at 217 K.<sup>6</sup>

As is commonly found in the case of easily supercooled liquids, the mass transport properties near and below the melting point do not follow the familiar Arrhenius equation, but rather are well described by the empirical Vogel-Tammann-Fulcher (VTF) equation<sup>7</sup>

$$W(T) = AT^{-1/2} \exp(-B/T - T_0) \quad (1)$$

where  $W(T)$  is the transport property of interest, *e.g.*, conductance or fluidity and  $A$ ,  $B$ , and  $T_0$  are constants.  $T_0$ , which has the dimensions of temperature, replaces  $0^\circ\text{K}$  in the Arrhenius equation as the temperature of vanishing ionic mobility and has been called an "ideal" glass transition temperature by various authors.

Several theories for eq 1<sup>8-11</sup> attribute thermodynamic significance to  $T_0$  suggesting that if the liquid could be cooled to this temperature while in a state of internal equilibrium, the magnitude of some thermodynamic quantity which determines the state of the liquid would fall to zero. In the most plausible of such theories, due to Adam and Gibbs,<sup>10</sup> the thermodynamic quantity which vanishes at  $T_0$  is the configurational part of the total liquid entropy. In accord with such interpretations,  $T_0$  for  $\text{Ca}(\text{NO}_3)_2 \cdot 4\text{H}_2\text{O}$  has been found to have a value almost independent of the transport process studied. A  $T_0$  value

of 201 K is found from conductance measurements in the temperature range  $0-70^\circ$ , while shear viscosity studies yield a slightly higher value of 205 K.<sup>3</sup> Either value is consistent with data on impurity ion self-diffusion coefficients<sup>4</sup> and bulk viscosity.<sup>5</sup>

Unfortunately, this appealing interpretation of eq 1 is clouded by the recent findings of Ambrus, Moynihan, and Macedo.<sup>12</sup> These authors demonstrated that, when similar measurements are performed at lower temperatures and analyzed according to eq 1, not only do the best-fit values of  $T_0$  fall systematically below those previously obtained, but the  $T_0$  values for different transport processes are no longer in agreement. These discrepancies become greater the lower the temperature range of the data considered. Clearly these results raise doubt about the significance of the process-independent  $T_0$  values obtained in the higher temperature region.

The object of the present work was to determine whether the latter  $T_0$  values could be substantiated by some direct thermodynamic measurement. For the case of congruent melting compounds such as  $\text{Ca}(\text{NO}_3)_2 \cdot 4\text{H}_2\text{O}$ , a calorimetric method may be used to estimate the temperature at which the excess entropy of the liquid would vanish and hence to determine directly a value of  $T_0$  which is consistent with the Adams and Gibbs interpretation of the parameter in eq 1.

The method of estimation, which is described in detail elsewhere,<sup>13</sup> is based on Kauzmann's original observation that liquids, by virtue of their larger heat capacities, lose more entropy than corresponding crystals when both are cooled over the same temperature interval. Since the entropy of the liquid at the equilibrium melting point only exceeds that of the solid by the entropy of fusion,  $\Delta S_F$ , a liquid can evidently only be supercooled a limited number of degrees, *i.e.*, to a temperature  $T_0$ , before its total entropy falls to that of the crystal. To avoid conflict with the

second and third laws of thermodynamics, the heat capacity of the internally equilibrated but disordered liquid must therefore decrease to a value near that of the crystal at  $T_0$  if not before.  $T_0$  will, of necessity, fall below the experimental, cooling rate dependent, temperature  $T_g$  at which the liquid falls out of internal equilibrium. It is for this reason that estimation of  $T_0$  requires an extrapolation of the measured equilibrium heat capacity of the super-cooled liquid; for the  $\text{Ca}(\text{NO}_3)_2 \cdot 4\text{H}_2\text{O}$  and  $\text{Cd}(\text{NO}_3)_2 \cdot 4\text{H}_2\text{O}$  cases the extrapolation is a very short one.

The inclusion of  $\text{Cd}(\text{NO}_3)_2 \cdot 4\text{H}_2\text{O}$  in this study was motivated by its structural similarity to the calcium nitrate hydrate and the availability of some transport property-based  $T_0$  values<sup>14</sup> with which calorimetric  $T_0$  values could be compared.

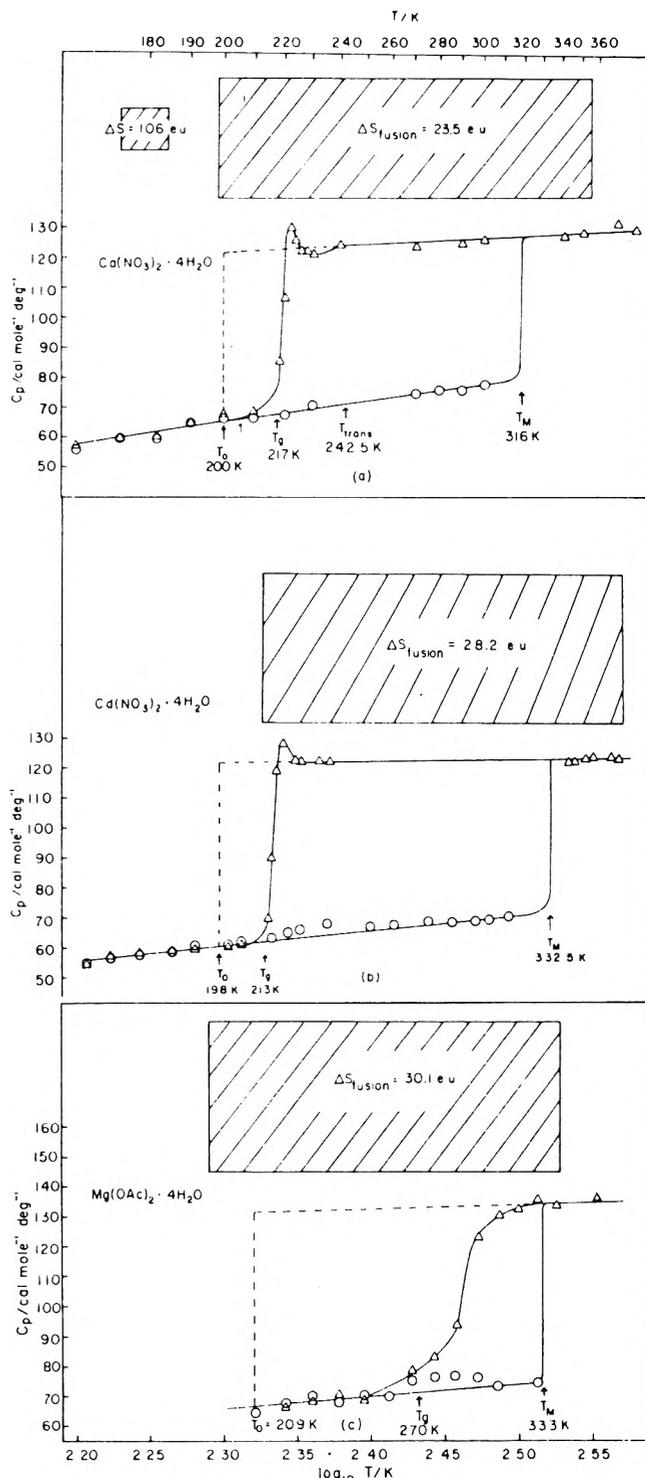
$\text{Mg}(\text{CH}_3\text{CO}_2)_2 \cdot 4\text{H}_2\text{O}$  (hereafter abbreviated as  $\text{Mg}(\text{OAc})_2 \cdot 4\text{H}_2\text{O}$ ) was included because of its similar stoichiometry but much higher glass transition temperature<sup>15</sup> and its unusual appearance and rheological character near  $T_g$ . In this case, unfortunately, no mass transport measurements have yet been made.

**Experimental Section**

Reagent grade calcium nitrate tetrahydrate, cadmium nitrate tetrahydrate, and magnesium acetate tetrahydrate (Mallinckrodt Chemical Works) were used without further purification. The water content of the calcium and cadmium hydrate salts was determined by vacuum dehydration at 140° to constant weight; the calcium nitrate tetrahydrate gave a moles of  $\text{H}_2\text{O}$ :moles of  $\text{Ca}(\text{NO}_3)_2$  ratio of  $4.05 \pm 0.01$ ; the cadmium nitrate tetrahydrate gave a moles of  $\text{H}_2\text{O}$ :moles of  $\text{Cd}(\text{NO}_3)_2$  ratio of  $4.03 \pm 0.01$ . The water content of magnesium acetate tetrahydrate, determined by Karl Fischer titration, was found to give a moles of  $\text{H}_2\text{O}$ :moles of  $\text{Mg}(\text{OAc})_2$  ratio of  $3.88 \pm 0.06$ .

The specific heats of the liquid, glass, and crystal samples of  $\text{Ca}(\text{NO}_3)_2 \cdot 4.05\text{H}_2\text{O}$  and  $\text{Mg}(\text{OAc})_2 \cdot 3.88\text{H}_2\text{O}$  were determined using a Perkin-Elmer Model DSC-1b differential scanning calorimeter. This instrument was also used to determine the heats of a solid-state transition and of fusion of  $\text{Ca}(\text{NO}_3)_2 \cdot 4.05\text{H}_2\text{O}$  and the heat of fusion of  $\text{Mg}(\text{OAc})_2 \cdot 3.88\text{H}_2\text{O}$  using the heat of transition of dried crystalline ammonium chloride (Baker Reagent grade) and the heat of fusion of dried indium powder (Roclric 325 mesh 99.999+ % pure). The heat of solid-state transition and the heat of fusion for these salts based on the two alternative standards agreed to within  $\pm 1\%$ . The stated accuracy of the instrument is  $\pm 4\%$  for heat capacity measurements and  $\pm 1\%$  for heats of transition, which conform with our experience in its use. Using a scan speed of  $10 \text{ deg min}^{-1}$   $\text{Ca}(\text{NO}_3)_2 \cdot 4.05\text{H}_2\text{O}$  began to fuse at  $38^\circ$  and was completely melted at  $44^\circ$ , and the  $\text{Mg}(\text{OAc})_2 \cdot 3.88\text{H}_2\text{O}$  began to fuse at  $60^\circ$  and was completely melted at  $70^\circ$ . However, using a scan speed of  $0.625 \text{ deg min}^{-1}$  where the samples remain in continuous internal equilibrium, the melting range was reduced to  $41.5\text{--}43.5^\circ$  for  $\text{Ca}(\text{NO}_3)_2 \cdot 4.05\text{H}_2\text{O}$  and  $62\text{--}67^\circ$  for  $\text{Mg}(\text{OAc})_2 \cdot 3.88\text{H}_2\text{O}$ . Scan speed did not affect the values obtained for heats of transition within our accuracy limits.

To confirm that small departures from stoichiometric water contents of these hydrates did not lead to data inaccuracies greater than those arising from instrumental sources, 0.05 mole of water was removed from  $\text{Ca}(\text{NO}_3)_2 \cdot 4.05\text{H}_2\text{O}$  by careful vacuum dehydration, and the heat of fusion was redetermined. From our data on four separate samples, the heats of fusion of stoichiometric and



**Figure 1.** Heat capacities of crystal, glass, and liquid states of (a)  $\text{Ca}(\text{NO}_3)_2 \cdot 4\text{H}_2\text{O}$ , (b)  $\text{Cd}(\text{NO}_3)_2 \cdot 4\text{H}_2\text{O}$ , and (c)  $\text{Mg}(\text{OAc})_2 \cdot 4\text{H}_2\text{O}$  plotted vs.  $\log T$ . Also shown in the figures are areas equivalent to the entropies of fusion and solid-state transition of the respective compounds, and the temperature  $T_0$  (cal) which satisfies the condition  $\Delta S_F + \Delta S_{tr} = \int (T_0/T_F) C_p(\text{liq}) - C_p(\text{cryst}) d \log T$ .

bottle salts ( $\text{Ca}(\text{NO}_3)_2 \cdot 4.05\text{H}_2\text{O}$ ) are the same within  $\pm 1\%$ .<sup>16</sup> The "extra" water content thus does not affect the values of the heats of fusion within our accuracy limits.

The specific heats of the liquid, glass, and crystal samples of  $\text{Cd}(\text{NO}_3)_2 \cdot 4.03\text{H}_2\text{O}$  were determined using the

TABLE I

T, K	$C_p$ , cal mol <sup>-1</sup> deg <sup>-1</sup>								
	Ca(NO <sub>3</sub> ) <sub>2</sub> ·4H <sub>2</sub> O			Cd(NO <sub>3</sub> ) <sub>2</sub> ·4H <sub>2</sub> O			Mg(OAc) <sub>2</sub> ·4H <sub>2</sub> O		
	Crystal	Glass	Liquid	Crystal	Glass	Liquid	Crystal	Glass	Liquid
140	48.7	54.0							
160	55.9	57.9		55.5	55.4				
180	59.4	60.2		58.8	59.0				
200	67.5	67.1	106.3	61.5	60.1		63.8	64.6	
220			130.8	64.5		128.6	67.1	65.4	
240	72.5		124.2	67.5		121.6	67.7	70.4	
260	71.5		124.2	69.0			69.6	69.6	
280	75.6		123.4	69.1			76.4		83.5
300	77.5		126.0	69.4			76.4		123.8
320			126.0	73.5			74.0		133.8
340			126.2			122.0			135.4
360			126.3			123.5			140.4
380			126.4			124.7			

much improved Perkin-Elmer Model DSC-2 differential scanning calorimeter. The DSC-2 is equipped with a Hewlett-Packard Model 463A precision amplifier and a Prince Applied Research Model 260 analog-digital converter, such that the data are collected on punch paper tape and the specific heat is calculated by computer. The heat of fusion of Cd(NO<sub>3</sub>)<sub>2</sub>·4.03H<sub>2</sub>O was measured using the DSC-2 and an indium standard as for the Ca(NO<sub>3</sub>)<sub>2</sub>·4.05H<sub>2</sub>O and Mg(OAc)<sub>2</sub>·3.88H<sub>2</sub>O systems. The Cd(NO<sub>3</sub>)<sub>2</sub>·4.03H<sub>2</sub>O sample began to fuse at 58.0° and was completely melted at 60.0° using a scan speed of 0.625 deg min<sup>-1</sup>.

The temperature range of both instruments was calibrated to within ±1.0° using the melting points of the following reagent grade chemicals: methanol, chloroform, mercury, water, and gallium. Throughout the specific heat measurements a scan rate of 10 deg/min was used. The specific heat values were reproducible to ±2% for the DSC-2, and the accuracy of the values is expected also to be within these limits.

## Results

$T_g$ , defined as the temperature at which  $C_p$  abruptly begins to increase above the crystalline value, is found to be 217 K for Ca(NO<sub>3</sub>)<sub>2</sub>·4H<sub>2</sub>O, and 213 K for Cd(NO<sub>3</sub>)<sub>2</sub>·4H<sub>2</sub>O, both values being within the ±1° uncertainty of earlier dta measurements.<sup>6,14</sup>  $T_g$  for Mg(OAc)<sub>2</sub>·4H<sub>2</sub>O is not easily assigned, owing to the rather gradual increase in  $C_p$  in the glass transition region (see Figure 1c). We have chosen  $T_g$  as the initial temperature at which  $C_p$  begins to increase, yielding a  $T_g$  of -3°, which is in accord with Sare's<sup>15</sup> dta measurement.

$C_p$  values for Ca(NO<sub>3</sub>)<sub>2</sub>·4H<sub>2</sub>O, Cd(NO<sub>3</sub>)<sub>2</sub>·4H<sub>2</sub>O, and Mg(OAc)<sub>2</sub>·4H<sub>2</sub>O are given in Table I and are plotted vs. log  $T$  in Figure 1a, b, and c, respectively.

For Ca(NO<sub>3</sub>)<sub>2</sub>·4.05H<sub>2</sub>O,  $\Delta H_{\text{trans}} = 257$  cal mol<sup>-1</sup>;  $\Delta S_{\text{trans}} = 1.06$  cal mol<sup>-1</sup> deg<sup>-1</sup>;  $T_{\text{trans}} = -30.5^\circ$ ;  $\Delta H_f = 7420$  cal mol<sup>-1</sup>;  $T_f = 42.5^\circ$ ;  $\Delta S_f = 23.5$  cal mol<sup>-1</sup> deg<sup>-1</sup>; and  $T_0(\text{cal}) = -73^\circ$ .

For Cd(NO<sub>3</sub>)<sub>2</sub>·4.03H<sub>2</sub>O,  $\Delta H_f = 9370$  cal mol<sup>-1</sup>;  $\Delta S_f = 28.2$  cal mol<sup>-1</sup> deg<sup>-1</sup>;  $T_f = 59.4^\circ$ ; and  $T_0(\text{cal}) = -75^\circ$ .

For Mg(OAc)<sub>2</sub>·3.88H<sub>2</sub>O,  $\Delta H_f = 10.08$  kcal mol<sup>-1</sup>;  $\Delta S_f = 30.1$  cal mol<sup>-1</sup> deg<sup>-1</sup>;  $T_f = 62^\circ$ ; and  $T_0(\text{cal}) = -64^\circ$ .

The only data in the literature with which the present results can be compared are two heats of fusion for Ca(NO<sub>3</sub>)<sub>2</sub>·4H<sub>2</sub>O. Livingston and coworkers<sup>17</sup> reported a value of  $H_f$  for Ca(NO<sub>3</sub>)<sub>2</sub> of 7.91 kcal mol<sup>-1</sup> in 1907. More recently, Ewing and coworkers<sup>18</sup> reported heats of solution

measurements of Ca(NO<sub>3</sub>)<sub>2</sub>, and its hydrates from which a value of  $\Delta H_f$  for Ca(NO<sub>3</sub>)<sub>2</sub>·4H<sub>2</sub>O of 7.705 kcal mol<sup>-1</sup> can be calculated, 3% larger than the present value.

## Discussion

In Figure 1,  $C_p(\text{liquid})$  is extrapolated below  $T_g$  until (at  $T_0$ ) the area between the  $C_p(\text{supercooled liquid})$  and  $C_p(\text{crystal})$  curves is equal to the area representing the entropies of the first-order transitions occurring between  $T_0$  and  $T_f$  for the respective salts.<sup>19</sup> At the temperature  $T_0$ , the entropies of the internally equilibrated supercooled liquid and the crystal phases would be the same; hence  $T_0$  is the lowest temperature at which the liquid phase of each substance could be expected to maintain its observed heat capacity. The temperature thus defined is frequently referred to as the "ideal glass transition temperature."

The value of  $T_0$  determined in Figure 1 is 200 K for Ca(NO<sub>3</sub>)<sub>2</sub>·4H<sub>2</sub>O and 198 K for Cd(NO<sub>3</sub>)<sub>2</sub>·4H<sub>2</sub>O, both with an estimated uncertainty of ±4 K due to uncertainties in heat capacities of ±5 cal mol<sup>-1</sup> deg<sup>-1</sup> and entropy of fusion of ±0.5 cal mol<sup>-1</sup> deg<sup>-1</sup>. The value of  $T_0$  determined for Mg(OAc)<sub>2</sub>·4H<sub>2</sub>O is 209 K; however, the estimated uncertainty is larger (±8 K) due to the long extrapolation of the heat capacity data and the unusual fusion behavior of the salt.

For the calcium and cadmium salts the concordance of the calorimetric value with the values obtained from transport properties (see Introduction) is clearly very satisfactory, encouraging belief that the  $T_0$  parameter obtained for transport properties measured in the first three orders of magnitude of change indeed does have thermodynamic significance. At least for the Ca(NO<sub>3</sub>)<sub>2</sub>·4H<sub>2</sub>O and Cd(NO<sub>3</sub>)<sub>2</sub>·4H<sub>2</sub>O systems, 200 and 198 K, respectively, seem established as important reference temperatures for equilibrium and relaxational properties.

The reason why eq 1 with these latter  $T_0$  values does not accurately describe data taken at lower temperatures and higher viscosities is not clear at this point. It is possibly due to a gradual change in character of the dominant transport mechanism from one in which fluctuations in configurational entropy (which determine the configurational heat capacity) determine the relaxation time to one in which the relaxation process is more solid-like in character and involves to an increasing extent successive individual ionic displacements within a temporarily rigid environment.<sup>20</sup> An alternative and more specific account is provided by the Environmental Relaxation model of Ma-

cedo and colleagues.<sup>21</sup> The failure of eq 1 to describe liquid transport data taken over extended viscosity ranges now appears to be rather general.<sup>22</sup>

Both  $\text{Ca}(\text{NO}_3)_2 \cdot 4\text{H}_2\text{O}$  and  $\text{Cd}(\text{NO}_3)_2 \cdot 4\text{H}_2\text{O}$  together with another ionic liquid,  $\text{LiOAc}$ ,<sup>23</sup> appear unusual among substances studied to date in that  $T_g/T_0$  is close to unity (actual value is 1.08 for  $\text{Ca}(\text{NO}_3)_2 \cdot 4\text{H}_2\text{O}$ , 1.07 for  $\text{Cd}(\text{NO}_3)_2 \cdot 4\text{H}_2\text{O}$ , and 1.06 in the case of  $\text{LiOAc}$ ). The  $T_g/T_0$  ratio for  $\text{Mg}(\text{OAc})_2 \cdot 4\text{H}_2\text{O}$  is 1.29, a value commonly quoted for organic molecular and polymeric liquids; indeed, near  $T_g$  this salt has peculiar, polymer-like rheological properties.

When  $T_g/T_0$  is large, and particularly when the difference between liquid and glassy heat capacities is not very great (as in the inorganic silicate glasses), there are a variety of possible courses which the equilibrium liquid heat capacity, measured in imaginary "slow" experiments, might conceivably follow below the normal glass transition temperature. In the calcium and cadmium salt cases, however, the temperature interval between  $T_g$  and  $T_0$  is so small, and the necessary drop in heat capacity so great, that even the construction adopting the most gradual possible decrease in equilibrium liquid heat capacity (at temperatures below the "observational curtain" imposed by the experimental glass transition) must still involve a very rapid decrease indeed. Presumably the identification and description of a class of substances with such sharp required fall-offs in equilibrium liquid heat capacity will be helpful in the development of equilibrium theories for liquid properties and the glass transition.

Finally, we note that both the Ca and Cd salt hydrates have lost more than 80% of the entropy of fusion by the time they have reached the temperature of the glass transition, making the ratio  $S(\text{residual})/S(\text{fusion})$  unusually small. This gives the appearance of an unusually close approach to the "ideal glass" condition,<sup>2</sup>  $S(\text{residual}) = 0$ . The fact that the configurational heat capacity ( $C_p(\text{liquid}) - C_p(\text{glass})$ ) is very large, however, means that despite the small ( $T_g - T_0$ ) interval the glasses do in fact contain considerable "frozen-in" entropy, the magnitude

of which is given by the areas in Figure 1 (a,b) between the glass transition and the  $T_0$  boundary line.

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# Formation of Positive Ions in the Reaction of Disulfides with Hydroxyl Radicals in Aqueous Solution

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Dimethyl disulfide is oxidized by hydroxyl radicals to 44% according to  $\text{CH}_3\text{SSCH}_3 + \text{OH}\cdot \rightarrow \text{CH}_3\text{SSCH}_3^+ + \text{OH}^-$ . The formation of the positive disulfide ions could be demonstrated by the pulse radiolysis conductivity method; they are relatively long lived. In neutral and slightly acid solution the positive ions decay by a second-order process with  $2k = (4.2 \pm 0.5) \times 10^9 \text{ M}^{-1} \text{ sec}^{-1}$ ; in slightly basic solution neutralization by  $\text{OH}^-$  ions is observed. Similar results were obtained with other disulfides (alkyl disulfides,  $(o\text{-HOOC}_6\text{H}_4\text{S})_2$ ,  $(o\text{-H}_2\text{NC}_6\text{H}_4\text{S})_2$ , cystin, cystamin), whose reaction with hydroxyl radicals also leads partially to the formation of positive  $\text{RSSR}^+$  ions.

## Introduction

The reduction<sup>2-10</sup> and oxidation<sup>11-14</sup> of organic disulfides have been the subject of a number of radiation chemical investigations. In the present communication, the oxidation of disulfides as studied by pulse radiolysis conductivity measurements will be described and special attention will be paid to positive sulfur ions as intermediates.

Ion-pair formation in the attack of OH on a sulfur-containing organic molecule in aqueous solution has first been described by Henglein and coworkers.<sup>15</sup> In a pulse radiolytic study (with optical detection of intermediates) of dimethyl thioether, they observed three kinds of OH reaction: (1) addition, (2) hydrogen abstraction, and (3) formation of  $\text{OH}^-$  plus a positively charged organic ion. The structure of the positive ion, which absorbed at 470 nm, was thought to be  $(\text{CH}_3\text{SCH}_3)_2^+$ .

Recent results<sup>17</sup> from conductivity measurements on various thioethers clearly confirm the existence of positive thioether ions. For the disulfides, steady-state experiments<sup>11-14</sup> and pulse radiolysis with optical detection<sup>16</sup> have not so far permitted conclusive or quantitative statements to be made on the nature of the primary oxidation products, and in particular on the existence of positive disulfide ions.

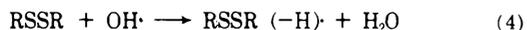
The following processes have been postulated for the oxidation of disulfides by OH radicals: Addition<sup>11c,16</sup>



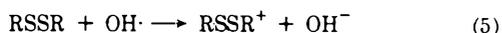
or dissociative capture<sup>11c</sup>



or hydrogen abstraction<sup>16</sup>



Recently, Owen and coworkers<sup>12-14</sup> also discussed the possibility of the formation of positive ions



as short-lived intermediates although no direct experimental evidence could be given. Since ion-pair formation leads to a change in conductivity, process (5) should be

detectable by conductometric measurements. The method of conductometric observation of charged intermediates in pulse radiolysis has already successfully been applied to a number of systems.<sup>18-20</sup>

## Experimental Section

The pulse radiolysis conductivity setup and the evaluation of data from conductivity-time curves have already been described.<sup>18-20</sup> Solutions were generally prepared from triply distilled, deaerated,  $\text{N}_2\text{O}$ -saturated water and up to  $2 \times 10^{-3} \text{ M}$  (depending on solubility) disulfides. The latter were purified by fractional distillation or recrystallization; ca. 700 rads/ $\mu\text{sec}$  pulse was absorbed by the solution during the 0.5-3- $\mu\text{sec}$  electron pulses from a 1.5-MeV (10-mA) Van de Graaff generator. All experimental data refer to room temperature.

## Results and Discussion

**Conductivity-Time Curves.** Typical conductivity-time curves for pulse-irradiated  $\text{N}_2\text{O}$ -saturated solutions of disulfides in slightly acid and slightly basic solutions are shown in Figure 1a and b, respectively. In particular, the oscilloscope traces refer to pulsed solutions of  $\text{CH}_3\text{SSCH}_3$  ( $2 \times 10^{-4} \text{ M}$ ) at pH 4.75 (Figure 1a) and pH 8.05 (Figure 1b). The bimolecular rate constant for the reaction of hydroxyl radicals with dimethyl disulfide has been determined by the thiocyanate competition method<sup>22</sup> to be  $2.7 \times 10^{10} \text{ M}^{-1} \text{ sec}^{-1}$ . An estimate for the rate constant of the formation of positive ions *via* reaction 6 can also be obtained from the conductivity experiments. In solutions of low  $\text{CH}_3\text{SSCH}_3$  concentrations ( $10^{-5} \text{ M}$ ) the initial decrease in conductivity (see Figure 1a) occurred within the time resolution of the conductivity method of ca. 5  $\mu\text{sec}$ ; *i.e.*, the rate constant of reaction 6 is greater than  $1.5 \times 10^{10} \text{ M}^{-1} \text{ sec}^{-1}$ . These results clearly indicate that the oxidation reactions are essentially controlled by the diffusion of the reactants. The reactions leading to Figure 1a and b are, therefore, complete within the 2-3- $\mu\text{sec}$  pulse. At pH 4.75, *i.e.*, in slightly acid solution, the conductivity is seen to decrease immediately after the pulse. At longer times the signal increases again finally reaching its pre-pulse value after ca. 1 msec.

The loss in conductivity immediately after the pulse is explained as follows. The reaction



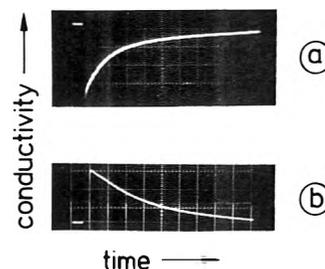
leads to the formation of a positive disulfide ion and hydroxyl ions. In acid solutions hydroxyl ions formed are instantaneously destroyed by reaction with  $\text{H}_{\text{aq}}^+$  ions. The result is that at the end of the pulse all  $\text{OH}^-$  ions plus an equivalent number of  $\text{H}_{\text{aq}}^+$  ions have been lost, the latter having been effectively replaced by the less conducting  $\text{RSSR}^+$  ions. The specific conductances of the proton and the "normal" positive ions,  $\text{RSSR}^+$ , are 315 and ca.  $65 \Omega^{-1} \text{cm}^2$ , respectively. Thus a net loss in conductivity is observed.<sup>21</sup>

The same mechanism also explains the results obtained at pH 8.05. In basic solutions the  $\text{OH}^-$  ions formed in reaction 6 are not neutralized and consequently a net increase in conductivity owing to the formation of  $\text{CH}_3\text{SSCH}_3^+$  and  $\text{OH}^-$  is observed.

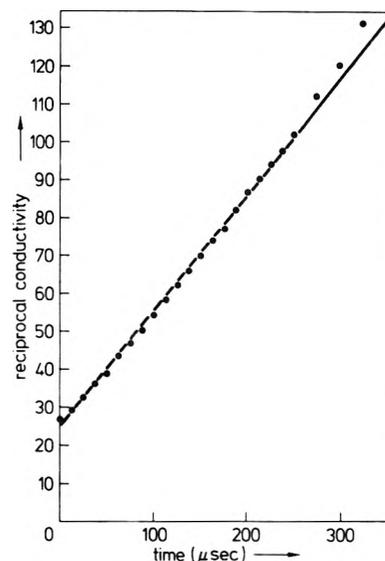
Any mechanism other than one which includes the production of a positive ion from the disulfide and a hydroxyl ion cannot account for the observed changes in conductivity. The formation of anions from the disulfides, e.g., dissociation of  $\text{RSSOHR}$ ,  $\text{RSOH}$ , and  $\text{RSSOH}$  from the reactions given in eq 1-3 would necessarily be associated with the formation of a proton as well. This, however, would reverse the experimental observations: a positive change in conductivity would be expected for acid solutions whereas in basic solutions the neutralization of the  $\text{H}_{\text{aq}}^+$  would lead to a loss of conductivity. A neutral radical would of course give no change at all in conductivity.

The decay of the conductivity signal is explained by a reaction of the disulfide ion in which  $\text{H}_{\text{aq}}^+$  are produced again. In acid solution this leads to a gain in conductivity, in basic solution to a loss owing to immediate neutralization of the proton. At pH around 5 the decay is of pure second order,<sup>23</sup> which may be seen from a plot of the reciprocal conductivity change as a function of time in Figure 2. From this and the initial yield of positive ions (as calculated below), a bimolecular rate constant of  $2k = (4.2 \pm 0.5) \times 10^9 \text{M}^{-1} \text{sec}^{-1}$  is obtained. In the slightly basic solution at pH 8.05 (Figure 1b) the signal decays by mixed (probably first and second) order with a first half-life of 50  $\mu\text{sec}$ . The rate of decay further increases upon addition of more  $\text{OH}^-$  ions, and almost pure first-order kinetics are achieved at pH above 9.5. The decay of the conductivity signal in basic solution is therefore assumed to be caused mainly by the neutralization of the positive disulfide ion by hydroxyl ions.

**Yields.** The change in conductivity immediately after the pulse in acid solution is given, as discussed above, by the number of protons substituted by less conducting positive ions. From the conductivity-time curve shown in Figure 1a  $G \times \Delta\Lambda = 600$  is calculated<sup>16,19,24</sup> for the pH 4.75 solution where  $\Delta\Lambda = \Lambda(\text{H}_{\text{aq}}^+) - \Lambda(\text{CH}_3\text{SSCH}_3^+) \Omega^{-1} \text{cm}^2$ . If all  $\text{OH}^-$  radicals which are formed with  $G = 5.5$  in  $\text{N}_2\text{O}$ -saturated solutions formed positive disulfide ions  $\Delta\Lambda = 109 \Omega^{-1} \text{cm}^2$  and consequently  $\Lambda(\text{CH}_3\text{SSCH}_3^+) = \Lambda(\text{H}_{\text{aq}}^+) - \Delta\Lambda = 206 \Omega^{-1} \text{cm}^2$  would be derived. Such a high specific conductivity for an ordinary positive ion is, of course, unrealistic. Our results, therefore, indicate that only a fraction of  $\text{OH}^-$  radicals produces positive ions which, in fact, is not surprising considering the various possibilities of  $\text{OH}^-$  attack (eq 1-5). Taking  $\Lambda(\text{CH}_3\text{SSCH}_3^+) \approx 65 \Omega^{-1} \text{cm}^2$  as an average value for the specific conductivity of a normal, large ion of single positive charge,<sup>25</sup> then  $\Delta\Lambda = 315 - 65 = 250$  and consequently  $G(\text{CH}_3\text{SSCH}_3^+) = 600:250 = 2.4$ . This means



**Figure 1.** (a) Conductivity-time curve of a pulse-irradiated,  $\text{N}_2\text{O}$ -saturated aqueous solution of  $2 \times 10^{-4} \text{M}$   $\text{CH}_3\text{SSCH}_3$ ; pH 4.75; time scale, 100  $\mu\text{sec}$ /large division; sensitivity, 10 mV/large division; dose, ca. 1220 rads. (b) Same solution: pH 8.05; time scale, 20  $\mu\text{sec}$ /large division; sensitivity, 10 mV/large division; dose, ca. 1150 rads.



**Figure 2.** Plot of the reciprocal conductivity in arbitrary units as function of time; pH 4.75. Solution as for Figure 1a.

that 44% of hydroxyl radicals form a positive ion in their reaction with dimethyl disulfide at pH 4.75.

In basic solution the conductivity signal increases owing to the formation of positive ions and hydroxyl ions.  $G \times \Lambda = 560$  is derived from the change in conductivity immediately after the pulse from the picture given in Figure 1b. Since  $\Lambda$  in this case is given by the sum  $\Lambda(\text{CH}_3\text{SSCH}_3^+) + \Lambda(\text{OH}^-) = 65 + 170 = 235 \Omega^{-1} \text{cm}^2$ , the yield of positive disulfide ions at pH 8.05 is calculated to be 2.4.

The yield of positive disulfide ions as derived from the conductivity measurements is found to be almost invariant in solutions up to pH  $\approx 8$ . In more basic solution it decreases. This can be explained by a different reaction mechanism in basic solution as will be discussed elsewhere.<sup>16</sup>

The formation of species with oxidizing properties—and the positive disulfide ion may be expected to react accordingly—is also indicated by the following experiment. Solutions of  $3 \times 10^{-3} \text{M}$   $\text{CH}_3\text{SSCH}_3$ ,  $10^{-4} \text{M}$   $\text{K}_4[\text{Fe}(\text{CN})_6]$ , and  $2.6 \times 10^{-2} \text{M}$   $\text{N}_2\text{O}$  (saturated) were  $\gamma$ -irradiated. Under these conditions nearly all of the  $\text{OH}^-$  radicals are scavenged by the disulfide, yet the hexacyanoferrate(II) is still oxidized; this can be explained by an electron transfer



The absolute oxidation yield, however, is found to be

**TABLE I: Yield of Positive Ions Calculated from Conductivity Measurements in N<sub>2</sub>O-Saturated Solutions ( $G(\text{OH}\cdot) = 5.5$ )**

Compound	Concn, M	pH	G(positive ion) from cond. exp.
(MeS) <sub>2</sub>	2 × 10 <sup>-4</sup>	4.75	2.4
(EtS) <sub>2</sub>	2 × 10 <sup>-4</sup>	4.50	2.0
(i-PrS) <sub>2</sub>	2 × 10 <sup>-4</sup>	4.65	2.0
(n-BuS) <sub>2</sub>	1 × 10 <sup>-4</sup>	5.00	0.6
(t-BuS) <sub>2</sub>	2 × 10 <sup>-4</sup>	4.45	1.3
(o-HOOC <sub>6</sub> H <sub>4</sub> S) <sub>2</sub>	1.4 × 10 <sup>-5</sup>	4.60	0.3 <sup>a</sup>
(o-H <sub>2</sub> NC <sub>6</sub> H <sub>4</sub> S) <sub>2</sub>	2.4 × 10 <sup>-5</sup>	4.90	1.3 <sup>a</sup>
Cystin	1 × 10 <sup>-4</sup>	4.50	0.3 <sup>a</sup>
Cystamin	5 × 10 <sup>-5</sup>	5.20	0.3 <sup>a</sup>

<sup>a</sup> These values do not represent absolute yields owing to possible superimposition of various simultaneous conductivity signals (see text).

higher than  $G(\text{positive ions})$  from the conductivity measurements. This would indicate that at least one other oxidizing species is formed simultaneously with  $\text{CH}_3\text{SSCH}_3^+$  in the reaction of the disulfide with hydroxyl radicals, possibly the addition product ( $\text{CH}_3\text{SSOHCH}_3$ ) (eq 1) or the dissociation products  $\text{CH}_3\text{SSOH}$  and  $\text{CH}_3\text{SOH}$  (eq 2 and 3).

**Other Disulfides.** Very similar results have been obtained with other disulfides, and conductivity measurements indicate the formation of positive ions *via* the reaction given in eq 5. A summary of the yields of positive ions from various compounds in slightly acid solutions is given in Table I. It can be seen that only a fraction of the hydroxyl ions (which are present with  $G = 5.5$  in the N<sub>2</sub>O-saturated solutions) yields positive ions. The remainder of the OH radicals clearly undergoes reactions of the types given in eq 1-4. The probability of OH attack on the R group in particular will depend on the structure and the length of this group. Thus, for the purely alkyl-substituted compounds it can be expected that the highest probability for positive ion formation exists for the dimethyl disulfide, *i.e.*, the solute with the smallest R group.

The yields measured in solutions containing (*o*-HOOC<sub>6</sub>H<sub>4</sub>S)<sub>2</sub>, (*o*-H<sub>2</sub>NC<sub>6</sub>H<sub>4</sub>S)<sub>2</sub>, cystin, and cystamin have to be interpreted somewhat differently. The relatively small values may in part be due to the low concentrations which were achieved, *i.e.*, due to incomplete OH radical scavenging. Secondly, and perhaps more important, the radicals and ions formed from these disulfides may exist in acid-base equilibria. Depending on the respective *pK* values the predominant form under our experimental conditions might already be the anion. This would mean that anions and  $\text{H}_{\text{aq}}^+$  are formed simultaneously with  $\text{RSSR}^+$  and  $\text{OH}\cdot$ . Accordingly, the decrease in conductivity usually observed in slightly acid solution is superimposed by an increase in conductivity due to the  $\text{H}_{\text{aq}}^+$ /anion production, and only a small net effect of either sign may be observable. This possibility is in fact also indicated by the pH dependence of the conductivity signal. With decreasing pH the initial negative change in conductivity increases,<sup>16</sup> which is explained by less radical dissociation. Owing to experimental limitations, however, no quantitative information could be obtained on the total yield of positive ions.

## Conclusion

So far we have generally referred to  $\text{RSSR}^+$ , *i.e.*, the molecular cation. It should be mentioned, however, that

our conductivity experiments cannot distinguish between this and any other kind of normal positive ions since all of them should have a similar specific conductivity. One other reaction possibility, for example, would be



An  $\text{RS}^+$  ion ( $\text{R}\cdot\text{S}$ ) may be expected to suffer fast nucleophilic attack, *e.g.*, by  $\text{H}_2\text{O}$ . This necessarily would result in pseudo-first-order decay kinetics even in the slightly acid solution. From the observed second-order decay of the conductivity signal  $\text{RS}^+$  may, therefore, be excluded. Independently of the possible structure of the ions, however, our results (decrease in conductivity in acid and increase in slightly basic solutions) clearly demonstrate the formation of positive ions in the oxidation of disulfides by hydroxyl radicals.

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- (24) Dosimetry was based on simultaneous optical and conductivity measurements of  $\text{C}(\text{NO}_2)_3^-$  ions which are formed with  $G = 5.6$  in irradiated aqueous solutions of  $10^{-3}\text{ M C}(\text{NO}_2)_4$  and  $10^{-1}\text{ M 2-propanol}$ . Maximum absorption of  $\text{C}(\text{NO}_2)_3^-$  at 350 nm with  $\epsilon = 1.5 \times 10^4\text{ M}^{-1}\text{ cm}^{-1}$ ; specific conductance of one  $\text{C}(\text{NO}_2)_3^-/\text{H}_{\text{aq}}^+$  ion pair is  $360\ \Omega^{-1}\text{ cm}^2$ .
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## Solvent Participation in Electron Transfer Reactions

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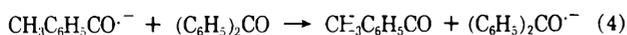
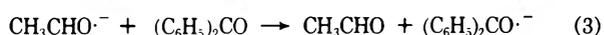
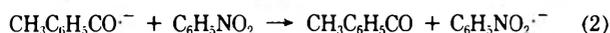
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Rate constants and activation energies of several one-electron transfer reactions from organic anion radicals to neutral organic molecules in aqueous mixtures of methanol, ethanol, 1-propanol, glycerol, and urea have been determined by the technique of pulse radiolysis. It has been found that the solvent effect is more pronounced for slow than fast reactions. There is no regularity in the solvent effect on the reaction rates between species with the same functional groups. Kinetic parameters of the reaction change in a complicated manner with variations of the binary mixture content. The dependence cannot be explained by Marcus' theory for electron transfer reactions. Composition of the solvent closely surrounding the reacting species and their short-range interactions must be considered if a better understanding of the kinetics is to be achieved.

### Introduction

A large number of rate constants for electron transfer reactions between organic radical anions and neutral organic molecules have been determined by pulse radiolysis.<sup>2,3a</sup> No experimental evidence is, however, available about the influence of a solvent on this type of reactions, apart from the study of Brandon and Dorfman<sup>3b</sup> in some nonaqueous organic solvents. The latter results were in good agreement with Marcus' theory<sup>4-7</sup> for electron transfer reactions in solutions.

In the present experiments we studied the effects of the composition of water-ethanol solvents on the following reactions



Reaction 4 has also been studied in aqueous mixtures of methanol, 1-propanol, glycerol, and urea. The rate constants for these reactions in water vary from  $3 \times 10^9$  to  $8 \times 10^8 \text{ M}^{-1} \text{ sec}^{-1}$ .<sup>2</sup> They can be measured very accurately by pulse radiolysis since the organic radical anions formed in reactions 1-4 have high molar extinction coefficients and well-separated absorption spectra.<sup>8,9</sup>

The water-alcohol solvent mixtures exhibit pronounced structural and dielectric changes at different component ratios.<sup>10,11</sup> According to the electron transfer theory<sup>4-7</sup> changes in dielectric properties are expected to affect the reorganization of solvent molecules around the reactant and the activated complex. Macroscopic dielectric properties of water and alcohol are not very different. However, microscopic differences are likely since there is the possibility of different organization of solvent molecules around reacting species.<sup>12</sup> These systems can, therefore, be used to test the relevance of existing electron transfer theories to rates of organic redox reactions. Reactions 1-4 comprise two equal donors and two equal electron acceptors. This combination permits elucidation of possible regularities in the structural effects of the matrix on the electron transfer between donor-acceptor pairs with the same functional groups. A study of the same reaction in several binary

aqueous mixtures with similar or completely different behavior<sup>10,11</sup> was expected to give information about the effect of the solvent on the kinetics of this reaction.

### Experimental Section

**Materials.** Acetophenone and benzophenone were BDH general purpose reagents; methanol, 1-propanol, glycerol, urea, ethanol, NaOH, and nitrobenzene were of AnalaR grade (BDH), 1-propanol was General Purpose Reagent Hopkin and Williams Ltd. Water was triply distilled. All measurements were performed at pH 12 adjusted by NaOH. Oxygen was removed by bubbling with argon or N<sub>2</sub>O for at least 2 hr before irradiation. Argon was 99.998% pure supplied by Air Product Ltd. and N<sub>2</sub>O was 99.99% pure supplied by British Oxygen Co.

**Procedure.** The technique of pulse radiolysis<sup>13,14</sup> was used to measure the rates of formation of the nitrobenzene and benzophenone radical-anion absorptions at 285<sup>8</sup> and 610 nm,<sup>9</sup> respectively. The rate of acetophenone radical-anion decay (reaction 2) was measured at 440 nm.<sup>9</sup> 0.2-μsec pulses of 10-MeV electrons and doses of 300 rads were used. The concentrations of the solutes giving the radical anions were about 100 times higher than those of the electron acceptor. Acetophenone radical anions were formed by the reactions  $e_{\text{aq}}^- + \text{CH}_3\text{COC}_6\text{H}_5 \rightarrow \text{CH}_3\text{CO}^{\cdot-}\text{C}_6\text{H}_5$  and  $\text{C}_2\text{H}_4\text{O}^{\cdot-} + \text{CH}_3\text{COC}_6\text{H}_5 \rightarrow \text{CH}_3\text{CO}^{\cdot-}\text{C}_6\text{H}_5 + \text{C}_2\text{H}_4\text{O}$ . From the rate constants of these reactions<sup>2,15</sup> and concentrations of the acetophenone we estimate that the formation of the acetophenone radical anions was completed in less than 1 μsec. This ensured that the electron transfer observed in reactions 2 and 4 was only that from the acetophenone. The kinetics of reactions 1 and 3 was followed in N<sub>2</sub>O-saturated solutions. The rate of acetophenone radical anion decay (reaction 2) was proportional to nitrobenzene concentration.

The experimental technique was the same as that used in earlier experiments<sup>16</sup> when the temperature effects were measured. The rates of formation or decay of the transients were measured in temperature intervals of 5-10° between 18 and 75°. The temperature was kept constant to within 1°. Activation energies were calculated from the slope of Arrhenius plots (log *k* against the inverse of the absolute temperature in °K). The reproducibility of the activation energies was within 10%.

## Results and Discussion

**Rate Constants.** In Figure 1 the rate constants,  $k_{\text{obsd}}$ , for the reaction of  $\text{CH}_3\text{CHO}\cdot^-$  and  $\text{CH}_3\text{CO}\cdot^-$ - $\text{C}_6\text{H}_5$  radical anions with  $\text{C}_6\text{H}_5\text{NO}_2$  and  $(\text{C}_6\text{H}_5)_2\text{CO}$  are given as a function of the ethanol mole fraction. The influence of the solvent is more pronounced in slower reactions. The rate constant of reaction 4 is about 10 times slower in ethanol than in water. Faster reactions (reactions 1 and 2) show minima between 0.2 and 0.3 mol fractions in ethanol corresponding to the maximum in viscosity of the water-ethanol mixture.<sup>17</sup> In this region of mole fractions the viscosity of the mixture increases by more than 100% over that in pure water, whereas the corresponding rate constants decrease by only 30%.

The effects of mole fractions of methanol, ethanol, 1-propanol, glycerol, and urea on the rate constant,  $k_{\text{obsd}}$ , of reaction 4 are delineated in Figure 2. No effect of the ionic strength on rate constants was detected up to 4 M LiCl, 2 M NaCl and 1 M  $\text{Na}_2\text{SO}_4$ . The results in Figure 2 do not indicate any essential difference in the behavior of kinetic parameters for mixtures of water with methanol, ethanol, and 1-propanol from those with glycerol and urea. These binary mixtures belong to two different groups<sup>10</sup> according to the structural behavior and thermodynamic functions of mixing. Addition of alcohol to water enhances the structural properties while urea, for example, breaks up the structure of water.

**Correlation of Results with Electron Transfer Theory.** The results presented in Figures 1 and 2 can be used to check Marcus' theory of electron transfer reactions in systems with pronounced structural effects. According to this theory, the free energy of activation,  $\Delta F^*$ , which determines the rate constant of activation

$$k_{\text{act}} = Z \exp(-\Delta F^*/RT) \quad (5)$$

is given by

$$\Delta F^* = W + \frac{1}{4}\lambda + \frac{1}{2}(\Delta F^0) + [(\Delta F^0)^2/4\lambda] \quad (6)$$

where  $Z$  denotes the collision number in the solution, namely, about  $10^{11} \text{ M}^{-1} \text{ sec}^{-1}$ ;  $\Delta F^0$  is the standard free energy of the elementary step in the electron transfer;  $W$  is the difference in work of bringing together reactants and separating products. This value can be neglected in these systems since one reactant is uncharged and  $W$  is small in comparison with  $\lambda$  and  $\Delta F^0$ .  $\lambda$  is the parameter which includes reorganization of the solvent in forming an activated complex from the radical anion and the neutral molecule. It is a function of the dielectric properties of the solvent.

$$\lambda = [(2a_1)^{-1} + (2a_2)^{-1} - a_{12}^{-1}](\epsilon_{\text{op}}^{-1} - \epsilon_s^{-1})(\Delta z)^2 e^2 \quad (7)$$

where  $\epsilon_{\text{op}}$  denotes the optical dielectric constant equal to the square of the refractive index;  $\epsilon_s$  the static dielectric constant;  $a_1$  and  $a_2$  are polarization radii of reactants;  $a_{12}$  is the effective radius of the reaction, and  $\Delta z$  the number of electrons transferred (in reactions 1-4  $\Delta z = 1$ ). The  $\epsilon_{\text{op}}^{-1} - \epsilon_s^{-1}$  term includes dielectric properties of the aqueous mixtures.<sup>17</sup> The total contribution of the solvent reorganization parameter  $\lambda$  depends mainly on the radii of reactants and the activated complex. The values of  $\lambda$  in Table I are based on  $2a_1 = 2a_2 = a_{12} = 5 \text{ \AA}$ .

The value of  $k_{\text{act}}$  was obtained from the relationship<sup>18</sup>

$$1/k_{\text{obsd}} = 1/k_{\text{diff}} + 1/k_{\text{act}} \quad (8)$$

where  $k_{\text{diff}}$  is the limiting rate constant for diffusion-controlled reactions calculated from the Debye equation<sup>19</sup>

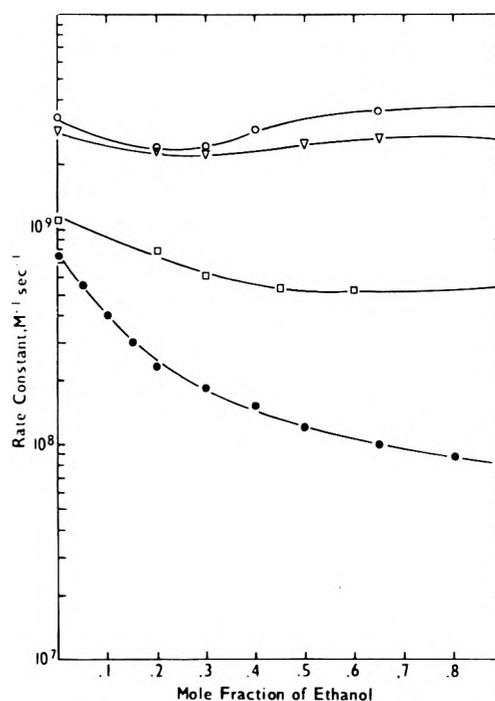


Figure 1. Rate constants of electron transfer reactions as a function of the ethanol mole fraction in the water-ethanol system: (O)  $\text{CH}_3\text{CHO}\cdot^- + \text{C}_6\text{H}_5\text{NO}_2$ ; (▽)  $\text{CH}_3\text{C}_6\text{H}_5\text{CO}\cdot^- + \text{C}_6\text{H}_5\text{NO}_2$ ; (□)  $\text{CH}_3\text{CHO}\cdot^- + (\text{C}_6\text{H}_5)_2\text{CO}$ ; (●)  $\text{CH}_3\text{C}_6\text{H}_5\text{CO}\cdot^- + (\text{C}_6\text{H}_5)_2\text{CO}$ .

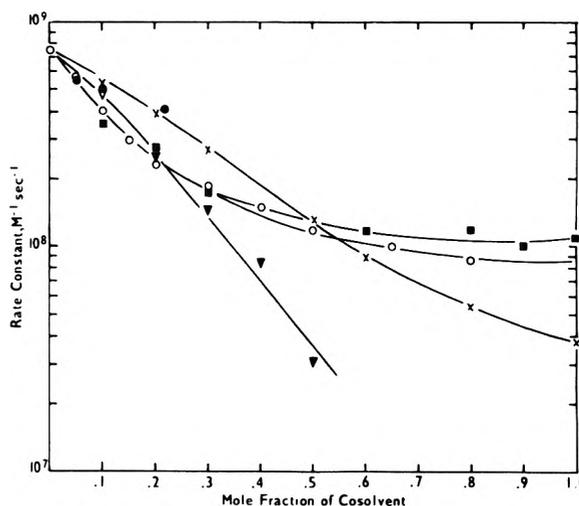


Figure 2. Rate constants for the reaction of  $\text{CH}_3\text{CO}\cdot^-$ - $\text{C}_6\text{H}_5$  with  $(\text{C}_6\text{H}_5)_2\text{CO}$  as function mole fraction of cosolvent: (▽) glycerol; (X) methanol; (O) ethanol; (■) 1-propanol; (○) urea.

$$k_{\text{diff}} = 4\pi 10^3 N(D_1 + D_2)Q \quad (9)$$

and  $Q$  is given by

$$Q^{-1} = \int_{a_1+a_2}^{\infty} \exp\left(\frac{U(a)}{KT}\right) \frac{da}{a^2} \quad (10)$$

where  $D_1 + D_2$  is the sum of the diffusion coefficients of the reactants,  $N$  Avogadro number, and  $U(a)$  the potential function between the reactants,  $U(a) = -\mu e/\epsilon_s(a_1 + a_2)^2$ , where  $\mu$  is the dipole moment of the neutral reactant.

We assumed reactions 1-4 to have equal  $k_{\text{diff}}$  values. These values were calculated on the assumption that  $a_1 +$

**TABLE I:** Rate Constants of Activation for Electron Transfer Reactions in Water–Ethanol Systems

$x_2$ mole fraction of ethanol	$k_{diff}$ , $M^{-1} \text{sec}^{-1} \times 10^{-1}$	$k_{act}$ , $M^{-1} \text{sec}^{-1} \times 10^{-9}$				$\lambda$ , kcal/mol
		Reaction 1	Reaction 2	Reaction 3	Reaction 4	
0.00	7.40	5.95	4.76	1.30	0.830	36.3
0.20	2.90	13.8	20.0	1.09	0.253	35.4
0.30	2.73	22.3	12.8	0.807	0.198	34.3
0.40	3.05	55.6	14.5	0.708	0.158	33.8
0.65	3.59	11.4	10.4	0.622	0.100	33.1
0.90	4.85	3.58	4.76	0.618	0.087	33.0

$a_2 = 5 \text{ \AA}$  and the dipole moment  $\mu(\text{C}_6\text{H}_5\text{NO}_2) = \mu(\text{C}_6\text{H}_5)_2\text{CO} = 4 \text{ D}$ . The integral of eq 10 was solved numerically taking into account the change of dielectric constant in different mixtures. The value of  $Q$  changes about 20% from water to pure ethanol. For the sum of the diffusion coefficients in pure water the value  $D_1 + D_2 = 1.8 \times 10^{-5} \text{ cm}^2/\text{sec}$  was used. A correction for the change in viscosity of the water–ethanol mixtures<sup>17</sup> was applied. On the basis of the calculated values of  $k_{diff}$  and the experimentally obtained values of  $k_{obsd}$  (Figure 1) the values of  $k_{act}$  were derived from eq 8. Values of  $k_{diff}$ ,  $k_{act}$ , and  $\lambda$  for different mole fractions of ethanol are summarized in Table I. It can be seen that  $\lambda$  is changed by less than 10% with ethanol content.

Standard free energies  $\Delta F^0$  were calculated using eq 5 and 6 and the data for water given in Table I. The  $\Delta F^0$  values are  $-21.5$ ,  $-20.7$ ,  $-17.7$ , and  $16.9 \text{ kcal/mol}$  for reactions 1–4, respectively. Values of  $\lambda$  and  $\Delta F^0$  were then used to calculate the effect of solvent according to Marcus' model. The change of  $k_{act}$  for two different mixtures,  $x_2'$ , and  $x_2''$ , is given by

$$RT[\ln k_{act(x_2')} - \ln k_{act(x_2'')}] = -\frac{1}{4}[\lambda_{(x_2')} - \lambda_{(x_2'')}] - [(\Delta F^0)^2(4\lambda_{(x_2')})^{-1} - (4\lambda_{(x_2'')})^{-1}] \quad (11)$$

For reactions 1–4 the first term on the right side of eq 11 is always greater than the second so that  $k_{act}$  should increase with increasing ethanol content. The values of  $k_{act}$  in Table I are, however, in complete disagreement with this prediction. For reactions 3 and 4 the effect is opposite to that predicted by Marcus' theory. Similar results are obtained by taking  $2a_1 = 2a_2 = r_{12} = 10 \text{ \AA}$ . In this case the second term on the right side of eq 11 can be neglected and  $\ln k_{act}$  increased linearly with decreasing  $\lambda$ .

This discrepancy may be due to the selection of wrong parameters used in the calculation of  $k_{act}$ ,  $\lambda$ , and  $\Delta F^0$ . The effective radius of the reaction is assumed to be twice that of the water molecule. This value was taken arbitrarily only in order to see whether the expected effect was of the proper sign. The overlap of polarization radii,  $a_{12} \ll a_1 + a_2$ , should decrease the value of  $\lambda$ , but then the contribution of  $Q$  in eq 9 would predominate. In a complex way  $\Delta F^*$  depends on the radii. These values can be different for each reaction observed. However, all this adjustments cannot help to obtain a better fitting with the theory in cases when  $a_{12} = a_1 + a_2$  and  $a_{12}$ ,  $a_1$ , and  $a_2$  are constant for different mixtures.

The electric charge of organic molecules is located on the functional group from where the charge transfer occurs. These molecules cannot be considered as spherical charged species. In order to extend his theory to organic molecule reactions, Marcus<sup>20</sup> assumed the polarization radius of an organic anion to be equal to the radius of the functional group on which the charge transfer takes place. In our case this approach, however, gives no better agree-

ment with the experiments. In our reactions the electron donors always had the same functional group,  $=\text{CO}^-$ , and the electron acceptors two different groups, namely,  $-\text{NO}_2$  and  $=\text{CO}$ . Thus, for the same reaction radius of a given donor–acceptor pair parallel solvent-effect curves for reactions 1 and 2 as well as for reactions 3 and 4 are expected. In contrast the solvent-effect curves in Figure 1 do not exhibit this trend.

Equivalent conclusions can be drawn from the consideration of the data in Figure 2. The characteristic value  $\epsilon_{op}^{-1} - \epsilon_s^{-1}$  is 0.5503 for water, 0.5356 for methanol, 0.4988 for ethanol, and 0.4733 for 0.5 mol fraction of glycerol. The diffusion limited rate constant for reaction 4 is expected to be  $\geq 5 \times 10^9 \text{ M}^{-1} \text{sec}^{-1}$ . The rate constants in Figure 2 can therefore be regarded as essentially activation controlled. According to eq 5–7 the logarithm of the activation rate constant should increase with decreasing values of  $\epsilon_{op}^{-1} - \epsilon_s^{-1}$  if the radii of reactants do not change with the composition of the binary mixtures. However, the curves in Figure 2 do not confirm this relationship. Furthermore, in urea solutions  $\epsilon_{op}^{-1} - \epsilon_s^{-1}$  is constant, but the rate constant decreases. In all the cases a better agreement with the theory is not achieved by changing the radii of the reactants and the activated complex. Absence of any correlation between polarization properties and rate constants for aqueous binary mixtures leaves the microscopic changes in the vicinity of reacting species to be considered.

The rate constants (Figure 2) in pure methanol, ethanol, and 1-propanol increase with the decrease of  $\epsilon_{op}^{-1} - \epsilon_s^{-1}$ . This is in agreement with the results of Brandon and Dorfman<sup>3b</sup> who found good agreement with Marcus' theory for similar type of reactions in several different organic solvents. The organic solvents seem not to exhibit the structural effects found in aqueous solutions. This may be so because of their inability to form the three-dimensional structure characteristic for water, which gives aqueous binary mixtures their special matrix properties and the enhanced solvent–solute interactions.

**Kinetic Parameters of Activation.** The activation energies of reactions 1–4 as a function of the mole fractions of ethanol are delineated in Figure 3. The calculated entropies of activation are shown in Figure 4. The plotted values were calculated from the relationship

$$\Delta S_{x_2}^* - \Delta S_{\text{H}_2\text{O}}^* = 2.3R[\log k_{obsd(x_2)} + E_{a(x_2)}/2.3RT - \log k_{obsd(\text{H}_2\text{O})} - E_{a(\text{H}_2\text{O})}/2.3RT] \quad (12)$$

and using data from Figures 1 and 3. The shapes of the curves are the same as those of the energies of activation in Figure 3. The activation energy for diffusion-limited reactions, calculated from the change of viscosity with temperature,<sup>17</sup> is shown in Figure 3. The activation energies in water are practically equal for all reactions. The values are small and comparable to the activation energies for diffusion. The activation energy for diffusion-con-

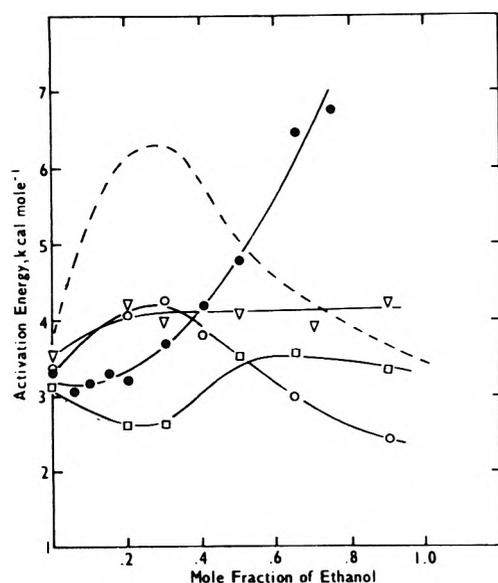


Figure 3. Effect of ethanol mole fraction on the activation energy of reactions: (O)  $\text{CH}_3\text{CHO}\cdot^- + \text{C}_6\text{H}_5\text{NO}_2$ ; ( $\nabla$ )  $\text{CH}_3\text{C}_6\text{H}_5\text{CO}\cdot^- + \text{C}_6\text{H}_5\text{NO}_2$ ; ( $\square$ )  $\text{CH}_3\text{CHO}\cdot^- + (\text{C}_6\text{H}_5)_2\text{CO}$ ; ( $\bullet$ )  $\text{CH}_3\text{C}_6\text{H}_5\text{CO}\cdot^- + (\text{C}_6\text{H}_5)_2\text{CO}$ . The dotted line represents the energy requirement for self-diffusion.

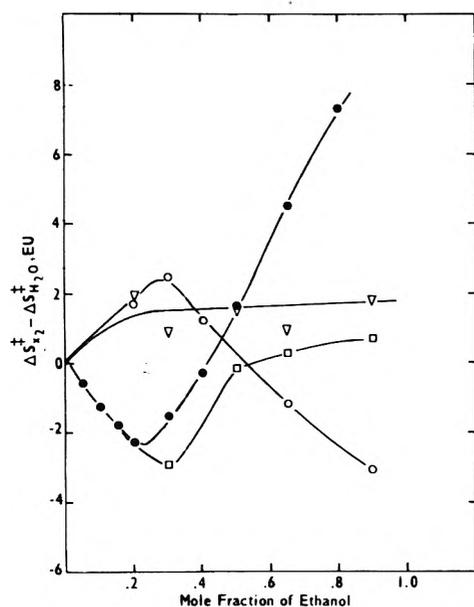


Figure 4. Effect of ethanol mole fraction on the relative entropy of activation for reactions: (O)  $\text{CH}_3\text{CHO}\cdot^- + \text{C}_6\text{H}_5\text{NO}_2$ ; ( $\nabla$ )  $\text{CH}_3\text{C}_6\text{H}_5\text{CO}\cdot^- + \text{C}_6\text{H}_5\text{NO}_2$ ; ( $\square$ )  $\text{CH}_3\text{CHO}\cdot^- + (\text{C}_6\text{H}_5)_2\text{CO}$ ; ( $\bullet$ )  $\text{CH}_3\text{C}_6\text{H}_5\text{CO}\cdot^- + (\text{C}_6\text{H}_5)_2\text{CO}$ .

trolled reactions is given by the relationship<sup>21</sup>

$$E_{(A_1 + A_2)} = \frac{D_1}{D_1 + D_2} E_1 + \frac{D_2}{D_1 + D_2} E_2 \quad (13)$$

where  $D_1$  and  $D_2$  are the diffusion coefficients of reactants,  $E_1$  and  $E_2$  the corresponding activation energies for diffusion.

It follows from eq 13 that the activation energy of a diffusion-controlled reaction depends on the diffusion coefficients and temperature coefficients for diffusion.

The activation energy of a reaction could therefore increase with increasing energy requirement for self-diffu-

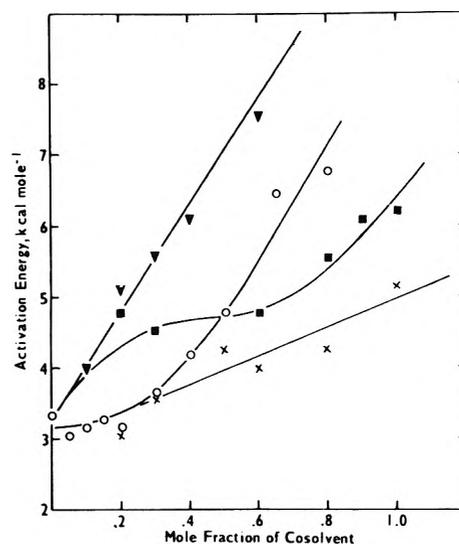


Figure 5. Activation energy for the reaction of  $\text{CH}_3\text{CO}\cdot^- + \text{C}_6\text{H}_5$  with  $(\text{C}_6\text{H}_5)_2\text{CO}$  as a function of mole fraction of cosolvent: ( $\nabla$ ) glycerol; (X) methanol; (O) ethanol; ( $\blacksquare$ ) 1-propanol.

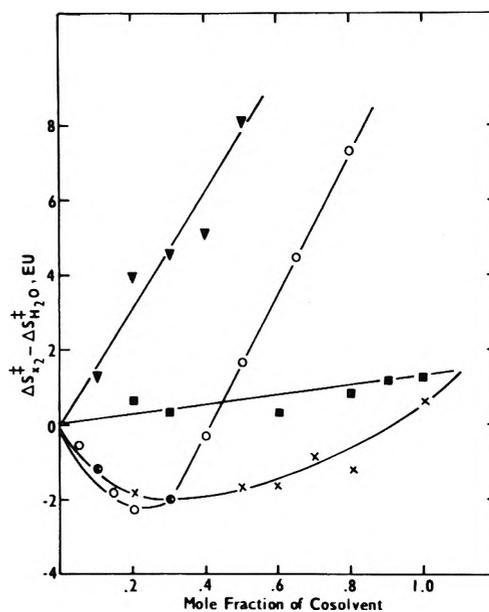


Figure 6. Relative entropy of activation as function of mole fraction of cosolvent: ( $\nabla$ ) glycerol; (X) methanol; (O) ethanol; ( $\blacksquare$ ) 1-propanol.

sion. This might be expected only if the product of the diffusion coefficient and viscosity were constant for all compositions of a binary mixture and if the energy requirement for the diffusion of reacting species depended only on the viscosity and on its change with temperature. In Figure 3 only for the fastest reaction 1 is the activation energy curve of similar shape as that for the activation energy of diffusion in a binary mixture. The rate constants for reactions 2 and 1 are approximately equal, but the curves for the activation energy are entirely different. The other reactions also have different shapes without any regularity although the donor-acceptor pairs have always either the same donor or the same acceptor.

The experimental activation energies in Figure 5 have no maxima at 0.2 to 0.3 mol fractions of methanol, ethanol, and 1-propanol, corresponding to the maximum in energy requirement for self-diffusion in their mixtures. In

contrast the activation energies increase progressively. The activation energies for aqueous solution of urea were constant and are therefore not included in Figure 5. From 0 to 0.2 mol fraction of urea the activation energy of reaction 4 was  $3.2 \pm 0.3 \text{ kcal mol}^{-1}$ .

Entropies of activation as functions of the mole fraction of the cosolvent are shown in Figure 6. A minimum in the entropy of activation is observed in water-ethanol mixtures. It is less pronounced for methanol and completely absent for 1-propanol.

The analysis of kinetic parameters of activation suggests that the specific reorganization of the solvent around ions and neutral molecules has a significant effect on the activation energy. It is known that absorption spectra of nitrobenzene, acetophenone, and benzophenone depend on the solvent properties.<sup>22</sup> These spectra are shifted to lower wavelengths if alcohol is used instead of water as a solvent. The solvent effect has also been observed in photoreduction of acetophenone.<sup>23</sup> On the other hand, the ethanol radical anion is likely to be hydrated in diluted aqueous solutions, since ethanol molecules are hydrated under similar conditions.<sup>24</sup>

Kay, *et al.*, found that the ionic mobility of large organic ions in aqueous binary mixtures changes in a complicated way due to ion-solvent interactions.<sup>25</sup> This is especially pronounced for the dependence of ionic mobility on temperature. The strongly pronounced solvent effect is likely to be related to the microscopic changes in structure around the reacting species. With addition of the cosolvent to the mixtures hydrogen bonds around reacting species change in a way different from that observed in the bulk of the mixture.<sup>12</sup> For this reason, the viscosity of the medium through which the reactants diffuse is not the same as the macroscopic viscosity of the binary mixture. Since thermal energy is sufficient to break the hydrogen bond the specific reorganization of the solvent around reactants will be strongly temperature dependent.

*Short-Range Interactions between the Reacting Species and the Solvent.* According to theories for electron transfer reactions the energy necessary for the activation of the electron transfer is supplied from ion-solvent interactions only; the solvent being considered as a continuum.<sup>4,7,26</sup> This model might be true, as a first approximation, for ions large enough to have no primary solvent shell. These theories neglect the possibility of short-range ion-solvent interactions. The studies on organic molecules in aqueous solutions<sup>12</sup> indicate that they are surrounded with solvent molecules sequestered by hydrogen bonds. In the solvent reorganization preceding the electron transfer the specific

solvation energies may become an important and/or dominant factor in the activation energies of electron transfer reactions. Recently Bockris, *et al.*,<sup>27</sup> pointed out that the continuum theory fails to explain the activation mechanism of electron transfer reactions as it does not consider the interactions of charged species with the solvent shell. For reactions of large organic anions, with exceptionally small electron densities and having aryl and alkyl groups on the surface, interaction with the solvent shell at the initial and transient states must be taken into account. To resolve the mechanism of diffusion from that of activation the diffusion coefficients and the radii of reactants in the binary mixtures would have to be known. Efforts to improve the existing theory for electron transfer reactions are not likely to succeed unless the microscopic properties of the solvent around the reacting species are considered.

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## Simultaneous Electrochemical–Electron Spin Resonance Measurements. II. Kinetic Measurements Using Constant Current Pulse<sup>1</sup>

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The application of simultaneous electrochemical–electron spin resonance (seesr) measurements to obtain rate constants of homogeneous reactions involving electrochemically generated radical ion is discussed. Digital simulation methods were used to compute the time dependence of an esr signal during and following a constant current pulse. Reaction mechanisms involving first-order decomposition, radical ion dimerization, and radical–parent dimerization are discussed. Curves from which the rate constants can be obtained are presented.

### Introduction

The observation of electrochemically generated radical ions by electron spin resonance (esr) has been used in numerous studies. Various cells and experimental procedures have been described in texts<sup>2</sup> and reviews.<sup>3–5</sup> Radicals can be generated either outside of the esr cavity and placed or flowed into the cavity, or they can be generated directly in the cavity (*intra-muros* or *in-situ* generation).

One of the problems inherent in *in-situ* electrochemical generation is the potential drop through the solution over the length of the working electrode which results in a nonuniform current distribution<sup>6</sup> at the working electrode. This effect results from two requirements of the cell: a small cross-sectional area must be used to minimize microwave power dissipation due to the solution in the cavity and a long working electrode and high current must be used to observe a strong signal. To overcome this problem Goldberg and Bard<sup>1</sup> constructed a flat electrochemical cell for rectangular microwave cavities, which permits simultaneous electrochemical–esr (seesr) experiments. In this cell the *ir* drop over the length of solution in the cavity is sufficiently small so that the electrochemical parameters could be measured or controlled accurately while the esr signal is recorded. Furthermore, it was demonstrated that when stable radicals are generated by a current pulse, there is a linear rise of esr signal during the pulse, followed by a steady signal, provided the duration of the experiment is limited to 20–30 sec. After this time, convection and reaction between products of the working and auxiliary electrodes begins. The ability to maintain a steady state esr signal indicates that there is no redistribution of electrode products in the seesr cell which has been the case for many *in-situ* cells,<sup>6</sup> and suggests that kinetic measurements can be made using this cell.

Esr measurements of reaction rates of electrolytically generated radicals have involved flow systems or stopped flow systems.<sup>7–9</sup> A cell design somewhat similar to the one used here also required a flow, but in addition required extensive signal averaging.<sup>10</sup> In this case, rate constants could not be determined, but an estimation of the lifetime could be made.

Electrochemical measurements of homogeneous reaction rates of electrogenerated intermediates usually involve a measurement of the current or potential as a function of time. Often electrochemical measurements are coupled with spectroscopic measurements to identify the particular reaction intermediates. Esr has been useful in the identification of paramagnetic intermediates such as radical ions. In cases where more than one intermediate is generated, it is often difficult to distinguish between the rates of reaction of each intermediate from electrochemical measurements especially when the intermediates are electroactive in the same potential region. Using spectroscopic techniques it is sometimes possible to measure the time dependence of signals which can be attributed to each intermediate. Furthermore, esr should show different spectra for adsorbed species at the electrode than for dissolved species. Often secondary intermediates can be formed which also undergo electron transfer and, therefore, contribute to the current. This may be especially significant when electrochemical reversal measurements are used to measure reaction rates, and could lead to erroneous values for the measured rate. This problem can be partially eliminated by spectroscopic measurements.

This paper suggests a current pulse method of measuring the homogeneous kinetic behavior of electrogenerated radical ions, and shows the appropriate “working curves” from which first- and second-order rate constants can be obtained from seesr measurements. A following paper<sup>11</sup> will show the application of this technique in studies of hydrodimerization of activated olefin radical anions. Similar calculations could also be used for spectroelectrochemical measurements.<sup>12</sup>

The following mechanisms are considered. The initial step in each case is the electrochemical generation of a paramagnetic intermediate



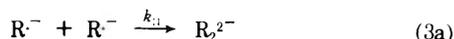
All reactions are written for an initial reduction to the radical anion. The parallel case for radical cations will behave in a similar manner. Either of the three following chemical reactions given in eq 2–4 can then take place. The method of digital simulations by finite difference

equations for a constant current pulse is used to treat the second-order cases.

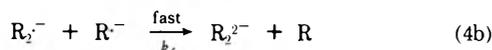
#### First-order decomposition



#### Radical ion dimerization



#### Radical ion-parent dimerization



### Results and Discussion

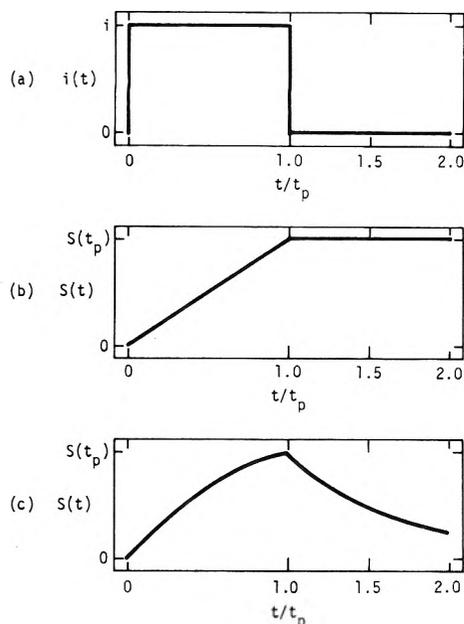
The method selected for determining homogeneous reaction rates requires the measurement of the esr signal during and following a current pulse time of  $t_p$  which is less than or equal to the electrochemical transition time  $\tau$  of the electrode process as shown by

$$t_p \leq \tau = \pi n^2 F^2 A^2 D C_b^2 / 2I^2 \quad (5)$$

where  $n$ ,  $F$ ,  $A$ ,  $D$ , and  $i$  have the usual meaning and  $C_b$  is the bulk concentration. Maintaining  $t_p \leq \tau$  eliminates the problem of less than 100% current efficiency for generation of  $R^{\cdot-}$  and secondary electrochemical reactions. When the current is stopped, the esr signal will either be constant for stable radical ions or decay. We have chosen to measure the ratio of the esr signal at selected times  $t_m$  between  $1.1t_p$  and  $2.0t_p$  to the signal at  $t_p$ . In many cases larger values of  $t_m$  would be equally suitable. Typical current-time and signal-time behavior for stable and unstable radical anions is shown in Figure 1. Ratios of the esr signals are used because the absolute signals are not easily related to a specific concentration as in optical measurements. The signal is very dependent upon the exact cell dimensions and positioning in the cavity and also upon the electrode placement in the cavity.

Potential step methods were also considered. Stepping the potential onto the diffusion plateau of an electrochemical wave followed by switching the cell to open circuit<sup>13</sup> could give similar results. Because this procedure always gives the same boundary condition, *i.e.*, the surface concentration of parent material is zero, this is not as useful in providing diagnostic criteria of different mechanisms since several solutions must be studied to completely diagnose kinetic behavior. In comparison a broad range of currents and times can be used with a single solution provided that fresh solution is flowed into the cell after each measurement. One can also consider stepping to potentials on the rising current portion of the electrochemical wave, but this is difficult in this type of cell since there is still a small  $ir$  gradient through the solution near the working electrode. If a constant current pulse is used, the double layer charging should be negligible with respect to the faradaic current.

In general for any rate measurement using this cell, the value of  $t_m$  should be shorter than 10-20 sec, since convection becomes significant after this time. This coincides with a practical limit of semi-infinite diffusion across



**Figure 1.** (a) Current-time program for experiment. (b) Signal-time behavior for stable electrogenerated radical. (c) Signal-time behavior for unstable electrogenerated radical.

about 0.3 mm, which is half the thickness of the flat cell, before finite diffusion should be considered. Thus about 10 sec determines an upper limit for the half-life that can be measured by this technique.

*Simulations.* In the pulse electrolytic method,  $R^{\cdot-}$  generated at the electrode diffuses into the bulk solution, so that concentrations are nonuniform throughout the cell and the measured esr signal is the integrated signal from the total  $R^{\cdot-}$  in the cell. In chemical or photochemical methods, the radical species is generated more uniformly throughout the cell, and diffusion is not usually a significant problem. Hence, the mathematical treatment of pulse electrolysis in esr requires solution of the diffusion equations of  $R^{\cdot-}$  and  $R$  with the additional appropriate terms for the chemical reactions for all locations in the cell. This was accomplished by means of digital simulation.

The method of calculation of electrochemical process involving current steps and homogeneous reactions using finite difference methods is similar to that described in detail by Feldberg.<sup>14</sup> In this calculation it is not necessary to compute the potential at each increment during which the current is flowing although this is a good test for convergence of the program, and for whether the transition time has been exceeded. With no controls, it is possible to simulate "negative" concentrations. These calculations were carried out in dimensionless form. The fractional concentration of each species  $j$ , in segment  $k$  of the simulated diffusion layer  $F_j(k)$ , was allowed to vary between 0 and 1 where

$$F_j(k) = C_j(k)/C_b \quad (6)$$

( $C_j(k)$  is the true concentration for species  $j$  and  $C_b$  is the bulk concentration.) Edge effects<sup>15</sup> were not considered, and the electrode was assumed to have unit area.

The variable parameters that were selected were the number of increments  $M_t$  during which the current is allowed to flow, the current parameter  $Z_t$ , and the reaction rates. The time is determined from the simulation by the

relation

$$t = (m/M_1)t_p \quad (7)$$

where  $m$  is the unit time increment of the simulation. The value of  $Z_1$  can be considered as the fraction of the bulk concentration in the solution element adjacent to the electrode which is reduced or oxidized during each time increment of the simulation, and defined according to eq 8

$$Z = \frac{1}{FC_b A} \frac{\delta t}{\delta x} \quad (8)$$

where  $\delta t$  is the time increment  $t_p/M_1$  and  $\delta x$  is the thickness of each element of the solution, defined by

$$\delta x = (D\delta t/D_m)^{1/2} \quad (9)$$

where  $D$  is the diffusion coefficient, and  $D_m$  is the dimensionless diffusion parameter. The diffusion coefficients of all species were assumed to be equal. The current calculated from the simulation is, therefore

$$i = Z_1 n F A C_b D^{1/2} M_1^{1/2} / t_p^{1/2} D_m^{1/2} \quad (10)$$

**First-Order Reactions.** The rate parameters can be used in dimensionless form. A first-order reaction during one time increment is given by

$$\delta F_2(k) = -F_2(k)R_1 \quad (11)$$

where  $R_1$  is the dimensionless kinetic parameter  $k_1 \delta t$ . A plot of  $S(t_m)/S(t_p)$  vs.  $k_1 t_p (t_m/t_p - 1)$ , as shown in Figure 2, can be used to determine rate constants. Simulations for a first-order reaction are not necessary, and this curve can easily be calculated from

$$\frac{S(t_m)}{S(t_p)} = \exp\left[-k_1 t_p \left(\frac{t_m}{t_p} - 1\right)\right] \quad (12)$$

where  $t_m \geq t_p$ . As a test for convergence and accuracy of the digital simulation, calculations were made using different numbers of time increments,  $M_1$ , to simulate the current pulse. These results are shown in Table I. Two facts become evident from these results. As expected, as the number of increments is increased, the accuracy of the digital simulation is improved. However, the rate term  $k_1 \delta t$  which represents the fraction of  $R^-$  lost during each time increment also decreases with an increase in  $M_1$  and therefore improves the accuracy of the simulation. It was found that to obtain reasonable accuracy a value of 0.05 or less should be used.

**Radical Ion Dimerization.** Calculations of the mechanism described in eq 3 were also carried out by digital simulations. No assumption need be made about the rate of eq 3b other than either  $R_2^{2-}$  exhibits no esr spectrum or that suitable lines of  $R^-$  can be found which do not overlap those of  $R_2^{2-}$ . Although  $R_2^{2-}$  is probably diamagnetic, it could also be a biradical. When electrochemical reversal measurements are used to determine rate constants, it must be assumed that  $R_2^{2-}$  does not contribute to the reverse current. In this mechanism the decrease of radicals during one time increment is given by

$$\delta F_2(k) = -R_{11} F_2(k)^2 \quad (13)$$

where  $R_{11}$  is the dimensionless rate parameter, given by

$$R_{11} = 2k_{11} \delta t C_b \quad (14)$$

A useful curve similar to Figure 2 requires the formulation of parameters that will fit a wide variety of  $k_{11}$ ,  $i$ , and  $t_p$ .

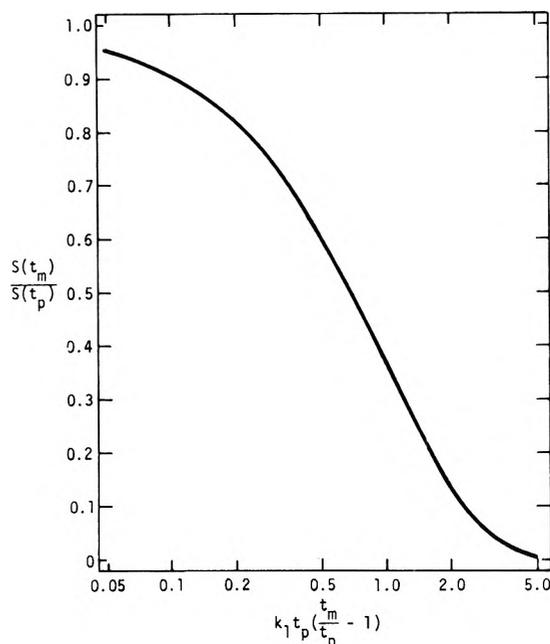


Figure 2. Signal dependence on the rate constant of a first-order decomposition reaction.

TABLE I: Digital Simulation of First-Order Reaction<sup>a</sup>

$M_1$	$R_1$	$S(t_m)/S(t_p)$			
		$t_m/t_p =$			
		1.10	1.20	1.50	2.00
25	0.08		0.6591		0.1244
50	0.04	0.8154	0.6648	0.3604	0.1299
100	0.02	0.8171	0.6676	0.3642	0.1326
200	0.01	0.8179	0.6690	0.3660	0.1340
500	0.004	0.8184	0.6698	0.3675	0.1348
Theoretical <sup>b</sup>		0.8187	0.6703	0.3680	0.1353

<sup>a</sup>  $k_1 t_p = 2.00$ . <sup>b</sup> Calculated from eq 12.

To find these parameters we assume the limiting condition that the concentration of  $R^-$  generated is uniform, so that the average concentration would be of the form  $i t_p^{1/2} / n F A D^{1/2}$ .

The dimensionless parameter for this system which is analogous to that of the usual second-order parameter  $k_{11} t C_R^-$  then becomes

$$Y_{11} = i k_{11} t_p^{3/2} / n F A D^{1/2} \quad (15)$$

The dimensional parameters can be related to the dimensionless parameters described in eq 6-9 and  $Y_{11}$  can also be shown to be related to real parameters through

$$\frac{Y_{11}}{Z_1} \frac{D_m^{1/2}}{M_1^{1/2}} = k_{11} t_p C_b \quad (16)$$

Values of  $S(t_m)/S(t_p)$  for various values of  $Y_{11}$  are given in Table II, and these data are shown plotted in Figure 3. A suitable curve can be selected for each set of data. The most precise determination of  $k$  appears to be in the region where  $S(t_m)/S(t_p)$  is between 0.3 and 0.8.

**Radical-Ion Parent Dimerization.** Theoretical signal-time curves obtained for the mechanism described in eq 4 were considerably more difficult to normalize to appropriate parameters than either of the other mechanisms. Several assumptions must be made here. In general, intermediates of the form  $R_2^-$  react with  $R^-$  as in eq 4b are also

**TABLE II: Values of the Ratio  $S(t_m)/S(t_p)$  with Variation of Current, Rate Constant, and Pulse Time for Radical Ion Dimerization**

$\frac{ikt_p^{3/2}}{nFAD^{1/2}}$	$S(t_m)/S(t_p)$				$\frac{ikt_p^{3/2}}{nFAD^{1/2}}$	$S(t_m)/S(t_p)$				
	$t_m/t_p =$	1.10	1.25	1.50		2.00	$t_m/t_p =$	1.10	1.25	1.50
0	1.000	1.000	1.000	1.000	14.00	0.739	0.569	0.434	0.314	
0.15	0.984	0.964	0.937	0.895	30.00	0.662	0.485	0.358	0.252	
0.40	0.963	0.919	0.963	0.784	75.00	0.564	0.392	0.280	0.192	
0.70	0.943	0.879	0.802	0.701	200.00	0.464	0.308	0.214	0.144	
1.30	0.914	0.825	0.724	0.605	750.00	0.354	0.226	0.154	0.102	
2.50	0.876	0.759	0.639	0.509	3000.0	0.306	0.192	0.130	0.086	
6.00	0.812	0.664	0.530	0.400						

electroactive. If the rate of this reaction is very fast, *i.e.*, much faster than in eq 4a, then the amount of  $R_2^{\cdot-}$  at the electrode is small and can be neglected. It is also likely that  $R_2^{\cdot-}$  is paramagnetic. Based on the previous assumption that reaction 4b is fast, however, there will be no contribution to the esr spectrum from this material. The same consideration of  $R_2^{2-}$  described in the previous section also applies.

The rate equations for this mechanism are

$$d[R^{\cdot-}]/dt = -k_{10}[R^{\cdot-}][R] - k_f[R^{\cdot-}][R_2^{\cdot-}] \quad (17a)$$

$$d[R]/dt = -k_{10}[R^{\cdot-}][R] + k_f[R^{\cdot-}][R_2^{\cdot-}] \quad (17b)$$

Because the rate of eq 4b is fast, a steady-state approximation can be made for species R, so that setting  $d[R]/dt = 0$

$$d[R^{\cdot-}]/dt = -2k_{10}[R^{\cdot-}][R] \quad (18)$$

In terms of the digital simulation this becomes

$$\delta F_2(k) = -2k_{10}C_b \delta t F_1(k) F_2(k) = R_{10} F_1(k) F_2(k) \quad (19)$$

where  $F_2(k)$  represents the relative concentration of  $R^{\cdot-}$ , and  $F_1(k)$  represents the relative concentration of R. The amount of R is essentially unchanged during the chemical reaction following the cessation of the current pulse. In order to obtain a suitable dimensionless ordinate to plot the signal dependence, consider the limiting conditions that a small amount of  $R^{\cdot-}$  is generated uniformly throughout the solution. In this case the reaction rate becomes pseudo-first order so that

$$d[\overline{R^{\cdot-}}]/dt \rightarrow -2k_{10}(C_b - \overline{R^{\cdot-}})[\overline{R^{\cdot-}}] \quad (20)$$

where  $\overline{R^{\cdot-}}$  can represent a weighted average concentration of  $R^{\cdot-}$  in the diffusion layer. As suggested earlier, this value should have the form  $Pit_p^{1/2}/nFAD^{1/2}$  which has units of concentration, and  $P$  is a dimensionless constant. Equation 20 suggests that a suitable parameter could therefore be

$$Y_{10} = k_{10}t_p \left( C_b - P \frac{it_p^{1/2}}{nFAD^{1/2}} \right) \quad (21)$$

where  $P$  depends upon  $t_m/t_p$ , *i.e.*,  $P$  should decrease as the diffusion layer size increases. Digital simulations confirm this behavior. The best values of  $P$  were found to be 0.73 when  $t_m/t_p = 1.1$ , 0.67 when  $t_m/t_p = 1.2$ , 0.55 when  $t_m/t_p = 1.5$ , and 0.45 when  $t_m/t_p = 2.0$ . For eq 21 to be valid,  $C_b$  must always be larger than  $Pit_p^{1/2}/nFAD^{1/2}$ . The case where  $t_p$  is equal to the electrochemical transition time (eq 5) determines the upper limit for  $P$  to be 1.128. In the case where  $t_p \ll t_m$ ,  $P$  should approach zero, and the reaction would appear pseudo-first order. This result is confirmed by digital simulations.

Ratios of  $S(t_m)/S(t_p)$  for various values of  $Y_{10}$  at the optimum values of  $P$  are shown in Table III and plotted in

**TABLE III: Values of the Ratio  $S(t_m)/S(t_p)$  with Variation of Current, Rate Constant, and Pulse Time for Radical-Ion Parent Dimerization**

$Y_{10}$ ( $P = 0.45$ )	$S(2t_p)/$ $S(t_p)$	$Y_{10}$ ( $P = 0.55$ )	$S(1.5t_p)/$ $S(t_p)$	$Y_{10}$ ( $P = 0.67$ )	$S(1.2t_p)/$ $S(t_p)$
0.000	1.000	0.000	1.000	0.000	1.000
0.056	0.894	0.105	0.895	0.266	0.892
0.115	0.791	0.239	0.785	0.600	0.779
0.178	0.700	0.345	0.704	0.870	0.700
0.227	0.635	0.435	0.639	1.166	0.622
0.345	0.498	0.690	0.497	1.628	0.513
0.443	0.410	0.940	0.390	2.28	0.400
0.575	0.316	1.313	0.268	2.80	0.329
0.863	0.180	1.725	0.176	3.99	0.200
1.163	0.099	2.506	0.095	5.50	0.106
2.100	0.012	4.350	0.014	10.58	0.015

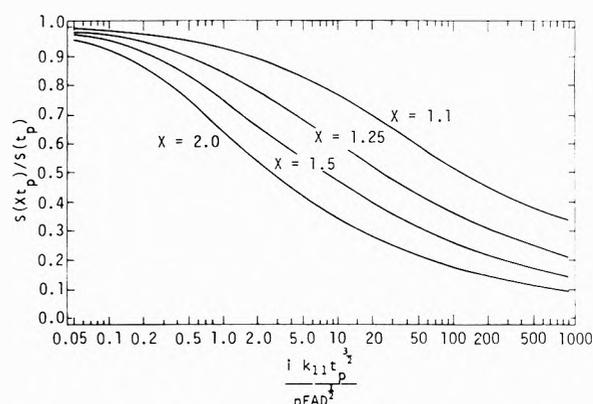
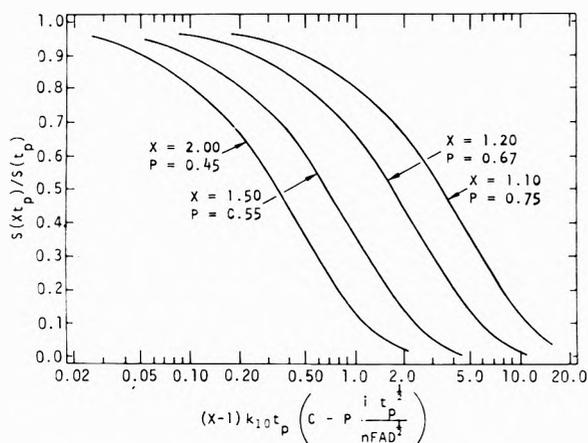
**Figure 3.** Signal dependence for the second-order radical ion dimerization reaction at different values of  $t_m/t_p$  ( $X = t_m/t_p$ ).

Figure 4. Calculated values of  $S(t_m)/S(t_p)$  for the same  $Y_{10}$  with different values of  $Z_t$  (eq 8),  $M_{tp}$ , and  $k_{10}$  agree to within 0.003 units. Thus, although eq 21 may not be an exact description of the behavior of this system, it appears accurate enough for the diagnosis of mechanism and the estimation of rate constants. After the best values of  $P$  were chosen, it was found that the collected data could be plotted on same axis (Figure 5) by multiplying  $Y_{10}$  by  $(t_m/t_p - 1)$ . Calculated points for different values of  $t_m/t_p$  are shown to provide comparison of results. Figure 5 can also be used for the determination of rate constants. To make full use of this diagram, however,  $P$  must be determined as a function of  $t_m/t_p$ .

## Conclusion

We expect that the technique of simultaneous current pulse and esr signal-time measurement can be used to elucidate a wide variety of reaction mechanisms. These examples, mainly drawn from possible dimerization



**Figure 4.** Signal dependence for the second-order radical-ion parent reaction at different values of  $t_m/t_p$  ( $X = t_m/t_p$ ).

routes,<sup>16</sup> serve to illustrate and approach to the determination measurement of rate constants and reaction pathways. The application of this treatment is demonstrated in a companion paper.<sup>11</sup> ESR offers the advantage of being able to monitor individual electrogenerated radical ions in a mixture, and is not as sensitive to contributions of secondary electroactive intermediates.

**Acknowledgments.** The authors thank Dr. C. S. Burton for helpful discussions. The support of the National Science Foundation (GP-6688X) and the Robert A. Welch Foundation is gratefully acknowledged.

## Appendix

### Dimensional Variables

$A$  = electrode area,  $\text{cm}^2$

$C_b$  = bulk concentration,  $\text{mol}/\text{cm}^3$

$D$  = diffusion coefficient,  $\text{cm}^2/\text{sec}$

$F$  = Faraday, 96,500 C/mol

$i$  = current, A

$k_1$  = first-order rate constant,  $\text{sec}^{-1}$

$k_{10}$  = radical-ion parent dimerization,  $\text{cm}^3 \text{mol}^{-1} \text{sec}^{-1}$

$k_{11}$  = radical ion dimerization,  $\text{cm}^3 \text{mol}^{-1} \text{sec}^{-1}$

$n$  = number of electrons transferred

$t_m$  = time of measurement of esr signal, sec

$t_p$  = duration of current pulse, sec

$x$  = distance normal to electrode

$\delta$  = increment

$\tau$  = electrochemical transition time

### Indices

$k$  = index of length perpendicular to electrode

$j$  = species  $j = 1, R; j = 2, R^-$

$m$  = index of time

### Variables

$D_m$  = diffusion parameter used in finite difference methods

$F_j(k)$  = fractional concentration of species  $j$  at center of  $i$ th increment

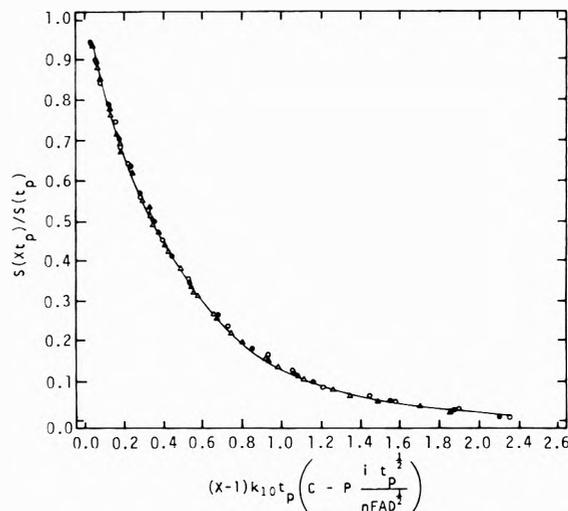
$M_t$  = number of time increments

$R_1$  = rate term used in simulation for  $k_1$

$R_{10}$  = rate term used in simulation for  $k_{10}$

$R_{11}$  = rate term used in simulation for  $k_{11}$

$Z_t$  = current



**Figure 5.** Signal dependence for the second-order radical-ion parent reaction at different values of  $t_m/t_p$ :  $t_m/t_p = 1.1$ , O;  $t_m/t_p = 1.2$ ,  $\Delta$ ;  $t_m/t_p = 1.5$ ,  $\blacktriangle$ ;  $t_m/t_p = 2.0$ ,  $\bullet$ .

**Supplementary Material Available.** More complete tables of  $S(t_m)/S(t_p)$  for  $t_m/t_p = 2.00, 1.50, 1.20,$  and  $1.10$ <sup>17</sup> for both dimerization mechanisms presented will appear following these pages in the microfilm edition of this volume of the journal. Photocopies of the supplementary material from this paper only or microfiche (105 × 148 mm, 24× reduction, negatives) containing all of the supplementary material for the papers in this issue may be obtained from the Journals Department, American Chemical Society, 1155 Sixteenth St., N.W., Washington, D. C. Remit check or money order for \$3.00 for photocopy or \$2.00 for microfiche referring to code number JPC-74-290.

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## Simultaneous Electrochemical–Electron Spin Resonance Measurements. III. Determination of Rate Constants for Second-Order Radical Anion Dimerization

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*In-situ* electron spin resonance–electrochemical measurements have been used to measure the rate constants for the dimerization of diethylfumarate, dimethylfumarate, cinnamionitrile, and fumaronitrile radical anions in dimethylformamide solution. The mechanism was found to be second order in radical anion with rate constants for these reactions at 23° of 33, 160, 2100, and  $>10^5 M^{-1} \text{sec}^{-1}$ , respectively. Both the mechanism and the rate constants agree with values from electrochemical studies. The applicability of controlled current pulse with simultaneous esr detection for elucidation of reaction mechanism is demonstrated.

### Introduction

Electron spin resonance (esr) has been used extensively in electrochemical studies to detect and identify paramagnetic intermediates.<sup>1</sup> Although several techniques have been developed which permit the determination of rate constants of electrochemically generated intermediates, these techniques have used flowing solutions<sup>2</sup> or mixed flow systems in which a solution of a stable intermediate was mixed with a second solution to initiate a chemical reaction.<sup>3</sup> The development of an *in-situ* electrochemical technique in which the solution is not flowing and which could be used to determine rate constants has been difficult. This difficulty is caused by potential gradients parallel to the electrode surface resulting from the high resistance of the thin layer of solution which must be used in these types of experiments. In the conventional esr electrochemical cell, the current density is not uniform over the entire electrode surface.<sup>4</sup> In any reaction in which the reaction order is greater than first order in the electroactive species, such as radical anion dimerization where the reaction rate is proportional to  $[R^-]^2$ , or radical anion–parent dimerization in which the rate is proportional to  $[R][R^-]$ , then the concentration profile of the reactive species must be calculable in order to determine the reaction rate constant. If the current density is nonuniform, then the concentration profile depends upon the electrode dimensions, current, and resistivity of the solution, and becomes exceedingly difficult to calculate.<sup>4</sup>

In the past few years a number of esr cells have been designed to reduce or minimize the potential gradients which cause nonuniform current densities.<sup>5–7</sup> The cell described by Goldberg and Bard<sup>6</sup> permitted good control of electrochemical parameters. A previous paper in this series<sup>8</sup> suggested that this cell could be used to determine rate constants for reactions of electrogenerated radical ions. This paper presents the results of simultaneous electrochemical esr (seesr) measurements of the rate of olefin radical anion dimerization.

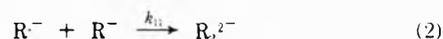
### Method

The following method of studying the decomposition of radical species was suggested. A current pulse of duration  $t_p$  is passed through the seesr cell, where  $t_p$  is less than the electrochemical transition time,  $\tau$  (when secondary processes begin to occur at the electrode) where  $\tau = \pi D/2 \cdot (nFAC/i)$ .<sup>2</sup> The esr signal is recorded from the start of the current pulse ( $t = 0$ ) to a time  $t_m$  equal to  $2t_p$ . The ratio of the esr signal at  $t_m$ ,  $S(t_m)$  to the esr signal at  $t_p$ ,  $S(t_p)$  can then be used to determine the rate constants of a reaction, provided that the current  $i$ , the electrode area  $A$ , the bulk concentration  $C_b$ , and the diffusion coefficients of the species involved in the reaction are known. Here the diffusion coefficients of all reacting species are assumed to be equal. Tables presented in ref 8 relate  $S(t_m)/S(t_p)$  to parameters which contain the rate constant and other known quantities for various mechanisms of radical decomposition.

Mechanisms and rates for the initial step of the dimerization reactions of electrochemically generated radical anions of activated olefins have been the subject of several detailed investigations<sup>9–12</sup> as well as a recent review.<sup>13</sup> The mechanisms for the cathodic dimerization of olefins that have been postulated are shown in eq 1–3. In the first two mechanisms the initial step is the generation of the anion radical.



The anion radical can then undergo a dimerization process which is second order in radical anion



The intermediate  $R_2^{2-}$  then protonates to form the hydrodimer. A second alternative is that the radical anion reacts with a neutral species as shown in eq 3. This reac-

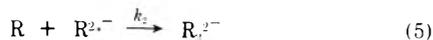


tion is first order in both anion and parent species. The

intermediate  $R_2^{\cdot-}$  quickly reacts with  $R^{\cdot-}$  to form the intermediate  $R_2^{2-}$  and regenerate  $R$ . A third possible mechanism is that  $R_2^{2-}$  is generated electrochemically as in



The dianion then reacts with neutral  $R$  to form the intermediate  $R_2^{2-}$



Because esr signals are obtained upon electrolysis of these species, the latter mechanism can be eliminated. The esr signal-time behavior of each of the two mechanisms involving anion radicals has been described for current-pulse experiments.<sup>8</sup> For the mechanism of eq 2, values of  $S(t_m)/S(t_p)$  are given as a function of  $Y_{11}$  which is defined in

$$Y_{11} = k_{11} i t_p^{3/2} / n F A D^{1/2} \quad (6)$$

Similarly for the mechanism shown in eq 3  $S(t_m)/S(t_p)$  is given as a function of  $Y_{10}$  which is defined in

$$Y_{10} = k_{10} t_p \left( C_b - P \frac{i t_p^{1/2}}{n F A D^{1/2}} \right) \quad (7)$$

where  $P$  is determined by the value of  $t_m/t_p$ . For  $t_m = 2t_p$ ,  $P = 0.45$ .

### Experimental Section

**Reagents.** Tetrabutylammonium iodide obtained from Southwestern Analytical Chemicals was dried under vacuum at 110° for at least 5 hr and stored in a desiccator. Baker Analyzed dimethylformamide was purified according to the method of Faulkner and Bard<sup>14</sup> and stored under helium. Cinnamitrile (Aldrich) and diethylfumarate (Aldrich) was used as received. Fumaronitrile (Aldrich) and dimethylfumarate (K and K) were sublimed three times. Nuclear magnetic resonance spectra of neat diethylfumarate and saturated dimethylfumarate in  $CCl_4$  showed strong absorptions at 6.83 and 6.88 ppm *vs.* TMS respectively. No absorptions at 6.28 or 6.32 ppm were observed even at very high instrument gains which indicates that these reagents were virtually free of the maleate isomer. Furthermore there was no indication of absorptions of any of the half-esters or free acids.

The esr spectrometer used in these experiments was either of two modified V-4502-type esr spectrometers.<sup>6,15</sup> The signal was detected at 100-kHz modulation frequency using a TE 104 dual cavity. Modulation and power broadening permitted the maximum intensity to be obtained and also minimized the effects of drift in the magnetic field. Previous experiments<sup>6</sup> showed that this broadening did not affect the linearity of the signal-time behavior during constant current generation of stable radical anions over a wide range of concentrations. Constant current generation was carried out using essentially the cell and apparatus described and shown in ref 6. The current was monitored by passing the current through a 100- $\Omega$  0.1% precision resistor which was across the input of a Tektronix 564 oscilloscope. The potential was measured against a silver wire quasireference electrode. A Varian C-1024 or an Enhancetron computer of average transients was used to monitor both the potential and the esr signal with time. The reaction of diethylfumarate however was slow enough so that the signal-time and either the current-time or potential-time behavior could be recorded directly on a dual channel strip chart recorder.

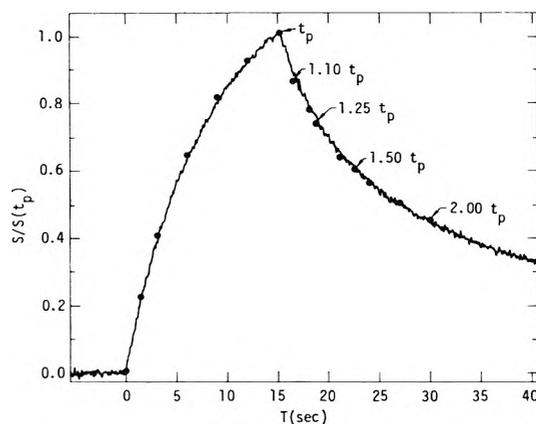


Figure 1. Esr signal time dependence for 7.5 mM diethylfumarate at  $t_p = 15$  sec,  $i = 0.21$  mA. Points show calculated values for  $k_{11}$ , determined at  $S(2t_p)$ .

The procedure proposed in ref 8 was used to determine the reaction rate constants. A constant current pulse of duration  $t_p$  which is less than the electrochemical transition time  $\tau$  was passed through the cell. The esr signal was recorded from the start of the pulse over a time duration which was at least twice the pulse duration as shown in Figure 1. The ratio of the esr signal at twice the pulse time,  $S(2t_p)$ , to the signal at the end of the pulse time  $S(t_p)$  could then be determined. Knowing the experimental parameters such as diffusion coefficients, electrode area, and concentrations, values of  $k_{10}$  and  $k_{11}$  (eq 6 and 7) for reactions 2 and 3 could be determined from the tables presented in ref 8.

### Results and Discussion

Figure 1 shows a typical signal-time curve for diethylfumarate. Points on this curve represent the theoretical signal-time behavior calculated for a value of  $k_{11}$  of 38 as determined from the value of  $S(2t_p)/S(t_p)$  where  $2t_p$  is 30 sec. It has been pointed out that the upper limit of stability of the esr signal of stable radicals is about 20–30 sec,<sup>6</sup> because removal of the anion radical by convection is likely to begin about this time. This may be the reason that the calculated points fall slightly below the experimental curve at times between 15 and 20 sec. The agreement between the calculated and experimental signal time behavior is very good.

Table I shows values of  $k_{11}$  and  $k_{10}$  calculated from the esr signal-time curves for the dimerization of the diethylfumarate. The range of values of  $k_{11}$  for the radical anion dimerization varied from 26 to 40  $M^{-1} \text{ sec}^{-1}$ , with a mean value of 33 and a standard deviation of 4  $M^{-1} \text{ sec}^{-1}$ . This agrees with the value of 34  $M^{-1} \text{ sec}^{-1}$  from double potential step chronocoulometry.<sup>10</sup> Figure 2 compares values of the ratio of the esr signal where  $t_m = 2t_p$  with a theoretical curve drawn for a value of  $k = 33 M^{-1} \text{ sec}^{-1}$ . There is no systematic deviation of the experimental points with respect to the theoretical curve. Values of  $k_{10}$  vary from 4.5 to 19  $M^{-1} \text{ sec}^{-1}$  and exhibit a systematic increase with current. In the limiting condition of reaction 3, *i.e.*, the anion parent dimerization where the current is small, the reaction should approach pseudo-first order so that the rate would be proportional to the bulk concentration and independent of current. This pseudo-first-order behavior was not observed. As the current is increased, the effective rate parameter  $Y_{10}$  should decrease since the rate of anion decay is proportional to the remaining parent

**TABLE I: Rate Constants for Radical-Anion Dimerization and Radical-Anion-Parent Addition Reaction for Dimethylfumarate, Calculated from Experimental Data<sup>a</sup>**

$i$ , $\mu\text{A}$	$t_p$ , sec	$S(2t_p)/S(t_p)^b$	$Y_{11}$	$k_{11}$ , $M^{-1} \text{sec}^{-1}$	$Y_{10}$ , $M^{-1} \text{sec}^{-1}$	$k_{10}$ , $M^{-1} \text{sec}^{-1}$
$C = 2.00 \text{ mM}$						
25	25.0	0.683	0.780	33	0.188	4.8
50	10.0	0.764	0.465	39	0.131	9.0
100	5.0	0.827	0.285	34	0.091	14.7
$C = 4.25 \text{ mM}$						
80	5.0	0.845	0.245	36	0.082	4.5
100	10.0	0.722	0.610	26	0.160	5.0
100	25.0	0.480	3.10	33	0.410	6.5
200	5.0	0.757	0.485	29	0.135	9.9
250	3.5	0.790	0.38	31	0.114	12.3
500	1.0	0.912	0.122	32	0.047	18.5
$C = 7.51 \text{ mM}$						
210	15.0	0.460	3.688	40	0.385	5.4
580	1.60	0.902	0.137	31	0.049	6.1
660	1.0	0.814	0.312	31	0.098	18.6

<sup>a</sup> 0.10 M TBA in DMF, 23°, electrode area is 0.443 cm<sup>2</sup>, diffusion coefficient  $9.5 \times 10^{-6}$  cm<sup>2</sup>/sec (ref 10). <sup>b</sup> Average of two to five experiments recorded directly on chart.

**TABLE II: Rate Constants for Radical Anion Dimerization and Radical-Anion-Parent Addition Reaction for Dimethylfumarate**

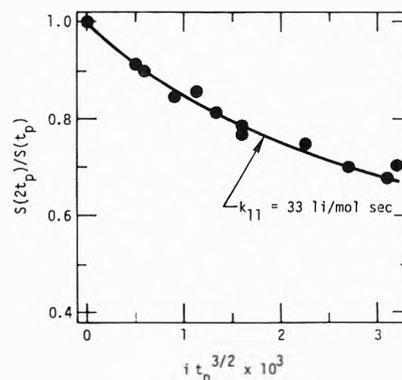
$i$ , $\mu\text{A}$	$t_p$ , sec	$S(2t_p)/S(t_p)$	$Y_{11}$	$k_{11}$ , $M^{-1} \text{sec}^{-1}$	$Y_{10}$ , $M^{-1} \text{sec}^{-1}$	$k_{10}$ , $M^{-1} \text{sec}^{-1}$
$C = 3.24 \text{ mM}$						
270	1.00	0.890	0.160	181	0.055	20
1000	0.32	0.840	0.250	198	0.087	122
$C = 4.30 \text{ mM}$						
550	0.50	0.888	0.162	171	0.053	29
$C = 5.92 \text{ mM}$						
1000	0.80	0.764	0.462	137	0.128	46
1250	0.63	0.782	0.405	133	0.118	45
1570	0.40	0.818	0.310	160	0.097	59
2100	0.32	0.840	0.260	140	0.074	60
Average 160; $\sigma = 26$						

<sup>a</sup> 0.10 M TBAI in DMF at 23°. <sup>b</sup> Each signal time curve is averaged, ten or more repetitions by a CAT. <sup>c</sup> Diffusion coefficient =  $1.07 \times 10^6$  (ref 11).

concentration. By comparison, for the anion radical dimerization, as the current increases, the rate of the reaction increases since it is proportional to the square concentration of the radical anion.

Results of experiments for dimethylfumarate are shown in Table II. Values obtained for  $k_{11}$  range from 133 to 198  $M^{-1} \text{sec}^{-1}$ , with a mean value of 160  $M^{-1} \text{sec}^{-1}$  and a standard deviation of 26  $M^{-1} \text{sec}^{-1}$ . The diagnostic value of this method can be illustrated by comparing data from Tables I and II. Typical signal-time behavior of this system is shown in Figure 3.

It should be noticed that for one mechanism the esr signal-time behavior is a function of  $Y_{10}$  which contains the concentration (eq 7) whereas for the second mechanism, the signal-time behavior is a function of  $Y_{11}$  which is independent of the concentration. By using the same current and pulse duration for different concentrations, these mechanisms could be distinguished. Theoretically, for a given  $t_p$ , the ratio of  $S(2t_p)/S(t_p)$  has the following behavior. For a first-order decay process the value of  $S(2t_p)/S(t_p)$  should not vary with bulk concentration or current; for a radical anion dimerization  $S(2t_p)/S(t_p)$  will be independent of the bulk concentration of electroactive species but will decrease with current; for a radical-anion parent dimerization,  $S(2t_p)/S(t_p)$  will decrease with bulk concentration and also decrease slowly with current. Unfortun-



**Figure 2.**  $S(2t_p)/S(t_p)$  vs.  $i t_p^{3/2}$  for reduction of diethylfumarate. Solid line is drawn for  $k_{11} = 33 M^{-1} \text{sec}^{-1}$ .

nately this systematic analysis was not done for any of the systems studied. For a given concentration, however, the mechanisms could be distinguished by varying the current and pulse time at a constant concentration, as shown for diethylfumarate where  $C$  is 4.25 mM. Values for  $k_{11}$  are relatively uniform whereas  $k_{10}$  varies by more than a factor of 4. One must be aware of the possible condition where  $i t_p^{1/2}$  is nearly constant such as in the series of experiments on dimethylfumarate, with  $C = 5.92 \text{ mM}$

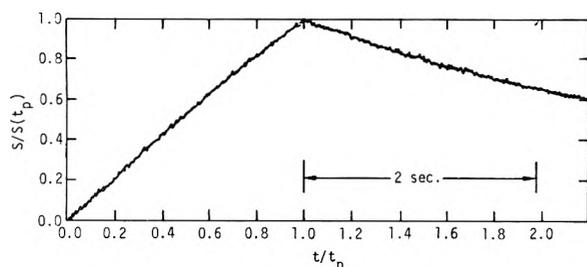
**TABLE III: Comparison of Rate Constants ( $M^{-1} \text{sec}^{-1}$ ) for Dimerization of Anion Radicals of Several Activated Olefins Determined by Various Methods<sup>a</sup>**

	Seesr	Rrde <sup>a,f</sup>	Dps <sup>b</sup>	Cv <sup>c</sup>
Diethylfumarate	30 ± 5		34 ± 3 <sup>e</sup>	
Dimethylfumarate	160 ± 26	110 ± 20	117 ± 6 <sup>d</sup>	160 ± 40 <sup>f</sup>
Cinnamionitrile	2100 ± 500	880 ± 40	877 ± 21 <sup>d</sup>	120 <sup>d</sup>
Fumaronitrile	>10 <sup>5</sup>	3 ± 1.5 × 10 <sup>5</sup>		790 ± <sup>f</sup> Too fast

<sup>a</sup> Rotating ring-disk electrode. <sup>b</sup> Double potential step chronocoulometry and chronopotentiometry. <sup>c</sup> Cyclic voltammetry. <sup>d</sup> Reference 16. <sup>e</sup> Reference 10. <sup>f</sup> Reference 11. <sup>g</sup> Dimethylfumarate solutions containing 0.1–0.2 M TBAI at the Pt electrode.

**TABLE IV: Rate Constants for Radical-Anion Dimerization and Radical-Anion-Parent Addition Reaction for Cinnamionitrile**

$i$ , $\mu\text{A}$	$t_p$ , sec	$S(2t_p)/S(t_p)$	$Y_{11}$	$k_{11} \times 10^{-3}$ , $M^{-1} \text{sec}^{-1}$	$Y_{10}$ , $M^{-1} \text{sec}^{-1}$	$k_{10}$ , $M^{-1} \text{sec}^{-1}$
$C = 1.07 \text{ mM}$						
120	0.402	0.81	0.33	2.88	0.100	260
120	0.804	0.81	0.33	1.02	0.100	140
120	0.804	0.60	1.34	4.14	0.255	360
290	0.402	0.72	0.62	2.24	0.160	530
290	0.402	0.70	0.70	2.53	0.175	570
700	0.100	0.85	0.24	2.92	0.080	1140
$C = 2.84 \text{ mM}$						
650	0.402	0.62	1.18	1.85	0.237	270
650	0.402	0.61	1.26	1.98	0.245	280
650	0.402	0.67	0.85	1.34	0.200	230
680	0.201	0.76	0.48	2.11	0.132	280
680	0.201	0.79	0.38	1.67	0.114	240
680	0.201	0.77	0.44	1.93	0.134	290
980	0.201	0.73	0.58	1.77	0.155	370
1900	0.063	0.80	0.35	3.00	0.110	270
$C = 9.22 \text{ mM}$						
270	0.402	0.79	0.38	1.48	0.114	32
710	0.402	0.60	1.44	2.19	0.255	75
720	0.201	0.77	0.44	1.81	0.134	77
1000	0.201	0.75	0.51	1.52	0.140	82
1950	0.201	0.58	1.51	2.30	0.262	170
1950	0.201	0.58	1.51	2.30	0.262	170
2600	0.201	0.53	2.15	2.46	0.313	215
2600	0.201	0.62	1.18	1.35	0.237	160



**Figure 3.** ESR signal time behavior for 5.9 mM dimethylfumarate at  $t_p = 2.00 \text{ sec}$ ,  $i = 1.0 \text{ mA}$ .

(Table II) under these conditions, the value of  $Y_{10}$  calculated from eq 6 is nearly constant and therefore calculated values for  $k_{10}$  will be relatively constant.

The constants determined from seesr measurements are compared with those obtained from other electrochemical measurements in Table III.<sup>16</sup> Rate constants for diethylfumarate and dimethylfumarate anions obtained here agree well with those obtained by other methods. The value for cinnamionitrile differs considerably from the values obtained from cyclic voltammetry and rotating ring disk electrode measurements.

The mechanism and reaction rate constants for the dimerization of both diethyl- and dimethylfumarate an-

ions obtained by seesr agree with that determined from other electrochemical measurements<sup>10,11</sup> and lead to the conclusion that the predominant mechanism involves coupling of the radical anions. Saveant and coworkers<sup>12</sup> have reached similar conclusions using cyclic voltammetric measurements.

The values of the rate constant for cinnamionitrile determined from the equations based on reaction 2 vary from  $1.02 \times 10^3$  to  $3.00 \times 10^3 M^{-1} \text{sec}^{-1}$  except for one experimental point. The mean value is  $2.03 \times 10^3$  and the standard deviation is approximately  $0.54 \times 10^3$ .

Because the variation of these values is so large, we cannot conclude whether the radical anion coupling is the better mechanism, but it is clear that this mechanism fits the data slightly better than the radical-anion parent reaction, as can be seen in Table IV. Several reasons may account for the 2.3- to 2.6-fold higher rate constant obtained from seesr measurements as compared to the electrochemical measurements. First of all, an irreversible "prewave" is observed from cinnamionitrile solutions<sup>11</sup> which may be due to some impurity in the cinnamionitrile. In the electrochemical experiments, this prewave removed by constant potential electrolysis prior to the electrochemical measurements, but this is not easily done in the seesr cell. Although this prewave is only about 5% of the

limiting current, it could be of significance when low currents or short times are used. Constant potential experiments would probably remove this effect. No significant trend with current or time is observed. It is also possible that at high currents, the current density at the working electrode is not uniform because of small  $i_r$  gradients through the solution between the center and the edge of the electrode and the center of the electrode can be as high as 0.2 V.<sup>4,6</sup> The result of a nonuniformity of the current in this cell would be a concentration gradient of  $R^-$  along a line normal to the edge of the electrode and parallel to the surface.

For slow reactions longer times are involved and this concentration gradient will have sufficient time to become uniform by diffusion.<sup>4</sup> For fast reactions, however, the apparent rate of reaction will become faster than the expected value, since the reaction rate is proportional to the square of the concentration. Finally the deviation between the rate constants determined by esr and electrochemical measurements arises from the possibility that intermediates of the form  $R_2^{2-}$  are sufficiently stable to contribute to the current in the reversal experiments. For example, it could contribute to the ring current in ring-disk experiments or to the oxidation current in cyclic voltammetry. In the analysis of electrochemical data,  $R_2^{2-}$  would be treated as if it were unreacted  $R^-$  and therefore give low values for rate constants.

The reaction of fumaronitrile anion was too fast to give good signal-time behavior. The esr signal quickly levels off at a near steady-state value which appears to be independent of the bulk concentration of fumaronitrile and depends on the current. These results indicate either a first-order decay or radical ion dimerization reaction. From the limited data available, and if a radical anion dimerization is assumed, then the rate constant can be estimated to be in the order of  $3 \times 10^5 M^{-1} \text{sec}^{-1}$ . This agreement is within a factor of 2 of the rate constant determined from ring-disk electrode experiments.

This work demonstrates that *in situ* esr-electrochemical experiments using a nonflowing electrolyte can be used to determine reaction mechanisms involving second-order chemical reactions and also be used to determine the rate constant of the reaction. When the current pulse method described here is used, one must be certain that impurities are not causing the current efficiency to be less than 100% and that the current is evenly distributed over the electrode surface. An alternative method using a potential

step to the limiting current region of the electrochemical reaction; this technique should be considered for future work in order to avoid problems involving less than 100% current efficiency, although current pulse method appears to be satisfactory in many cases. The advantage of the current pulse method is that one concentration of the material can be used to determine the rate constant and mechanism. On the other hand, potential step experiments can only be easily used at the limiting current region. Several different concentrations must therefore be studied in order to determine the mechanism of the reaction. The potential step however offers the maximum rate of generation of radical anions, avoids problems associated with having less than 100% current efficiency, and will often lead to more uniform current densities across the electrode surface.

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## The Laser Raman Spectra of Species Adsorbed on Oxide Surfaces. II

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In this paper we extend our investigation of the Raman spectra of pyridine over oxides. It is demonstrated that it is feasible to distinguish between the heterocycle at Lewis or Brønsted and H-bonded sites on the surface. With recent improvements in technique, fluorescence is no longer a major problem while sensitivity is comparable with that found in the infrared. We demonstrate that the Raman method is rapid and can be applied repetitively to samples appropriate to isotherm measurement. We also demonstrate the feasibility and results from studies of mixed adsorbates (pyridine and piperidine) over alumina and speculate on the value of the method in assaying surface acidity.

### Introduction

In an earlier paper<sup>1</sup> and a preliminary note,<sup>2</sup> part of the laser Raman spectrum of pyridine adsorbed to a series of metal oxides including silica, silica gel, alumina, silica-alumina, magnesia, titania, and a zeolite were reported. In particular, a band near  $1020\text{ cm}^{-1}$  was identified with the heterocycle bonded to a Lewis site while another near  $1000\text{ cm}^{-1}$  was thought to be due to pyridine bonded by hydrogen bonding to a surface OH group. The early experiments were carried out using an He/Ne laser and were hampered by poor spectral quality (*i.e.*, the signal to noise ratio was small) particularly at low surface coverages and also by problems arising from fluorescence. The early experimental techniques have been described elsewhere<sup>3</sup> while Sheppard and coworkers have reported methods of reducing fluorescence.<sup>4</sup> In this paper, we describe improved experimental techniques and a more definitive set of correlations between Raman characteristics of the adsorbed molecule and the nature of the oxide surface. Preliminary experiments with mixed adsorbates are also included.

### Experimental Section

In our earlier work spectra were recorded using  $180^\circ$  excitation on a Cary 81 L laser-Raman spectrometer powered by a Spectra Physics Model 125 He/Ne laser ( $6328\text{ \AA}$  at approximately 30 mW at the sample). We have investigated the suitability of other laser lines (from Ar<sup>+</sup> and Kr<sup>+</sup> lasers) for this type of work and conclude that it is feasible to use up to 200 mW of radiation in the green or blue (*e.g.*, Ar<sup>+</sup> 4880 or  $5145\text{ \AA}$  or Kr<sup>+</sup> 5208  $\text{\AA}$ ) when considerably better quality spectra are produced without decomposition of the sample in the intense laser beam, the improvement in the ratio signal to noise over the older method being more than one order of magnitude from the change in technique alone.

We have also compared the two methods of excitation we have available, *viz.*  $180^\circ$  on the Cary Model 81 and  $90^\circ$  on the Model 82 spectrometer. The geometries are given in Figure 1 where the sample and sample tubes are illustrated. The  $180^\circ$  system gives slightly inferior spectra when a direct comparison is made but has distinct advantages over its competitor in that the sample tube can be removed from the spectrometer (for adsorption or desorp-

tion) and replaced without any tedious optical realignment. Further, the laser is brought to a relatively diffuse focus in the  $180^\circ$  instrument so that the laser flux density at the sample is relatively low. The necessity for the precise location of the sample tube in a  $90^\circ$  system cannot be overemphasized and to this end we have developed sample tube holders adapted to the Cary 82 (Figure 2). The success of the sample tube holder is demonstrable by loading a typical surface with a little more than a monolayer of pyridine and recording the spectrum in the  $\Delta\nu = 1000\text{ cm}^{-1}$  region. If the tube is removed, shaken, replaced, and the spectrum recorded and the process repeated for a further 10 times, the resulting standard deviation in peak heights is less than 6%. We also find that in our  $90^\circ$  system which incorporates  $f/1$  collection the best signal to noise ratio is produced when the tube is angled at approximately  $23^\circ$  to the horizontal. With the 15-cm focal length lens in the laser beam this is fortuitous since it results in a relatively high streak of illuminated area, minimizing again the radiant flux at the specimen.

Samples are examined as powder, lumps, or broken disks with a silica wool pad against an optical flat at one end of the sample tube. To guarantee adequate precision in our estimates of quantity of adsorbate,  $q$ , on the oxide, samples of approximately 1 g are used;  $q$  is estimated by weighing the tube. Pretreatment adsorption and pressure over the adsorbant are monitored on a conventional gas line in which the sample and pressure gauge are contained in an air thermostat (temperature range  $25\text{--}50 \pm 0.05^\circ$ ).

Until recently, the problem of fluorescence has often been the limiting factor in Raman studies of oxide surfaces. This nuisance appeared at first to become worse the higher the pretreatment temperature for the oxide (up to  $400^\circ$ ).<sup>3</sup> We have attempted to define the origin of this fluorescence with little success but it is possible now to minimize the problem. The use of grease-free systems or exposure to air or oxygen while heating seems to be helpful but not reliably so. We find, however, that preheating in a conventional vacuum system at  $10^{-5}$  Torr in the temperature ranges  $25\text{--}350$  or  $>850^\circ$  will contain fluorescence within manageable proportions.

### Results and Discussion

The Raman spectrum of liquid pyridine from the  $20\text{--}4000\text{ cm}^{-1}$  shift is illustrated in Figure 3 with a spectrum

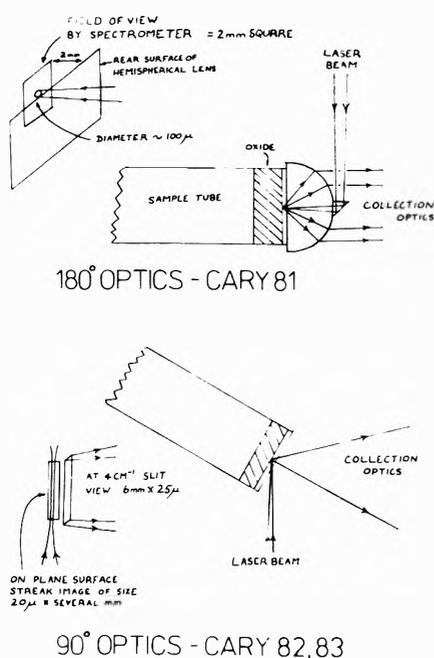


Figure 1. Excitation systems (90 and 180°) in Raman spectrometers.

of the heterocycle adsorbed onto alumina (Spence H of surface area 125 m<sup>2</sup>/g) pretreated by heating under vacuum for 4 hr at 950°. The coverage in this case is more than a monolayer but it is apparent that a number of bands, both strong and weak, characteristic of the adsorbate can be discerned. To demonstrate how the intensities of bands change with coverage we show in Figure 4 a family of spectra of pyridine over alumina for a wide range of coverages.

For diagnostic purposes, two sets of prominent Raman bands are of interest, those due to the skeletal motions near 1000 cm<sup>-1</sup> and to CH stretching vibrations near the 3000 cm<sup>-1</sup> shift. From the spectra structure correlations already developed,<sup>2</sup> and from further studies of the spectra of pyridine over a range of oxides, we have extended our ability to differentiate between pyridine at Lewis sites and Brønsted or hydrogen bonded sites. We are confident that our proposals *vis-a-vis* Lewis sites are reliable, but it is most difficult to ascribe to any bands observed a definitive indication of the distinction between the heterocycle adsorbed to a surface OH group rather than as a pyridinium cation on a Brønsted site (see Table I). To demonstrate the existence in the spectra of the band due to hydrogen bonding, the pretreatment temperature was altered to 400° where it is known that the amount of water removed from the surface is much less. In Figure 5 it is shown that the main difference between the two sets of curves is that the intensity of the band at  $\Delta\nu = 998$  cm<sup>-1</sup> is markedly reduced in the sample treated at 950°, whereas the other bands ascribable to Lewis or possibly Brønsted sites remain fairly constant ( $\Delta\nu = 1020, 3085, 3095$  cm<sup>-1</sup>). The conclusion from this is that this band at 998 cm<sup>-1</sup> is the only band indicative of hydrogen bonding with pyridine.

In view of the importance of Brønsted sites in catalysis, attempts have been made to define bands characteristic of the pyridinium ion in the Raman spectra. The following experiments were carried out.

(i) The surface of  $\gamma$ -alumina was deuterated by equilibrating the solid with liquid D<sub>2</sub>O followed by careful

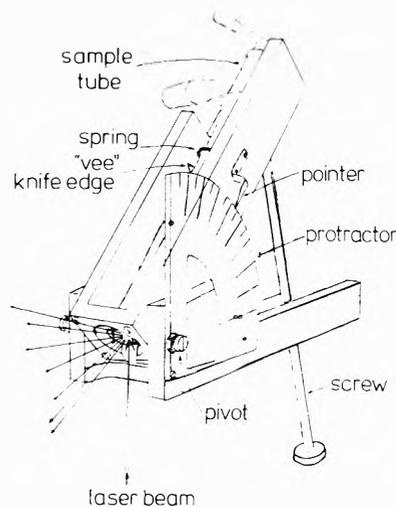


Figure 2. Sample tube holder designed for the Cary 82 and other 90° Raman spectrometers. The holder is shown containing a typical sample tube.

drying and pretreatment in the normal way, followed by adsorption of pyridine. The spectrum of the system was essentially identical with that of the protonated system of pyridine-alumina pretreated to 950°. No bands ascribable to the *N*-deuteriopyridinium cation are found. This confirms two points, *viz.* that at 950° there are relatively few OH sites left that are occupied by pyridine, and that the alumina shows in our technique only Lewis type acidity.

(ii) When pyridine is adsorbed onto silica-alumina (a Crosfield Synclast grade 77 silica, 13% alumina), the Raman spectrum has a prominent band at 1007 cm<sup>-1</sup> which again has a lower intensity for high-temperature pretreated samples indicating that this band is due to H-bonding pyridine. Other bands occur at 3078 and 1020 cm<sup>-1</sup> (Lewis pyridine) and a further band at 3096 cm<sup>-1</sup> which on comparison to pyridinium chloride (C-H stretching mode occurs at 3103 cm<sup>-1</sup>) would appear to be due to the heterocycle associated with a Brønsted site.

(iii) It is known that chlorided alumina surfaces tend to have a higher than normal Brønsted activity.<sup>5,6</sup> A sample of  $\gamma$ -alumina was pretreated under vacuum at 950° and then exposed to a stream of nitrogen carrying carbon tetrachloride vapor while heated to 300° for 3 hr. The evacuated sample was then treated with pyridine. The resulting spectrum bears more resemblance to that of pyridine adsorbed onto silica-alumina than to pyridine over alumina; hence the Lewis bonded pyridine vibrates at a higher frequency (1023 cm<sup>-1</sup>), which is slightly higher than typical for silica-alumina at 1020 cm<sup>-1</sup>. There is also a well defined band at 999 cm<sup>-1</sup> ascribable to hydrogen-bonded pyridine and another at 3093 cm<sup>-1</sup> normally apparent in alumina systems at 3085 cm<sup>-1</sup>, which again would appear to be Brønsted site formation. The relevant data in (i), (ii), and (iii) are collected in Table II.

Thus we must conclude that the Raman method is sensitive at tracing the development of adsorption to Lewis sites and of the species adsorbed by H-bond interaction in addition to the formation of the liquid-like physisorbed layer. It is difficult to find bands definitely ascribable to Brønsted adsorption.

Considering the bands due to Lewis coordinated species, it is clear that the intensity of the band and hence the concentration of species at the surface has some significance in the surface studies. Further, it is normally



TABLE II

Alumina			Chlorided alumina			Silica-alumina		
cm <sup>-1</sup>	L.T. <sup>a</sup>	950 <sup>b</sup>	cm <sup>-1</sup>	L.T. <sup>a</sup>	950 <sup>b</sup>	cm <sup>-1</sup>	L.T. <sup>a</sup>	950 <sup>b</sup>
991	212	200	992	110	61	991	18	95
999	242	90	1002	68		1005	91	
1019	150	150	1022	85	215	1021	42	65
1034	255	180	1033	105	73	1033	60	80
3066	278	165	3066		52	3077	52	95
3079	107	115	3092		63	3094	25	66

	$\Delta$ shift, cm <sup>-1</sup>					
Pyridine (liquid)	991			1033	3060	
Pyridine on alumina	991	999	1019	1034	3066	3083
Pyridinium hydrochloride		1011	1027	1065	3070	3103
Pyridinium deuteriochloride		997	1027	1060	3037	3092
Pyridine on deuterated alumina	991	999	1020	1034	3065	3084

<sup>a</sup> L.T. refers to pretreatment at room temperature. <sup>b</sup> 950° refers to pretreatment at that temperature. Figures are relative intensities for each column.

TABLE III<sup>a</sup>

Piperidine	1008	1033	1050
Pyridine	991	1033	
Piperidine on alumina	1008	1038	1052
Pyridine on alumina	992	999	1019
		1034	

<sup>a</sup> Figures are  $\Delta$  shift in cm<sup>-1</sup>.

proportions of Lewis sites. We are able to confirm that silica-alumina behaves in the same manner. On the other hand, it appears that a significant number of aluminol groups exist on high-temperature pretreated materials, which agrees with data in the literature,<sup>7</sup> although we are not certain that this does not result from adsorption of some water from the vacuum system, subsequent to pretreatment.

It has been proposed that oxides contain sites of wide-ranging acidity. Sites of varying acidity strength may be present in several discrete ranges or could possibly be defined by a fairly continuous statistical distribution. Infrared results appear to illustrate the former.<sup>8</sup> In all our studies of a considerable number of oxides loaded with pyridine we find no evidence for this. The band due to coordinated pyridine at 1019 cm<sup>-1</sup> is clear, fairly sharp, and has a bandwidth at half-height of only 5 cm<sup>-1</sup>, when the spectrum is run on slow scan speed with narrow slits. Further, there is no conclusive evidence of a frequency shift to higher values with decreasing sorption or of any change in the band shape with coverage, from which we conclude that the vast majority of Lewis sites have similar acidities on any one surface. This apparent discrepancy can be explained since in infrared absorption measurements, the intensity of transmitted light is logarithmically proportional to the concentration whereas in Raman the intensity is related linearly. (This statement assumes that "resonance Raman phenomena" in general are not significant; *i.e.*, a classical absorption of the source radiation is not involved in the scattering process. The occurrence or otherwise of these phenomena is checked by demonstrating that the spectra are independent of source wavelength and this has been done in the work reported here.) As a consequence a minor component in a mixture can produce a seemingly relatively intense infrared band even though it is present at low concentration. Further, infrared spectroscopy arises from nonzero values of the parameter  $\partial\mu/\partial q$  ( $\mu$  = dipole and  $q$  = normal coordinate) and this quantity is extremely sensitive to molecular environment and any applied fields.<sup>9</sup> As a consequence the appearance

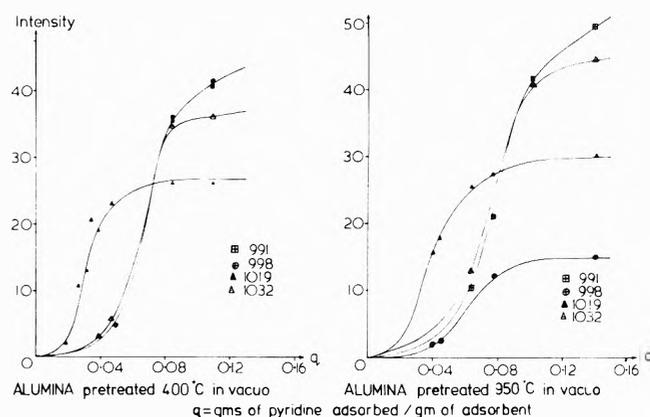


Figure 5. Plots of the relative intensities of a number of the Raman bands found in pyridine sorbed to an oxide surface where the surface has been pretreated under vacuum at two different temperatures.

of infrared bands indicative of sites with nontypical Lewis acidity may not be quantitatively very significant.

In order to attempt a clarification of some of the points raised above, a competition experiment was carried out between an alumina surface loaded with pyridine and piperidine (for spectroscopic data see Table III). The pK values of the two heterocycles are 8.77 and 2.79, respectively; hence it was assumed that any Brønsted sites would be competed for more successfully by piperidine. On the other hand, most coordination chemists would prefer pyridine as a Lewis base. Typical spectra of the system alumina pretreated to 950° for 2 hr *in vacuo* with 30 mg/g of pyridine and piperidine are shown in Figure 6 while relative intensities of bands normalized in intensity to the  $\Delta\nu = 1019$ -cm<sup>-1</sup> pyridine band are expressed in Figure 7. It should be noted that absolute intensity of the 1019 band is decreasing to a constant figure. Thus, it appears that addition of piperidine to a surface where Lewis sites are just saturated with pyridine forces desorption and the migration of the desorbed species to surface OH sites while at a higher piperidine coverage the pyridine partially reverts to a physisorbed layer. When the molar proportion of piperidine exceeds that of pyridine the relative intensities of the bands at 1019, 991, 999 cm<sup>-1</sup> remain constant. Thus the selective competition effects that would have shown up the presence of any Brønsted sites have not occurred and we find no evidence of pyridine occupation for Brønsted sites in this case.

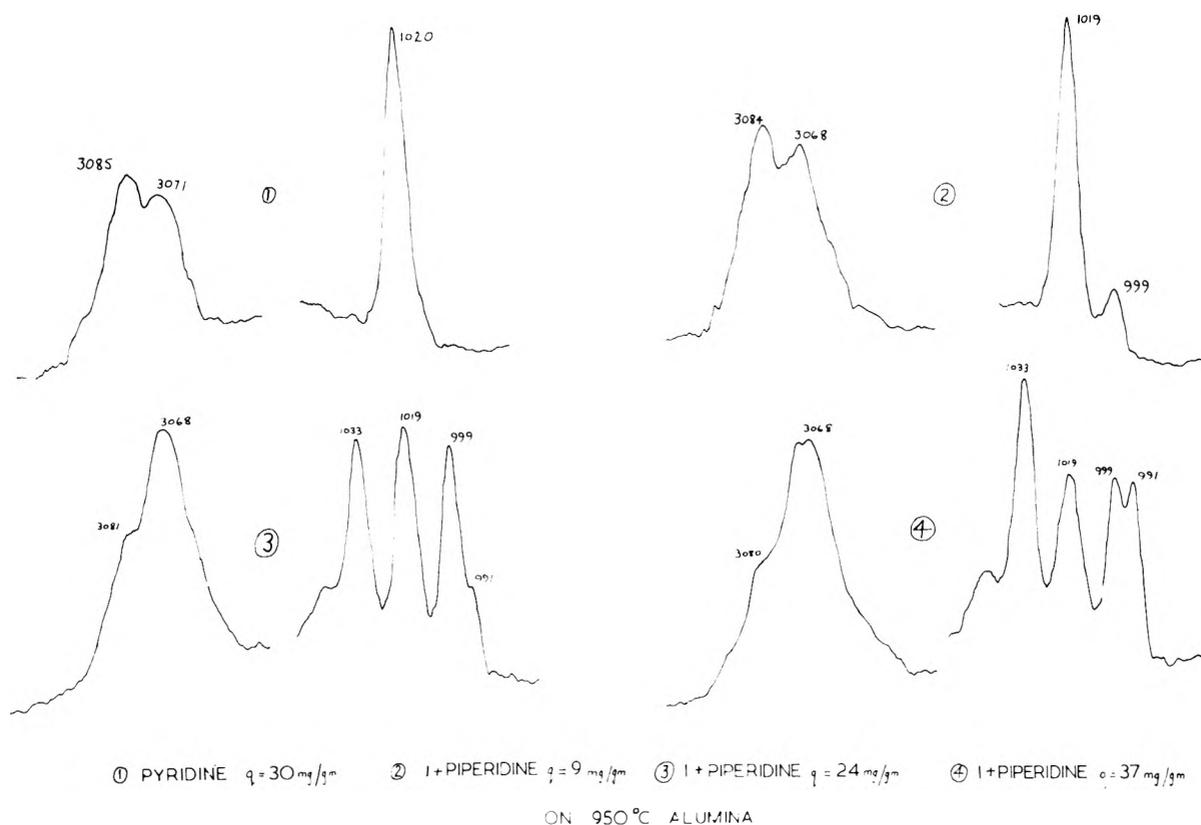


Figure 6. Raman spectra of the system alumina pretreated to 950° with 30 mg/g of pyridine and piperidine.

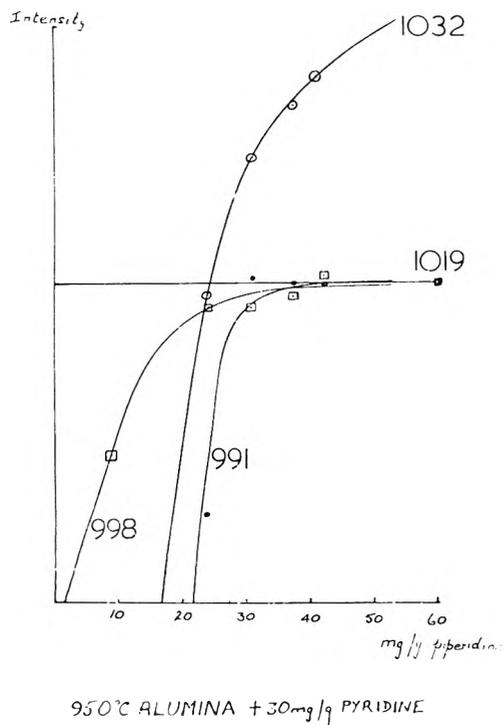


Figure 7. Relative intensities of Raman bands in the system alumina with pyridine and piperidine.

### Conclusions

We have attempted to clarify our earlier proposition that Raman spectroscopy using pyridine as an indicator sorbate is a useful method of assaying oxide surfaces for acidity. It is clear that Lewis sites and surface OH groups

as such can be estimated, but it has not yet proved possible to clearly identify spectral bands which can reliably be ascribed to pyridine at Brønsted sites. Other adsorbates, e.g., lower aliphatic nitriles, may well be of value here.<sup>10</sup>

Using the technique described, it has been possible to follow the effect of pretreatment including heating or exposure to chlorine on surface acidity and to demonstrate that competition experiments between sorbates of widely different pK values are feasible.

Perhaps the most significant conclusion is that Raman methods as they now exist provide a very rapid method of following changes in the vibrational spectra of sorbed molecules since the acquisition of spectral data over the pertinent frequency range is rapid (typically < 15 min per spectrum). Further, the oxide needs no special manipulation (e.g., the production of a thin compressed disk) before use, and isotherm data can be recorded simultaneously with the Raman spectra on the same sample.

*Acknowledgment.* The authors wish to thank the Science Research Council for financial assistance including a postgraduate award to I. D. M. T.

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## Mathematical Approach for Stopped-Flow Kinetics of Fast Second-Order Reactions Involving Inhomogeneity in the Reaction Cell

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Despite optimization of cell design, the shortest reaction lifetime accessible for stopped-flow studies on second-order reactions is inevitably limited by two factors: (i) the time required to achieve complete mixing of the reactants and (ii) the time required to fill the reaction cell as manifested in a concentration gradient within the monitoring path length (the *slit-length* error). To extend the resolvable lower time limit for any stopped-flow instrument by approximately one order of magnitude, a mathematical approach is described which involves (a) the selection of an arbitrary time zero corresponding to a time after mixing is complete (with an appropriate adjustment of the *apparent* initial reactant concentrations) and (b) the division of the monitored slit length into a number of discrete homogeneous segments. As an example system, the proposed treatment is applied to stopped-flow measurements of hydroxycopper(II) ion reacting with the open-chain tetramine 1,4,8,11-tetraazaundecane (2,3,2-tet) in aqueous NaOH media at 25°. At the highest NaOH concentration, an observed second-order rate constant of  $7 \times 10^6 M^{-1} \text{ sec}^{-1}$  is consistently resolved even with reactant concentrations as high as  $2 \times 10^{-4} M$  where the calculated reaction half-life (700  $\mu\text{sec}$ ) is less than one-fifth the rated instrumental dead time (5 msec).

In utilizing the stopped-flow technique (Figure 1) for studying the kinetics of fast reactions, a limiting factor is introduced by the time required to mix the reactants and fill the reaction cell. When the mean lifetime of the reaction is within an order of magnitude of the filling time, two problems are encountered: (a) the "apparent" starting time of the reaction is not identical with the initial mixing time due to incomplete mixing in the initial stages of the flow (region P-R in Figure 1), and (b) the reactant concentrations are inhomogeneous over the length of the cell due to the fact that the solution at the far end of the cell (point T) represents a longer reaction time than the solution at the cell entrance (point R).

These sources of error have been acknowledged previously and several attempts have been made to formulate mathematical descriptions of the observed kinetic behavior in terms of the fluid mechanics governing the flow.<sup>1</sup> In most instances, the difficulties associated with the derivation of a reliable model for describing the mixing process have appeared insurmountable, so that the resulting treatments have omitted all consideration of the errors arising from incomplete initial mixing and have been confined to a description of the concentration gradient within the observation path length—the so-called "slit-length error."<sup>1,2</sup> However, since the two problems always coexist,<sup>1</sup> the inherent assumption of instantaneous mixing in these treatments must necessarily limit the successful application of even the most sophisticated description of the concentration inhomogeneity associated with the "slit length."

As a practical matter, the foregoing problems are of no consequence for first-order reactions since the mean lifetime is independent of reactant concentrations. For second-order reactions, however, the mean lifetime does depend upon reactant concentrations such that a deviation of the "apparent" initial concentrations from the theoretical values (as a result of the noninstantaneous mixing)

along with any inhomogeneity in the observation path may give rise to serious errors in the data resolution of very fast reactions.

A proposal<sup>2</sup> that the foregoing errors associated with second-order reactions may be circumvented by utilizing an excess of one reactant to reduce the apparent kinetic behavior to "pseudo" first order is, in reality, self-defeating since the required increase in the concentration of one reagent further decreases the mean lifetime of the reaction. As noted by Caldin,<sup>3</sup> "the highest second-order rate constant accessible by a given method depends as much on the sensitivity of the technique to low concentrations of reagent as on the least time interval that it can resolve." Accordingly since flow cells are generally of small diameter (1-3 mm) in order to optimize the internal flow rate, enhanced sensitivity is obtained by taking measurements down the length of the observation tube (from R to T: generally 10-20 mm) rather than through the cross-section (point S), thereby accentuating the inhomogeneity problem.

No attempt to deal simultaneously with both the problems of non-instantaneous mixing and the concentration gradient in the observation path has been previously presented and tested for second-order reactions. In this article we present a simple mathematical approach to this combined problem and illustrate its application under experimental conditions. The approach described involves (a) selecting an arbitrary time zero at some time after the flow has been stopped and, correspondingly, adjusting the "initial" reactant concentrations existing at this time, and (b) relating the reactant concentrations at each point in the cell to (i) the distance of that point from the point of entry of the solution into the cell and (ii) the "apparent" flow rate of the reaction solution during the filling of the cell. The selection of an arbitrary zero time at a point after the flow is stopped and mixing is complete eliminates the influence of incomplete mixing upon the calcu-

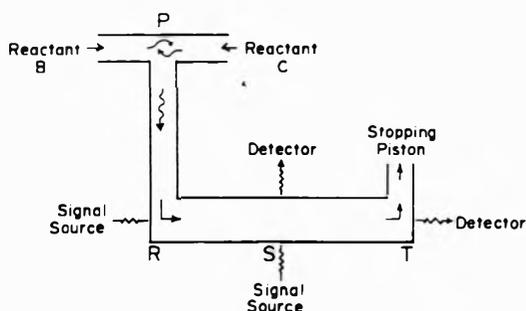


Figure 1. Schematic representation of a stopped-flow cell illustrating alternative modes of concentration measurement by making observations across the cell diameter (point S) or down the length of the reaction cell (point R to point T).

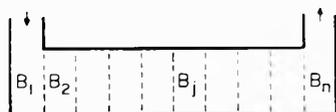


Figure 2. Division of the reaction cell into  $n$  equal segments. Note that segment  $B_1$  is at the point of solution entry into the cell.

lations. However, evaluation of the apparent "initial" reactant concentrations corresponding to the selected time zero imposes a new restriction upon the calculations which is circumvented in the treatment described below by an iterative approach.

The treatment is described only in terms of systems containing stoichiometric concentrations of reactants where the reaction proceeds to completion. However, the same approach can be extended, with accompanying complexity in the calculations, to systems where these conditions do not apply. The use of the approach described may extend the accurate application of any stopped-flow apparatus to reaction rates which are about an order of magnitude faster than the upper limit which might otherwise be assumed to exist.

### Derivation

*General Reaction.* Consider the second-order reaction



Assuming that reaction 1 proceeds to completion, *i.e.*

$$-\frac{dB}{dt} = kBC \quad (2)$$

the following integrated rate equation applies to the situation where the initial concentrations of B and C are equal ( $B_0 = C_0$ )

$$\frac{1}{B} = kt + \frac{1}{B_0} \quad (3)$$

*Approach.* Divide the reaction cell into  $n$  equal segments (Figure 2), each of which is sufficiently small to contain essentially homogeneous concentrations of reactants and products. For each homogeneous segment

$$\frac{1}{B_j} = kt + \frac{1}{B_{j0}} \quad (4)$$

Define  $t = 0$  as the time when the flow is stopped such that the initial concentration of reactants within each homogeneous segment ( $B_{10}, B_{20}, \dots, B_{j0}, \dots, B_{n0}$ ) is different. However, these concentrations are related by the ef-

fective flow rate in the cell prior to the stopping of the flow and the distance of each segment from the point of flow entry into the cell (*i.e.*, from segment  $B_1$ ).

Define  $t_x$  (in seconds) as the apparent time for the solution to flow the entire length of the cell

$$t_x = \frac{\text{volume of the cell (ml)}}{\text{apparent flow rate (ml/sec)}}$$

then

$$\frac{t_x}{n} = \text{apparent time of flow through each segment}$$

From eq 3

$$\frac{1}{B_{j0}} = k(j-1) \left( \frac{t_x}{n} \right) + \frac{1}{B_{10}} \quad (5)$$

Substituting eq 5 into eq 4

$$\frac{1}{B_j} = k \left[ t + (j-1) \frac{t_x}{n} \right] + \frac{1}{B_{10}} \quad (6)$$

*Relation to Absorbance.* Assuming the reactant concentrations are to be monitored by absorbance measurements at a fixed wavelength, the absorbance for each segment may be represented as

$$A_j = \frac{b}{n} (\epsilon_B B_j + \epsilon_C C_j + \epsilon_D D_j) \quad (7)$$

where  $\epsilon_B$ ,  $\epsilon_C$ , and  $\epsilon_D$  represent the molar absorptivities and  $b$  represents the total cell length (cm). Since  $B_j = C_j$  and  $D_j = B_0 - B_j$ , where  $B_0$  represents the theoretical initial concentration (*i.e.*, the concentration of B in the syringe compensated for dilution upon mixing), eq 7 may be rewritten as

$$A_j = \frac{b}{n} (\Delta\epsilon B_j + \epsilon_D B_0) \quad (8)$$

where  $\Delta\epsilon = \epsilon_B + \epsilon_C - \epsilon_D$ . At infinite time, all segments will be homogeneous yielding a total absorbance of

$$A_\infty = b\epsilon_D B_0 \quad (9)$$

Substituting eq 9 into eq 8

$$A_j = \frac{b}{n} \left( \Delta\epsilon B_j + \frac{A_\infty}{b} \right) \quad (10)$$

The total absorbance measured at any time,  $t$ , will be

$$A_t = \sum_{j=1}^n A_j = \sum_{j=1}^n \frac{b}{n} (\Delta\epsilon B_j + A_\infty/b) = \frac{b}{n} \Delta\epsilon \left( \sum_{j=1}^n B_j \right) + A_\infty \quad (11)$$

Rearranging

$$\frac{n(A_t - A_\infty)}{b\Delta\epsilon} = \sum_{j=1}^n B_j \quad (12)$$

or

$$\frac{n(A_t - A_\infty)}{b\Delta\epsilon} = B_{10} \sum_{j=1}^n \left( \frac{1}{kB_{10} \left( t + \frac{(j-1)t_x}{n} \right) + 1} \right) \quad (13)$$

Utilizing eq 13, an arbitrary value of  $B_{10}$  is inserted and the value of  $k$  is calculated for several points during the course of the reaction. The value of  $B_{10}$  is then iterated

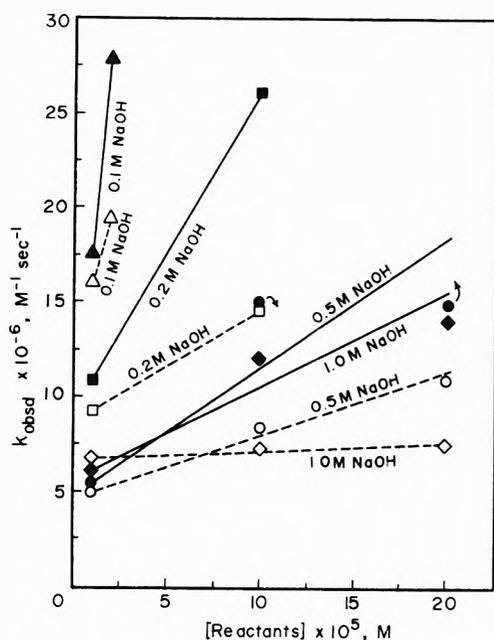


Figure 3. Plot of the observed second order rate constant,  $k_{\text{obsd}}$ , for the reaction between hydroxycopper(II) and 2,3,2-tet as a function of reactant concentrations in various NaOH media. The open symbols and broken lines represent the results obtained using eq 13 as described in this article (where  $n = 5$ ). The solid symbols and lines represent the results obtained using an empirical correction in which  $B_0$  has been adjusted to represent the apparent "average" initial concentration of reactants in the cell based upon the value of the observed absorbance,  $A_0$ , at an arbitrarily selected "time zero" (after the stopping of the flow) as applied to the equation  $(A_t - A_0)/(A - A_t) = kB_0t$ . Failure to make even the latter correction results in still larger deviations than those represented here due to the problems associated with incomplete mixing in the region  $\geq R$  (Figure 1).<sup>8</sup>

until a constant value of  $k$  is obtained at all points. The requisite calculations are facilitated by utilizing an appropriate computer program. (Note that, in practice, the value of  $t = 0$  may be arbitrarily selected at any time past the point at which the flow is stopped.)

In principle, the value of  $t_x$  may be approximated from the established flow rate of the apparatus used under the prevailing operating conditions. However, since the applicable value required is actually an apparent flow rate as modified by the driving and stopping of the flow, it is undoubtedly preferable to generate this value either by (i) treating it as a third unknown (along with  $k$  and  $B_{10}$ ) in eq 13 or (ii) by calibration using a reaction system of known  $k$  value wherein  $t_x$  and  $B_{10}$  are then treated as the remaining variables.

**Limitations.** The limitations of this approach are quite obvious from eq 13. When the time required to fill the cell,  $t_x$ , is large relative to the values of  $t$  over which the absorbance is changing significantly, then the term containing  $t_x$  dominates and sensitivity is lost. Thus, this approach is probably most useful for reactions in which the half-life is within an order of magnitude on either side of the filling time (i.e.,  $10/t_x \geq kB_{10} \geq 10^{-1}/t_x$ ); for slower reactions this approach is unnecessary and for faster reactions the stopped-flow method is insensitive. When the half-life is comparable to the filling time, failure to use the approach described above results in rate constants which are in error by a factor of 2 or 3.

An additional limitation arises from the fact that the solution is not introduced into the cell in an infinitely

thin layer. Thus, in a 10-mm cell if the influx stream is 1 mm thick, it is questionable whether a division of the cell into more than  $n = 10$  segments is warranted. For this reason we have not attempted to treat the change in reactant concentrations over the length of the cell as a continuous integral as has been suggested elsewhere.<sup>2</sup>

### Application

The mathematical approach described above has been applied by us to the complex formation reaction of Cu(II) with 1,4,8,11-tetraazaundecane (2,3,2-tet) under conditions of very high pH where the copper ion exists as a mixture of the soluble hydroxy species,  $\text{Cu}(\text{OH})_3^-$  and  $\text{Cu}(\text{OH})_4^{2-}$

$$-\frac{d[\text{Cu}(\text{OH})_x]}{dt} = k_{\text{obsd}}[\text{Cu}(\text{OH})_x][2,3,2\text{-tet}] \quad (14)$$

This system is ideal for testing the utility of eq 13 in that the value of  $k_{\text{obsd}}$  varies as a function of hydroxide concentration permitting us to test the relative success of this approach to  $k_{\text{obsd}}$  values of differing magnitude.

As previously reported,<sup>4,5</sup> the apparent rate constant for this system in 1.0 M NaOH was found to vary greatly with varying reactant concentrations when a standard mathematical treatment was applied to the stopped-flow curves. Inexplicably, no discernible concentration trend was observed, reactant concentrations of 1, 2, and  $10 \times 10^{-5} M$  yielding  $k_{\text{obsd}}$  values of 30, 6, and  $18 \times 10^6 M^{-1} \text{sec}^{-1}$ , respectively.<sup>5</sup>

As illustrated in Figure 3, correction for mixing errors alone<sup>6</sup> (solid symbols) on data generated in our laboratory (using an Aminco-Morrow mixing apparatus) results in a rate constant trend which is apparently improved over Cabiness' data for similar reactant concentrations. However, the application of eq 13 to our data (using  $n = 5$  for a 10-mm observation path) yields a nearly constant value of  $k_{\text{obsd}} = 7 \times 10^6 M^{-1} \text{sec}^{-1}$  in 1 M NaOH.<sup>7</sup> (Generated  $B_{10}$  values are 0.90 ( $\pm 0.14$ ), 8.1 ( $\pm 0.8$ ), and  $8.5 (\pm 2.5) \times 10^{-5} M$  for runs involving  $B_0$  values of 1.0, 10.0, and  $20.0 \times 10^{-5} M$ , respectively.)

For lower NaOH concentrations, larger values of  $k_{\text{obsd}}$  are obtained and greater dependence of this constant on the reactant concentrations is observed in agreement with the limitations of eq 13 as outlined above. (Relative standard deviations for all  $k_{\text{obsd}}$  values obtained via eq 13 as represented in Figure 2 fall between 5 and 40%). It should be noted, of course, that the residual positive slope for these data implies that the correction for mixing and inhomogeneity errors is incomplete. Even under these circumstances, however, it would appear that a reasonable estimate of the "correct"  $k_{\text{obsd}}$  value may be obtained experimentally by extrapolation to zero reactant concentration, although the extrapolation is presumably nonlinear.<sup>8</sup>

**Acknowledgment.** This work was supported by the National Institute of General Medical Sciences under Grant GM-12596.

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- (7) The applied value of  $t_x = 3$  msec was *not* experimentally determined but was derived from the published flow rate for our apparatus: 10–12 ml/sec at 60–70 psi; cell volume, 32  $\mu$ l (Instruction Manual 939, American Instrument Co., Silver Spring, Md).<sup>8</sup>
- (8) Note Added in Proof. By utilizing a Biomation Model 802 transient recorder in the pretrigger-record mode, the filling time for our stopped-flow cell has recently been determined experimentally to be 5.5 ( $\pm 0.5$ ) msec at a driving pressure of 60 psi. Insertion of this value of  $t_x$  in eq 13 and optimization of all other parameters yields values of  $k_{\text{obsd}}$  which are essentially independent of reactant concentration (*i.e.*, the broken lines in Figure 3 become horizontal). For each NaOH concentration, the resultant value obtained for  $k_{\text{obsd}}$  is approximately two-thirds the value illustrated in Figure 3 for  $1 \times 10^{-5}$  M reactant concentrations (*cf.*, C. T. Lin, D. B. Rorabacher, G. R. Cayley, and D. W. Margerum, to be submitted for publication).

## Electron Spin Resonance Study of Synthetic Cellulose Fiber and Film

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Esr spectra of cellulose fiber and film loaded with  $\text{VOCl}_2$  and  $\text{Cu}(\text{glycinate})_2$  were examined. The orientation dependence of the spectra suggests a preferred orientation of the cellulose platellite relative to the macroscopic geometry of the samples.

### Introduction

The morphology and the structure of cellulose have been the subjects of many investigations.<sup>1</sup> Baugh, *et al.*,<sup>2</sup> showed that the electron spin resonance (esr) spectra of complexes resulting from the interaction of fibrous cotton cellulose with cupriammonia dihydroxide and cupriethylene diamine dihydroxide indicate a preferred orientation of the complexes relative to the geometry of the fibers. The preferred orientation is such that the symmetry axis of the copper complex is perpendicular to the direction of the cellulose fiber.

We report here the results of similar esr experiments obtained from rayon fiber, Fortisan (manufactured by American Viscose, FMC Corp.) and cellulose film (sausage casing manufactured by Union Carbide Corp.) loaded with  $\text{VOCl}_2$  and copper glycinate,  $\text{Cu}(\text{H}_2\text{NCH}_2\text{COO})_2$ . The latter was chosen for its large planar structure and ability to hydrogen-bond. The samples were first swelled by 1 N LiOH solution, placed in 0.1 M solutions of the paramagnetic salts noted above, and finally rinsed with distilled water and dried at room temperature. The esr spectrometer used operated at an X band ( $\nu = 9.130$  GHz) and all the spectra were observed at 77°K.

### Results and Discussion

Figure 1 shows the spectra of the fiber loaded with  $\text{VOCl}_2$  observed with the magnetic field parallel and perpendicular to the direction of the fiber. The spectra resemble, as expected, that of randomly oriented  $\text{VO}^{2+}$  ions.<sup>3</sup> However, a substantial orientation effect can be noted such that the parallel components (the signals expected when the magnetic field is parallel to the V–O internuclear direction) appear the strongest when the field is perpendicular to the direction of the fiber. No significant orientation effect was observed with the film loaded

with  $\text{VOCl}_2$ . On the other hand, in the case of  $\text{Cu}(\text{glycinate})_2$ , strong orientation dependence was observed with both the fiber and the film (Figures 2 and 3). The parallel components of the copper complex (those expected when the field is parallel to the symmetry axis, hence perpendicular to the plane of the complex) are almost totally absent when the magnetic field is applied in the direction of the fiber or parallel to the plane of the film.

A generally accepted concept of cellulose morphology is that a linear polysaccharide chain is folded back and forth to form a platellite of approximately (30–50 Å)  $\times$  (300–500 Å), and several layers of these platellites are stacked to-

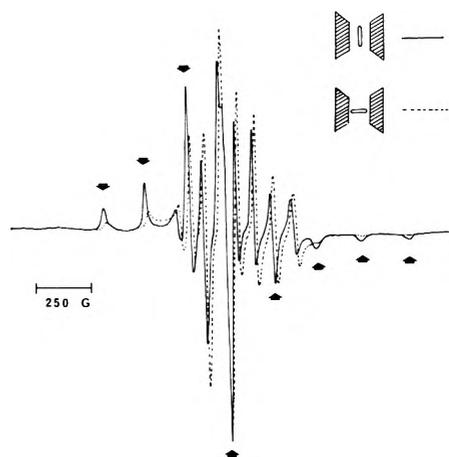
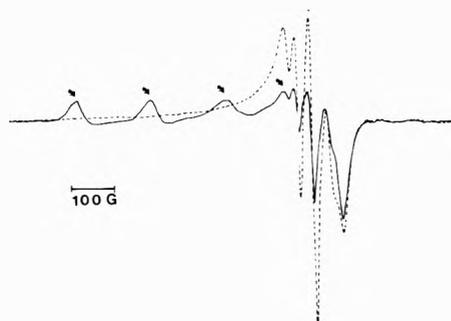
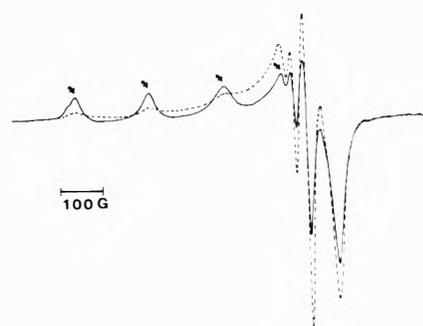


Figure 1. The esr spectra of  $\text{VOCl}_2$ -loaded rayon fiber observed with the magnetic field perpendicular to (solid line), and parallel to (broken line) the fiber. The arrows indicate the parallel components, an octet due to hyperfine interaction with  $^{51}\text{V}$  ( $I = 7/2$ ). The spectra are shifted from each other to facilitate the comparison.



**Figure 2.** The esr spectra of  $\text{Cu}(\text{glycinate})_2$ -loaded rayon fiber observed with the magnetic field perpendicular to (solid line) and parallel to (broken line) the fiber. The arrows indicate the parallel components, a quartet due to hyperfine interaction with  $^{63}\text{Cu}$  and  $^{65}\text{Cu}$  (both  $I = 3/2$ ).



**Figure 3.** The esr spectra of  $\text{Cu}(\text{glycinate})_2$ -loaded cellulose film observed with the magnetic field perpendicular to (solid line), and parallel to (broken line) the plane of the film. The arrows indicate the parallel components.

gether to form a crystallite or an elementary fibril.<sup>1</sup> Swelling with  $\text{LiOH}$  solution is known to increase the interplatellite spacing and to facilitate occlusion of foreign material. In fibers, the individual chain within each platellite runs parallel to the direction of the fiber. It thus follows that the plane of the platellite is also parallel to the direction of the fiber.

The present result with the fiber suggests that  $\text{VO}^{2+}$  ion complexes with individual cellulose chain in such a manner where the V-O internuclear direction is perpendicular to the chain. The result with  $\text{Cu}(\text{glycinate})_2$  can be understood if the large, planar copper complex interacts with the planes of the platellites. The results obtained from the film suggest that not only is the integrity of the platellites maintained within the film, but there exists a coherence between the planes of the microscopic

platellites and the macroscopic plane of the film. The lack of orientation effect of the  $\text{VO}^{2+}$  ions in the film is attributed to the two-dimensional randomness of the platellite arrangement within the film.

The present study thus demonstrates a possibility of probing by esr the morphology of cellulose and related materials using paramagnetic species of different sizes as well as configurations.

#### References and Notes

- (1) See, for example, the Proceedings of the Seventh Cellulose Conference, *J. Polym. Sci., Part C*, **36**, 343 (1971).
- (2) P. J. Baugh, O. Hinojosa, J. C. Arthur, Jr., and T. Mares, *J. Appl. Polym. Sci.*, **12**, 249 (1968).
- (3) See, for example, the esr spectrum of  $\text{VO}^{2+}$  acetylacetonate in glassy matrices reported by H. R. Gersmann and J. D. Swallen, *J. Chem. Phys.*, **36**, 3221 (1962).

## COMMUNICATIONS TO THE EDITOR

### Comment on "Triplet Formation in Ion Recombination in Spurs" by J. L. Magee and J-T. J. Huang

Sir: Magee and Huang<sup>1</sup> have recently calculated the yields of singlet and triplet states in spurs produced by high-energy radiation. In the simplest case, they assume that no spin relaxation occurs, *i.e.*, a singlet ion pair ( $n = 1$ ) undergoing geminate recombination can only give singlet states if the initial molecule is in a singlet state; spin relaxation is expected to be negligible in mobile liquids.<sup>2</sup> If the electron spins were not initially correlated, the probability,  $P_T$ , of obtaining a triplet state would be  $3/4$ . In the case of a spur containing two ion pairs ( $n = 2$ ). Magee and Huang would argue as follows: the overall state of the system must remain singlet, but four spins can be paired in two different ways to give a resultant  $S$  of zero; these

correspond to the two individual molecules in singlet states and the two in triplet states with opposed  $S$  vectors; after recombination the two states are equally probable so  $P_T = 1/2$ . This result is generalized to give

$$P_T = \frac{3}{4} \{1 - 1/(2n - 1)\} \quad (1)$$

(In practice, of course, there are a number of complications;<sup>1,2</sup> *N.B.* the orbitals involved are not considered: recombination will release enough energy to produce excited states.) Using a more detailed wave-mechanical description of spur recombination, Higashimura, *et al.*,<sup>3</sup> obtained a different result

$$P_T = \frac{3}{4}(1 - 1/n) \quad (2)$$

In this note, a simple statistical argument is used to show that (1) is correct for neutral radical recombination, and (2) for radical cation-electron recombination. For simplicity, consider two ground-state hydrogen molecules,

AB and CD, split into four neutral atoms. These may pair up (i) AB, CD giving two singlet molecules, (ii) AD, CB, or (iii) AC, BD; ii and iii will each give  $\frac{1}{2}$  singlet,  $\frac{3}{2}$  triplet on the average. If i, ii, and iii are equally probable, the net result is  $P_T = \frac{1}{2}$ ; this can be generalized to give eq 1. Now consider two ion pairs: A, C are now cations, B, D are electrons; processes i and ii can occur but not process iii; it is not a neutralization but leads to two doubly charged species. So  $P_T = \frac{3}{8}$  in this case; the argument may be generalized to give eq 2.

The difference is not in the number of overall singlet spin states (2 for  $n = 2$ ) as is shown by the agreement when all pairings are allowed; rather, it concerns the efficiency with which the system can pass from one to another. It may be argued that the present treatment ignores the indistinguishability of electrons, but the only distinction made is between electrons in radical cations and free electrons.

*Acknowledgment.* The authors thank Professor Magee for a preprint of his paper.

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- (1) J. L. Magee and J.-T. J. Huang, *J. Phys. Chem.*, **76**, 3801 (1972).
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- (3) T. Higashimura, K. Hirayama, and K. Katsuura, *Ann. Rep. Res. Reactor Inst. Kyoto Univ.*, **5**, 11 (1972).

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### Reply to the Comment by B. Brocklehurst and T. Higashimura on "Triplet Formation in Ion Recombination in Spurs"

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Sir: The comment of Brocklehurst and Higashimura<sup>1</sup> on our paper entitled "Triplet Formation in Ion Recombination in Spurs"<sup>2</sup> reflects a misunderstanding of our model. Contrary to their conclusion, this model<sup>2</sup> does indeed apply to the case of cation-electron neutralization. It can also be extended to neutral radical recombination, as they suggest, but further assumptions must be made and this case is not considered in our paper.

The problem of estimation of the numbers of triplets formed on the charge recombination in irradiated systems is actually quite complicated and involves several parts. We have focused on the combinatorial aspects of the problem. We attempted to state the necessary limitations of our model and we believe that within the framework in which it was presented our treatment is correct.

The basic point of conflict seems to be the recognition of indistinguishability of electrons. In our paper<sup>2</sup> we have taken into account the fact that electrons are identical fermions, whereas Higashimura, Hirayama, and Katsuura,<sup>3</sup> and Brocklehurst and Higashimura<sup>1</sup> have not done so in a consistent way. The simple statistical argument

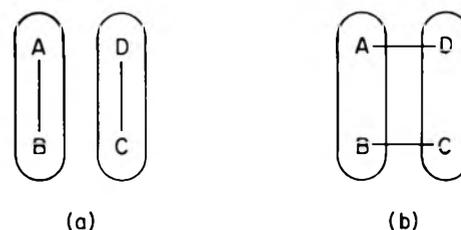


Figure 1. Rumer diagrams of a spur with two ion pairs. Ion orbitals (A and C) and electron orbitals (B and D) are placed alternately. Each line represents two electrons with antiparallel spins. The physical molecules AB and CD are indicated.

presented in ref 1 (immediately following eq 2) is based on the assumption that the electrons are distinguishable and thus we believe it to be misleading. Although they get the correct result for the case of two radical pairs, the fortuitous result cannot be generalized by their method<sup>1</sup> to obtain our formula (eq 1) as seems to be implied.

Another source of confusion seems to be the failure of Brocklehurst and Higashimura to realize that Rumer diagrams<sup>4</sup> do not represent geometrical arrangements of orbitals in space (of course except fortuitously) but rather couplings between electron spins. According to Rumer's method<sup>4</sup> the orbitals are placed in an arbitrary sequence around a circle and all possible diagrams with noncrossing bonds form a complete basis set of linearly independent state functions. The orbitals are distinguishable but the electrons are not. It is usually true that some particular arrangement of orbitals makes a problem more tractable and in our case it is most convenient to place the cations around the circle alternating with the free electron orbitals as in Figure 1, where A and C are the cations and B and D are the free electron orbitals. Of course, in the recombination, the charge pairs sort themselves out and we take A and B as a combining pair and C and D as the other combining pair. Thus the physical molecules are given by AB and CD. The first Rumer diagram (a in Figure 1) corresponds to both re-formed molecules in singlet states and the second diagram (b in Figure 1) to both in triplet states, after orthogonalization with respect to the first diagram. There is no significance at all of the "double charged" species AC and BD.

In our model<sup>2</sup> all possible final (orthonormalized) wave functions under spin conservation are given equal statistical weight, since neutralization usually can be expected to release enough energy to populate excited states. If for any reason energy barriers exist, the model does not apply! Wave functions need not appear explicitly in our treatment, and the somewhat more realistic problem of spur recombination in which there is also direct triplet formation by low-energy electron impact can be easily tackled (see section III of ref 2).

### References and Notes

- (1) B. Brocklehurst and T. Higashimura, *J. Phys. Chem.*, **78**, 309 (1974).
- (2) J. L. Magee and J.-T. J. Huang, *J. Phys. Chem.*, **75**, 3801 (1972).
- (3) T. Higashimura, K. Hirayama, and K. Katsuura, *Ann. Rep. Res. Reactor Inst. Kyoto Univ.*, **5**, 11 (1972).
- (4) For example, see H. Eyring, J. Walter, and G. E. Kimball, "Quantum Chemistry," Wiley, New York, N. Y., 1944, p 240.
- (5) The Radiation Laboratory of the University of Notre Dame is operated under contract with the U. S. Atomic Energy Commission. This is AEC Document No. COO-38-934.

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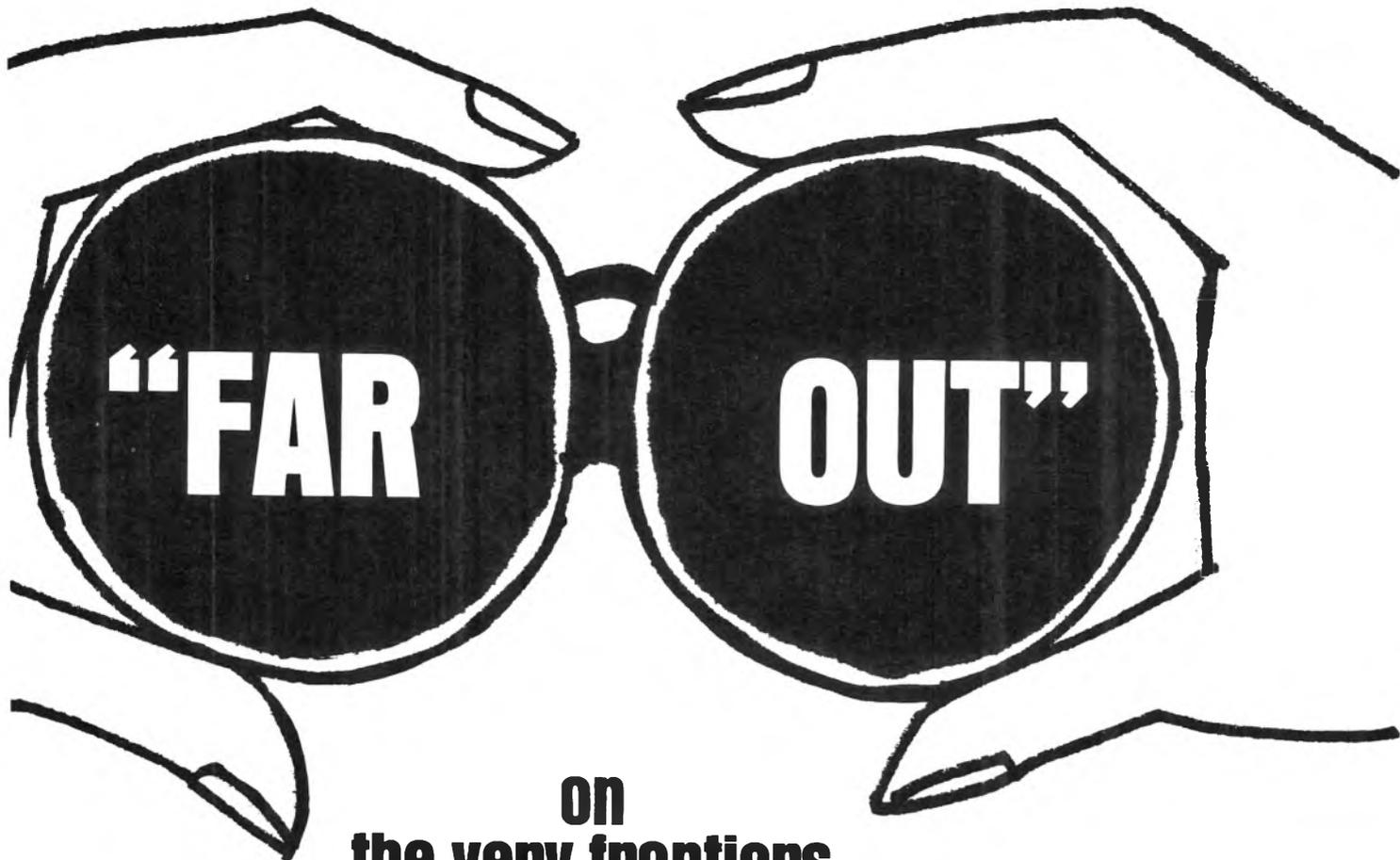
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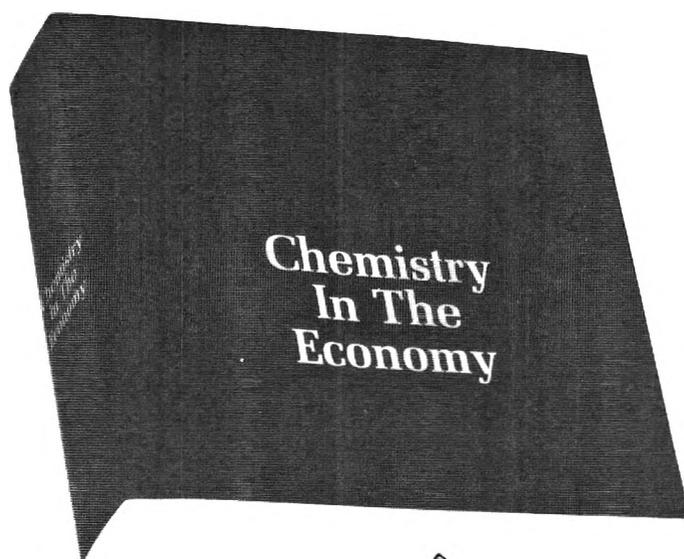
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